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# Quantitative Gradient-Echo Imaging with Macroscopic $B_0$ Field Variations in the Brain

## DOCTORAL THESIS

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Gradient-echo based magnetic resonance imaging (MRI) sequences are widely employed for  $T_1$ -weighted morphological and functional imaging. When acquiring multiple gradient-echo images with different echo times, the  $T_2^*$  decay allows insight into the tissue microstructure. Examples of different investigations include the  $T_2^*$  anisotropy in white matter nerve fibers, the determination of the water compartment in the myelin sheaths, or the visualization of abnormally high iron concentrations in deep gray matter.

Despite the improvement of *MRI* systems in the essential components, such as the main magnetic field, the shim and the gradient systems, macroscopic inhomogeneities of the magnetic field remain a major source of errors in the quantification of  $R_2^*$  ( $=1/T_2^*$ ) relaxation rates. In 2D slice-selective measurement techniques, the signal dephasing is particularly pronounced in slice-direction because the slice thickness is usually much larger than the in-plane dimensions; consequently, the signal dephasing is strongly influenced by the excitation profile. All in all, this makes the exact quantification of tissue-specific parameters considerably more difficult.

To minimize the influence of these macroscopic field inhomogeneities, a signal model is presented, which allows for the description of macroscopic field inhomogeneities on the 2D multi-echo gradient-echo (mGRE) signal for arbitrary radiofrequency excitation pulses. The longer repetition time in 2D *mGRE* measurements with an interleaved slice acquisition than in 3D measurements is particularly suitable to reduce the influence of longitudinal relaxation. This is especially important in multi-compartmental analyses of the signal decay, such as the determination of the myelin water fraction (MWF). To benefit from an increased signal-to-noise ratio (SNR) at optimized flip angles, the model uses a numerical solver for the Bloch equations. Its advantage is that it is not limited to small flip angles compared with the analytical solution. It has been shown that applying the model leads to less influence of macroscopic field gradients on  $R_2^*$  and *MWF* values in comparison with signal models that do not account for macroscopic field variations.

In a second approach, an adaptive, slice-specific “z-shimming” method was developed, which uses slice-specific compensation moments between the gradient-echo acquisitions. The compensation moments remarkably reduce the influence of macroscopic field gradients compared with conventional *mGRE* sequences. Moreover, an improved *SNR* compared with a slice-independent “z-shimming” approach could be achieved.

The presented signal model, in combination with the new adaptive “z-shimming” approach, led to substantial improvements in the quality of  $R_2^*$  maps, assessed by the median and the interquartile range in different deep gray matter and white matter regions.

**Keywords:** field inhomogeneities, myelin water fraction,  $R_2^*$ , z-shimming, relaxometry



Gradientenecho-basierte Magnetresonanztomographie (MRT) Sequenzen werden für die Darstellung von  $T_1$ -gewichteten Bildern und in der funktionellen Bildgebung verwendet. Bei der Aufnahme von mehreren Gradientenechos mit unterschiedlichen Echozeiten erlaubt der  $T_2^*$ -Zerfall Rückschlüsse auf die Gewebemikrostruktur. Beispiele dafür sind die  $T_2^*$ -Anisotropie in den Nervenfasern der weißen Substanz, die Bestimmung des wasseranteiligen Signals in den Myelinscheiden oder die Visualisierung von abnormal hohen Eisenkonzentrationen in bestimmten Gewebetypen.

Trotz der Verbesserung der MRT-Systeme in den wesentlichen Komponenten wie dem Hauptmagnetfeld, dem Shim- und dem Gradientensystem, bleiben makroskopische Inhomogenitäten des Magnetfeldes eine wesentliche Fehlerquelle bei der Nutzung der  $R_2^*$ -Relaxationsrate ( $=1/T_2^*$ ). In schichtselektiven 2D-Messtechniken ist die Signaldephasierung in Schichtrichtung ganz besonders ausgeprägt, da die Schichtdicke normalerweise viel größer ist als die orthogonalen Voxelbreiten innerhalb der Schicht. Dadurch beeinflusst das Anregungsschichtprofil die Signaldephasierung ganz erheblich. In Summe wird dadurch die genaue Quantifizierung von gewebespezifischen Parametern deutlich erschwert.

Um den Einfluss dieser makroskopischen Feldinhomogenitäten zu minimieren, wird in der vorliegenden Arbeit ein Signalmodell vorgestellt, das es ermöglicht, den Einfluss von makroskopischen Feldinhomogenitäten auf das 2D-multi-Echo-Gradientenecho (mGRE) Signal für beliebige Hochfrequenz-Anregungspulse zu beschreiben. Die bei 2D-Messungen mit verschachtelter Schichtaufnahme längere Repetitionszeit als bei 3D-Messungen eignet sich besonders dazu, den Längsrelaxationseinfluss zu reduzieren. Das ist insbesondere bei Multi-Kompartimentanalysen des Signalzerfalls wichtig, wie zum Beispiel bei der Bestimmung der „Myelin Water Fraction“ (MWF).

Um von einem erhöhten Signal-zu-Rauschverhältnis bei dafür optimierten Kippwinkeln zu profitieren, verwendet das Modell einen numerischen Löser für die Blochgleichungen und ist nicht wie die analytische Lösung auf kleine Kippwinkel beschränkt. Es konnte gezeigt werden, dass dieses Modell zu genaueren  $R_2^*$ - und MWF-Werten führt im Vergleich zu Signalmodellen, welche den Einfluss von makroskopischen Feldern nicht berücksichtigen.

In einem zweiten Ansatz wurde eine adaptive, schichtspezifische „z-Shimming“-Methode entwickelt, welche schichtspezifische Kompensationsmomente zwischen den Gradientenechoaufnahmen verwendet. Die Kompensationsmomente reduzieren, verglichen mit konventionellen mGRE-Sequenzen, deutlich den Einfluss von makroskopischen Feldgradienten. Des Weiteren konnte eine Verbesserung des Signal-Rausch-Verhältnisses im Vergleich zu einem schichtunabhängigen „z-Shimming“-Ansatz erzielt werden.

Das hier vorgestellte Signalmodell, in Kombination mit dem neuen adaptiven „z-Shimming“-Ansatz, führten in Summe zu einer substantiellen Verbesserung der Qualität von  $R_2^*$  in der Gradientenechobildgebung, welche mit dem Median und dem Interquartilsabstand in unterschiedlichen Hirnregionen der grauen Substanz und der weißen Substanz evaluiert wurde.

**Schlüsselwörter:** Feldinhomogenitäten, „Myelin Water Fraction“,  $R_2^*$ , „z-Shimming“, Relaxometrie



## **Affidavit**

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## 1.1 Introduction

Parallel to the invention of computed tomography (CT), another imaging methodology based on the nuclear magnetic resonance (NMR) was developed [180]. From the first *NMR* experiment in the 1930s, it took several years until Damadian performed the first successful *NMR* experiments in healthy and malignant tissue in 1971 [41]. He reported differences in the  $T_1$  and  $T_2$  relaxation times between healthy and malignant tissue, pushing the development of magnetic resonance imaging (MRI). Two years later, Lauterbur published the first 2D imaging experiment of two water probes [126], followed by the first in vivo image of a finger by using spatial encoding gradients [149]. Starting from the early experiments in the 1970s, *MRI* as an imaging method became popular because of its excellent soft tissue contrast without exposure to ionizing radiation.

Nowadays, *MRI* technology is indispensable with widespread applications in the medical field. A reason for the success of *MRI* is the continuous improvement of hardware and imaging techniques. One exciting field of ongoing *MRI* research is the development of quantitative magnetic resonance imaging (qMRI) methods that aim to improve limitations such as reproducibility and comparability of the clinically, more widely applied qualitative methods. In most clinical applications,  $T_1$ -,  $T_2$ -, or proton-density-weighted images are acquired based on the clinical question. Although these images provide excellent contrast for a particular question, the numeric values in a voxel provide only qualitative information. This hinders their meaningfulness for interpretation in longitudinal or cross-sectional studies. In contrast to that, *qMRI* addresses this issue by assigning a physically meaningful number to each voxel based on an underlying signal model. Quantitatively derived *MRI* parameters are ideally tissue-specific and independent of the sequence parameters or other external factors. However, there are still many challenges that need to be resolved

before *qMRI* can replace qualitative images. A major challenge is to build a reasonable signal model that accurately describes the observed signal with all accompanying factors influencing it.

The present thesis deals with the challenge of estimating quantitative parameters of the gradient-echo (GRE) signal decay with a focus on 2D acquisitions. In contrast to 3D acquisitions, a much larger repetition time (TR) can be chosen in 2D acquisitions with an interleaved slice acquisition. This brings that advantage that  $T_1$  effects in multi-compartment relaxometry can be reduced [198]. Compared with spin-echo (SE) sequences, the missing refocusing pulse allows faster image acquisition and leads to low specific absorption rate (SAR), which makes *GRE* sequences especially preferable for ultra high field (UHF) *MRI* systems.

One of the biggest advantages and disadvantages of *GRE* imaging at the same time is the sensitivity to magnetic field variations. In the ideal case, the *GRE* signal changes are caused by magnetic field variations within a voxel and thus providing unique microstructural tissue information. A famous example is the blood oxygenation level dependent (BOLD) effect in functional magnetic resonance imaging (fMRI) based on magnetic susceptibility difference between oxygenated and deoxygenated blood [162]. The difference leads to magnetic field variations on the mesoscopic scale, which refers to a scale much bigger than the atomic scale but much smaller than the voxel size [246]. In *fMRI*, the difference can be measured as a signal change and provides important information of neuronal activity [163]. Similar, but on a scale much larger than the voxel size, for instance, susceptibility difference between air and tissue lead to an inhomogeneous magnetic field. These macroscopic field variations contain no tissue-relevant information, but they cause additional signal dephasing, which can mask tissue-associated changes, and additionally the field variations lead to distortions in the images. If the presence of macroscopic field variations are not accounted for in *GRE* approaches, the estimated quantitative values become inaccurate.

The methods described in the present PhD thesis improve quantitative values obtained with 2D radio frequency (RF)-spoiled *GRE* sequences such as  $R_2^*$  and myelin water fraction (MWF) in the presence of macroscopic field variations.

## 1.2 Outline of the Thesis

Chapter 2 describes the basics of the free induction decay (FID) with different relaxation mechanisms, followed by a brief introduction to *GRE* imaging. Further, it reviews the relation between quantitative parameters and the underlying tissue microstructure. To point out the motivation of this work, the last section shows the impact of macroscopic field variations on  $R_2^*$  estimation.

Chapter 3 provides a general overview of different methods that aim to reduce the effect of macroscopic field variations in *MRI*. The first section discusses basic and advanced shimming methods for improving magnetic field homogeneity during image acquisition.



The following section reviews various signal models that take into account field inhomogeneities in the modeling of the signal decay. The last sections discuss methods that modify the *GRE* sequence by using tailored *RF* pulses or z-shimming gradients.

Chapter 4 summarizes the practical *MRI* aspects such as *RF* excitation pulses and navigator echoes that were necessary to accomplish the objectives described in this thesis. Chapter 5 introduces a signal model for 2D multi-echo gradient-echo (mGRE) sequences in the presence of macroscopic field variations, which was published in Magnetic Resonance in Medicine (MRM) [202]. The proposed signal model accounts for large flip angles to benefit from the signal-to-noise ratio (SNR) improvements in an interleaved 2D slice acquisition. The method was applied to  $R_2^*$  and *MWF* estimation.

Chapter 6 gives a comparison between 2D and 3D *mGRE* acquisition for  $R_2^*$  mapping. For the 2D data, the developed signal model was applied; for the 3D data, the voxel spread function (VSF) [250] was implemented.

To refine  $R_2^*$  mapping in the presence of macroscopic field variations, Chapter 7 presents a method that combines 2D z-shimming and signal modeling. The adaptive slice-specific approach was published in *MRM* [206].

Chapter 8 discusses the results and limitations of the methods presented in this thesis. It furthermore gives an outlook of promising future applications.



## MRI Signal Relaxation and its Relationship to Tissue Microstructure

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This chapter provides a general overview of quantitative *MRI* relaxation parameters with an emphasis on parameters obtained from *RF*-spoiled *mGRE* sequences. The first part reviews relaxation mechanisms, followed by the basic *MRI* sequences. The second part discusses the relationship between transverse relaxation parameters and the brain's microstructure in the context of potential clinical applications. The last part points out the challenges of estimating tissue-specific parameters with spoiled *mGRE* in the presence of macroscopic  $B_0$  field variations.

## 2.1 Basic Principles of Signal Relaxation

### 2.1.1 FID and Relaxation Rates $R_2$ , $R'_2$ , and $R_2^*$

A simple form of an *NMR* experiment is the measurement of the signal from a homogeneous sample containing, for example, hydrogen nuclei in a static magnetic field  $B_0$ . The hydrogen nuclei within the sample precess at the Larmor frequency  $\omega_0$ , which is given by the product of  $B_0$  and the gyromagnetic ratio  $\gamma$ . By applying an *RF* hard pulse at the Larmor frequency with amplitude  $\hat{B}_1$  and duration  $T_{pulse}$ , the longitudinal magnetization rotates towards the transverse plane by the flip angle  $\alpha = \hat{B}_1 T_{pulse}$ . The free precession of the transverse magnetization induces a signal in the receiver coil and is called *FID* [76]. The signal  $S(t)$  of the *FID* is commonly described in the literature by an exponential decay:

$$S(t) \propto \sin(\alpha) \exp(-R_2^* t), \quad (2.1)$$

where  $R_2^*$ , or  $T_2^* = 1/R_2^*$ , is the effective relaxation rate of the *FID*.  $R_2^*$  can be decomposed in a reversible relaxation rate  $R_2'$  ( $T_2' = 1/R_2'$ ) and an irreversible relaxation rate  $R_2$  ( $T_2 = 1/R_2$ ):

$$R_2^* = R_2 + R_2'. \quad (2.2)$$

In  $R_2$  relaxation the phase coherence between the spins is lost, caused by rapid random fluctuations in the magnetic field, leading to an irreversible reduction of the transverse magnetization [74]. In tissues,  $R_2$  increases with field strengths. In the corpus callosum, for instance, from 4T to 11.7T an increase in  $R_2$  from  $17.3s^{-1}$  to  $32.6s^{-1}$  was reported [44]. The  $T_2$  value of solids is in the order of milliseconds and approaches the longitudinal relaxation time  $T_1$  in liquids. At 3T, examples of  $R_2$  values in human tissues are:  $23.8s^{-1}$  in the liver,  $10s^{-1}$  in gray matter (GM),  $14.9s^{-1}$  in white matter (WM), and  $2s^{-1}$  in cerebrospinal fluid (CSF) [173, 210].

In contrast to  $R_2$ ,  $R_2'$  dephasing is reversible and the signal can be recovered with a *SE* by applying a  $180^\circ$  refocusing pulse [77]. Changes in  $R_2'$  are associated with magnetic field inhomogeneities that can occur at different scales with respect to the imaging voxel. For instance, paramagnetic particles, such as ferritin, cause field changes on the mesoscopic scale that affect  $R_2^*$ . Similarly, but much larger than the voxel size, macroscopic field variations caused by the subject's geometry and magnetic susceptibility influence  $R_2^*$ .

In the literature, a common assumption in Equation 2.1 is that the reversible relaxation of the *FID* can be described by an exponential decay with rate constant  $R_2'$ . However, this is only valid under certain circumstances [74] that will be discussed briefly.

Suppose that the spin density  $\rho(x)$  along a direction  $x$  is Lorentzian distributed with:

$$\rho(x) = N_0 \frac{2b}{b^2 + 4\pi^2 x^2}, \quad (2.3)$$

where  $N_0$  is the total number of spins,  $b = 2\pi\Delta x$ , and  $2\Delta x$  is the full width half maximum (FWHM) of the distribution. Then, in the case of a constant field gradient with magnitude  $G'_x$ , the reversible part of the signal at echo time (TE) is given by integration of the volume:

$$\tilde{\rho}(TE) = \int_{-\infty}^{\infty} \rho(x) \exp(-\gamma G'_x x TE) dx = N_0 \exp(-R_2' TE), \quad (2.4)$$

with  $R_2' = \gamma\Delta x |G'_x|$ . By introducing an averaged field inhomogeneity  $\Delta B = |G'_x|\Delta x$ , we can rewrite the equation for  $R_2^*$ :

$$R_2^* = R_2 + \gamma|\Delta B|. \quad (2.5)$$

Thus, this equation provides a simple relationship between  $R_2^*$  and the field inhomogeneity  $\Delta B$ .

Another explanation, more realistic compared with the previous hypothetical case, is ar-

rived at by thinking of randomly distributed magnetic spheres in a medium. Each sphere has a susceptibility difference  $\Delta\chi$  with respect to the medium, which causes a dipole field. Then, the sum of contributions of the magnetic dipoles to the signal decay is exponential in time in the static dephasing regime (Section 2.1.5) [24].

### 2.1.2 Magnetic Susceptibility

The magnetic susceptibility  $\chi$  is a dimensionless quantity that describes the ability of a material to become magnetized. When placing a material in a magnetic field  $\mathbf{H}$ , the magnetic induction  $\mathbf{B}$  inside the material in Tesla ( $T$ ) is given by:

$$\mathbf{B} = \mu_0(\mathbf{H} + \mathbf{M}), \quad (2.6)$$

where  $\mu_0$  is the magnetic permeability of free space in  $Tm/A$  ( $\mu_0 = 4\pi \cdot 10^{-7} Tm/A$ ), and  $\mathbf{M}$  the magnetization in  $A/m$ . In the case of non-ferromagnetic materials with isotropic magnetic properties,  $\mathbf{M}$  relates to  $\mathbf{H}$  by the constant  $\chi$ :

$$\mathbf{M} = \chi\mathbf{H}. \quad (2.7)$$

and for  $\mathbf{B}$  in Equation 2.6 as follows:

$$\mathbf{B} = \mu_0(1 + \chi)\mathbf{H}. \quad (2.8)$$

Based on the sign of  $\chi$ , materials are differently classified. If  $\chi > 0$ , the material is paramagnetic and the magnetic field inside the material is strengthened. For  $\chi < 0$ , the material is diamagnetic and the field inside the material is weakened.

The human tissue susceptibility  $\chi_{tissue}$  is largely diamagnetic because of its large water content ranging from  $-11 \cdot 10^{-6}$  to  $-7 \cdot 10^{-6}$  [194]. In *MRI*, instead of vacuum, often the susceptibility of water defines the reference for para- and diamagnetic tissues.

### 2.1.3 Longitudinal Relaxation Rate $R_1$

The longitudinal relaxation rate  $R_1$ , or relaxation time  $T_1 = 1/R_1$ , is a phenomenological quantity that describes the return of the longitudinal magnetization to thermal equilibrium. After applying a  $90^\circ$  *RF* pulse at the Larmor frequency, the spins exchange energy with their surrounding environment (“spin-lattice”), which can be described by [19, 74]:

$$M_z(t) = M_0(1 - e^{-R_1 t}), \quad (2.9)$$

where  $M_0$  is the equilibrium magnetization and  $M_z(t)$  the longitudinal magnetization at a certain time  $t$ .  $R_1$  decreases with magnetic field strength. For instance, in the corpus callosum a decrease of  $R_1$  from  $0.91s^{-1}$  (4T) to  $0.48s^{-1}$  (11.7T) has been reported [44]. The difference in  $R_1$  between tissues can be explained by the rotational correlation time  $\tau_c$  [20].  $\tau_c$  describes the time it takes a molecule to rotate about 1 radian. Small molecules

have a short  $\tau_c$  and large molecules follow a long  $\tau_c$ . The highest probability for energy transfer is given when  $\omega_0\tau_c = 1$ , which leads to the highest  $R_1$  [20]. Below ( $\omega_0\tau_c \ll 1$ ) and above ( $\omega_0\tau_c \gg 1$ ) less energy transfer occurs, leading to a decrease in  $R_1$ .

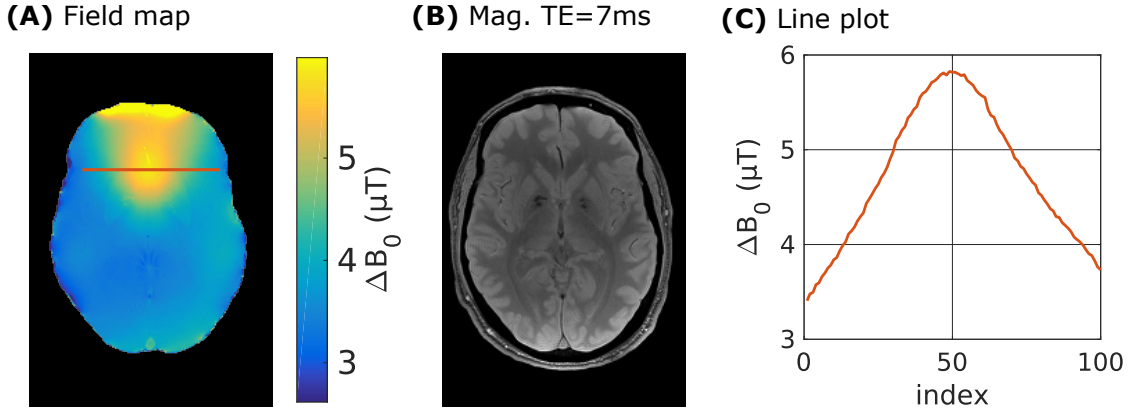
At 3T, typical  $R_1$  values in tissues range from  $1.2s^{-1}$  in the liver,  $0.92s^{-1}$  in *WM*,  $0.55s^{-1}$  in *GM*, to  $0.26s^{-1}$  in *CSF* [173, 210].

#### 2.1.4 Scale of Field Inhomogeneities

Section 2.1.1 discusses the reversible signal decay  $R_2'$  caused by magnetic field inhomogeneities, but so far, the scale of these field inhomogeneities has not been considered. Following the definition by Yablonskiy et al., field inhomogeneities can be divided into macroscopic, mesoscopic, and microscopic field inhomogeneities with respect to the voxel size [246].

The microscopic scale describes field inhomogeneities that appear on the atomic/molecular scale, and these field inhomogeneities are responsible for the irreversible  $R_2$  relaxation. In contrast to that, the macroscopic scale refers to field variations much larger than the voxel size. These field disturbances originate from magnetic imperfections, poor shimming of the static magnetic field, or because of large susceptibility differences between air/tissue interfaces. Thus, signal changes caused by macroscopic fields provide no information and they mask tissue-specific information. The mesoscopic scale is between the macroscopic and microscopic scale and is much larger than the microscopic scale, but smaller than the voxel size. In contrast to the macroscopic scale, field variations on the mesoscopic scale contain tissue-specific information.

Figure 2.1 shows an example for the scale of macroscopic field variations. The field map (Figure 2.1A) indicates smooth macroscopic field variations associated with field distortions caused by the air tissue interfaces. Additionally, mesoscopic field variations can be detected, but their magnitude is much lower. For instance, a small difference between deep *GM* and *WM* tissue can be observed.



**Figure 2.1:** Example of field inhomogeneities in the brain. (A) illustrates a field map  $\Delta B_0$  estimated from *mGRE* data, (B) the magnitude image at  $TE = 7\text{ms}$ , and (C) the line plot of the red line in (A). The field map and line plot show macroscopic field variations much larger than the voxel size.

### 2.1.5 Motional Averaging and Static Dephasing Regime

To get insight into susceptibility-induced  $R_2^*$  changes on the mesoscopic/microscopic scale, different relaxation theories have been introduced [22, 66, 234, 247]. This section gives an overview following the notation of de Haan [46].

When placing magnetic particles into a medium the magnetic field inside the volume changes. The question is how this affects the effective relaxation rate  $R_2^*$ . For a single particle with radius  $R$  and magnetization  $M$ , the magnetic dipole field is given by:

$$B = \frac{\mu_0 M R^3}{3 r} (3 \cos(\theta)^2 - 1), \quad (2.10)$$

where  $r$  is the distance from the center of the particle,  $\mu_0$  the permeability of free space, and  $\theta$  the angle with respect to the dipole axes. In the medium, depending on the location, spins accumulate a different phase  $\Delta\phi = \gamma B \Delta t$  in a time interval  $\Delta t$ .

Suppose that  $N$  particles, each with a volume  $v$ , are placed in a medium with volume  $V$  then the volume fraction  $f$  is given by  $f = \frac{Nv}{V}$ . To describe the effects of relaxation, a characteristic separation between particles can be defined [46]:

$$l = \left( \frac{\frac{4}{3}\pi}{f} \right)^{1/3} R. \quad (2.11)$$

If we now assume that water protons cannot move over time, each proton experiences the same magnetic field. In this case, an analytic solution for  $R_2^*$  is given by [24, 247]:

$$R_2^* = \frac{2\pi}{3\sqrt{3}} f \Delta\omega_0, \quad (2.12)$$

where  $\Delta\omega_0$  describes the Larmor frequency shift caused by the magnetic field at the

equator of the magnetic particle. For a spherical particle,  $\Delta\omega_0$  is given by [24, 247]:

$$\Delta\omega_0 = \frac{\gamma\mu_0 M}{3}. \quad (2.13)$$

This equation holds for protons that diffuse a minimal distance  $R_D$ , as long as  $R_D$  is much smaller than  $l$ . If  $R_D/l \ll 1$ , a single proton that travels from one place to another experiences virtually the same magnetic field and thus is independent of diffusion. This regime is referred to as static dephasing regime [247].

In contrast to that, in the motional averaging regime, diffusion cannot be neglected anymore because the traveled distance by the proton  $R_D$  is much larger than  $l$  ( $R_D/l \gg 1$ ). In this regime, the protons diffuse a large distance where they experience different phase shifts which average out. In this regime  $R_2^*$  is calculated with [23]:

$$R_2^* = \frac{16}{45} f \Delta\omega_0^2 \tau_d, \quad (2.14)$$

where  $\tau_d$  is the diffusion time calculated with the diffusion coefficient  $D$  and  $R$ :

$$\tau_d = \frac{R^2}{D}. \quad (2.15)$$

Here the two extreme cases of nearly static protons and protons which diffuse a large distance are discussed. For intermediate cases, the reader can refer to [22, 46].

## 2.2 Overview of Gradient- and Spin-Echo Sequences

### 2.2.1 Gradient-Echo Imaging

The following section reviews the fundamentals of *GRE* imaging. It starts with the basic principles of a *GRE* and summarizes the different *GRE* sequences with an emphasis on the *RF*-spoiled *GRE* sequence. The last section briefly introduces the *SE* and the asymmetric spin-echo (ASE) sequence.

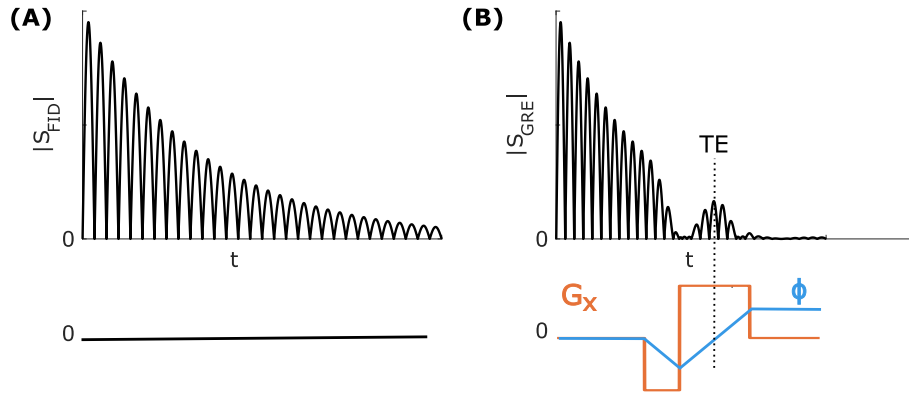
#### 2.2.1.1 The Gradient-Echo

Figure 2.2 illustrates the *GRE* formation. The plot in Figure 2.2A shows the *FID* oscillating at the Larmor frequency and its decay with  $T_2^*$ . The *GRE* is formed by two gradients, the prephasing gradient and the rephasing gradient (Figure 2.2B). Suppose that a gradient with arbitrary shape  $G_x(t)$  is applied along the spatial direction  $x$  leading to a position-dependent phase change. At time  $T$ , the accumulative phase  $\phi(x, t)$  is given by integration:

$$\phi(x, t) = \gamma \int_0^T G_x(t) x t dt \quad (2.16)$$



The prephasing gradient leads to phase dispersion, resulting in an accelerated decay. Assuming a duration  $T_p$  and a magnitude  $G_p$  of the prephaser, the phase  $\phi_p(x)$  is given by  $\phi_p(x) = -G_p T_p x$ . In the next step, a rephasing gradient is applied with opposite polarity, which successively reverses the accumulated phase  $\phi_p(x)$ . As soon as the areas between the prephasing and rephasing gradient are equal, the accumulative phase  $\phi(x, t = TE)$  becomes zero, and the *GRE* is formed at  $TE$ . After  $TE$ , the signal again decays faster caused by induced phase dispersion of the rephasing gradient.



**Figure 2.2:** Illustrative example of the *GRE* formation. (A) shows the *FID* signal  $|S_{FID}|$  and (B) the formation of a *GRE*. The negative part of the gradient  $G_x$  (prephasing gradient) dephases the signal and the positive rephases it again. The *GRE* is formed when the accumulative phase  $\phi$  is zero (blue line).

### 2.2.1.2 Gradient-Echo Sequences

The above section discusses the *GRE* formation from a single *RF* pulse. However, standard *MRI* sequences typically apply a repetitive pattern of pulses with a *TR*. In 1958 Carr described the steady-state free precession (SSFP) in an *NMR* experiment where phase-coherent pulses with a spacing of *TR* are applied [26]. From this basic experiment, different types of fast *GRE* based imaging sequences were developed.

Suppose that  $TR \ll T_2 \leq T_1$ , then between two successive pulses the magnetization does not have sufficient time to reach equilibrium. After a certain number of pulses, the magnetization reaches a steady-state, leading to an identical signal for each repetition. The different *GRE* sequences are distinguished by different steady-state signals that are obtained by manipulating gradients or the *RF* phase between the pulses. Figure 2.3 shows a generic *GRE* sequence from which the different subtypes can be derived. In general, all these rapid *GRE* sequences can be summarized as *SSFP* sequences [193].

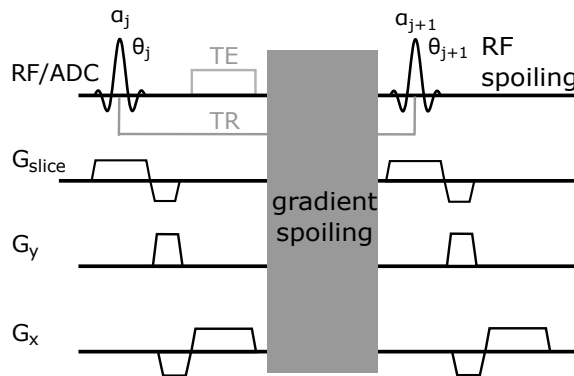
Figure 2.4A illustrates the balanced steady-state free precession (bSSFP) sequence in which the net moment is zero in all gradient directions between two *RF* pulses [168]. The contrast of *bSSFP* sequences is given by  $T_2/T_1$  [193]. One main limitation of *bSSFP* is the periodic variation of the signal profile as a function of the off-resonance frequency

[63]. The profile is relatively constant for a wide range of phase values, but at an accumulated phase of  $\pm\pi$  within one  $TR$  the signal abruptly drops to zero. This results in zero magnitude images along the off-resonance frequency, which is known as banding artifacts. To minimize banding artifacts,  $TR$  should be between  $3 - 6ms$  [193]. Another option, but this comes with a prolonged scan time, is the constructive interference in steady-state (CISS) sequence [193]. In *CISS* two sets of *bSSFP* images are acquired with different pairs of  $\alpha$ . In the first run images are acquired using  $\pm\alpha$ , and in the second run with constant  $\alpha$ . This leads to a spatial shift of the banding artifacts and an image without banding artifacts can be reconstructed by performing a maximum intensity projection (MIP), for instance.

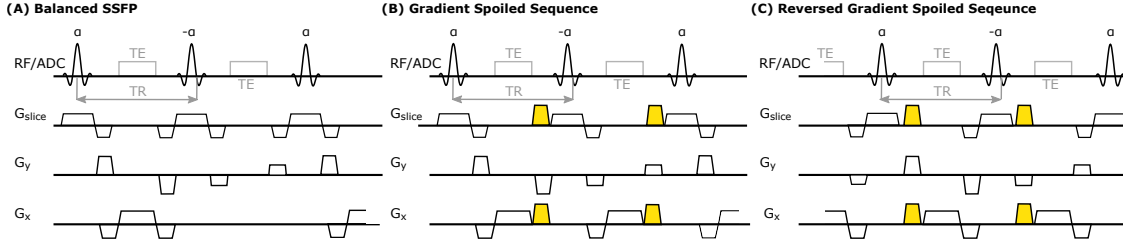
One application of *bSSFP* is cardiac imaging where the fast acquisition and the  $T_2/T_1$  ratio offers a better contrast between muscle and blood than  $T_1$ -weighted images [193]. Moreover, the *bSSFP* leads to higher *SNR*. Another application is angiography where the ratio  $T_2/T_1$  of the blood and of the surrounding tissue provides an excellent contrast [193].

In contrast to *bSSFP*, non-*bSSFP* have a residual moment before the next *RF* pulse is applied. In the gradient-spoiled sequence (Figure 2.4B), a spoiler gradient is applied after the readout gradient, which results also in  $T_2/T_1$  contrast. Another non-balanced *bSSFP* is the reversed gradient-spoiled echo (Figure 2.4C). Here, the spoiler gradients are applied before k-space acquisition. The contrast is again  $T_2/T_1$ -weighted, but because the spoiler destroys the *FID*, the sequence is stronger  $T_2$ -weighted.

With none of these sequences a pure  $T_1$  contrast can be achieved. A  $T_1$  contrast is desired, for example, in contrast-enhanced imaging. Paramagnetic contrast agents such as a gadolinium-based agents reduce  $T_1$  and  $T_2$ , but the ratio  $T_2/T_1$  is similar. To get a  $T_1$  weighting, *RF*-spoiled gradient-echo sequences are used, which are discussed in the next section.



**Figure 2.3:** A generic *GRE* sequence that allows to build the basic *SSFP* sequences. Depending on the gradient spoilers and the phase cycle  $\theta_j$  of the  $j$ th *RF* pulse, different contrasts are obtained.



**Figure 2.4:** Overview of *SSFP* sequences. (A) shows a *bSSFP* in which the moment is balanced between the pulses. The gradient-spoiled sequence (B) applies a spoiler after the readout, and in the reversed gradient-spoiled sequence (C) the spoiler is applied before the readout.

### 2.2.1.3 RF-Spoiled Gradient-Echo Imaging

To obtain a  $T_1$  contrast,  $TR$  must be smaller than  $T_1$  ( $TR < T_1$ ) and the transverse magnetization prior to every pulse has to be completely spoiled. Zur et al. [260] showed that this condition cannot be achieved if the same spoiling gradient is applied at between each *RF* pulse. Also, varying the amplitude between the repetitions does not allow perfect spoiling because spins at different positions experience different phase values. Therefore, the spoiling efficiency varies across the image [36]. To vary the phase of the transverse magnetization, the phase of the *RF* pulse [36] or the frequency of the *RF* synthesizer can be changed for a fixed period of time before the next excitation [259].

Applying a certain scheme of phase shifts to the  $B_1$  field of the  $j$ th pulse is referred to as *RF*-spoiling. In numerical simulations, Zur et al. determined a phase increment of  $\theta_0 = 117^\circ$  for the phase shift  $\theta_j$  as the optimal spoiling condition [259]:

$$\theta_j = \theta_{j-1} + j\theta_0, \quad j = 1, 2, 3... \quad (2.17)$$

By applying this phase cycling to the *RF* pulses, it is possible to achieve a purely  $T_1$ -weighted image.

The contrast of the *RF*-spoiled *GRE* can be explained by the steady-state equation. Assuming ideal spoiling of the transverse magnetization prior to the next *RF* pulse and that a steady-state is reached, the signal  $S_{spoil}(TE)$  is given by [58]:

$$S_{spoil} = M_0 \sin(\alpha) \frac{1 - e^{-TR/T_1}}{1 - \cos(\alpha)e^{-TR/T_1}} e^{-TE/T_2^*} \quad (2.18)$$

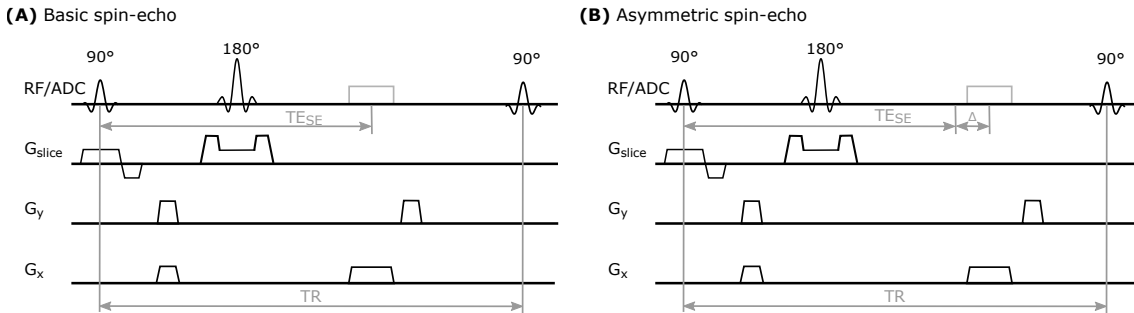
where  $M_0$  is the equilibrium magnetization. The choice of the sequence parameters  $TR$ ,  $TE$ , and  $\alpha$  defines the weighting of the image. Generally, all images are proton-density ( $M_0$ )-weighted and the susceptibility contrast ( $T_2^*$ ) increases with  $TE$ . The  $T_1$  weighting increases by shortening  $TR$  or increasing  $\alpha$ .

### 2.2.2 The Spin-Echo

This section briefly reviews the *SE* as one of the basic *MRI* sequences. Further, it introduces the *ASE* as a hybrid version of *SE* and *GRE*, which is especially interesting for studying the relations between  $R_2$ ,  $R_2^*$ , and  $R_2'$ .

Figure 2.5A shows a basic 2D *SE* sequence. After the  $90^\circ$  excitation pulse, the *FID* decays with  $T_2^*$  and after  $TE_{SE}/2$  a  $180^\circ$  slice-selective refocusing pulse is applied. The refocusing pulse rotates the spin assemble by  $180^\circ$ , causing the spins to rephase and to form a *SE* at  $TE_{SE}$  [77]. In contrast to the *GRE*, the *SE* sequences recover the reversible part of the *FID*. Before and after the refocusing pulse, a pair of crusher gradients is usually applied. The crusher on the right side of the refocusing pulse has the purpose to spoil a potential *FID* arising from an imperfect refocusing pulse. This might occur because of  $B_1^+$  field variation or a flip angle variation along the excited profile. The left crusher balances the phase accumulation caused by the right crusher.

In a conventional *SE* the timing of the readout gradient is chosen so that  $\phi(x, t) = 0$  when the *SE* is formed. However, it is also possible to shift the readout with a certain time  $\Delta$  relative to the center of the spin-echo (Figure 2.5B). This is known as *ASE* and allows additionally to measure the reversible signal component as a function of  $\Delta$  [52, 242].



**Figure 2.5:** A basic 2D multi-slice *SE* sequence (A) and an *ASE* sequence (B). In the *ASE* sequence, the readout is shifted by a certain time  $\Delta$  to acquire a *GRE* at  $TE_{SE} + \Delta$ .

## 2.3 Relating Transverse Signal Relaxation to Tissue Microstructure

With the advent of *UHF MRI* systems *GRE* sequences have been extensively used to link the observed signal decay to cellular structures in recent years. The following section gives an overview of quantitative *GRE* imaging with a focus on transverse relaxation parameters. It discusses applications ranging from iron quantification in deep *GM* with  $R_2^*$ , over  $R_2^*$  anisotropy in *WM* to quantification of myelin with myelin water imaging (MWI).

### 2.3.1 Cell Types in the Nervous System

The brain is part of the central nervous system (CNS) and is divided into the hindbrain (the medulla, pons, and cerebellum), midbrain, and forebrain (diencephalon, and cerebral hemispheres). The two major cell types in the *CNS* are the nerve cells (neurons), which are responsible for information propagation, and the glial cells (glia) involved in various vital supporting functions [109]. Compared with neurons, glia cells occur about 10 to 50 times more frequently in the *CNS* [209].

The three major types of glia cells are the oligodendrocytes, Schwann cells, and astrocytes. The oligodendrocyte and Schwann cells elaborate the myelin sheath around the axons in different parts of the nervous system. In the *CNS* a single oligodendrocyte envelops an average of 15 axonal internodes, whereas Schwann cells occur in the peripheral nervous system enveloping only one internode of one axon. Among glia cells, the largest number are the irregular star-shaped astrocytes making up about 20% to 50% of brain volume. They are involved in a variety of functions, such as nutrition supply or forming the blood-brain barrier [109].

Neurons are excitable cells and the signal units of the nervous system. Each neuron has a cell body (soma), which contains the metabolic center with a nucleus and the endoplasmic reticulum. From the cell body, a single long axon extends to a variable number of short dendrites responsible for signal receiving. The action potential is conducted along the axon to other cells [109]. The propagation speed, which is important for rapid communication, is determined by the diameter of the axon and the myelin sheath. In bare axons, the speed is proportional to the square root of the diameter. Therefore, a substantial increase in speed would require a large diameter occupying a substantial amount of space. In vertebrates, this limitation could be resolved through evolution of the fatty insulating myelin sheath, which is wrapped around the axon. This allows to increase the propagation speed of the nerve impulse by about 10 to 100 times [209]. Along the axon, the myelin sheath is interrupted by the nodes of Ranvier where the action potential is regenerated. The end of the axon is divided into fine branches where the signal is transmitted via synapse to other neurons [109].

### 2.3.2 Iron Quantification

#### 2.3.2.1 Iron and its Association with Neurodegenerative Diseases

The trace element iron is involved in many biological processes such as oxygen transport, mitochondrial respiration, myelin synthesis, and neurotransmitter synthesis and metabolism [37]. In the case that iron homeostasis is disrupted and iron level exceeds the capacity of storage proteins or other molecules, it might lead to oxidative damage and cell death [117]. In the healthy brain, iron accumulates rapidly in the first two decades of life, followed by a slower increase [79]. Besides the iron accumulation with age, iron ac-

cumulation is further associated with different neurodegenerative diseases. In Parkinson’s disease, the total iron concentration in specific regions of the substantia nigra increases with disease severity [50, 96, 184]. For the neurodegenerative and inflammatory disease multiple sclerosis (MS), iron decreases in normal-appearing *WM* [80], while in deep *GM* regions iron increases with the disease’s duration and severity [78, 189]. In Alzheimer’s disease, the characteristic amyloid plaques and neurofibrillary tangles have elevated concentrations of zinc, copper, and iron [34, 142, 185], leading to oxidative stress [192].

### 2.3.2.2 Iron-Sensitive MRI Methods

The non-invasive assessment of iron with *MRI* is of great interest because of the close relationship between various diseases and iron. About 70% of the iron in the human body is found in hemoglobin and the rest in non-heme compounds. In the human brain, most of the non-heme iron is stored in the proteins ferritin and hemosiderin. These are the only two proteins considered having enough iron concentration to affect *MRI* signal [195]. Ferritin is built up with 24 proteins arranged symmetrically to form a hollow shell with a *8nm* diameter cavity, which allows to store up to 4500 Fe(III) iron atoms making up to 30% of its molecular mass [60, 88]. In contrast to highly-structured ferritin, hemosiderin is heterogeneous with considerable variations in size; the stored iron is thought to originate from degraded ferritin [243].

In the literature, a sensitivity of all relaxation parameters (e.g.,  $R_1$ ,  $R_2$ ,  $R'_2$ , and  $R_2^*$ ) for iron has been reported. This section summarizes different iron-sensitive *MRI* methods. It focuses on relaxation parameters, but methods targeting the phase of the signal such as quantitative susceptibility mapping (QSM) [137] and susceptibility-weighted imaging (SWI) [75, 182] are also employed. For more information on phase-related methods, the reader can refer to [73, 121, 164].

**R<sub>2</sub>:** The effect of iron on  $T_2$ -weighted images has been reported first in animal models in the liver in 1983 [211]. In this study, Stark et al. investigated differences between hepatitis, fatty liver, and hepatic iron overload.

The relationship between iron-associated  $T_2$  shortening has been studied in vitro experiments with ferritin. In these experiments a linear dependency of  $R_2$  on loading factor and the applied field strength has been reported [226, 228]. The loading factor describes the number of iron atoms stored within ferritin. Consequently,  $R_2$  depends only on the number of iron atoms independently of the number of ferritin proteins and loading factor. Interestingly, the linear field dependency of the relaxation is contrary to the quadratic dependency predicted by the outer sphere theory (OST) [66]. The *OST* describes the relaxation of solvent water protons caused by the magnetic nanoparticle. In this theory, water protons diffuse through the magnetic field gradients leading to an irreversible relaxation. To resolve the quadratic relation between  $R_2$  and field strength, Gossuin proposed a model based on proton exchange between bulk water and exchangeable protons located at the surface of proteins [70]. The adapted model leads

to a linear dependency of  $R_2$  on field strength [70]. Further, it is closely related to the static dephasing regime [247], which also predicts a linear relationship. However, interpreting these results for in vivo application is still challenging mainly because of the inhomogeneous distribution in tissue [69].

In vivo, the relation between  $R_2$  and iron has been investigated by comparing estimated  $R_2$  values in brain regions [65, 89, 95, 225, 227] with the iron concentrations estimated by Halgren et al. [79] or by plotting  $R_2$  as a function of age [196]. All these results show a good correlation between iron and  $R_2$  in subcortical *GM* over the entire physiological range. However, these results provide only a qualitative description, as the actual iron content cannot be assessed in vivo. To bridge this gap, studies have been performed with *MRI* on post-mortem tissue followed by chemical analyses of the tissue for quantifying the iron concentration [120, 230]. These studies confirmed a linear relation between iron and  $R_2$  in subcortical *GM*.

**FDRI:** The field-dependent  $R_2$  increase (FDRI) approach investigates the change of  $R_2$  by acquiring  $R_2$  maps at two different field strengths [7]. The method has been used to study the effects of iron in Alzheimer’s and Huntington’s disease and in normal aging [8–10, 10]. While this approach offers the possibility of using the field dependency for the relaxometry, *FDRI* has the disadvantage that it requires a second *MRI* system. Compared with *SWI* and *QSM*, a greater specificity for detecting non-heme iron-rich regions was found for the *FDRI* [15, 172].

**$R'_2$ :** Ordidge et al. proposed to estimate  $R'_2$  with an *ASE* sequence that acquires a train of *GRE* after the *SE* [169]. By performing an additional measurement with a different *TE* of the *SE*, but with the same echo timings of the readout gradients,  $R'_2$  and  $R_2$  can be calculated. Additionally, the approach applies z-shimming gradients to compensate for macroscopic field gradients [169]. With this method, higher  $R'_2$  and  $R_2^*$  were reported in the substantia nigra for Parkinson’s disease compared with controls, whereas for  $R_2$  no significant differences were found [68].

To accelerate the approach, in the partially-refocused interleaved multiple echo (PRIME) sequence, a second refocusing pulse is included [153]. The sequence allows to estimate  $R'_2$  in a single acquisition. Similar to previous results, differences of  $R'_2$  and  $R_2^*$  were reported in the substantia nigra for Parkinson’s diseases [71].

The gradient-echo sampling of FID and echo (GESFIDE) sequence acquires *GREs* before and after the refocusing pulse, which allows to estimate  $R'_2$  and  $R_2$  from a single measurement [147]. In several *GM* regions and from frontal cortical *WM*, a higher iron specificity for  $R'_2$  was reported [65] compared with the results from Halgren et al. [79].

It is argued that  $R'_2$  is more specific for paramagnetic particles compared with  $R_2$ . As mentioned above,  $R_2$  is related to diffusion whereas  $R'_2$  contributions are reversible, independent of the diffusion coefficient of the water protons [169]. Hikita et al. compared *GESFIDE* with a multiple spin-echo (MSE) sequence in 13 healthy subjects. They concluded that  $R_2$  seems better suited because macroscopic field variations contribute to a large extent to  $R'_2$  [95].

**$R_2^*$ :** From an *MRI* perspective, measuring  $R_2^*$  by a *mGRE* has some clear advantages compared to *MSE* sequences. For instance, *GRE* sequences lead to a lower *SAR* and a shorter echo spacing is possible. In *MSE* sequences, one of the major challenges are stimulated echoes. If not properly compensated for, stimulated echoes lead to an undesired  $T_1$  dependency of the estimate  $R_2$  [175]. Although advanced modeling approaches for the signal pathways are promising (e.g. [12]), applications in *UHF MRI* systems are limited because of *SAR* issues.

Besides the technical advantages of *mGRE* sequences, a higher correlation for  $R_2^*$  in *GM* and *WM* than for  $R_2$  was found in an in situ study [120]. In this study, the iron concentration was chemically determined in different regions after *MRI*. This finding was also confirmed in another study with deceased MS patients [230].

In summary, various *MRI* approaches based on the characterization of the relaxation rates have been investigated for iron estimation in the brain. All these methods show a good correlation, but choosing the most sensitive based on the current literature is difficult.

### 2.3.3 $R_2^*$ and Phase Anisotropy

The anisotropy of certain *MRI* parameters with respect to the main magnetic field  $B_0$  has been reported in various tissues [93]. A prominent example for the signal dependency with respect to  $B_0$  in *SE* sequences is the magic angle effect. These signal variations are caused by dipolar interactions of collagen-bound water in collagen-rich tissues such as tendons, ligaments, nerves, and menisci [27, 64, 156]. Another well known example is diffusion tensor imaging (*DTI*) to study the orientation of white matter fibers [157]. In principle, water mobility in the direction of the fiber is higher than perpendicular to it, which allows reconstructing the main orientation by acquiring diffusion-weighted images in different directions [54].

In recent years, the anisotropy of  $R_2^*$  and the phase signal in *WM* fiber has been extensively studied. This chapter summarizes these findings and reviews different signal models that try to explain the anisotropy.

#### 2.3.3.1 $R_2^*$ and Fiber Orientation

The feasibility of studying anatomical details on a much finer scale increased with *UHF MRI* systems. In one of the first high-resolution experiments ( $0.2 \times 0.2 \times 0.5 \text{ mm}^3$ ), Li et al. reported a large heterogeneity between *WM* fibers in 2006 [138]. At 3T, a combined analysis of  $R_2^*$  and *DTI* showed that fibers running along the anterior posterior direction have larger  $R_2^*$  than fibers in superior-inferior direction [30]. The authors attributed the difference between the directions to structural differences between the fibers or to the fiber orientation with respect to  $B_0$ . In a similar investigation by Denk et al., variations of the phase and  $R_2^*$  could be confirmed [47]. Additionally, measurements with different head orientations showed that tissue orientation rather than tissue composition is responsible



for the observed phase and  $R_2^*$  changes. By performing experiments with two different head orientations, Wiggins et al. demonstrated in a macaque model (7T) that fiber orientation unambiguously is a major contribution of *WM* heterogeneity [238]. This was later confirmed by Bender and Klose by performing in vivo measurements with normal and tilted head position [13]. Further, their results indicate an orientation dependency of  $R_2^*$  on the angle  $\theta$  towards  $B_0$  with  $\sin(\theta)^2$ . This is in accordance with the predicted solution of Yablonskiy et al. in the static dephasing regime for a parallel set of cylinders [247]. Aforementioned works are inherently restricted by the range of possible head orientations within the scanner. To get better insight into the angular dependency of  $R_2^*$  in *WM* fibers, Lee et al. performed measurements with a formalin-fixed post-mortem sample at different orientations [134]. They evaluated the variation of  $R_2^*$  as a function of the angle with two models. The first model assumes that sources of microscopic susceptibility such as iron and myelin are highly aligned with the axon leading to orientation-dependent decay. Based on the solution of Yablonskiy et al. for parallel cylinders [247] and sufficiently long *TEs*, Lee et al. modeled  $R_2^*$  with:

$$R_2^* = c_0 + c_1 \chi \sin^2 \theta \quad (2.19)$$

Their results indicate a clear dependency of  $R_2^*$  on  $\theta$ , but Equation 2.19 could only partly explain the observed signal variation. In the model in Equation 2.19, an isotropic susceptibility difference between cylinders and surrounding medium is assumed. However, fiber bundles show anisotropic behavior with respect to the  $B_0$  orientation. In 2010, Liu observed an anisotropic susceptibility in the *CNS* of an ex vivo mouse brain with a 7T small-bore scanner [141]. By performing measurements with different orientations of the brain, Liu reconstructed an apparent susceptibility tensor (AST). Around the same time, in a post-mortem experiment Lee et al. [132] suggested an anisotropic susceptibility for fiber bundles. In these experiments a section of the corpus callosum, which reflects highly aligned fiber bundles, was cut into five sections. By rotating every second section by  $90^\circ$ , the authors could study the effect of the microstructure while minimally affecting the macroscopic structure. They explained the difference in resonance frequencies between aligned and  $90^\circ$ -rotated fiber bundles by an anisotropic susceptibility.

To account for anisotropic susceptibility, Lee et al. extended Equation 2.19 by introducing an isotropic  $\chi_{iso}$  and an anisotropic component  $\chi_{aniso}$  that depends on the angle  $\theta$  [134]:

$$\begin{aligned} \chi &= (\chi_{iso} + \chi_{aniso}) \sin^2 \theta \\ &= (\chi_{iso} + \chi_{\perp} + (\chi_{\perp} + \chi_{\parallel}) \sin(\theta + \epsilon)^2) \sin^2 \theta \end{aligned} \quad (2.20)$$

where  $\chi_{\perp}$  and  $\chi_{\parallel}$  are the relative volume susceptibilities when the cylinders are perpendicular or parallel to  $B_0$ .  $\epsilon$  accounts for potential phase offset by the distribution of perturbors and the susceptibility anisotropy that results from the perturbors' molecular structure [134]. By applying Equation 2.20 to the measured  $R_2^*$  variations, Lee et al. could achieve a better representation. These results further suggest that susceptibility

anisotropy contributes to the observed *WM* heterogeneity. Further, with the observed angular dependency of  $R_2^*$  they could reconstruct *WM* fiber orientation maps that were closely related to *DTI* derived orientations [134].

### 2.3.3.2 Biophysical Signal Models

To explain the observed heterogeneity in *WM*, various biophysical signal models have been proposed. The generalized Lorentzian approach (GLA) by He and Yablonskiy takes tissue architecture and its orientation to  $B_0$  into account [91]. In this work, the concept of the Lorentzian sphere for calculating the magnetic field created by structures within the sphere was translated to a more general case: the Lorentzian cylinder. By applying this approach to highly anisotropic structures, such as an axon, a  $\sin^2 \theta$  dependency on the frequency shift can be predicted. Luo et al. validated the theory in an isolated optical nerve, which closely resembles the circular geometry, by measuring the phase variation as a function of  $\theta$  [144]. Their results show that the *GLA* is better suited than the Lorentzian sphere approximation. However, the theoretical concept has been a matter of debate [57, 248]. The hollow cylinder model of Wharton and Bowtell [236] represents a volume of fibers with an infinite long hollow cylinder where the inner of the hollow cylinder models the myelin sheath. To describe the orientation dependency of fibers, they modeled the myelin sheath with an anisotropic susceptibility tensor. Furthermore, the authors considered the fast signal decay of the myelin water within the myelin sheath [148] and additionally they accounted for a chemical exchange of protons between the water and macromolecules [199, 258]. Simulations and experiments indicate that with the hollow cylinder model observed magnitude and phase variations in fibers can be accurately described [236]. The relationship between observed complex signal decay and its relation to fiber orientation has been further investigated by Sati et al. [191]. In the experiments, they performed measurements with human and marmoset brain tissue parallel and perpendicular to  $B_0$  at 7T. The measured signal decay was fitted to a complex signal model  $S(t)$  with three compartments:

$$S(t) = \sum_{i=1}^3 A_i \exp(-R_{2,i}^* t) \exp(-2\pi i \Delta f_i t) \quad (2.21)$$

where  $A_i$  is the signal amplitude at  $t = 0$  for the  $i$ th compartment,  $R_{2,i}^*$  the relaxation rate, and  $\Delta f_i$  the frequency shift with respect to the local mean resonance frequency. The three compartments are associated with intracellular, extracellular, and the myelin water trapped in the lipid bilayers. Their results are in accordance with Hwang et al. [101], who reported three distinct compartments and different frequency offsets depending on fiber orientation. Additionally, Sati et al. performed simulations on the microscopic scale where they modeled fibers with small infinite long hollow cylinders with varying radii and constant g-ratio (ratio between the inner radius of the cylinder over the outer radius).

Further, they carried out simulations with different fractions of interstitial water, axonal water, and myelin water, and they described the field shift by an anisotropic susceptibility tensor. In contrast to the hollow cylinder model, no exchange between protons has been considered, but diffusion effects were included. Interestingly, Sati et al. found that the best description of the observed signal decay is given when diffusion is accounted for [191]. Following the idea of Sati et al. [191] of microstructural based signal modeling, Chen et al. performed forward simulation with a geometrical model built up on literature values for the g-ratio, axon packing, diameter, and susceptibility [29]. Again, three compartments representing interstitial water, axonal water, and myelin water were incorporated, and the susceptibility differences of the axonal and myelin water with respect to interstitial water have been considered. They calculated the frequency shifts associated with these compartments with the analytic solution for an infinite cylinder [74]. In terms of phase evolution with respect to  $B_0$  orientation, the authors found that the geometrical model can resemble the observed phase compared with the predicted  $\sin^2 \theta$  dependency by the *GLA* [91] and susceptibility anisotropy [132]. For  $R_2^*$ , Chen et al. measured a sinusoidal-shaped increase with  $\theta$  for the experimental data that could be quite well explained by the model. When comparing fits of the experimental data with  $\sin^2 \theta$  and the  $\sin^4 \theta$ , which is attributed to myelin magnetic anisotropy [134], a  $\sin^2 \theta$  relation leads to statistically better results. The results suggest that isotropic modeling of the susceptibility is sufficient. However, Chen et al. conducted the measurements at 3T, which might be less sensitive compared with the 7T measurements of Lee et al. [134]. Another interesting finding is that compared with the simulation of Sati et al. [191] diffusion effects on signal evolution were negligibly small.

Based on the findings of anisotropic susceptibility, Yablonskiy and Sukstanskii proposed the generalized Lorentzian tensor approach (GLTA) as an extension of the *GLA* [249]. In this phenomenological model, a relation between the phase as well as the structural and magnetic anisotropy of the underlying microstructure including multi-compartment tissue structure was derived by applying Maxwell's equations and a statistical approach.

## 2.3.4 Myelin Water Imaging

### 2.3.4.1 Introduction

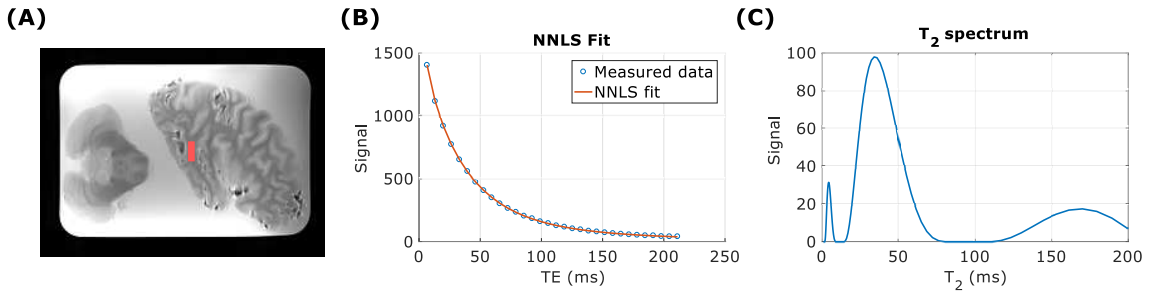
In the previous section, it was already mentioned that the measured signal from *WM* results from several compartments. Relatively early, compared to the first in vivo reports of myelin water images, Vasilescu et al. did identified three distinct compartments in the signal decay from the sciatic nerve of a frog in *NMR* experiments [222]. They speculated that the fastest component with a  $T_2$  of  $17ms$  was related to proteins and phospholipids, the intermediate component with  $70ms$  to axoplasmic water, and the slow component with  $310ms$  to extracellular water. The  $T_2$  time of the fast component reveals that one of the main challenges in *MWI* with *MRI* is to capture the short component.

In 1994, MacKay et al. [148] acquired the first in vivo myelin water images using a

single-slice *MSE* sequence with hard refocusing pulses. With this setup, the *TE* and the influence of stimulated echo could be reduced for measuring the short component. They have associated the short component to water molecules trapped within the lipid bilayers of the myelin sheath. As a measure of the myelin content, the authors introduced the term *MWF*.

To estimate the *MWF*, the measured data with *MSE* sequence can be fitted to a sum of *M* exponential functions with a non-negative least squares (NNLS) algorithm (Equation 2.22). Figure 2.6 shows an example of a multi-compartment fit in a fixed post-mortem sample. The plotted  $T_2$  spectrum reveals three different compartments (Figure 2.6C). To estimate the *MWF*, MacKay et al. defined a  $T_2$  cut-off. The signals from all  $s_j$  below the cut-off are attributed to myelin water and the *MWF* is estimated by the sum of the myelin components over to whole signal.

$$S_{tissue} = \sum_{j=1}^M s_j \exp\left(-\frac{TE}{T_{2,j}}\right) \quad (2.22)$$



**Figure 2.6:** Example of the *MWF* estimation in formalin-fixed brain tissue. (A) shows the brain tissue with a rectangular region of interest (ROI) in red, and the plot (B) shows the measured signal from the ROI with the NNLS multi-compartment fit. The fitted  $T_2$  spectrum (C) reveals three different compartments. The data was measured with a *MSE* sequence with hard refocusing pulse and Poon spoiling [175]

### 2.3.4.2 Validation

The relation between myelin water and microstructure has been validated in several studies. Webb et al. analyzed  $T_2$  spectra of an injured and normal peripheral nerve over the time course after injury in an animal model [232]. Additionally, the authors compared results histomorphometrics. The results indicate that the short myelin component is a good measure of total myelin content. Further validation studies of formalin-fixed *MS* brains show that the *MWF* correlates well with myelin sensitive staining [122, 124]. These findings suggest that the *MWF* can be used to monitor demyelination and remyelination. Also in the spinal cord, a good correlation between staining and the *MWF* in *GM* and *WM* was found [116]. However, an important assumption in the multi-compartment model is

the slow inter-compartmental water exchange. In a study of formalin-fixed spinal cords of rats, Dula et al. found differences in  $MWF$  values of different healthy fiber tracts, but with approximately the same myelin content. Their results point out that inter-compartmental water exchange is an important factor [56]. In a followup study with anesthetized rats, these results could be confirmed and explained by including water exchange in numerical simulations [86].

### 2.3.4.3 Clinical Applications

$MWI$  has been applied for studying mainly demyelinating diseases such as  $MS$ . One characteristic of  $MS$  is the formation of demyelinated regions in the  $CNS$ , mostly referred to as lesions or plaques. Visual assessment of lesions with  $MRI$  is standard for clinical diagnosis, but its quantification with  $MRI$  is still challenging.  $MWI$  is a potential  $MRI$  method for indirectly measuring the myelin content. In a study with  $MS$  patients, Laule et al. reported a decrease in  $MWF$  and an increased water content compared with normal appearing white matter (NAWM) of controls [123]. They attributed the changes to the loss of myelin. Similar results were obtained by estimating the  $MWF$  from  $mGRE$  data at 7T. In a study by Li et al., they found a significant decrease of the  $MWF$  in enhancing and non-enhancing lesions, but not between the lesion types [139]. With the multi-compartment driven-equilibrium single-pulse (mcDESPOT) sequence, Kittzler et al. observed also drop of  $MWF$  in lesions [111]. Additionally, the authors found a correlation between the extended disability status scale (EDSS) in  $MS$  patients with the deficient  $MWF$  volume fraction [111]. The deficient  $MWF$  volume is a voxel-based marker derived from  $MWF$  and image segmentation. Besides lesions, in diffusive-appearing white matter (DAWM) a reduction of  $MWF$  was reported [125, 154]. The  $DAWM$  is a transient region between lesions and  $NAWM$  with intermediate signal intensity.

For more insights into clinical applications of  $MWI$ , the reader can refer to the recent review of Lee et al. [131].

### 2.3.4.4 Sequence and Signal Modeling

As already mentioned, the reference method by MacKay et al. [148] applies hard pulse for refocusing in the  $MSE$ . Hence, the method is restricted to single-slice acquisition and requires long  $TR$  to allow sufficient  $T_1$  recovery. Since then, various modifications of the original sequence and new sequences were developed to allow a more efficient acquisition. In this thesis the focus of  $MWI$  is on spoiled  $GRE$ , but additionally a brief overview of other sequence types is given. For in-depth comparison between different  $MWI$  methods, the reader can refer to the technical review of Alonso-Ortiz et al. [3].

Compared with a standard  $MSE$  the gradient and spin-echo (GRASE) sequence enables a faster acquisition of the k-space [170]. To accelerate imaging, the  $GRASE$  sequence acquires additional  $GREs$  before and after the  $SE$ . Prasloski et al. proposed a 3D version for whole brain  $MWI$  in 15 minutes [177]. Another type of sequences for  $MWI$  are  $T_2$

preparation methods [165, 166]. Apart from these  $T_2$  methods, the *mcDESPOT* approach [49] is based on driven-equilibrium single-pulse observation of  $T_1$  (DESPOT1) and driven-equilibrium single-pulse observation of  $T_2$  (DESPOT2) [32, 48].

A promising alternative to the reference *MSE* approach are spoiled *mGRE* sequences. Because of the missing non-selective refocusing pulses, an interleaved multi-slice acquisition is possible, increasing the covered volume by measuring multiple slices within a  $TR$ . This also leads to much less *SAR* compared with the *MSE*, which makes it especially favorable for *UHF*. Further, a shorter echo spacing is possible because of the missing refocusing pulses and crushers, and consequently the first echo of the echo train  $TE_1$  can be decreased. For example, in an *MSE* sequence designed for *MWI* the minimal achievable  $TE_1$  is approximately  $10ms$ , while for *mGRE* values of  $2ms$  are possible.

In 2007, Du et al. reported the first *MWF* maps obtained with a spoiled *mGRE* in formalin-fixed brains of a deceased patient with *MS* and non-*MS* [55]. The *MWF* maps were estimated from a fit of the magnitude data to a three-compartment model. Later, Hwang et al. demonstrated the feasibility for in vivo *MWF* mapping with a 2D *mGRE* sequence [101]. In this study, they acquired eight slices with a slice thickness of  $4mm$  and  $1.1 \times 1.1 mm^2$  in-plane resolution in  $8.5min$  [101]. With a 3D *mGRE* sequence, Lenz et al. demonstrated whole brain coverage in less than  $10min$  [135]. Compared with previous works, Lenz et al. applied a *NNLS* approach for fitting the data instead of assuming a fixed number of three compartments [135]. The fitted spectrum of the  $T_2^*$  decay indicated two distinct compartments, one myelin water and one intracellular/extracellular compartment [135].

Van Gelderen et al. further investigated the multi-exponential decay at 3T and 7T [221]. To increase *SNR*, they measured a single slice with 50 repetitions and 19 echoes. Moreover, the authors evaluated the dependency of the signal decay on fiber orientations by averaging the signal in three different *ROIs*. In the *ROIs*, fibers were oriented perpendicular, parallel, and mixed with respect to  $B_0$ . For evaluation of the magnitude data of the *ROIs*, van Gelderen et al. assumed a three-compartment model:

$$|S(t)| = \sum_{k=1}^3 A_k \exp(-R_{2,k}^* t) \exp(-2\pi i f_k). \quad (2.23)$$

Each compartment  $k$  has an amplitude  $A_k$ , effective relaxation rate  $R_{2,k}^*$ , and a frequency component  $f_k$ . In this model, the second component  $f_2$  is set to zero because it is assumed that it is on resonance. In this work, van Gelderen et al. confirmed the appearance of a short component [221]. Their results indicate that a model with frequency components explains the observed variations of amplitude and frequency of the short component with respect to  $B_0$  better than using only the magnitude et al. [101, 221]. In addition, based on the  $R^2$  of the fit, they concluded that a two-pool model in equation 2.23 is better suited for 3T [221].

Given these findings, Nam et al. [161] proposed a complex three-compartment model

for  $MWF$  estimation from the complex data rather than using only the magnitude data (equation 2.23):

$$|S(t)| = (A_{my} \exp(-R_{2,my}^* t) \exp(-2\pi i \Delta f_{my+mac}) + A_{ex} \exp(-R_{2,ex}^* t) \exp(-2\pi i \Delta f_{ex+mac}) + A_{ax} \exp(-R_{2,ax}^* t) \exp(-2\pi i \Delta f_{ax+mac})) \exp(\varphi_0), \quad (2.24)$$

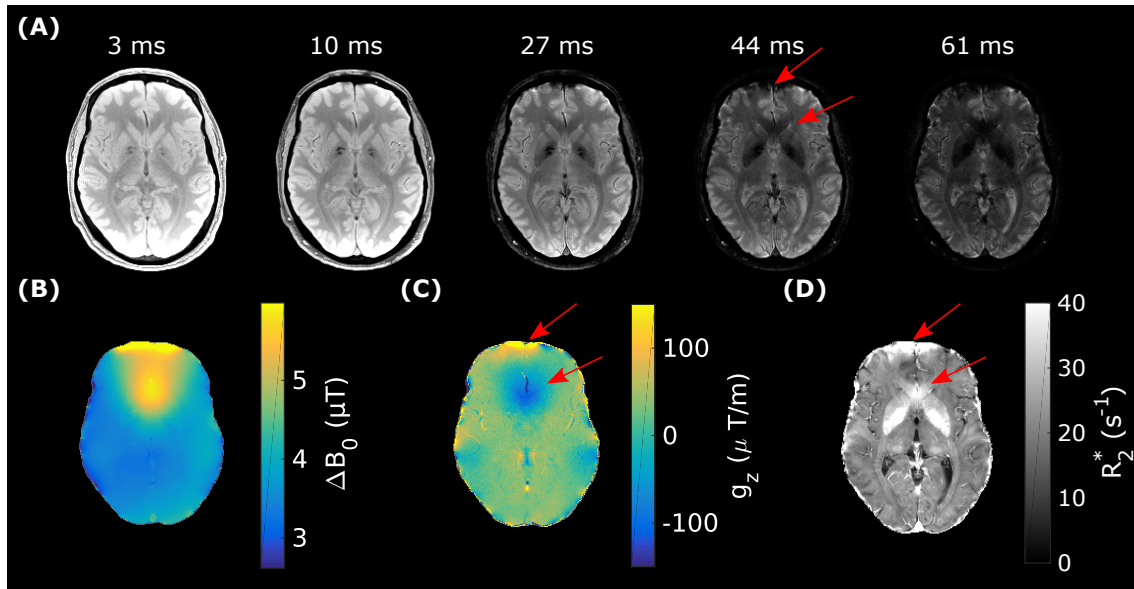
with  $my$  denoting the myelin component,  $ax$  the axonal water compartment,  $ex$  the extracellular water compartment, and  $\varphi_0$  an initial phase term. Each of the compartments has an additional phase term that describes the frequency shift of the macroscopic field  $f_{mac}$  and the compartment-specific shift (e.g.  $\Delta f_{my+bg} = f_{my} + f_{mac}$ ). The authors compared their model approach at 3T with a magnitude only method of Hwang et al. [101] and the magnitude and frequency model of van Gelderen et al. [221]. They found that their model fits the data better. By comparing different numbers of fitted echoes ranging from 16 to 32, Hwang et al. suggested that 16 echoes are sufficient to fit the model parameters.

Apart from the advantages, many challenges are associated with  $mGRE$  sequences, which need to be considered for a reliable quantification. The first one are macroscopic field variations that lead to a faster signal decay and thus to a mis-quantification [101]. Different approaches for dealing with this problem have been proposed based on postprocessing of  $mGRE$ , or the usage of z-shimmin gradients in combination with postprocessing [2, 128, 130, 198]. A detailed overview of these approaches is given in Chapter 3. Another issue are phase errors arising from physiological noise and the system itself. For example, the respiratory cycle can induce slight variations in  $B_0$  leading to phase encoding errors [220]. The acquisition of a navigator echo allows to measure the relative phase fluctuations [100], which is essential for accurate  $MWF$  estimation [130, 160, 198]. More information on implementation of a navigator echo and the phase correction is given in Chapter 4. Another source of phase errors is related to the  $MRI$  system itself. If a bipolar readout gradient is used, timing errors and eddy currents can lead to phase shifts between even and odd echoes. Recently, Shin et al. proposed gradient pairing to overcome these effects by acquisition of two images. After the first acquisition, the polarity of the readout gradient, phase encoding, and the slice encoding is switched for the acquisition of the second image. [198]. Further, flow compensation with saturation pulses or compensation gradients has been shown to be beneficial [130, 160, 198].

## 2.4 Challenge: Macroscopic Field Variations

Figure 2.7 shows an example of the impact of macroscopic field variations on  $R_2^*$  quantification. In areas of the frontal lobe and the corpus callosum, the field map (Figure 2.7B) shows macroscopic field variations caused by the air/tissue interfaces of the frontal and nasal cavities. By estimating the gradient of the field map in the slice-direction, the field gradients  $g_z$  reveal values from  $-100\mu T/m$  to  $150\mu T/m$ .

The field gradients affect the signal decay (Figure 2.7 A) and they lead to a bias in the estimated  $R_2^*$  values (Figure 2.7D) if not accounted for. To reduce the influence of  $g_z$ , Chapter 5 introduces a numerical signal model, and Chapter 7 proposes an adaptive z-shimming approach that compensates  $g_z$  by applying compensation gradients.



**Figure 2.7:** Example of macroscopic field variations on  $R_2^*$  estimation with a monoexponential signal model. (A) shows the magnitude images at five different  $TEs$ , (B) the field map  $\Delta B_0$ , (C) the field gradient map in the slice-direction, and (D) the estimated  $R_2^*$  map. The red errors indicate areas with large macroscopic field variations. In these areas the signal dephases faster, leading to a bias in  $R_2^*$  if they are not accounted in the signal model.



## Methods for Reducing and Modeling of Macroscopic Field Variations

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This chapter reviews approaches for reducing the effects of macroscopic  $B_0$  field variations in *MRI*. In general, one of the overall goals in *MRI* is to achieve a homogeneous magnetic field within the imaged volume to ensure that spins precess at the same Larmor frequency. If that is not achieved, an inhomogeneous field, depending on the strength, will lead to geometric distortion in the slice-selection and readout directions in *SE* and *GRE* sequences [143], and additionally to a signal loss in *GRE* sequences caused by intravoxel dephasing [5, 176, 183].

### 3.1 Shimming

Shimming refers to the process of achieving a homogeneous field within the *MRI* scanner by applying additional magnetic fields that compensate unwanted field variations.

The shimming methods can be distinguished between active and passive shimming. In active shimming, a current running through a coil generates the magnetic field and in passive shimming, the field originates from magnetic particles placed along the scanner.

#### 3.1.1 Passive Shimming

Field inhomogeneities of the main magnetic  $B_0$  field arise because of a non-perfect manufacturing process of the coils, steel rods in concrete walls, or with the magnetic shielding from the scan room [105, 229]. Therefore, before commissioning a new *MRI* system on a facility, vendors perform passive shimming to achieve the promised field homogeneity. In this context, an important quantity to assess the field quality is the peak-to-peak variation

of the magnetic field at the diameter of spherical volume (DSV) in the iso-center. For example, a vendor guarantees within 45cm DSV a variation of 5 parts per million (ppm) for 3T system, so the greatest variation on the sphere is  $0.6\mu T$  ( $3T/(5 \cdot 10^6)$ ). The requirement in terms of field uniformity is about 10 ppm on a DSV with 50cm [146]. However, before the passive shimming up to hundreds ppm can occur [25].

In passive shimming, the vendors measure the field variations on the DSV by collecting the FID with a coil. Based on the theory that the magnetic field can be described with spherical harmonics [188], it is possible to homogenize the field by inserting steel bars around the bore of the magnet [99].

### 3.1.2 Active Shimming

Active shim coils can be divided into superconductive coils in the cryostat and resistive coils. Besides the passive shim, some vendors use superconductive coils to shim the magnet to the demanded specifications [229]. However, the main application of active shim coils is to compensate for magnetic field variations caused by the subject itself. If a subject is moved into the bore of the MRI system, the magnetic field gets distorted depending on the subject's geometry and the susceptibility differences between air and the various tissue types. Active shimming reduces or should ideally compensate subject induced field variations.

The resistive shimming coils are located around the bore of the scanner and they are designed to generate spherical harmonic field patterns. In MRI, the shim order refers to the order of spherical harmonics that can be provided by the coils. Depending on the field strength, MRI systems are equipped with a different shim order. A first order shim is provided for field strengths smaller 3T, for  $\geq 3T$  second order shim coils are included, and for 7T third order shim coils are additionally available [229]. Development of higher order shim coils has been restricted to limited space within the bore. However, higher order shim coils would be necessary to correct higher-order field inhomogeneities, for instance, near the nasal cavities [112, 114]. An alternative to overcome this issue is dynamic shim updating (DSU). DSU uses the relation that the required spherical harmonic order decreases with the image volume [43]. Thus, a slice in a 2D acquisitions requires a lower shim order acquisitions than the same volume in 3D acquisitions. The first DSU approaches applied linear slice-specific shim gradients [18, 158], which later have been extended to higher orders [45, 108, 113]. Nonetheless, the DSU relies on spherical harmonics and is therefore limited by the shim coil order of the MRI system [112].

### 3.1.3 Local Passive Shimming

To overcome the limited number of spherical harmonics in active shimming, different approaches with locally placed magnetic shim pieces have been proposed. Wilson et al. created a local passive shim to compensate field inhomogeneity in the inferior frontal

cortex [240]. By placing a block of pyrolytic graphite, which is highly diamagnetic with an anisotropic susceptibility, they could show that the field inhomogeneity noticeably diminished. The method was further refined by using different mouth shims [241], and it was evaluated in a different study [38]. A limitation of this approach is that the optimal shim device depends on the subject and evaluated brain region [241]. Similar, Yang et al. proposed a passive shimming method in which the shim material Niobium was mounted adjustable at the head coil [253]. They calculated the position of the four Niobium probe with an optimization algorithm that used the acquired field map to find the optimal position.

### 3.1.4 Local Active Shimming

In the last two decades, promising approaches beyond the large active shim coils based on spherical harmonics have been developed. Addressing the limited order of spherical harmonics terms, Juchem et al. proposed to place electrical shim coils arranged on the head coil to compensate field inhomogeneities in the prefrontal cortex [108]. They extended the multi-coil (MC) concept to an array with 48 coils showing remarkable improvements compared with a static spherical harmonic shim [107, 108]. When comparing dynamic *MC* approach with *DSU*, an improved field homogeneity in areas such as the prefrontal cortex can be achieved because the dynamic *MC* is not restricted by *MRI* system's shim order [108]. Additionally, eddy currents are negligibly small [108].

A drawback of the original proposed dynamic *MC* shimming is the additional space required by the shim coils next to *RF* coils. To reduce the overall space of *RF* and shim coils, recent efforts have focused on integrating the *RF* and shim coils in a single unit [82, 213, 219].

Harris et al. proposed a different concept for local active shimming [87]. Rather than using coils with a fixed shape, Harris et al. suggested controlling the magnetic field by adjusting distinct current pathways on a mesh by solid-state switches [87].

## 3.2 Signal Modeling

The approaches discussed in the previous section aim to achieve a more homogeneous magnetic field. Apart from promising methods such as integrated *RF* and shim coils [82, 213, 219], current state-of-the-art *MRI* systems use active shimming with spherical harmonics. Even in the case of good shim, some field inhomogeneities remain, particularly in regions with severe field inhomogeneities such as in the prefrontal cortex or the temporal lobe. These field inhomogeneities influence the signal decay leading to quantification errors. By incorporating these macroscopic field variations in a signal model, their influence on quantitative *MRI* can be noticeably reduced.

This section starts with a general model for signal encoding in the presence of macroscopic field variations and reviews approaches for 2D and 3D acquisition.

### 3.2.1 General Signal Equation

Based the notation of Yablonskiy et al. [250], the signal encoding  $\tilde{S}(\mathbf{k}, TE)$  of a spoiled *GRE* can be described as:

$$\tilde{S}(\mathbf{k}, TE) = \int \rho(\mathbf{r}, TE) \exp(-2\pi i \mathbf{k} \mathbf{r} + i \Delta\omega(\mathbf{r}, TE + t) + i \varphi_0(\mathbf{r})) d\mathbf{r}, \quad (3.1)$$

where  $\rho(\mathbf{r}, TE)$  is the ideal signal as function of the  $TE$  at position  $\mathbf{r} = [x, y, z]$  that depends on the tissue properties and *MRI* system parameters.

For example, in tissues the signal depends on the proton density and the relaxation properties, which might be a monoexponential decay with  $R_2^*$  or a multi-exponential decay as proposed for *WM* [55, 148]. System related changes of  $\rho(\mathbf{r}, TE)$ , to name a few, can be caused by the *RF* excitation pulse, 2D or 3D acquisitions, the type of sequence (spoiled *GRE* versus balanced *GRE*) and the sequence settings, or the coil sensitivities.

In Equation 3.1, the first exponential describes the ideal signal encoding. The two additional phase terms account for the frequency  $\Delta\omega(\mathbf{r}, TE + t)$  of the macroscopic field variations and a phase offset  $\varphi_0(\mathbf{r})$  caused by, for instance, by the phase of  $B_1^+$  field. The time  $t$  during *GRE* acquisition is zero at the center of the readout. The k-space encoding is defined by:

$$\begin{aligned} 2\pi k_x &= \gamma G_x t_x \\ 2\pi k_y &= \gamma G_y t_y \\ 2\pi k_z &= \gamma G_{slice} t, \end{aligned} \quad (3.2)$$

where  $G_x$  and  $G_y$  are the phase encoding gradients with duration  $t_x$  and  $t_y$ , and  $G_{slice}$  is the readout gradient in z-direction. Starting from this forward model, the influence of  $\omega(\mathbf{r}, TE + t)$  on the signal dephasing can be described. For the following considerations for 2D and 3D acquisitions, it is assumed that the readout gradient is much bigger than macroscopic field gradients [250]. Therefore, potential geometric distortions caused by the phase accumulation during  $t$  are considered to be negligible small.

### 3.2.2 Modeling Approaches for 2D mGRE

2D acquisitions require only one phase encoding direction because of the slice-selective excitation. Consequently, in Equation 3.2  $k_z = 0$ , and in the case of Cartesian sampling, the readout is performed in either  $x$  or  $y$  direction. A common assumption in 2D acquisitions is that intravoxel dephasing predominantly occurs in slice-selective direction and in-plane dephasing is often neglected. This is justified by the usually larger slice-thickness  $\Delta z$  than the in-plane resolution. Therefore, intravoxel dephasing predominantly occurs in slice-selective direction.

With these assumptions, the signal  $S(TE)$  of a 2D spoiled *GRE* in a voxel can be expressed as:

$$\begin{aligned}
S(TE) &= \int \rho(z, TE) \exp(i\omega(z)TE) dz \\
S(TE) &= \int S_{tissue}(TE) \underline{M}_{xy}(z, TE = 0) \exp(i\omega(z)TE) dz,
\end{aligned} \tag{3.3}$$

where  $S_{tissue}(TE)$  describes the ideal signal from the tissue assuming a homogeneous voxel,  $\underline{M}_{xy}(z, TE = 0)$  the complex transverse magnetization at  $TE = 0$ , and  $\exp(i\omega(z)TE)$  accounts for the phase dispersion caused by the macroscopic field  $\omega(z)$ . Starting from this equation, various approaches for modeling the signal decay in the presence of  $\omega(z)$  were developed. In one of the first approaches, Fernandez-Seara and Wehrli describe the signal  $S(TE)$  in the presence of a macroscopic field gradient  $g_z$  by a sinc-funtion [59]. To derive the signal model, two additional assumptions are necessary. First, the macroscopic field is slow varying in space compared with  $\Delta z$ . Hence,  $\omega(z)$  can be approximated by a linear function along the slice [246]:

$$\omega(z) \approx \omega_0 + \gamma g_z z \tag{3.4}$$

where  $\omega_0$  is the magnetic field offset in the slice and  $g_z$  a constant macroscopic field gradient. Second, the shape of the slice profile is an ideal rectangular function. With these assumptions, we can rewrite Equation 3.3:

$$\begin{aligned}
S(TE) &= \int S_{tissue}(TE) \underline{M}_{xy}(z, TE = 0) \exp(i\omega_0(z)) \exp(i\gamma g_z z) dz \\
&= \int S_{tissue}(TE) \text{rect}\left(\frac{z}{z_0}\right) \exp(i\omega_0(z)) \exp(i\gamma g_z z) dz \\
&= S_{tissue}(TE) \text{sinc}\left(\frac{\gamma}{2} g_z TE z_0\right),
\end{aligned} \tag{3.5}$$

where  $\text{rect}\left(\frac{z}{z_0}\right)$  is a rectangular function defined in Equation B.1. Equation 3.5 reveals the important relationship between  $TE$ ,  $z_0$ , and  $g_z$ . For example, given a constant  $g_z$ , the signal attenuation can be strongly reduced by decreasing  $z_0$ , or  $TE$ . Using Equation 3.5, Fernandez-Seara and Wehrli estimated  $R_2^*$  and  $g_z$  iteratively from the measured data assuming a monoexponential signal decay ( $S_{tissue}(TE) = S_0 \exp(-R_2^* TE)$ ) [59]. A drawback of this approach is that fitting is challenging because Equation 3.5 has several local minima and maxima [59]. To improve the fitting procedure, Dahnke and Schaeffter estimated an initial value  $G_{z,init}$  from the field map gained in an additional scan [39]. Rather than assuming a linear varying field in slice-direction, Yang et al. extended the model to a quadratic varying field [254]. However, deviations from the ideal slice profile lead to a deviation from the sinc-shaped signal decay, resulting in a bias of the estimated parameters.

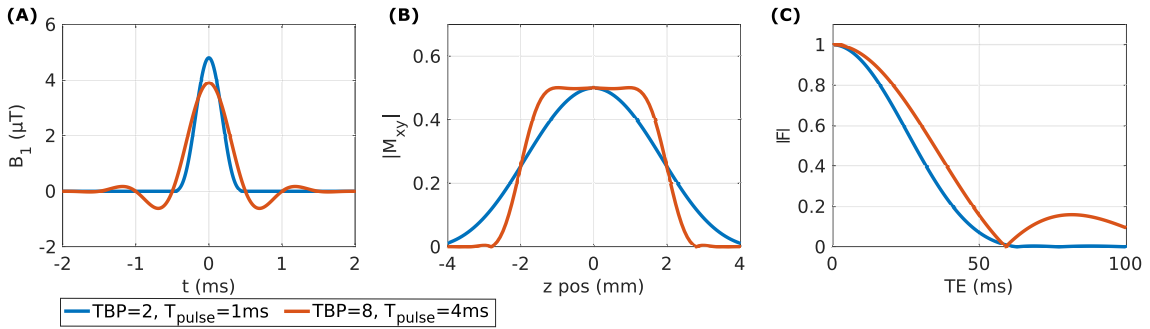
Addressing the variations of the slice profile, Preibisch et al. proposed an analytic solution for arbitrary  $RF$  excitation pulses [178]. They assume that steady-state effects can be neglected ( $TR \gg T_1$ ) and that a small flip angle is used. By applying the small tip angle

approximation,  $M_{xy}(z)$  can be estimated with the inverse Fourier transform of the  $RF$  excitation pulse envelope  $B_1(t)$  [98]. Then, the integral along  $z$  in Equation 3.3 represents another Fourier transform. Thus, the signal dephasing of  $g_z$  has the same shape as  $B_1(t)$ . By substituting  $t$  with  $\frac{g_z}{G_{slice}}TE$ , for  $S(TE)$  follows [178]:

$$S(TE) = B_1\left(\frac{g_z}{G_{slice}}TE\right) S_{tissue}(TE), \quad (3.6)$$

with  $G_{slice}$  being the amplitude of the slice-selection gradient.

Figure 3.1A illustrates an example for two sinc-Hanning-windowed pulses with different pulse duration  $T_{pulse}$  and time bandwidth product (TBP) (Figure 3.1A). The short pulse ( $T_{pulse} = 1ms$ ) results in a broad slice profile, while the long pulse ( $T_{pulse} = 4ms$ ) leads to a narrower profile (Figure 3.1B). In the presence of  $g_z$  the signal dephases with the shape of the excitation pulse (Figure 3.1C) as described by Equation 3.6 for small flip angles. The analytic solution is an elegant way to correct for the effect of  $g_z$  for an arbitrary  $RF$  excitation pulse. However, a downside of the method is that it might not allow the full  $SNR$  benefit in 2D acquisitions with long  $TR$  because of the restriction to small flip angles. Therefore, in this thesis a numerical solution for arbitrary pulses and flip angles was developed [202]. The proposed approach is covered in Chapter 5.



**Figure 3.1:** Examples of signal dephasing in the presence of a field gradient  $g_z = 100\mu T/m$  for two different slice-selective  $RF$  excitation pulses. (A) shows the  $RF$  pulse envelopes for the sinc-Hanning-windowed pulses with  $TBP = [2, 8]$  and pulse duration  $T_{pulse} = [1ms, 4ms]$  to achieve a flip angle  $\alpha = 30^\circ$  in the center of the slice. (B) plots the magnitude of the transverse magnetization  $|M_{xy}|$  and (C) illustrates the signal dephasing  $|F|$  caused by  $g_z$  as a function of  $TE$ .

### 3.2.3 Modeling Approaches for 3D mGRE

To describe the influence of macroscopic field variations on signal dephasing in 3D acquisitions, Yablonskiy proposed the  $VSF$  [250]. To solve the general signal Equation 3.1, a few assumptions are necessary. First, the phase  $\varphi_0(\mathbf{r})$  and the macroscopic field  $b(\mathbf{r})$  at position  $\mathbf{r} = (x, y, z)$  can be described by a linear function in the  $n$ th voxel:

$$\begin{aligned}\varphi_{0,n}(\mathbf{r}) &= \varphi_{0,n} + \varphi_{nx}(x - x_n) + \varphi_{ny}(y - y_n) + \varphi_{nz}(z - z_n) \\ b_n(\mathbf{r}) &= b_n + g_{nx}(x - x_n) + g_{ny}(y - y_n) + g_{nz}(z - z_n),\end{aligned}\quad (3.7)$$

where  $\boldsymbol{\varphi}_n$  and  $\mathbf{g}_n$  describe the gradients of the phase and the macroscopic field in all three spatial directions  $x$ ,  $y$ , and  $z$ . Second, the continuous signal  $\rho(\mathbf{r}, TE)$  can be substituted with the averaged signal  $\rho_n(TE)$  within the  $n$ th voxel.

With these assumptions, we can rewrite the measured k-space signal from Equation 3.1. This involves several steps and for the sake of simplicity, all steps are elaborated for the 1D case ( $x$ -direction). In addition, Figure 3.2 illustrates the different steps in an example. In the first step,  $\rho(\mathbf{r}, TE)$  is split up in a sum of  $N_x$  integrals, in which each voxel with size  $a_x$  is integrated separately:

$$\begin{aligned}\tilde{S}(k_x, TE) &= \int \rho(x) \exp(-2\pi i k_x x + i\gamma b(x)TE + i\varphi_0) dx \\ &= \sum_{n=1}^{N_x} \int \text{rect}((x - x_n)/a_x) \rho(x) \exp(-2\pi i k_x x + i\gamma b(x)TE + i\varphi_0(x)) dx,\end{aligned}\quad (3.8)$$

where  $\text{rect}((x - x_n)/a_x)$  is a rectangular function that restricts the integration to the size of a voxel from  $x_n - a_x/2$  to  $x_n + a_x/2$  (Equation B.1). Then, by substituting  $\rho(x)$  with  $\rho_n$ , and  $b(x)$  and  $\varphi_0(x)$  with the linear approximations (Figure 3.2A-D), the measured signal in the  $k_x$ -space becomes<sup>1</sup>:

$$\tilde{S}(k_x, TE) = \sum_{n=1}^{N_x} \rho_n \exp(\gamma b_n + \varphi_{0n}) \exp(-2\pi i k_x x_n) a_x \text{sinc}(a_x(k_x - k_n)). \quad (3.9)$$

Extending Equation 3.9 to all three spatial dimensions gives:

$$\begin{aligned}\tilde{S}(\mathbf{k}, TE) &= \sum_n \sigma_n(TE) \exp(i\gamma b_n TE + i\varphi_{0,n}) \exp(-2\pi i \mathbf{k} \mathbf{r}_n) \\ &\quad \text{sinc}[(k_x - k_{nx})a_x] \text{sinc}[(k_y - k_{ny})a_y] \text{sinc}[(k_z - k_{nz})a_z],\end{aligned}\quad (3.10)$$

where  $\sigma_n = V \rho_n = a_x a_y a_z \rho_n$  is the signal from the  $n$ th voxel and  $V$  denotes the voxel volume. The shift in k-space  $k_{nj}$  caused by the field and phase gradients is given by:

$$2\pi k_{nj} = \gamma g_{nj} TE + \varphi_{nj}, \quad j = x, y, z. \quad (3.11)$$

The sinc functions in Equation 3.10 describe the effects of the discrete sampling and the shift because of the macroscopic field, approximated with  $g_{nj}$  and  $\varphi_{nj}$  in each voxel.

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<sup>1</sup>A complete derivation of Equation 3.9 can be found in the Appendix B.2.

The overall goal defined in Equation 3.10 is to reconstruct an image  $\sigma_n(TE)$  without contributions of the sinc functions. However, in a standard image reconstruction of Cartesian sampled data an inverse fast Fourier transform (IFFT) is performed to estimate  $S_n(TE)$ . By applying an *IFFT* in a single direction, the signal  $S_n(k_x, TE)$  in the image domain is given by:

$$S_n(TE) = \frac{1}{N_x} \sum_{k_x} \tilde{S}(k_x, TE) \exp(-2\pi k_x x_n). \quad (3.12)$$

Substituting in Equation 3.10 yields:

$$S_n(TE) = \frac{1}{N} \sum_m \sigma_m(TE) \exp(i\gamma b_m TE + i\varphi_{0,m}) \sum_q \text{sinc}(q - q_m) \exp(2\pi i q(n - m)), \quad (3.13)$$

with  $q = k_x a_x$  and  $q_m$  is the 1D phase dispersion:

$$2\pi q_m = (\gamma g_{mx} TE + \varphi_{mx}) a_x. \quad (3.14)$$

Equation 3.13 explains the relation between  $\sigma_m$  and the reconstructed signal  $S_n(TE)$ . It shows that the original  $\sigma_m$  is convoluted with sinc functions that describe the phase dispersion and the finite sampling. The example in Figure 3.2F illustrates the estimated signal  $S_n(TE)$  from the  $k_x$ -space data (Figure 3.2E) with an *IFFT*. Compared with  $\sigma_m$  (Figure 3.2B), the signal  $S_n(TE)$  dephases with  $TE$  and additional Gibb's ringing occurs, especially near sharp transitions.

With the forward model proposed by Yablonskiy et al. in Equation 3.13, it is possible to account for these effects [250].

By introducing the *VSF*  $\psi_{nm}$ , Equation 3.13 can be simplified to:

$$S_n(TE) = \sum_m \psi_{nm}(TE) \sigma_m(TE). \quad (3.15)$$

where  $\psi_{nm}$  is:

$$\psi_{nm}(TE) = \eta(n, m, q_m(TE)) \exp(i\varphi_{0,m} + i\gamma b_m TE), \quad (3.16)$$

with

$$\eta(n, m, q_m(TE)) = \sum_q \text{sinc}(q - q_m(TE)) \exp(2\pi i q(n - m)). \quad (3.17)$$

Thus,  $\psi_{nm}$  describes the spreading of an ideal voxel  $\sigma_m(TE)$  caused by the macroscopic field and the sampling. To estimate  $\psi_{nm}(TE)$  in the 2D or 3D case, the variables  $m$ ,  $n$ , and  $q$  are substituted with vectors for the spatial directions (e.g. for 3D  $m = (m_x, m_y, m_z)$ ,  $n = (n_x, n_y, n_z)$ , and  $q = (q_x, q_y, q_z)$ ).



To solve Equation 3.16, the unknown parameters of  $g_{nj}$ ,  $\varphi_{nj}$ ,  $\varphi_{0,m}$ , and  $b_m$  have to be estimated. This can be achieved with the measured signal  $S_n(TE)$ , which can be described by:

$$\begin{aligned} S_n(TE) &= |S_n(TE)| \exp(i\varphi_n(TE)) \\ &= |S_n(TE)| \exp(i(\varphi_{0,n} + \gamma b_n TE)). \end{aligned} \quad (3.18)$$

Consequently,  $\varphi_{0,n}$  and  $b_n$  can be obtained by fitting a linear equation to the phase signal of the echoes. Then, a numerical gradient of the  $\varphi_{0,n}$  and  $b_n$  maps in all three spatial directions can be calculated to estimate  $\varphi_{nj}$  and  $g_{nj}$ .

To reduce the computational complexity of Equation 3.15, Yablonskiy et al. proposed a similarity approximation that exploits the property that signals from neighboring voxels are similar [250]:

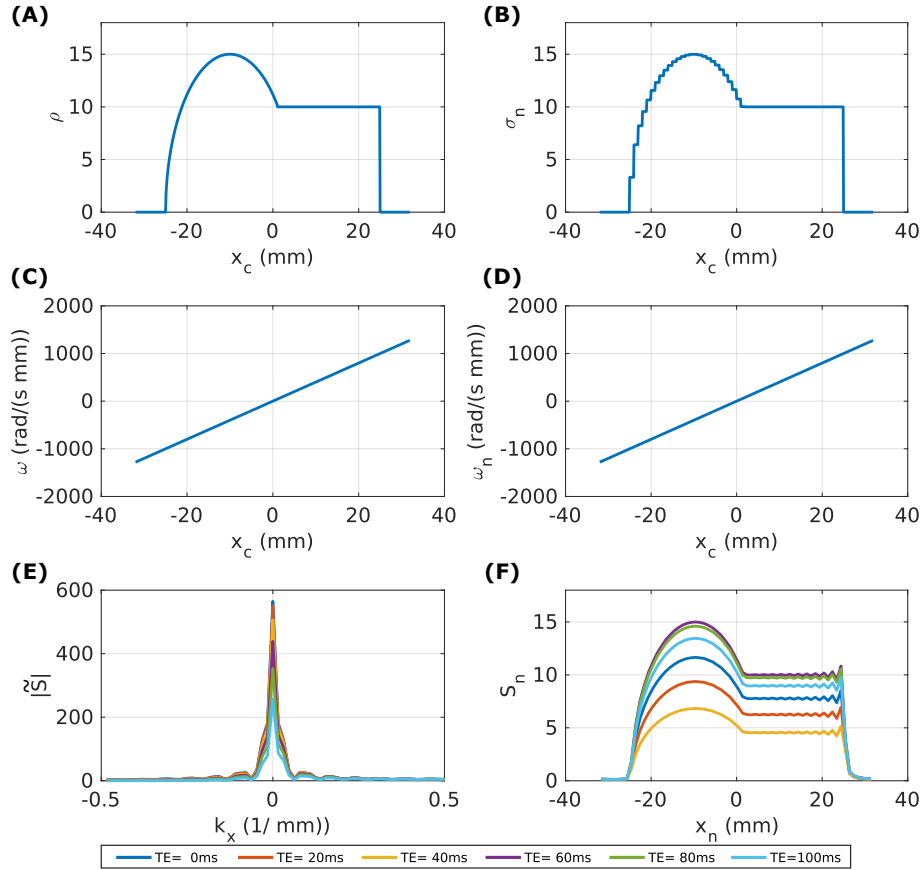
$$\sigma_m(TE) = \sigma_n(TE) \frac{|S_m(TE = 0)|}{|S_n(TE = 0)|}. \quad (3.19)$$

Thus, Equation 3.15 can be reduced to:

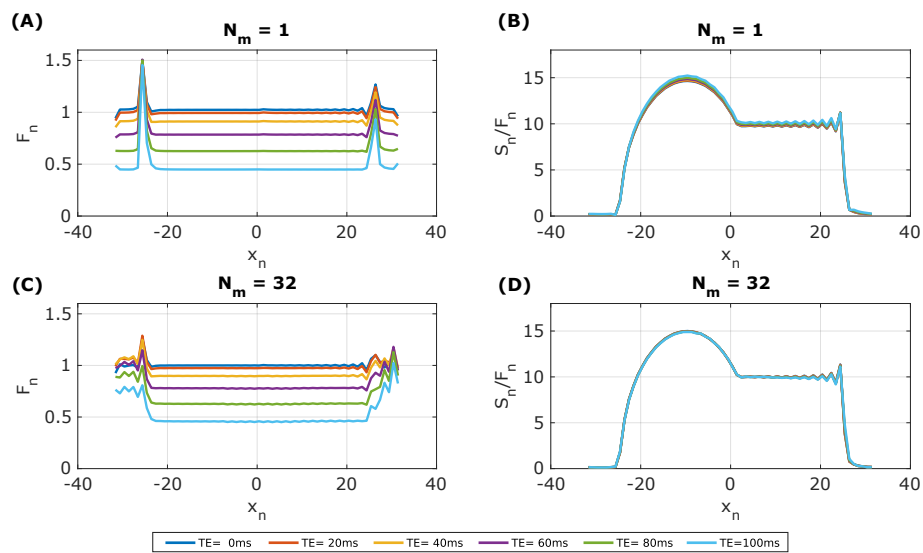
$$\begin{aligned} S_n(TE = 0) &= \sigma_n(TE) F_n(TE) \\ &= \frac{1}{|S_n(TE = 0)|} \sum_m \psi_{nm}(TE) |S_m(TE = 0)|, \end{aligned} \quad (3.20)$$

where  $F_n(TE)$  summarizes the influence of the macroscopic field variations. In Equation 3.20, the sum is estimated for each voxel  $n$  over all neighboring voxels  $m$ . However, a smaller number of neighboring voxels  $N_{neigh}$  might be sufficient for the estimation of  $F_n(TE)$  [250].

Figure 3.3 shows an example of the estimated  $F_n(TE)$  with a different number of neighbors  $N_{neigh}$  of the example signal  $\rho$  in Figure 3.2. Further, the reconstructed signal  $S_n(TE)$  was corrected for the macroscopic field variations with the different  $F_n(TE)$  functions (Figure 3.3 (parts B and D)). Both functions reduce the influence by correcting  $S_n(TE)$ , but with  $N_{neigh} = 32$  it captures also the Gibb's ringing. A drawback is that increasing  $N_{neigh}$  is computational intense. To speed up computation, Yablonskiy et al. proposed to filter the measured data with a Hanning filter to reduce Gibb's ringing and the number of  $N_{neigh}$  [250]. To adapt the signal model, the Hanning filter is incorporated in Equation 3.17. A detailed evaluation between filtering and non-filtering of the data can be found in Chapter 6.



**Figure 3.2:** Steps involved for describing the signal of a 3D *GRE* signal in the presence of macroscopic field variations with the *VSF* [250]. The continuous signal  $\rho(x)$  (A) along the  $x$  direction is averaged in each voxel denoted with  $\sigma_n$  (B) and the macroscopic field  $\omega(x)$  (C) is approximated by a linear function in each voxel  $\omega(x) = \gamma b(x)$  (D). Using Equation 3.10, the  $k$ -space signal (E) is estimated for different  $TE$ s. Then, the signal  $S_n(TE)$  (F) is reconstructed performing an *IFFT*, which reveals increasing signal decay with  $TE$  and additional Gibb's ringing.



**Figure 3.3:** Estimated  $F_n$  as function of  $TE$  for the example in Figure 3.2 for different number of neighbors  $N_{neigh} = 1$  and  $N_{neigh} = 32$  (A,C) and the corrected signal  $S_n(TE)/F_n(TE)$  (B,D).

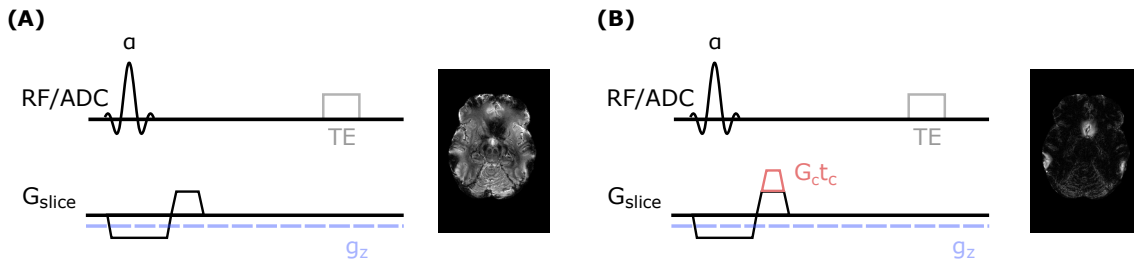
### 3.3 Tailored RF Pulses

Another approach for reducing with macroscopic field variations in *GRE* imaging focuses on the design of tailored *RF* pulses. Conventional *RF* pulses are usually designed to achieve a constant phase variation through the slice. In tailored *RF* pulses, the phase varies along the slice to compensate intravoxel dephasing. In one of the first publications on this topic, Cho and Ro optimized the *RF* pulse to achieve a quadratic phase variation through the slice [31]. Because of the quadratic variation of the phase, the signal dephasing reduces for a constant  $g_z$ . The pulse design was further refined by measuring phase evolution in a specific *ROI*, which allows to design the phase of the *RF* pulse such that the signal rephases at a certain *TE* [28]. However, a drawback of these approaches is that in the case of a homogenous field ( $g_z \approx 0$ ) the measured signal is much smaller than the signal obtained with a conventional pulse. Therefore, more recent pulse design approaches aim to compensate phase dispersion locally with 3D tailored *RF* pulses [85, 212, 256].

### 3.4 Z-Shimming with Compensation Gradients

To compensate intravoxel signal dephasing caused by macroscopic field gradients, z-shimming approaches apply compensation moments before image acquisition.

Figure 3.4 illustrates the basic concept introduced by Frahm et al. [62]. By changing the area of the slice-selection refocusing gradient, the additional moment compensates a certain  $g_z$ . From these first z-shimming experiments various approaches were proposed, which can be roughly divided into 2D and 3D approaches with multi- or single-scan acquisition. The focus in the following section is on *GRE* sequences, but compensation moments can also be applied in echo planar imaging (EPI) acquisition [35, 92, 140, 151, 208].



**Figure 3.4:** Basic principle of z-shimming demonstrated by Frahm et al. [62]. (A) shows a standard *GRE* sequence where the field gradient  $g_z$  is superimposed with the slice-selection gradient  $G_{slice}$ . The measured image at *TE* shows faster signal decay close to the nasal cavities and tympanic cavity. By changing the amplitude of the slice-selection refocusing gradient, the effect of  $g_z$  is reversed (B), and the signal recovers in areas with a field gradient value of  $g_z$ . In other areas, divergent from  $g_z$ , the signal is dephased because of the additional gradient.

### 3.4.1 2D z-Shimming

Yang et al. extended the original work of Frahm et al. [62] and proposed the 2D multi gradient-echo with magnetic susceptibility inhomogeneity compensation method (MGESIC) sequence [251]. Figure 3.5A illustrates the *MGESIC* sequence diagram. Rather than varying the area of  $G_{slice}$ , the method applies three identical compensation moments between the readout gradients. Therefore, the first echo image is a standard *GRE* without compensation, followed by three echoes with increasing accumulative compensation moments. The final image is reconstructed by summing up the individual images. To avoid a potential  $T_2^*$  bias, the echo spacing should be as short as possible in the *MGESIC* approach [251].

Another way for describing the effect of  $g_z$  is to interpret it as a shift in the frequency domain  $k_z$  from the center [252]:

$$I_{vox}^{2D}(k_z) = F\{\underline{M}_{xy}(z, TE = 0) \exp(-i\gamma g_z TE z / 2\pi)\} = \underline{M}_{xy}(k_z - k_{z,0}), \quad (3.21)$$

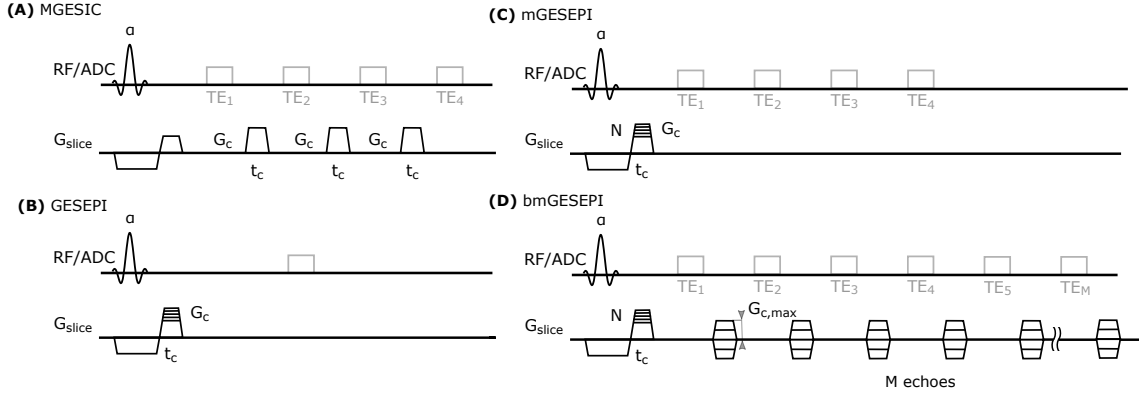
where  $k_{z,0} = \gamma g_z TE / 2\pi$  describes the k-space shift.

The gradient-echo slice excitation profile imaging (GESEPI) method acquires  $N$  images with different slice refocusing gradient offsets (Figure 3.5B) [252]. The offsets are chosen such that  $N$  increments of  $\Delta G_c$  within an interval of a maximum compensation gradient  $\pm G_c^{max}$  are compensated. By measuring the  $N$  images, each image has a different  $k_z$  value, and thus the images represent the solution of Equation 3.21. Then, in each voxel of the  $N$  images, the signal in each voxel varies depending on  $g_z$ , and the maximum signal is given for an ideal compensation when  $k_z = k_{z,0}$ . By performing an inverse Fourier transform (FT) in  $k_z$  direction, an image series with the excitation profile is obtained [252]:

$$I_{vox}^{3D}(z) = F^{-1}\{I_{vox}^{2D}(k_z)\} = M(z) \exp(-i\gamma g_z TE z / 2\pi). \quad (3.22)$$

Equations 3.22 shows that  $g_z$  only has an influence on the phase and not on the magnitude  $|M(z)|$ . Extending the approach to multi gradient-echo slice excitation profile imaging (mGESEPI), the effect of  $g_z$  on  $R_2^*$  mapping minimizes (Figure 3.5C) [255]. However, a disadvantage is that it requires a large number of images (16 in the original publication for in vivo imaging [252]). To increase efficiency, Truong et al. proposed the blipped multi gradient-echo slice excitation profile imaging (bmGESEPI), which applies additional compensation moments between the acquisition of  $M$  echoes (Figure 3.5D) [218]. This reduces the scan time by a factor of  $M$  compared with the *mGESEPI* approach.

Figure 3.6 shows the first single scan  $R_2^*$  mapping method based on z-shimming proposed by Wild et al. [239]. In a *mGRE* sequence, they inserted a repetitive block of three compensation gradients with identical duration between successive echoes. The first compensation gradient has a magnitude  $\eta G_c$ , the second  $-2\eta G_c$ , and the last  $\eta G_c$ , with



**Figure 3.5:** Schematic sequence overview of different z-shimming approaches. The *MGESIC* method (A) applies compensation moments in-between echo acquisition [251]. The *GESEPI* method acquires multiple images with different compensation moments by varying the slice-selection rephasing gradient [252], and the *mGESEPI* (C) acquires several echo images [255]. The *bmGESEPI* approach (D) adds additional compensation moments in-between echo acquisition to reduce the required number of images [218].

$\eta$  being a scaling factor. Hence, the sequence acquires in each block an image without a compensation moment, one compensation moment for negative  $g_z$ , and one for positive  $g_z$ . By assuming an ideal slice profile, a combined image is calculated by taking the root mean square of the individual images of one block. Then, Wild et al. estimated  $R_2^*$  from the combined images using the first  $TE$  of each block for the calculations. A drawback of the method is that the echo spacing should be as short as possible because it neglects  $T_2^*$  decay for image combination. Furthermore, it assumes an ideal slice profile, which might lead to an additional bias depending on the type of excitation pulse.

Figure 3.6B shows another single scan method proposed by Meng and Lei for  $R_2^*$  mapping [152]. In this approach, they estimated  $R_2^*$  from the first echo without compensation gradient and from a combined image of the successive echoes. For the combined image, a strong compensation gradient is applied with  $G_{c,max}$  and duration  $\Delta$ . This strong gradient is stepwise compensated by applying small gradients with amplitude  $G_s$  and duration  $\delta$  in-between the echoes. The gradients are designed such that the moment of the largest gradients is compensated at the image number  $P/2$  where  $P$  is the total number of acquired echo images. As in the *GESEPI* approach, Meng et al. combined the individual gradients with the Fourier transform (Equation 3.22).

Previous methods for  $R_2^*$  mapping have in common that they assume an ideal slice profile, and that the echo images of a certain interval can be combined neglecting  $T_2^*$  decay. However, as discussed in Section 3.2, Preibisch et al. proposed a solution to calculate the signal decay in the presence of  $g_z$  with the *RF* excitation pulse envelope for small tip angles [178]. Given this relation, Nam et al. proposed to use the solution to describe the effect of z-shim gradients on the signal decay [159]. For each  $TE$ , the signal is calculated with the solution in Equation 3.6 [178], but instead of the moment of  $g_z$  the sum of the

$g_z$  moment and the accumulated compensation moment of the z-shim gradients is used. Further, Nam et al. proposed a method that compensates linear increasing positive and negative  $g_z$ . They applied the compensation gradients alternatingly with linear increasing amplitude (Figure 3.6C) [159]. After compensation of a single positive or negative  $g_z$ , the moment is balanced by applying the same gradient moment with opposite polarity. Hence, the sequence acquires additional standard *mGRE* images with zero z-shim moment.

Later, Lee et al. proposed a similar approach for *MWF* mapping, which also takes into account the slice profile for minimizing the effects of  $g_z$  on *MWF* [128]. It differs from the z-shim pattern proposed by Nam et al. in two aspects. First, Lee et al. do not apply z-shim gradients in the first echoes to avoid signal crushing in homogeneous regions. Second, the method only compensates a positive  $g_z$  because they argue that in most brain regions  $g_z$  is positive.

### 3.4.2 3D z-Shimming

Similar to the 2D multi-scan approach [252], Glover proposed a 3D z-shim method that acquires additional images with different increments of the slice refocusing lobe [67]. In 3D acquisitions additional phase encoding steps  $\Delta k_z$  are acquired:

$$\Delta k_z = \frac{1}{N_{slice} \Delta z}, \quad (3.23)$$

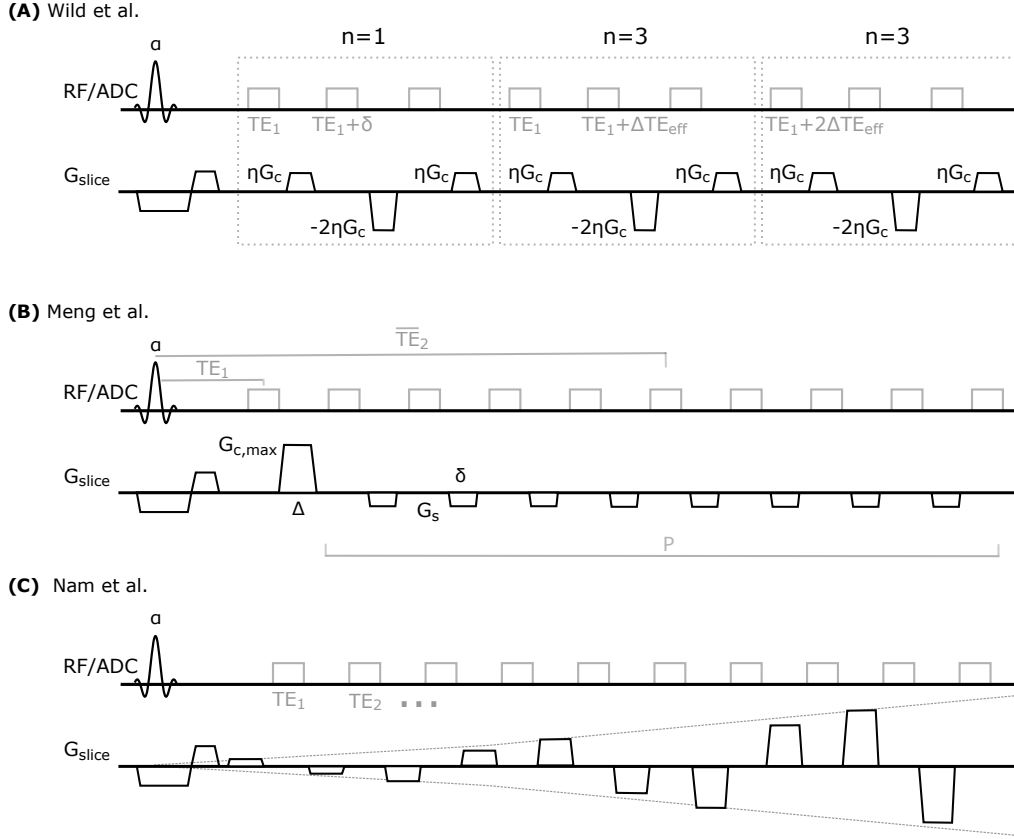
where  $N_{slice}$  is the number of slices and  $\Delta z$  the slice thickness. The maximum k-space encoding is given by  $k_{max,3} = 1/(2\Delta z)$ . In the case of a field gradient  $g_z$ , the phase offset  $\Delta k_{off} = \gamma g_z TE / (2\pi)$  might be shifted outside the sample space depending on the magnitude of  $g_z$  and  $TE$  ( $k_{max,3} < \Delta k_{off}$ ) leading to a signal loss. By varying the slice refocusing lobe, it is possible to shift the window of the acquired k-space samples. To sample the phase offset  $\Delta k_{off}$ , the maximum phase encoding has to be moved towards  $k_{max,3'}$  assuming that most regions have the same polarity of  $g_z$ , and that the window is shifted towards one direction of  $k_z$ :

$$k_{max,3'} = k_{max,3} + |\Delta k_{off}| \quad (3.24)$$

Given that  $k_z < k_{max,3'}$ , the required number of encoding steps  $N_{k,3}$  is:

$$N_{k,3} = N_{slice} + |\Delta k_{off}| / \Delta k_z = N_{slice} \left( 1 + \frac{|k_{off}| \Delta z}{2\pi} \right) \quad (3.25)$$

Consequently, it requires  $N_{k,3}/N_{slice}$  additional acquisitions compared without z-shimming and  $N_{z,3} = N_{k,3} - N_{slice} + 1$  images can be reconstructed. To get a single image from the  $N_{z,3}$  z-shim images, a maximum intensity projection or sum of squares combination can be used. In conjunction with a field map  $\Delta B_0$  map another possibility is to calculate  $\Delta k_{off}$  and choose for each voxel the corresponding z-shim image that fits  $\Delta k_{off}$ .



**Figure 3.6:** Schematic single-scan z-shimming sequences for  $R_2^*$  mapping. The method by Wild et al. (A) applies a repetitive pattern of z-shim gradients (dashed gray boxes) [239]. Composite images are calculated for all blocks, which are then used for  $R_2^*$  estimation. The approach by Meng et al. (B) applies a strong compensation gradient after the first echo followed by small compensation moments with opposed polarity between each echo [152]. The  $P$  images are used to obtain a composite image at  $\overline{TE}_2$ . From the first echo image and the composite image,  $R_2^*$  is estimated. In (D), Nam et al. apply linear increasing compensation moments to compensate a positive and negative  $g_z$ .  $R_2^*$  is obtained by fitting a signal model accounting for the slice profile to the measured signal,  $g_z$ , and the compensation moments [159].

Han et al. [81] proposed a method to improve  $R_2^*$  mapping for 3D acquisition in a single-scan z-shim approach. The approach applies an alternating pattern of compensation moments between the echo acquisition. Therefore, echo images with an even echo number are standard  $mGRE$  images and odd images are compensated images. To estimate  $R_2^*$ , Han et al. added the z-shim moments in the modeling of the  $VSF$  [250]. The adapted  $VSF$  model allows describing the signal for each  $TE$  and to fit  $R_2^*$  from the measured signal. An essential step for the  $VSF$  is an accurate estimation of  $g_z$  in each voxel, which is especially challenging in areas with large  $g_z$ . For that reason, the authors additionally used an algorithm to estimate the field map including the z-shim images [83]. Apart from  $R_2^*$  mapping, Oh et al. proposed a sequence for improved susceptibility



weighted imaging [167]. Instead of two images, they acquire an additional image with a compensation gradient. By using information of all three images, Oh et al. achieved improved image quality in the vessels in the frontal lobe compared with standard acquisition.



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A large part of the present thesis focuses on the development of a signal model for describing the 2D *mGRE* signal in the presence of a macroscopic field gradient  $g_z$ . The following chapter summarizes the various adaptations from the vendor's standard *mGRE* sequence that have been implemented on the 3T *MRI* system (Magnetom Prisma, Siemens, Erlangen, Germany). The first part describes the implementation of the different 2D *RF* excitation pulses and the measurement of the slice profile. The second part deals with the measurement of physiologically induced  $B_0$  variations with navigator echoes. This has been found to be an essential prerequisite to allow accurate signal modeling. Further, it explains the phase correction and coil combination of the raw data to reconstruct the image from the raw data.

## 4.1 RF Excitation Pulse

In the case of a nonadiabtic excitation pulse, the variation of the flip angle  $\alpha(\omega)$  can be approximated by the modulus of the inverse Fourier transform of the *RF* excitation pulse envelope  $B_1(t)$  in center of the slice [14, 98]:

$$\sin(\alpha(\omega)) \approx \alpha(\omega) \approx \pm\gamma \left| \int_0^t B_1(t') \exp(i\omega t') dt' \right|. \quad (4.1)$$

Consequently, a short hard pulse results in a broad sinc-shaped spectrum, whereas a long sinc pulse leads to a narrow rectangular shaped spectrum. To control the width of the excited slice, a slice-selection gradient is turned on with an amplitude  $G_{slice}$ , which frequency encodes the slice direction  $z$  by the frequency  $\omega(z) = \gamma G_{slice} z$ . Given that the *RF* pulse has a bandwidth of  $\Delta\omega$ , then for the slice thickness  $\Delta z$  follows:

$$\Delta z = \frac{\Delta\omega}{\gamma G_{slice}} \quad (4.2)$$

Hence,  $\Delta z$  is controlled either by varying  $G_{slice}$  or by changing the  $RF$  pulse to achieve a different  $\Delta\omega$ . To allow a reasonable comparison between different pulses, it is desirable that all pulses have similar  $\Delta z$  at a certain  $\alpha$ . Then, the shape of the slice profile dominates the signal dephasing and not variations in  $\Delta z$ . In one of the first experiments, we used the vendor's standard pulses and amplitudes of  $G_{slice}$ , but these pulses lead to different  $\Delta z$  caused by  $G_{slice}$ . Figure 4.1 shows the results of the measured slice thickness  $\Delta z_{meas}$  compared with the nominal slice thickness  $\Delta z$  for two  $RF$  pulses. It shows that  $\Delta z_{meas}$  is larger than  $\Delta z$  and different for the two pulses. For a better comparison, four different  $RF$  pulses with a similar  $\Delta z$  for all pulses at a certain  $\alpha$  were designed. To achieve this, the amplitude of  $G_{slice}$  was estimated with a numerical Bloch solver [1] such that  $\Delta z$  matches the simulations for  $\alpha = 30^\circ$  for each pulse. The first three pulses were sinc-Hanning-windowed pulses, each with a different pulse duration  $T_{pulse}$  and  $TBP$ , and a Gaussian pulse. The pulses shapes of the sinc-Hanning-windowed pulses were calculated with Equation 4.3 and for the Gauss pulse with Equation 4.4.

$$B_{1,sinc-Hanning}(t) = \frac{1}{2} \text{sinc} \left( \frac{TBP t}{T_{pulse}} \right) (1 + \cos(2\pi t/T_{pulse})) \quad (4.3)$$

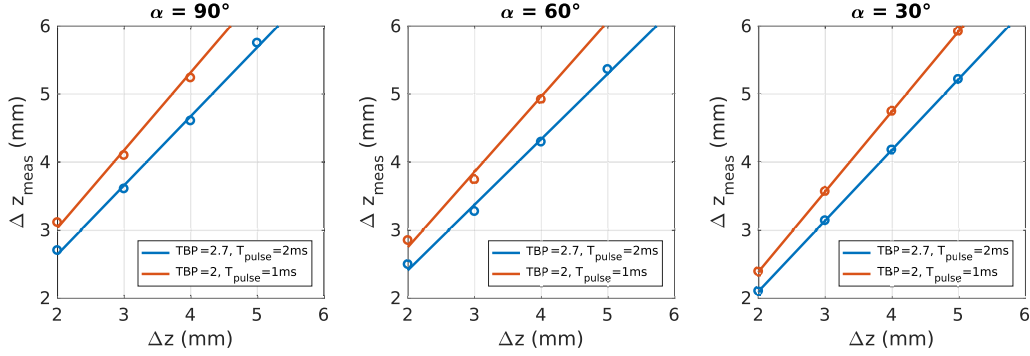
$$B_{1,Gauss}(t) = e^{\frac{-t^2}{2T_{pulse}\sigma^2}} \quad (4.4)$$

Figure 4.2 shows the measured slice profile for the three sinc-Hanning-windowed pulses and the Gaussian pulse acquired at three different flip angles  $\alpha$ . The slice profiles were obtained by switching the frequency encoding to the slice-selection direction. Table 4.1 lists the estimated  $\Delta z_{meas}$  from the measured data. In contrast to the vendor's pulses,  $\Delta z_{meas}$  corresponds now to the nominal  $\Delta z$  for  $\alpha = 30^\circ$ . When comparing the four pulses, the sinc-Hanning-windowed pulses with  $T_{pulse} = 1ms$  and  $T_{pulse} = 2ms$  and the Gaussian pulse lead to a similar slice profiles. The increase of  $z_{meas}$  with  $\alpha$  is explained by the solution of the Bloch equations. While the Fourier approximation results in a constant  $\Delta z$  independent of  $\alpha$ , the solution with the Bloch equations allows describing the slice profile accurately for all  $\alpha$ .

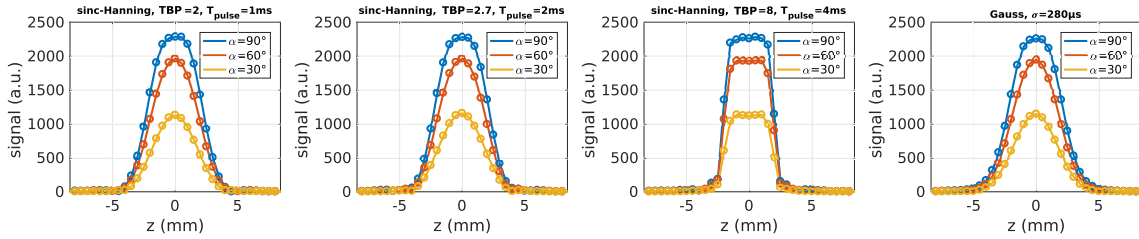
## 4.2 Navigator for Physiological Noise Compensation

### 4.2.1 Physiological Noise and Navigator Echoes

A prerequisite for an accurate description of the  $GRE$  signal in the presence of  $g_z$  is that contributions from physiologically induced field fluctuations are minimized. During k-space acquisition, it is usually assumed that the resonance frequency is constant over the acquisition period. However, apart from the system's  $B_0$  drift and bulk motion, field variations can be caused by physiologically induced fluctuations due to the cardiac or



**Figure 4.1:** Comparison between the measured slice thickness  $\Delta z_{meas}$  versus the nominal slice thickness  $\Delta z$  for three different flip angles. Each plot shows results for two standard sinc-Hanning-windowed  $RF$  excitation pulses of the vendor's  $GRE$  sequence. For both pulses,  $\Delta z_{meas}$  is larger than  $\Delta z$  and different between the pulses.



**Figure 4.2:** Measured signal along the slice for the four  $RF$  pulses each acquired with three flip angles. The first three plots show results for sinc-Hanning-windowed pulses with increasing  $TBP$  and  $T_{pulse}$  (from left to right) and the last plot illustrates the results using a Gaussian pulse. Table 4.1 summarizes the corresponding measured slice thickness  $\Delta z_{meas}$ .

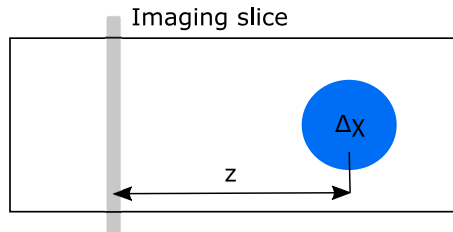
**Table 4.1:** Measured slice thickness  $\Delta z_{meas}$  of the implemented  $RF$  pulses using three different flip angles  $\alpha$ . By setting  $G_{slice}$  to the value estimated with the Bloch solver, comparable  $\Delta z_{meas}$  are obtained.

Pulse	$\Delta z_{meas}$ (mm)		
	$\alpha = 90^\circ$	$\alpha = 60^\circ$	$\alpha = 30^\circ$
sinc-Hanning, $TBP = 2, T_{pulse} = 1ms$	4.61	4.20	3.96
sinc-Hanning, $TBP = 2.7, T_{pulse} = 2ms$	4.51	4.15	4.01
sinc-Hanning, $TBP = 8, T_{pulse} = 4ms$	4.09	4.02	3.97
Gauss $\sigma = 200\mu s$	4.58	4.17	4.02

respiratory cycle [174, 245]. These field variations lead to phase error in the k-space encoding. For respiratory fluctuations, a strong correlation between phase fluctuation and a respiratory belt was observed. The amount of phase fluctuations highly depends on the subject [220]. Versluis et al. reported that the contributions from physiologically induced  $B_0$  fluctuations are four times larger than movement artifacts in Alzheimer's patients [223]. An explanation for these variations is given by the movement of the

chest, causing magnetic field variations that range up to the brain. To describe these variations, Raj et al. proposed a simplified model, which is illustrated in Figure 4.3 [181]. The model mimics the head and upper torso by a cylinder containing water. The cylinder contains a spherical cavity with a radius  $R$  and susceptibility difference  $\Delta\chi$  with respect to the water. The spherical cavity produces a dipole field  $\Delta B(x, y, z)$  given by Equation 4.5 [194]. Depending on  $R$  and  $\Delta\chi$ , field variations in the imaging plane can be modeled. Raj et al. validated the model by changing  $\Delta\chi$  with the oxygen concentration in the cavity. Although variations in  $\Delta\chi$  can induce field variations, they concluded that changes in  $R$  via the lung volume are more likely responsible variations in  $\Delta B(x, y, z)$  [181].

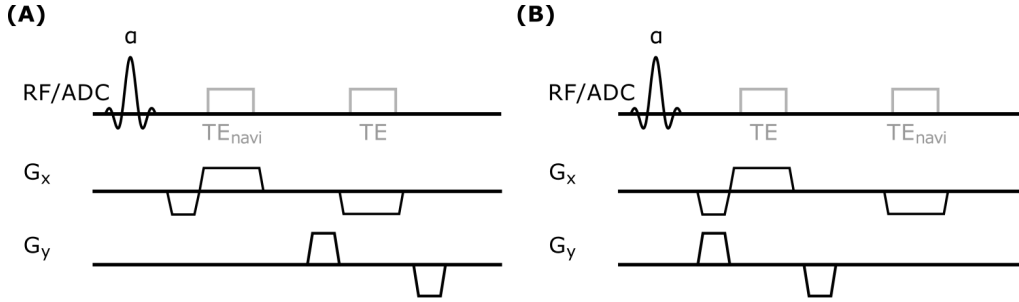
$$\Delta B(x, y, z) = \frac{\frac{1}{3}\Delta\chi B_0 R^3 (2z^2 - x^2 - y^2)}{(x^2 + y^2 + z^2)^{5/2}} \quad (4.5)$$



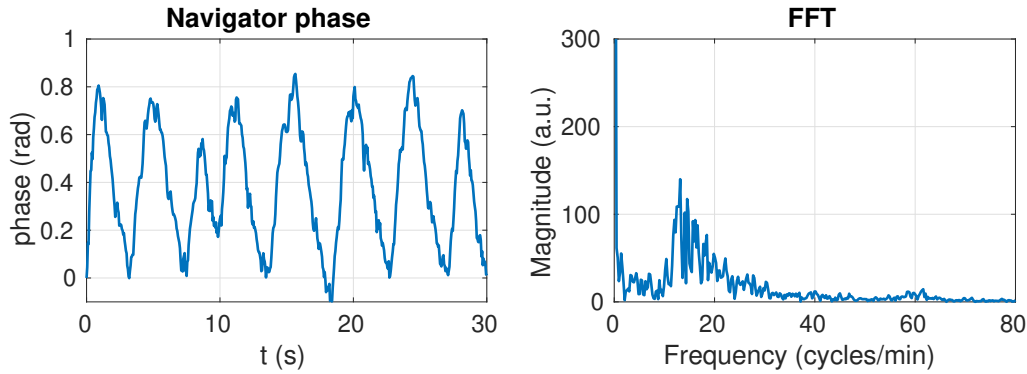
**Figure 4.3:** Simple model for describing the physiologically induced  $B_0$  changes in an imaging slice proposed by Raj et al. [181]. They model the head and upper torso with a water containing cylinder and a cavity with susceptibility difference  $\Delta\chi$  and radius  $R$  for the lungs. The magnetic field variations caused by the cavity are described by the dipole field in Equation 4.5.

To measure these phase variations, Hu et al. proposed to use navigator echoes [100]. For each phase encoding step, a navigator echo acquires an additional echo, but without phase encoding ( $k_y = 0$ ). Figure 4.4 shows two different options for the acquisition. In the original work they acquired the navigator echo before k-space acquisition (Figure 4.4A) [100], but it is also possible to measure it after phase encoding by rewinding the phase encoding gradient before echo acquisition (Figure 4.4B) [235].

Figure 4.5 illustrates an example of the estimated phase fluctuations during repetitive measurements in a single slice. The left plot shows a periodic variation of the phase signal with some smaller higher frequency variations. By performing a fast Fourier transform (FFT), a frequency of about 13 cycles/minutes can be assigned to the prominent slow variations. Based on the literature [100, 220, 223], this frequency corresponds to respiratory induced fluctuations. The higher frequency content in the signal might be addressed to pulsation [127], but no distinct peak is observable in the frequency spectrum.



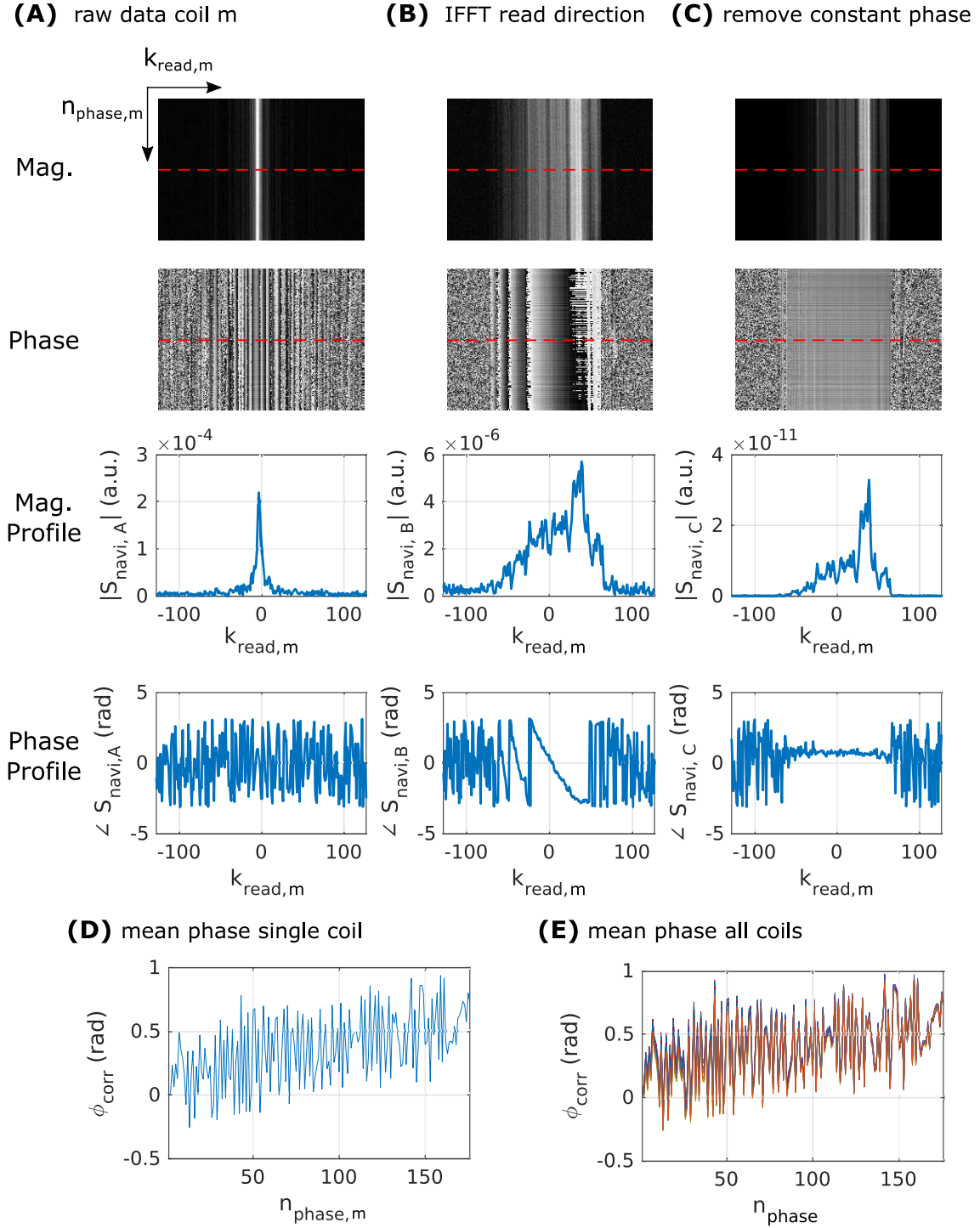
**Figure 4.4:** Two different approaches for acquiring a navigator echo to estimate physiologically induced  $B_0$  variations at the echo time  $TE_{navi}$ . In (A), the navigator echo is acquired before image acquisition and in (B) after image acquisition and rewinding of the phase encoding.



**Figure 4.5:** Example of the estimated phase fluctuations from the navigator echo in a single slice from a continuous measurement (25 measurements,  $TE_{navi} = 47ms$ ,  $TR = 50ms$  with 208 phase encoding lines). The left image shows the phase in a time frame of 30s, and on the right the  $FFT$  from the entire acquisition is plotted. The plots show a clear respiratory induced fluctuation with a frequency around 13 cycles/minute.

#### 4.2.2 Estimation of Phase Fluctuations from Navigator Echoes

Figure 4.6 shows the processing steps for estimating the phase fluctuations  $\phi_{corr}[n_{phase,m}]$  from the navigator signal from  $n$ th phase encoding line  $n_{phase,m}$ . The steps are the same for both approaches in Figure 4.4. For each coil channel  $m$ , from the complex raw data (Figure 4.6A) an  $IFFT$  in read-out direction is performed to estimate the projection for each  $n_{phase,m}$  (Figure 4.6B). The resulting phase signal  $\angle S_{navi,B}$  can be decomposed in a signal that is equal for all lines  $n_{phase,m}$ , such as a channel specific phase offset or object related phase variations, and in phase fluctuations that vary from line to line. To remove the line independent phase, a reference line is chosen, which is subtracted from all lines. The subtraction is achieved by multiplying all  $n_{phase,m}$  lines with the complex conjugate of the reference line (Figure 4.6C). By taking the mean of the resulting signal  $S_{navi,C}$  for each  $n_{phase,m}$  line,  $\phi_{corr}[n_{phase,m}]$  is obtained (Figure 4.6C). This step is repeated for all coils (Figure 4.6D). By comparing Figure 4.6C and Figure 4.6D similar noise like fluctuations are measured in all coils.



**Figure 4.6:** Processing steps of the navigator echo  $S_{navi}$  for correction of physiologically induced  $B_0$  fluctuations. First, for each navigator echo  $n_{phase,m}$  (A) an *IFFT* is performed for each coil  $m$  (B). Next, the constant phase of the object itself is removed by multiplying all  $n_{phase,m}$  lines by the complex conjugate of a reference line (e.g., first acquired line  $n_{phase,m} = 1$ ) (C). To estimate the phase correction  $\phi_{corr}[n_{phase,m}]$  (D), the mean is calculated from the complex signal (C).



### 4.2.3 Coil Combination and Image Reconstruction

After the estimation of  $\phi_{corr}[n_{phase,m}]$ , the raw data has to be corrected with  $\phi_{corr}[n_{phase,m}]$  for each echo. Figure 4.7 illustrates the different steps exemplary shown for the fourth echo image  $S_m(TE_4)$ . Assuming that  $\phi_{corr}[n_{phase,m}]$  from the time of excitation until the echo time  $TE_{navi}$  increases linearly, the corrected raw data is obtained for each channel  $m$  [235]:

$$S_m^{corr}(k_{read,m}, TE) = S_m(k_{read,m}, TE) \exp\left(-i \frac{\phi_{corr}[n_{phase,m}]}{TE_{navi}} TE\right), \quad (4.6)$$

where the ratio  $\frac{TE}{TE_{navi}}$  describes the linear scaling of the navigator phase. In the next step the  $M$  coil images have to be combined to a single image, which is referred to as coil combination. An overview of various coil combination methods can be found in [186]. In phased array coils, the signal of the phase at a position  $\mathbf{r}$  for the  $m$ th coil can be decomposed in [186]:

$$\phi_m(\mathbf{r}, TE) = \phi_{0,m}(\mathbf{r}) + \Delta\omega(\mathbf{r})TE, \quad (4.7)$$

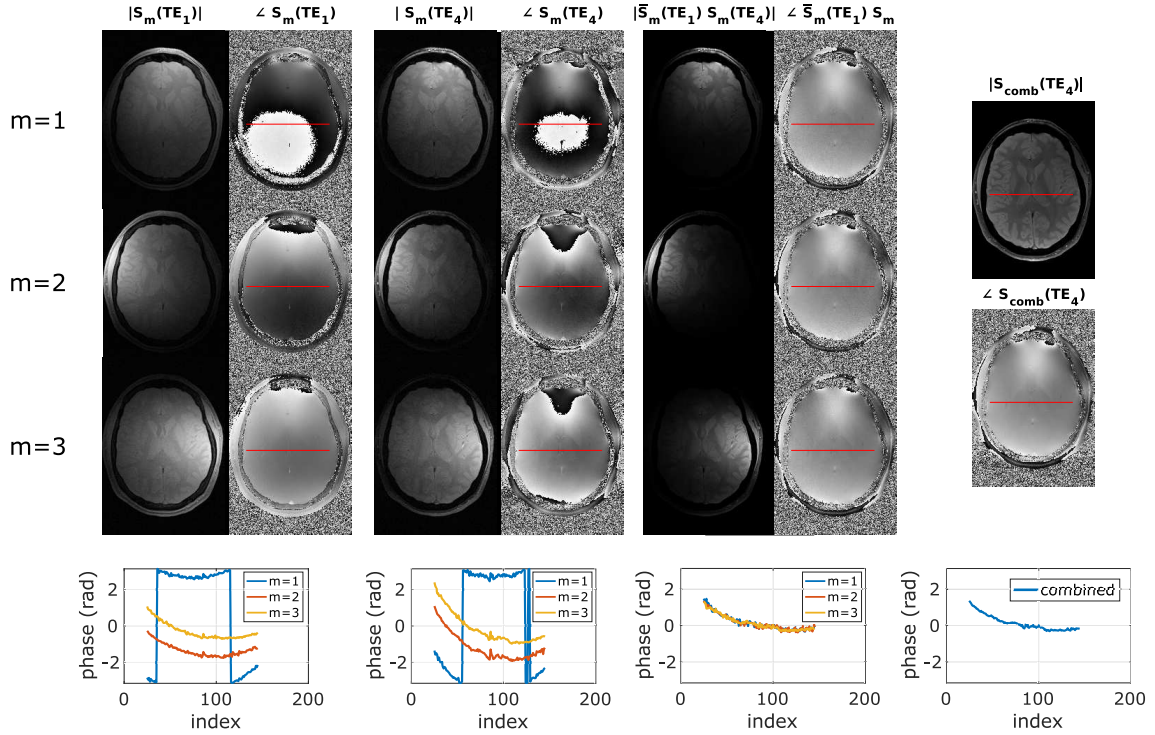
where  $\phi_{0,m}(\mathbf{r})$  is a channel dependent phase offset and  $\Delta\omega(\mathbf{r})TE$  describes the channel independent phase accumulation caused by the magnetic field. To avoid destructive interference in the combined images caused by different  $\phi_{0,m}(\mathbf{r})$  by simple complex summation of the individual images, the coil dependent phase term has to be removed. Compared with single echo acquisition, multi-echo acquisition offers the advantage that  $\phi_{0,m}(\mathbf{r})$  can be estimated or eliminated before coil combination. Luo et al. proposed a method that combines the images  $S_{comb}(TE_i)$  of the  $i$ th echo as follows [145]:

$$S_{comb}(TE_i) = \frac{1}{M} \sum_{m=1}^M \lambda_m \bar{S}_m^{corr}(TE_1) S_m^{corr}(TE_i) \quad (4.8)$$

by multiplying all images of the same coil  $m$  with the complex conjugate  $\bar{S}_m^{corr}(TE_1)$  of the first echo  $TE_1$  before summation, it eliminates the coil dependent  $\phi_{0,m}(\mathbf{r})$ . To account for different noise levels in the coil images, the data is weighted with the factor  $\lambda_m$  [145]:

$$\lambda_m = \frac{\frac{1}{M} \sum_{l=1}^M \sigma_l^2}{\sigma_m^2}, \quad (4.9)$$

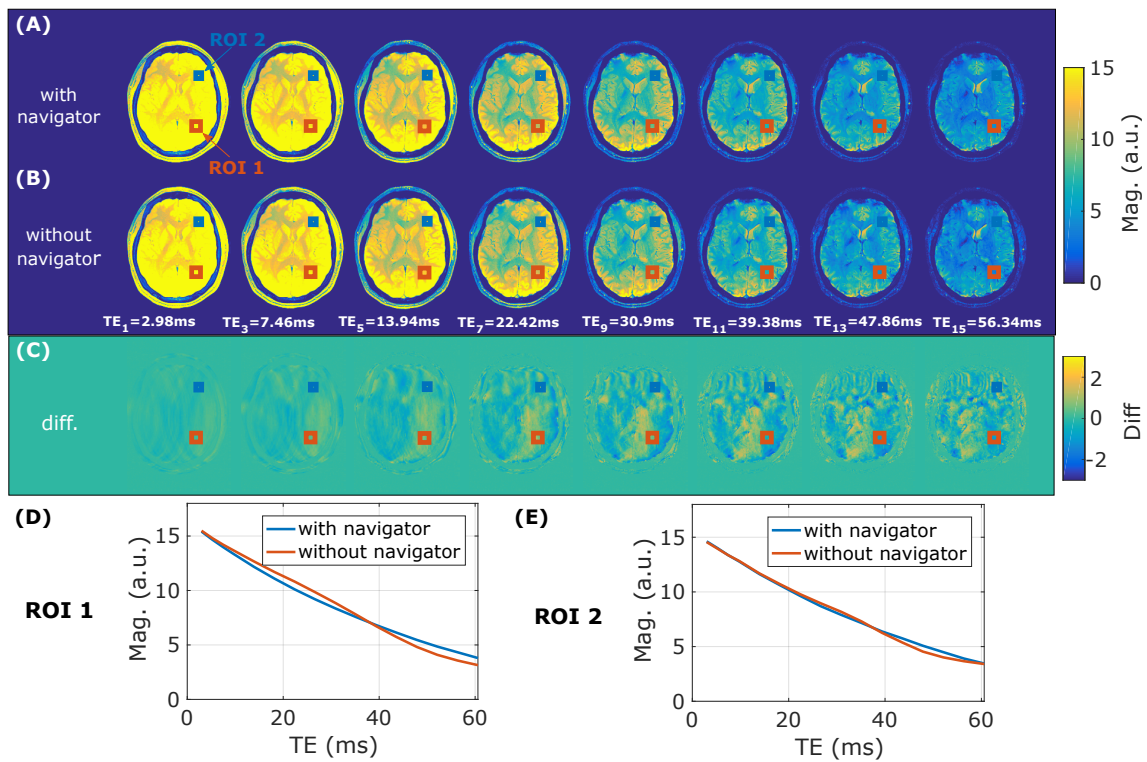
where  $\sigma_m$  is the noise amplitude in a channel  $m$  estimated in a noisy *ROI* in the magnitude image.



**Figure 4.7:** Example of the coil combination proposed by Luo et al. for multi-echo data [145]. The first two blocks of images show the magnitude and phase of the first echo with  $TE_1$  and the fourth echo with  $TE_4$  for coils  $m = [1, 2, 3]$  out of  $M = 16$  coils. The results of the multiplication of the fourth echo  $S_m(TE_4)$  with the complex conjugate of the first echo  $\bar{S}_m(TE_1)$  are illustrated in the third block. The images on the right side show the combined image  $S_{comb}(TE_4)$ , obtained by the weighted summation with  $\sigma_m$  of the third block. The phase variation along the red line in the phase images is plotted on bottom.

#### 4.2.4 Example Phase Correction

Figure 4.8 shows an example of the signal decay with and without phase correction. In Figure 4.8A, echo images were reconstructed including the phase of the navigator echo while in Figure 4.8B this variation was not considered. The difference between the echoes (Figure 4.8C) reveals spatial and temporal artifacts. Additionally, the signal decay in two *ROIs* is plotted indicating the temporal variations deviating from a monoexponential signal decay.



**Figure 4.8:** Example of a signal decay reconstructed from a 2D *mGRE* acquisition with (A) and without considering the phase of the navigator (B). Every second echo starting from  $TE_1 = 2.98\text{ms}$  to  $TE_{15} = 56.43\text{ms}$  is shown. The difference between the images of row (A) and (B) is illustrated in (C).



## $R_2^*$ and MWF Estimation Using Larger Flip Angles

This chapter is based on the publication:

M. Soellradl, A. Lesch, J. Strasser, L. Pirpamer, R. Stollberger, S. Ropele, and C. Langkammer. Assessment and correction of macroscopic field variations in 2D spoiled gradient-echo sequences. *Magnetic Resonance in Medicine*, 84(2):620–633, 2020, doi: 10.1002/mrm.28139

and on the ISMRM abstract:

M. Soellradl, S. Ropele, and C. Langkammer. Gradient echo modelling with macroscopic field variations and large flip angles. In *Proceedings of the 27th Annual Meeting of ISMRM*, Montreal, Canada, 2019

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## 5.1 Introduction

Section 3.2 reviews different signal modeling approaches for the *RF*-spoiled *GRE* sequence. For 2D acquisitions, the signal in the presence of a macroscopic field gradient  $g_z$  is given by the analytic solution of Preibisch et al. [178]. Based on the small tip angle approximation, the signal dephasing is described by the shape of the *RF* excitation pulse  $B_1(t)$  [178]. In an interleaved slice acquisition, the *TR* is usually rather large to allow multi-slice acquisition ( $TR > 1s$ ), leading to an Ernst angle that might be beyond the limit for the small tip angle approximation. Therefore, the potential increase in *SNR* for larger flip angles is limited by the maximum possible angle which fulfills the small tip angle approximation.

To overcome this limitation, we propose a numerical model for solving the signal dephasing in the presence of  $g_z$  for an arbitrary  $RF$  excitation pulse and flip angle. Extending the model from Hernando et al. [94], we also investigate variations of the transmit  $RF$  field  $B_1^+$  and the effect of scaling of the slice profile caused by the superposition of  $g_z$  and the slice-selection gradient  $G_{slice}$ . We further demonstrate with phantom and in vivo measurements that, depending on the  $RF$  pulse shape, the polarity of  $G_{slice}$  has to be considered for larger flip angles to account for through-slice phase variations. The proposed model substantially improves the quality of  $R_2^*$  maps and  $MWF$  maps acquired with arbitrary excitation pulses and flip angles.

## 5.2 Methods

### 5.2.1 Theory

In the presence of macroscopic field variations  $\Delta\omega(z)$  the signal  $S(t)$  of a 2D  $RF$ -spoiled  $GRE$  is proportional to the integral over the complex transverse magnetization  $\underline{M}_{xy}(z) = |M_{xy}|e^{i\varphi_{xy}(z)}$  weighted with  $\Delta\omega(z)$  along the slice-selective direction  $z$ . Thus, depending on  $\underline{M}_{xy}(z)$  and  $\Delta\omega(z)$ , additional signal dephasing is observed in contrast to the theoretical monoexponential signal decay with  $R_2^*$ . If  $\Delta\omega(z)$  is smooth and slowly varying in space,  $\Delta\omega(z)$  can be approximated with a linear function  $\Delta\omega(z) = \Delta\omega_0 + \gamma g_z z$  in each slice [246]. By assuming the origin of  $z$  being in the center of the slice, the equation for  $S(t)$  reads as:

$$\begin{aligned} S(t) &= e^{-R_2^* t} \int_{-\infty}^{\infty} \underline{M}_{xy}(z, \alpha\xi, \lambda, E_1) e^{i\Delta\omega(z)t} dz \\ &\approx e^{-R_2^* t} \int_{-\infty}^{\infty} \underline{M}_{xy}(z, \alpha\xi, \lambda, E_1) e^{i\Delta\omega_0 t} e^{i\gamma g_z z t} dz, \end{aligned} \quad (5.1)$$

where  $g_z$  denotes the field gradient and  $\Delta\omega_0$  the field offset.  $\underline{M}_{xy}(z)$  depends on several factors (including  $\xi$ ,  $\lambda$ , and  $E_1$ ) discussed in detail subsequently. Depending on the ratio of  $TR$  to the longitudinal relaxation time  $T_1$ , which is included in the exponential term  $E_1 = e^{-TR/T_1}$ , and the effective flip angle  $\alpha_{eff}(z)$  through the slice, the solution for  $|M_{xy}(z)|$  changes according to the steady-state equation for  $GRE$  sequences [58]:

$$|M_{xy}(z, \alpha\xi, \lambda, E_1)| = S_0 \sin(\alpha_{eff}(z, \alpha\xi, \lambda)) \frac{1 - E_1}{1 - \cos(\alpha_{eff}(z, \alpha\xi, \lambda)) E_1}. \quad (5.2)$$

When  $TR$  is much larger than  $T_1$ , Equation 5.2 simplifies and  $|M_{xy}(z)|$  is obtained by the sine of  $\alpha_{eff}$  times the equilibrium magnetization  $S_0$ .

$$|M_{xy}(z, \alpha\xi, \lambda, E_1 = 0)| = S_0 \sin(\alpha_{eff}(z, \alpha\xi, \lambda)). \quad (5.3)$$

Here,  $\alpha_{eff}(z)$  is obtained for a certain slice-selection gradient  $G_{slice}$  and the applied excitation pulse with a certain shape and amplitude. For small flip angles, the slice profile  $\alpha_{eff}(z)$  can be estimated for an  $RF$  pulse envelope  $B_1(t)$  with the small flip angle

approximation [98]. However, larger flip angles require solving the Bloch equations for  $|M_{xy}(z)|$  and  $\varphi_{xy}(z)$ .

Extending previous studies, the factors  $\xi$  and  $\lambda$  were added to describe two effects that affect  $\alpha_{eff}(z)$  and thus signal dephasing. First, variations of the active transmit field  $B_1^+$  cause a deviation from the nominal flip angle  $\alpha$ , which can change the effective flip angle profile  $\alpha_{eff}(z)$  and therefore requires  $\alpha$  to be scaled with  $\xi$ , obtained from the normalized  $B_1$  map. Second,  $g_z$  is superimposed with  $G_{slice}$  leading to either broadening or narrowing of the slice profile described by the factor  $\lambda$  [183] as follows:

$$\lambda = \frac{G_{slice}}{G_{slice} + g_z}. \quad (5.4)$$

To investigate the impact of the described parameters on signal dephasing in the presence of  $g_z$ , four different models have been studied. Summarizing Equation 5.1 in a tissue-specific signal component  $S_{tissue}(t)$  (e.g.,  $S_{tissue}(t) = S_0 e^{-R_2^* t}$ ) and a component  $F_i(t)$  describing the signal dephasing due to  $\Delta\omega(z)$ , the model  $S_i(t)$  can be written as  $S_i(t) = S_{tissue}(t)F_i(t)$ . The four models are defined as follows:

$$S_1(t) = S_{tissue}(t)F_1(t) = S_{tissue}(t) \quad (5.5)$$

$$\begin{aligned} S_2(t) &= S_{tissue}(t)F_2(t) \\ &= S_{tissue}(t) \int_{-\infty}^{\infty} |M_{xy}|(z, \alpha, \lambda = 1, E_1 = 0) e^{i\gamma g_z z t} dz \end{aligned} \quad (5.6)$$

$$\begin{aligned} S_3(t) &= S_{tissue}(t)F_3(t) \\ &= S_{tissue}(t) \int_{-\infty}^{\infty} |M_{xy}|(z, \alpha, \lambda = 1, E_1 = 0) e^{\varphi_{xy}(z, \alpha, \lambda = 1, E_1 = 0)} e^{i\gamma g_z z t} dz \end{aligned} \quad (5.7)$$

$$\begin{aligned} S_4(t) &= S_{tissue}(t)F_4(t) \\ &= S_{tissue}(t) \int_{-\infty}^{\infty} |M_{xy}|(z, \alpha\xi, \lambda, E_1 = 0) e^{\varphi_{xy}(z, \alpha\xi, \lambda, E_1 = 0)} e^{i\gamma g_z z t} dz. \end{aligned} \quad (5.8)$$

The model  $S_1(t)$  serves as an uncorrected reference without modeling  $\underline{M}_{xy}(z)$  and  $\Delta\omega(z)$ . Then, for  $S_2(t)$ , only the magnitude along the slice  $|\underline{M}_{xy}(z)|$  was considered neglecting  $\varphi_{xy}(z)$ . In  $S_3(t)$ ,  $\varphi_{xy}(z)$  was included, and in  $S_4(t)$  the model was extended by additionally incorporating  $B_1^+$  and  $\lambda$  variations.

### 5.2.2 Numerical Implementation

Signal dephasing caused by  $g_z$  was estimated numerically for  $F_2$  to  $F_4$  assuming  $E_1 = 0$ . In the first step,  $\underline{M}_{xy}$  was estimated for a certain  $RF$  excitation pulse and  $G_{slice}$  with a freely available numerical Bloch solver using MATLAB (MathWorks, Natick, MA) [1]. Simulations were carried out with temporal resolution of  $2\mu s$  and spatial resolution of  $80\mu m$  with 2501 spatial points. The normalized envelope  $B_1(t)$  was scaled to achieve

$\alpha_{eff}(z = 0) = \alpha\xi$  in the center of the slice. Rather than estimating  $\underline{M}_{xy}$  for each voxel with  $\xi$  and  $\lambda$ , calculations were accelerated by estimating  $\underline{M}_{xy}$  in steps of  $\Delta\xi = 0.05$  followed by linear interpolation to  $\Delta\xi_{intp} = 0.005$ . Variations of  $\lambda$  were incorporated by multiplying the sampling points along  $z$  with  $\lambda$ , to scale the thickness of the slice. In the last step, the integral along  $z$  for given  $g_z$  was solved by numerical integration.

### 5.2.3 Simulations

To investigate the influence of the  $G_{slice}$  polarity and flip angle  $\alpha$  on  $F_3$ , simulations for  $\alpha = 30^\circ$  and  $\alpha = 90^\circ$  with negative and positive polarity of  $G_{slice}$  were performed. Based on the vendor's standard *GRE* pulse, a sinc-Hanning-windowed excitation pulse with a pulse duration  $T_{pulse}$  of  $2ms$  and a *TBP* product of 2.7 was chosen for the experiments. A  $G_{slice}$  of  $8.29mT/m$  was determined with the Bloch solver to achieve a slice thickness  $\Delta z$  of  $4mm$ , as defined by *FWHM* of  $|M_{xy}|$ , for  $\alpha = 30^\circ$ . Based on the observed field gradients in phantom measurements,  $g_z$  was set to  $100\mu T/m$  for all simulations. In the in vivo measurements of the brain, field gradients up to  $300\mu T/m$  have been reported in areas such as orbitofrontal cortex or inferior temporal lobe [233].

Exploiting the relevance of individual parameters for modeling  $F_4$ , a sensitivity analysis was performed for  $\varphi_{xy}$ ,  $B_1^+$ , and  $\lambda$  with the same sinc-Hanning-windowed excitation pulse. To estimate the relevance of  $\varphi_{xy}$ , simulations with  $g_z = 100\mu T/m$  were carried out for  $F_4$  with varying  $\alpha$  from  $10^\circ$  to  $90^\circ$ , each with positive and negative  $G_{slice}$  polarity. Results were compared with simulations for model  $F_2$  considering only the magnitude  $|M_{xy}|$  of the slice profile ( $\varphi_{xy} = 0$ ). For evaluation, root mean squared error (RMSE) over time for each  $\alpha$  between  $F_4$  and  $F_2$  was calculated.

The sensitivity to  $B_1^+$  was simulated by scaling  $B_1^+$  for each flip angle ( $\alpha = 30^\circ$  and  $\alpha = 90^\circ$ ) with a factor  $\xi$  (ranging from 0.6 to 1.4) for  $g_z = 100\mu T/m$ . The results for  $F_4$  obtained for different  $\xi$  were compared with those for  $\xi = 1$  by plotting the *RMSE*. The same steps as for  $B_1^+$  were carried out for  $\lambda$  by changing the value from 0.8 to 1.2. A crucial assumption of the proposed models is that for a given  $\alpha$ , *TR* is long enough to avoid changes of  $|M_{xy}|$  due to incomplete  $T_1$  relaxation ( $E_1 = e^{-TR/T_1} \neq 0$ ). Hence, the steady-state solution in Equation 5.2 was included to estimate signal dephasing  $F_{T_1}$  in the presence of  $g_z = 100\mu T/m$  for different  $E_1$ :

$$F_{T_1} = \int_{-\infty}^{\infty} \underline{M}_{xy}(z, \alpha\xi, \lambda, E_1) e^{\varphi(z, \alpha\xi, \lambda, E_1)} e^{i\gamma g_z z t} dz. \quad (5.9)$$

For each  $TR/T_1$  (ranging from 1 to 5) the Ernst angle  $\alpha_{Ernst}$  was calculated and simulations with the sinc-Hanning-windowed *RF* pulse ( $T_{pulse} = 2ms$  and *TBP* = 2.7) were carried out by setting  $\alpha = \alpha_{Ernst}$ ,  $\alpha = 0.8\alpha_{Ernst}$  and  $\alpha = 0.6\alpha_{Ernst}$ . Obtained results were compared by calculating the *RMSE* over time between  $F_{T_1}$  and  $F_3$ .



### 5.2.4 Phantom Experiments

To validate the results from the simulations of dephasing effects for different  $\alpha$  and  $G_{slice}$  polarity, phantom measurements have been performed. For the phantom, a plastic cylinder ( $\phi = 12cm$  and length =  $20cm$ ) was filled with agarose gel ( $5g/L$ ), which was doped with  $110\mu mol/L$  Magnevist® to shorten  $T_1$ .

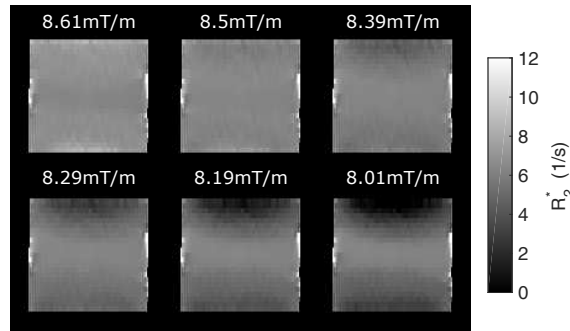
The phantom was scanned on a 3T MRI system (Magnetom Prisma, Siemens, Erlangen, Germany) twice by a  $mGRE$  sequence with  $\alpha = 30^\circ$  and  $\alpha = 90^\circ$  each with alternating polarity of  $G_{slice}$ . The same sinc-Hanning-windowed excitation pulse ( $T_{pulse} = 2ms$  and  $TBP = 2.7$ ) as for the simulations and  $|G_{slice}| = 8.29mT/m$  was used to achieve  $\Delta z = 4mm$  for  $\alpha = 30^\circ$ . Other sequence parameters were: field of view (FOV) =  $128 \times 128mm^2$ , in-plane resolution =  $1 \times 1mm^2$ , 32 monopolar echoes with bandwidth (BW) =  $500Hz/px$ ,  $TE_1 = 4ms$ ,  $\Delta TE = 5ms$ ,  $TR = 3s$ , 25 slices with 0% interslice gap. For  $B_1$  mapping, a Bloch-Siegert sequence with the same resolution was used [190].

The  $g_z$  map was obtained by using the central difference from the field map  $\Delta B_0$  to estimate the gradient in the  $i$ th slice:

$$g_z(x, y, z_i) = 0.5 \frac{\Delta B_0(x, y, z_{i+1}) - \Delta B_0(x, y, z_{i-1})}{\Delta z}. \quad (5.10)$$

Single side difference was used for the first ( $i = 1$ ) and last slice ( $i = N$ ).  $\Delta B_0$  was estimated from a linear fit of the first six echoes of the unwrapped phase (PRELUDE unwrapping [103]). From the measured data,  $R_2^*$  maps were estimated in MATLAB with the  $lsqnonlin()$  function with models  $S_1$  to  $S_4$ .

As indicated in Figure 5.1, when varying  $G_{slice}$  amplitude slightly within the model, it was found that results could be further improved when using  $G_{slice} = 8.5mT/m$  for all analyses.



**Figure 5.1:** Coronal  $R_2^*$  maps from the phantom measurements ( $\alpha = 90^\circ$ ) estimated for a varying slice-selection gradient  $G_{slice}$  within the model. The most homogeneous map was obtained with  $G_{slice} = 8.50\mu T/m$ .

### 5.2.5 Influence of $TR/T_1$

Phantom measurements with different  $TR = [125ms, 250ms, 500ms, 1s, 1.5s, 2s, 3s, 5s]$  and  $\alpha = [30^\circ, 60^\circ, 90^\circ]$  were carried out with the *mGRE* sequence to investigate steady-state effects for modeling. A Bloch-Siegert sequence was used for  $B_1$  mapping [190]. In addition,  $T_1$  was measured with  $0.5 \times 0.5 mm^2$  in-plane resolution by changing the readout direction to the slice direction. Results were evaluated by estimating  $R_2^*$  with model  $S_4$  for each  $TR$  and  $\alpha$ .

### 5.2.6 In Vivo $R_2^*$ and MWF experiments

To evaluate the proposed modeling for in vivo application,  $R_2^*$  and *MWF* mapping experiments were performed on the same 3T *MRI* system with 10 subjects (age range = 26-50 years). The study was approved by the local ethics committee and all subjects gave written informed consent. In addition, subjects were scanned with an anatomical magnetization-prepared rapid gradient-echo (*MPRAGE*) sequence with  $1mm^3$  isotropic resolution for regional evaluation of  $R_2^*$  and *MWF* maps.

For  $R_2^*$  mapping, subjects were scanned twice with a *mGRE* sequence with alternating  $G_{slice}$  polarity using a sinc-Hanning-windowed excitation pulse ( $T_{pulse} = 2ms$  and  $TBP = 2.7$ ) with  $\alpha = 85^\circ$  (Ernst angle assuming  $T_1 = 1s$ ). Other sequence parameters were:  $FOV = 256 \times 208 mm^2$ , in-plane resolution =  $1 \times 1 mm^2$ ,  $|G_{slice}| = 11.05 mT/m$  to achieve  $\Delta z = 3mm$ , 17 monopolar echoes with  $BW = 500 Hz/px$ ,  $TE_1 = 2.87ms$ ,  $\Delta TE = 3.59ms$ ,  $TR = 2.5s$ , 30 slices with 0 % interslice gap. The last echo was a navigator echo at  $TE_{navi} = 65.4ms$  to correct for physiologically induced field variations [100]. Then, for each channel, the  $n$ th phase encoding line  $S_n(k_x, TE)$  was corrected as described by Wen et al. [235] and the coil images were combined with the method proposed by Luo et al. [145]. A detailed description about the navigator echo and the coil combination can be found in Chapter 4.

For  $B_1$  mapping, a highly accelerated method based on the Bloch-Siegert shift was used [136]. The field map for calculating  $g_z$  was obtained from the difference of the unwrapped phase of the first and third echo divided by  $TE$  difference. From the data,  $R_2^*$  maps were obtained using the models  $S_1$ ,  $S_3$ , and  $S_4$ . The difference between the models was regionally assessed by calculating the mean and standard deviation of  $R_2^*$  in all subjects in *GM* and global *WM* masks. *GM* masks were segmented from the *MPRAGE* images with FMRIB software library (FSL) FIRST [171] and the global white matter masks with SIENAX [201], part of *FSL* [200]. All masks were affinely registered to *mGRE*-space with *FSL* FMRIB's linear image registration tool (FLIRT) [102, 104].

For *MWF* mapping, all subjects were scanned with a slightly adapted *mGRE* sequence to account for the fast decaying myelin water component. Short echo spacing ( $\Delta TE = 2.2ms$ ) was achieved with a bipolar readout gradient, which was inverted in a second acquisition to compensate for phase errors between even and odd echoes. Other sequence parameters were: sinc-Hanning-windowed excitation pulse with  $T_{pulse} = 1ms$  and  $TBP = 2$ ,

$\alpha = 85^\circ$ ,  $G_{slice} = 14.15mT/m$ ,  $FOV = 255 \times 105mm^2$ , in-plane resolution =  $1.14 \times 1.14mm^2$ ,  $\Delta z = 4mm$ , 27 bipolar echoes  $BW = 500Hz/Px$ ,  $TE_1 = 2.37ms$ ,  $\Delta TE = 2.2ms$ ,  $TR = 2s$ ,  $TE_{navi} = 63.8ms$ , 25 interleaved slices with 0% interslice gap, total scan time 12 minutes. Again, a highly accelerated  $B_1$  map was acquired [136].

After correction of the data with the navigator echoes, the two  $mGRE$  images were registered using *FSL FLIRT* [104] before averaging.  $MWF$  estimation was based on a multi-exponential  $T_2^*$  relaxation times model [237] with  $M = 200$  water components:

$$S_{tissue} = \sum_{j=1}^M s_j \exp\left(-\frac{TE}{T_{2,j}^*}\right). \quad (5.11)$$

Evaluation of data was performed by estimating  $MWF$  maps using models  $S_1$ ,  $S_3$  and  $S_4$  with the *NNLS* algorithm of the *MERA* toolbox [53] and a cut-off for myelin water  $T_{2,my}^* < 25ms$  [135]. For  $S_3$  and  $S_4$ , the measured signal  $S$  was corrected with  $F_3$  and  $F_4$ , respectively, before parameter estimation.

Regional evaluation of  $MWF$  maps was performed in *WM* tracts with the *JHU WM* atlas [155]. The atlas was nonlinearly registered with *FSL FNIRT* to the *MPRAGE* images and transformed to the  $mGRE$  space using *FSL FLIRT* [102, 104]. Before evaluation, masks were manually checked and adjusted with *ITK-SNAP* [257].

In a single scan session, eight  $mGRE$  data sets were acquired from one subject (male, age = 29) using four different excitation pulses with  $\alpha = 30^\circ$  and  $\alpha = 85^\circ$  for each pulse. The first pulse was a  $2ms$ -long Gaussian-pulse with  $\sigma = 280\mu s$  ( $B_1(t) = e^{-\frac{t}{2\sigma}}$ ) and the other three were sinc-Hanning-windowed pulses with different  $TBP = [2, 2.7, 8]$  and  $T_{pulse} = [1ms, 2ms, 4ms]$ .  $G_{slice} = [10.56, 18.87, 11.05, 15.65]mT/m$  was estimated with the Bloch solver for  $\Delta z = 3mm$  and  $\alpha = 30^\circ$ . Other sequence parameters, as well as  $B_1$  mapping, were as described for in vivo  $R_2^*$  mapping. The differences between the pulses were assessed by estimating  $R_2^*$  maps with  $S_4$ .

## 5.3 Results

### 5.3.1 Simulations

Figure 5.2 shows simulation results for sinc-Hanning-windowed excitation pulse with positive and negative  $G_{slice}$  polarity for  $\alpha = 30^\circ$ , and  $\alpha = 90^\circ$ . It reveals that the polarity has no influence on  $|M_{xy}(z)|$  of the slice profile (Figure 5.2A-B), whereas  $\varphi_{xy}(z)$  is inverted when flipping polarity (Figure 5.2C-D). Consequently,  $F_3$  depends on the polarity of  $G_{slice}$  (Figure 5.2E-F), an effect which is stronger pronounced for  $\alpha = 90^\circ$ .

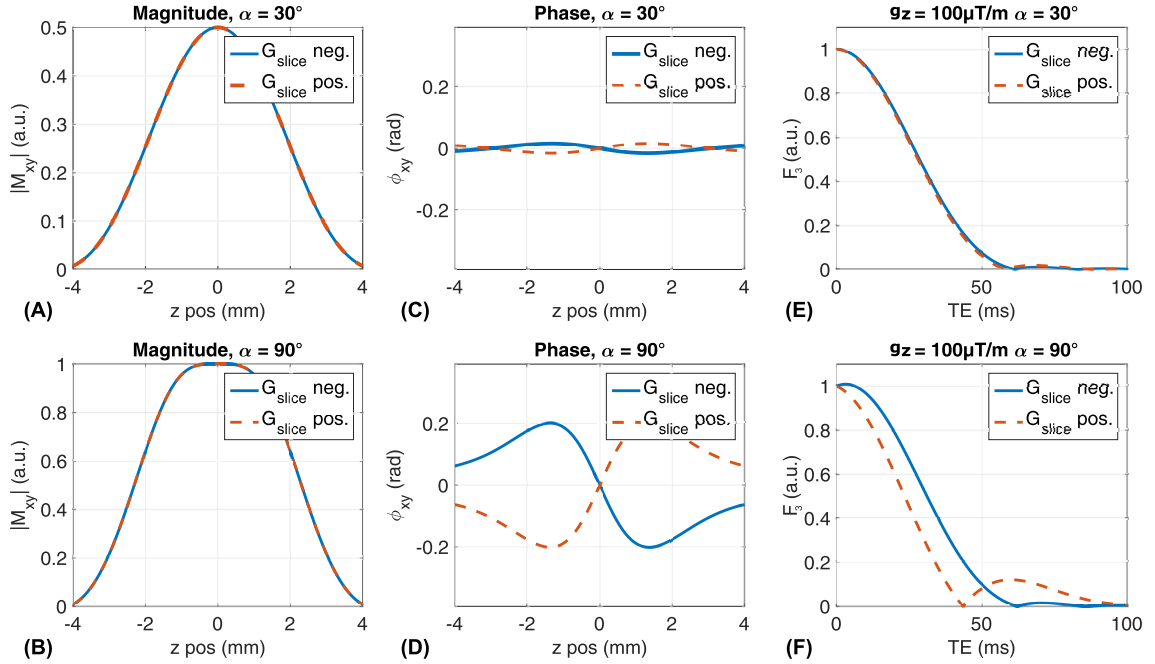
The sensitivities of the model parameters  $\varphi_{xy}(z)$ ,  $B_1^+$ ,  $\lambda$  and  $TR/T_1$  are illustrated in Figure 5.3. When neglecting  $\varphi_{xy}(z)$  in Figure 5.3A, the  $RMSE$  substantially increases for  $\alpha > 40^\circ$  with larger  $RMSE$  for negative  $G_{slice}$ . For  $\alpha = 90^\circ$ , the  $RMSE$  is 5.5% for negative polarity and 4.5% for positive polarity, respectively.

The sensitivity to  $B_1^+$  variations in Figure 5.3B strongly depends on the nominal flip angle

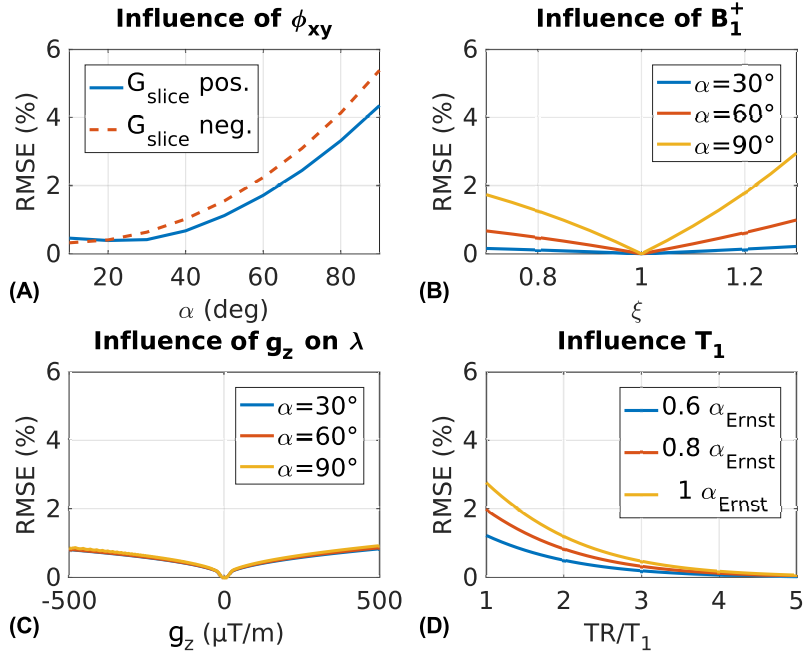
$\alpha$ . For  $\alpha = 30^\circ$ , the  $RMSE$  was below 0.5% for all simulated values of  $\xi$  ( $\alpha_{effective} = \alpha\xi$ ) with a moderate increase for  $\alpha = 60^\circ$  to 1% for  $\xi = 1.3$ . With 2.9% the  $RMSE$  was three times higher for  $\alpha = 90^\circ$ . The influence of  $\lambda$  on the signal is relatively small compared with  $B_1^+$  and  $\varphi_{xy}(z)$  with an  $RMSE$  of 0.8% for a strong  $g_z$  with  $500 \mu T/m$  and minimal dependency on  $\alpha$  (Figure 5.3C).

The simulated error due to neglecting  $T_1$  for different  $TR/T_1$  in Figure 5.3D shows an exponential decrease of the  $RMSE$  with increasing  $TR/T_1$  for all simulated flip angles. For all  $TR/T_1$  ratios, the highest  $RMSE$  was estimated when using the Ernst angle  $\alpha_{Ernst}$  and declines non-linearly for  $0.8\alpha_{Ernst}$  and  $0.6\alpha_{Ernst}$ . For example, for  $TR/T_1 = 1$  the  $RMSE$  decreases from 2.8% to 1.8% to 1.2% for all simulated flip angles while for  $TR/T_1 = 2$  the  $RMSE$  reduces from 1.2% to 0.8% to 0.5%.

When comparing the simulated errors by neglecting  $\varphi_{xy}$  in Figure 5.3A with  $T_1$  effects in Figure 5.3D, the  $RMSE$  of  $\varphi_{xy}$  becomes dominant with increasing  $TR/T_1$  ratio. Given that  $TR/T_1 > 2$ , which results in  $\alpha_{Ernst} > 82^\circ$ , then the  $RMSE$  is smaller than 1.2%, whereas the  $RMSE$  due to neglecting  $\varphi_{xy}$  is at least higher than 3.3% depending on  $G_{slice}$  polarity.



**Figure 5.2:** Simulation results for magnitude  $|M_{xy}|$  (A-B) and phase  $\varphi_{xy}$  (C-D) of the slice profile, and the resulting dephasing  $F_3$  (E-F) with a macroscopic field gradient  $g_z = 100 \mu T/m$  for a sinc-Hanning-windowed excitation pulse (pulse duration  $T_{pulse} = 2ms$ ,  $TBP = 2.7$ ). For each  $\alpha$  (top  $\alpha = 30^\circ$ , bottom  $\alpha = 90^\circ$ ), simulations were performed with positive (red dotted line) and negative (solid blue line)  $G_{slice}$  polarity. There is no difference in the magnitude (A-B) but the mirrored phase for  $\alpha = 90^\circ$  (D) causes different  $F_3$  (F).



**Figure 5.3:** Sensitivity analysis of the numerical model parameters. (A) compares the effect of including phase in  $F_4$  versus the magnitude model  $F_2$  for positive and negative  $G_{slice}$  polarity and  $g_z = 100\mu T/m$ . Effects of  $B_1^+$  variations on  $F_4$  are shown in (B) with a macroscopic field gradient  $g_z = 100\mu T/m$  and the influence of  $g_z$  on the slice encoding described with  $\lambda$  are illustrated in (C). In (D), the  $RMSE$  for neglecting  $T_1$  for different  $TR/T_1$  ratios is plotted assuming  $g_z = 100\mu T/m$ . For each  $TR/T_1$ , the  $RMSE$  was estimated between the  $F_4$  and  $F_{T_1}$  for  $\alpha_{Ernst}$ ,  $0.8\alpha_{Ernst}$  and  $0.6\alpha_{Ernst}$ .

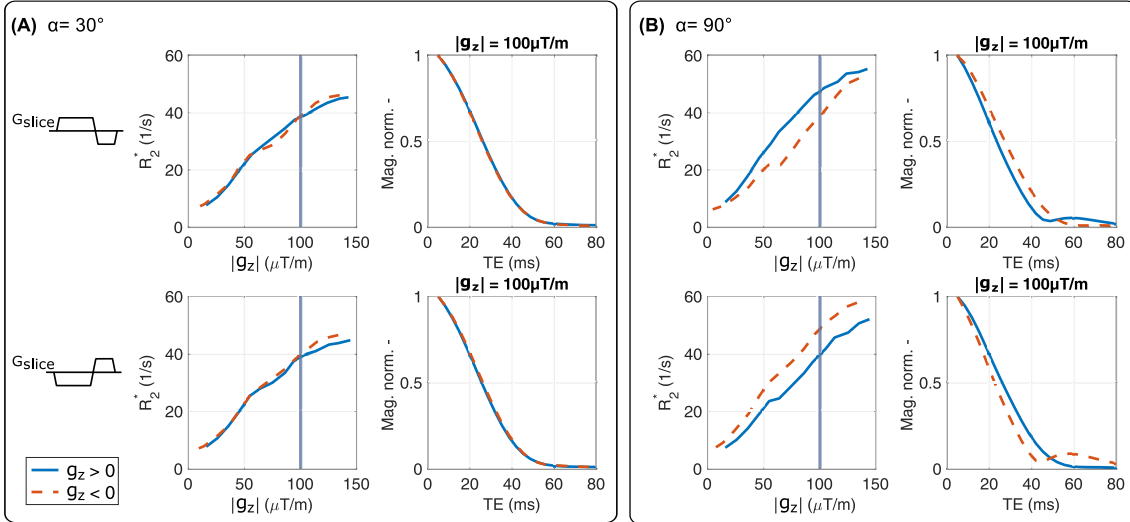
### 5.3.2 Phantom Experiments

$R_2^*$  values estimated with the monoexponential model  $S_1$  are plotted as a function of  $g_z$  for  $\alpha = 30^\circ$  and  $\alpha = 90^\circ$  with positive and negative  $G_{slice}$  polarity in Figure 5.4.  $R_2^*$  increases proportional with  $g_z$  for  $\alpha = 30^\circ$  (Figure 5.4A) with up to eight times higher  $R_2^*$  values for  $g_z = 150\mu T/m$  than for  $g_z = 0\mu T/m$ . For  $\alpha = 30^\circ$ , negligibly small differences between the polarity of  $G_{slice}$  and the sign of  $g_z$  were found, whereas for  $\alpha = 90^\circ$  (Figure 5.4B) positive and negative  $g_z$  yielded different  $R_2^*$  values and a dependency on the polarity of  $G_{slice}$ . Moreover, Figure 5.4 shows the normalized averaged signal decay for  $|g_z| = 100\mu T/m$  plotted with positive and negative  $g_z$ , explaining the difference in estimated  $R_2^*$  values. For  $\alpha = 90^\circ$  with positive  $G_{slice}$  and  $g_z > 0$  (blue line), the signal decays faster than for  $g_z < 0$  (red) and vice versa when switching  $G_{slice}$  polarity.

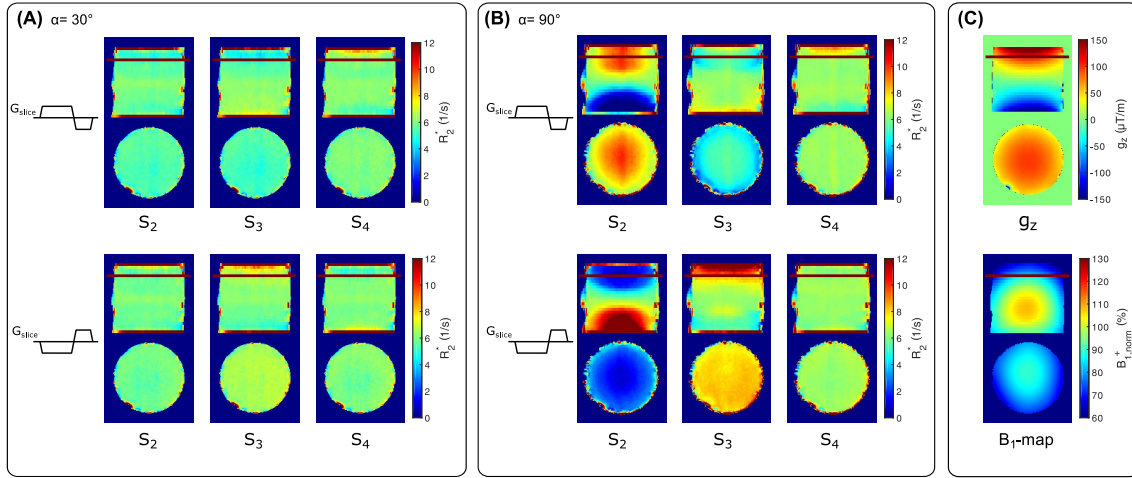
Figure 5.5 compares  $R_2^*$  maps obtained from fits using models  $S_2$ ,  $S_3$ , and  $S_4$  for  $\alpha = 30^\circ$  (Figure 5.5A) and  $\alpha = 90^\circ$  (Figure 5.5B), each with positive and negative  $G_{slice}$  polarity. In addition, the  $g_z$ -map and  $B_1$  map are illustrated in Figure 5.5C. While results for  $\alpha = 30^\circ$  are comparable for all models, considerable differences for  $\alpha = 90^\circ$  between the models and  $G_{slice}$  polarity were found. When using only the magnitude  $|M_{xy}|$  in model  $S_2$

to estimate  $R_2^*$  for  $\alpha = 90^\circ$ , it was not possible to recover  $R_2^*$  without the influence of  $g_z$ .  $R_2^*$  values for  $g_z > 0$  were overestimated for positive  $G_{slice}$  and underestimated for  $g_z < 0$ , and switching to negative  $G_{slice}$  polarity inverted the results. Extending the model  $S_2$  by adding  $\varphi_{xy}$  in  $S_3$  yielded better maps, which are less influenced by the  $G_{slice}$  polarity. Additionally, including  $B_1^+$  and  $\lambda$  in  $S_4$  substantially improved  $R_2^*$  maps, with minimal differences between  $G_{slice}$  polarities. Further, estimated  $R_2^*$  maps using  $S_4$  with  $\alpha = 90^\circ$  are comparable with maps estimated from  $\alpha = 30^\circ$  for both  $G_{slice}$  polarities.

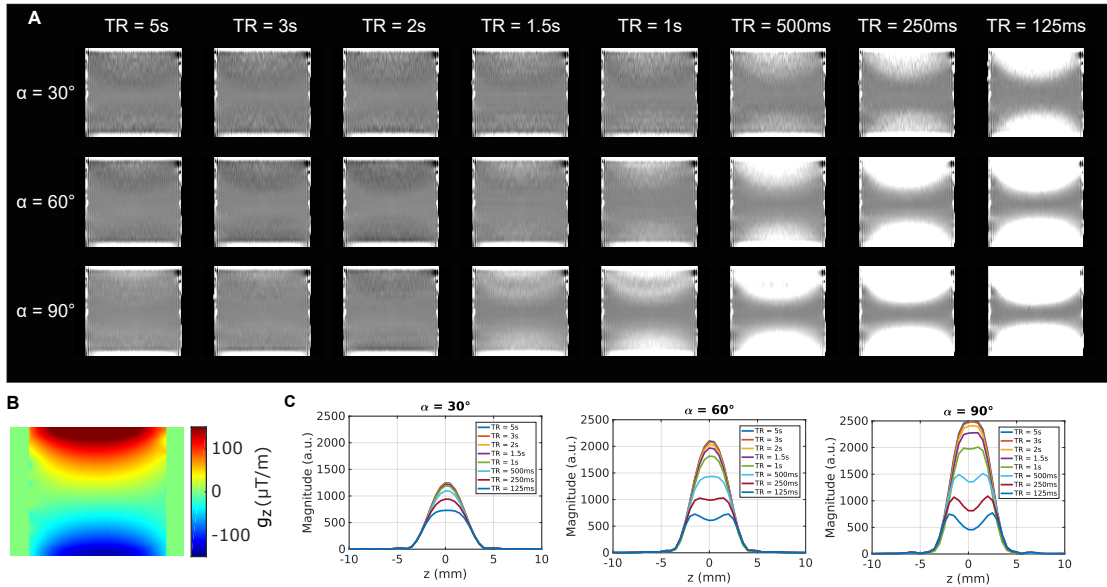
Figure 5.6 illustrates the effects of neglecting  $T_1$  for signal modeling. Estimated  $R_2^*$  maps with  $S_4$  (Figure 5.6A) indicate an overestimation of  $R_2^*$ , depending on  $TR$  and  $\alpha$  in the presence of  $g_z$  (Figure 5.6B). For  $\alpha = 30^\circ$ , increased  $R_2^*$  values are observable only up to a  $TR$  of 500 ms while for  $\alpha = 90^\circ$  these effects extend up to a  $TR$  of 1.5s. These  $TR$  values correspond to a  $TR/T_1$  ratio of 0.67 and 2.01 for the estimated  $T_1 = 740ms$ . The origin for the  $R_2^*$  overestimation is shown in (Figure 5.6C), where the averaged measured signal along the slice profile is plotted. Depending on  $\alpha$  and  $TR$ , the steady-state solution changes causing a modeling error in the presence of  $g_z$ . Between different  $TRs$  for  $\alpha = 30^\circ$ , the profiles show less variations compared with  $\alpha = 90^\circ$ , leading to different signal dephasing for the same  $g_z$ . Besides  $T_1$  effects, for  $TR > 2s$ , SNR benefits can be observed for maps acquired with  $\alpha = 90^\circ$  compared with  $\alpha = 30^\circ$ .



**Figure 5.4:** Comparison of  $R_2^*$  values estimated from the phantom experiments with the mono-exponential model  $S_1$  are plotted as function of  $g_z$  for  $\alpha = 30^\circ$  (A) and  $\alpha = 90^\circ$  (B) with positive and negative slice-selection gradient  $G_{slice}$ . Additionally, the averaged normalized signal decay is plotted for  $|g_z| = 100\mu T/m$ . The dotted red line represents a positive  $g_z$  and the solid blue line a negative  $g_z$ . For  $\alpha = 30^\circ$ , no relevant differences between the polarity of  $G_{slice}$  and  $g_z$  are observed, whereas for  $\alpha = 90^\circ$ , a flipped  $G_{slice}$  polarity substantially affects  $R_2^*$ .



**Figure 5.5:** Coronal and axial slices of estimated  $R_2^*$  maps from the phantom measurements for different signal models ( $S_2$ - $S_4$ ). Although all correction models yield relatively comparable  $R_2^*$  values for  $\alpha = 30^\circ$  (A), the high flip angle results for  $\alpha = 90^\circ$  (B) highlight the effect of  $B_1^+$  and  $\lambda$  correction. Full modeling with  $S_4$  also eliminates the influence of the polarity of the slice-selection gradient  $G_{slice}$  at  $\alpha = 90^\circ$ . The corresponding  $g_z$  maps and  $B_1$  maps are shown in (C).



**Figure 5.6:** Experimental evaluation of  $TR/T_1$  dependency for  $R_2^*$  modeling in phantom measurements. Coronal  $R_2^*$  maps were estimated using  $S_4$  for different  $TR$  and  $\alpha$  (A). The minimum  $TR$  required for avoiding  $T_1$  effects increases with the magnitude of  $g_z$  (B) and  $\alpha$ .  $T_1 = 740 \pm 86ms$  was estimated with an inversion recovery sequence. In addition, the measured signal along the slice for each  $\alpha$  and  $TR$  is plotted (C) showing the different steady-state solutions.

### 5.3.3 In Vivo Experiments

In-vivo results of  $R_2^*$  maps obtained with model  $S_1$  and  $S_4$  are illustrated in Figure 5.7 for both  $G_{slice}$  polarities. When comparing  $S_1$  (Figure 5.7A-B) with  $S_4$  (Figure 5.7D-E), much higher  $R_2^*$  values are observed in maps using  $S_1$  compared with  $S_4$ . In addition, the difference map between positive and negative  $G_{slice}$  polarity for each model reveals strong variations of  $R_2^*$  values with up to  $10s^{-1}$  for  $S_1$  in areas with strong  $g_z$  (Figure 5.7C). In contrast, maps estimated with  $S_4$  substantially suppressed the impact of  $G_{slice}$  polarity with difference values below  $1 s^{-1}$  (Figure 5.7F).

In Table 5.1 the regional evaluation of  $R_2^*$  values with the corresponding mean  $|g_z|$  across all subjects is presented. Compared with the other models, highest  $R_2^*$  values were obtained with  $S_1$  in all anatomical regions. In addition, the difference between  $G_{slice}$  polarities increased with the mean  $|g_z|$  value in each region for  $S_1$ . For example, in the caudate nucleus, where the smallest  $|g_z|$  was observed with  $20\mu T/m$ , the difference between polarities was below  $0.1s^{-1}$ , whereas in the brainstem it was  $7.46s^{-1}$  at a mean  $|g_z|$  of  $89\mu T/m$ .  $R_2^*$  values generally decreased when using  $S_2$ , but the difference between polarities was slightly increasing compared with  $S_1$ . Applying models  $S_3$  and  $S_4$  reduced the discrepancy between  $G_{slice}$  polarities to a maximum of  $2.01s^{-1}$  and  $1.25s^{-1}$  in the brainstem. In all other regions, the difference was much smaller with values below  $0.8s^{-1}$ . Between models  $S_3$  and  $S_4$ , rather small changes could be observed generally.

The difference between  $R_2^*$  estimation with  $S_3$  and  $S_4$  is shown in Figure 5.8, pointing out the effect of modeling  $B_1^+$  and  $\lambda$  in  $S_4$ . When visually comparing the difference maps in Figure 5.8A and Figure 5.8B, a strong correspondence between the magnitude of  $g_z$  (Figure 5.8C) and  $B_1^+$  (Figure 5.8D) can be observed for both  $G_{slice}$  polarities.

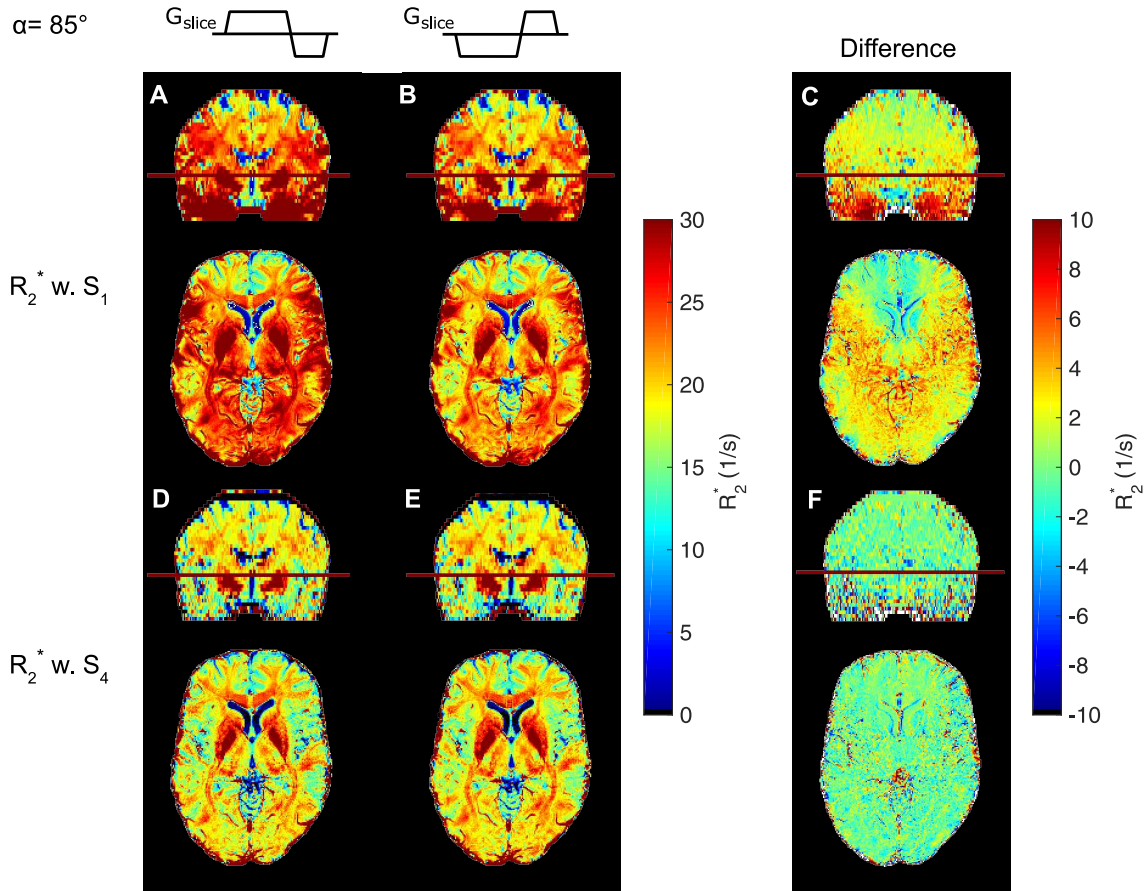
The  $R_2^*$  maps from data acquired with four different excitation pulses and two different flip angles are shown in Figure 5.9. Visually, only minor differences between all maps are observable. Higher  $SNR$  can be observed in maps with  $\alpha = 85^\circ$  compared with  $\alpha = 30^\circ$ . Mean regional  $R_2^*$  values were in good agreement after applying models  $S_3$  and  $S_4$  (Table 5.1). For example, in global  $WM$ , the largest standard deviation of  $R_2^*$  between the acquisitions was found for  $S_1$  with  $1.59s^{-1}$ , due to the different pulses and flip angles. By using  $S_2$ , it decreased to  $0.82s^{-1}$  and for  $S_3$  and  $S_4$ , the estimated values were  $0.19s^{-1}$  and  $0.2s^{-1}$ , respectively.

Figure 5.10 shows representative slices of  $MWF$  maps from five subjects obtained with models  $S_1$ ,  $S_3$ , and  $S_4$ . It shows that with  $S_1$ , in areas with strong  $g_z$ , such as in the frontal and temporal lobe, the  $MWF$  estimation was not feasible because of the fast signal decay, whereas the proposed approaches allowed a reconstruction in these areas. Between maps with models  $S_3$  and  $S_4$ , no considerable differences were found indicating that  $B_1^+$  and  $\lambda$  had a negligible small influence.

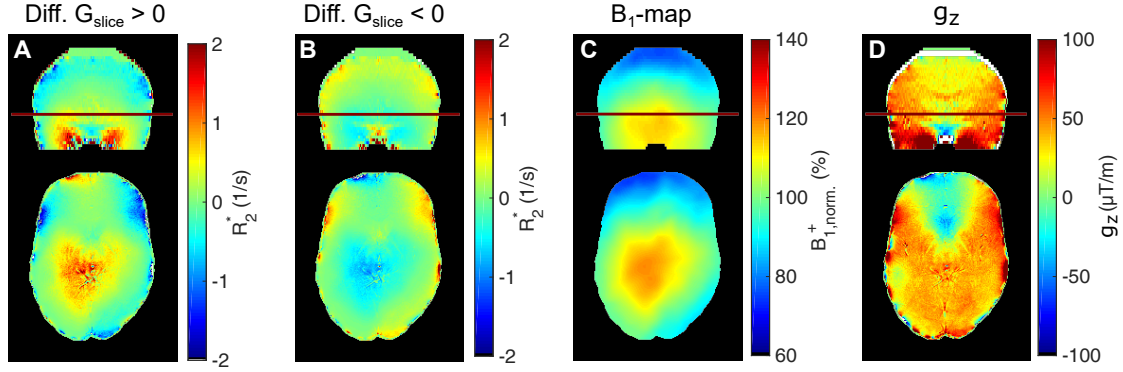
As shown in Figure 5.10, the  $MWF$  in the genu of the corpus callosum is underestimated with  $S_1$  because of  $g_z$ . Using  $S_3$  and  $S_4$  enabled us to recover  $MWF$  values in these areas with a median of 12.09 % and 12.66%, respectively. Our  $MWF$  results are within the



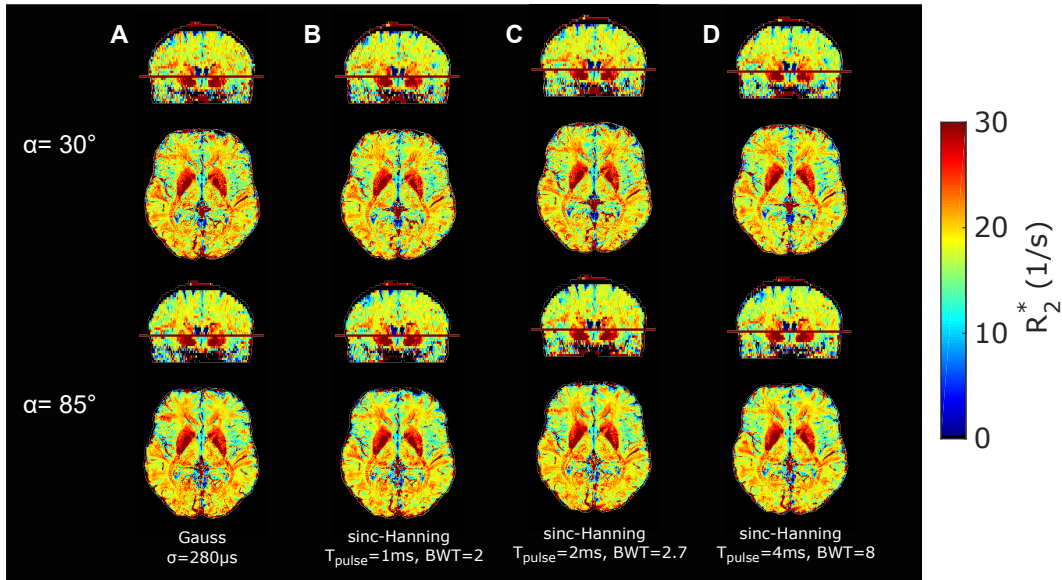
range of reported values: For the genu of the corpus callosum, Lee et al. [133] reported approximately 12% for their postprocessing approach, and Alonso-Ortiz et al. [2] around 16%. Furthermore, in the body of the corpus callosum the proposed models yielded to an increase of  $MWF$  from 3.7% with  $S_1$  to 6.65% and 6.67% for  $S_3$  and  $S_4$ , respectively. Interestingly, this analysis demonstrated that rather small  $|g_z|$  with around  $10\mu T/m$  in the body of the corpus callosum severely effects  $MWF$  estimation when using the simple model  $S_1$ . Table 5.3 summarizes the median  $MWF$  values in all 10 subjects in different  $WM$  regions for models  $S_1$ ,  $S_3$ , and  $S_4$ .



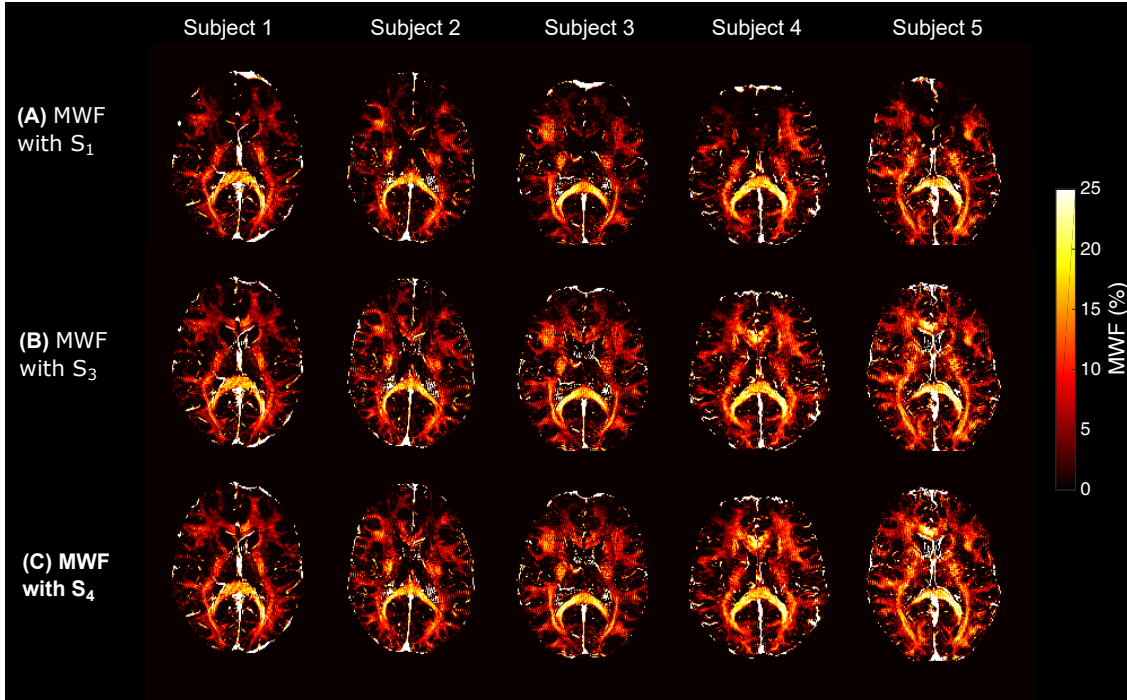
**Figure 5.7:** Comparison of coronal and axial  $R_2^*$  maps obtained from monoexponential model  $S_1$  (A-B) with maps from the proposed numerical model  $S_4$  (D-E) for positive and negative slice-selection gradient  $G_{slice}$ . The difference map between  $G_{slice}$  polarities for each model is illustrated in (C) and (F). The  $S_1$  model shows  $R_2^*$  overestimation and substantial impact of the  $G_{slice}$  polarity (C), which were mitigated using  $S_4$  (F).



**Figure 5.8:** Difference between  $R_2^*$  maps estimated with  $S_4$  (which includes  $B_1^+$  and  $\lambda$  variations) and  $S_3$  for positive (A) and negative slice-selection gradient  $G_{slice}$  (B). Coronal (upper row) and axial (lower row) views are shown. Additionally, the  $B_1$  map (C) and  $g_z$  map (D) are illustrated. Depending on  $G_{slice}$  polarity,  $R_2^*$  varies in areas with higher  $B_1^+$  and  $g_z$  variations.



**Figure 5.9:**  $R_2^*$  maps estimated with model  $S_4$  from  $mGRE$  data acquired with four different excitation pulses (A-D) for  $\alpha = 30^\circ$  (top row) and  $\alpha = 85^\circ$  (bottom row). Regional evaluation of  $R_2^*$  can be found in Table 5.1.



**Figure 5.10:** Representative  $MWF$  maps from five subjects obtained by using models  $S_1$  (A),  $S_3$  (B), and  $S_4$  (C). The proposed models  $S_3$  and  $S_4$  allow to recover  $MWF$  values in areas strongly affected by the field gradient  $g_z$  (e.g., in frontal areas).

**Table 5.1:**  $R_2^*$  values ( $s^{-1}$ ) from models  $S_1$  to  $S_4$  in different brain regions for 10 subjects with the corresponding  $|g_z|$  values for positive and negative  $G_{slice}$ .  $R_2^*$  and  $|g_z|$  values are shown as mean (standard deviation).

Region	$G_{slice}$	$S_1$	$S_2$	$S_3$	$S_4$	$ g_z (\mu T/m)$
Global WM	pos.	26.34 (1.16)	21.11 (0.61)	20.10 (0.58)	19.63 (0.62)	43.06 (8.81)
	neg.	23.72 (0.97)	18.17 (0.58)	19.89 (0.54)	20.17 (0.50)	43.68 (8.40)
Caudate Nucleus	pos.	23.36 (1.53)	21.46 (1.47)	21.81 (1.40)	21.82 (1.40)	20.47 (3.57)
	neg.	23.41 (1.61)	21.58 (1.32)	21.58 (1.28)	21.52 (1.27)	20.42 (3.22)
Pallidum	pos.	39.83 (2.78)	36.56 (2.58)	35.60 (2.65)	34.85 (2.71)	34.38 (8.75)
	neg.	36.86 (2.75)	33.50 (3.08)	35.07 (2.85)	35.61 (2.81)	34.03 (8.73)
Putamen	pos.	29.11 (2.14)	25.78 (1.70)	25.02 (1.76)	24.49 (1.80)	32.69 (5.84)
	neg.	26.97 (2.06)	23.52 (2.05)	24.91 (1.90)	25.28 (1.87)	32.90 (5.87)
Thalamus	pos.	25.84 (1.80)	22.34 (0.64)	21.33 (0.62)	20.34 (0.84)	33.65 (8.75)
	neg.	22.61 (0.97)	18.80 (1.24)	20.50 (0.87)	21.22 (0.74)	34.41 (8.83)
Brainstem	pos.	35.15 (7.97)	20.34 (2.07)	17.58 (1.77)	15.10 (1.60)	88.61 (35.73)
	neg.	27.70 (6.99)	11.21 (1.96)	15.08 (1.53)	16.45 (1.55)	89.90 (34.79)

**Table 5.2:** Influence of pulse shape and flip angle for modeling  $R_2^*$ .  $R_2^*$  values ( $s^{-1}$ ) were estimated with models  $S_1$  to  $S_4$  from *mGRE* data acquired with four different pulses and  $\alpha = 30^\circ$  and  $\alpha = 85^\circ$ . It shows a flip angle and pulse shape dependency for  $S_1$  in all regions. By applying  $S_2$ , differences decrease, but  $R_2^*$  values remain larger for  $\alpha = 85^\circ$  than for  $\alpha = 30^\circ$ . With  $S_3$  and  $S_4$  the flip angle dependency can be improved, leading to minimal differences of  $R_2^*$  between the pulses. In  $S_4$ ,  $B_1^+$  and  $\lambda$  have a small additional effect on  $R_2^*$  estimation, compared with  $S_3$ .

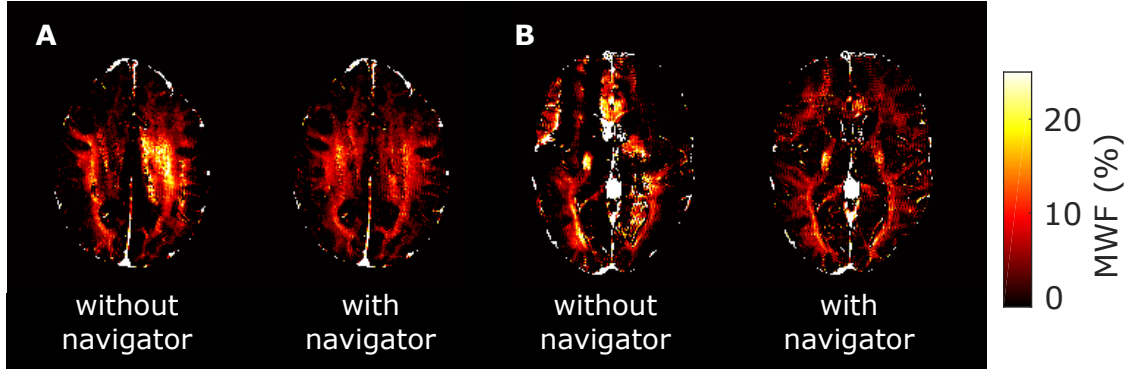
Global WM									
Pulse	$\alpha$	$S_1$		$S_2$		$S_3$		$S_4$	
Gauss	$30^\circ$	26.62	(9.00)	19.94	(4.26)	19.85	(4.29)	19.85	(4.28)
	$85^\circ$	28.41	(10.07)	21.46	(4.44)	19.80	(4.03)	19.27	(4.26)
sinc-Hanning BWT=2	$30^\circ$	25.46	(8.25)	19.50	(4.07)	19.71	(4.07)	19.69	(4.07)
	$85^\circ$	27.33	(9.46)	21.12	(4.64)	20.07	(4.18)	19.59	(4.28)
sinc-hanning BWT=2.7	$30^\circ$	25.28	(8.55)	19.47	(4.03)	19.61	(4.03)	19.61	(4.03)
	$85^\circ$	26.94	(8.74)	20.84	(4.19)	19.71	(3.90)	19.26	(4.03)
sinc-Hanning BWT=8	$30^\circ$	23.40	(6.64)	19.46	(3.84)	19.43	(3.85)	19.41	(3.84)
	$85^\circ$	24.89	(7.06)	20.83	(4.02)	19.81	(3.76)	19.49	(3.83)
Mean (standrad dev.)		26.04	(1.59)	20.33	(0.82)	19.75	(0.19)	19.52	(0.20)
Caudate Nucleus									
Pulse	$\alpha$	$S_1$		$S_2$		$S_3$		$S_4$	
Gauss	$30^\circ$	25.32	(3.76)	23.13	(3.38)	23.15	(3.36)	23.14	(3.37)
	$85^\circ$	25.70	(3.95)	23.42	(3.58)	23.49	(3.18)	23.41	(3.15)
sinc-Hanning TBP=2	$30^\circ$	24.87	(3.32)	22.95	(3.18)	23.07	(3.14)	23.07	(3.14)
	$85^\circ$	25.24	(3.90)	23.18	(3.67)	23.42	(3.30)	23.36	(3.27)
sinc-hanning TBP=2.7	$30^\circ$	24.75	(3.41)	22.89	(3.32)	23.00	(3.28)	23.00	(3.28)
	$85^\circ$	25.30	(3.72)	23.37	(3.53)	23.53	(3.17)	23.46	(3.15)
sinc-Hanning TBP=8	$30^\circ$	24.14	(2.94)	22.98	(2.89)	23.00	(2.88)	22.99	(2.88)
	$85^\circ$	24.38	(3.31)	23.12	(3.42)	23.30	(3.04)	23.28	(3.03)
Mean (standrad dev.)		24.96	(0.52)	23.13	(0.19)	23.24	(0.22)	23.21	(0.19)
Thalamus									
Pulse	$\alpha$	$S_1$		$S_2$		$S_3$		$S_4$	
Gauss	$30^\circ$	26.95	(3.85)	19.70	(4.37)	19.58	(4.40)	19.50	(4.41)
	$85^\circ$	29.35	(4.47)	22.31	(4.15)	20.22	(4.33)	18.63	(4.60)
sinc-Hanning TBP=2	$30^\circ$	25.64	(3.61)	19.03	(4.19)	19.29	(4.18)	19.19	(4.19)
	$85^\circ$	28.06	(4.16)	21.42	(4.05)	20.00	(4.19)	18.57	(4.42)
sinc-hanning TBP=2.7	$30^\circ$	25.50	(3.63)	19.08	(4.20)	19.24	(4.20)	19.17	(4.20)
	$85^\circ$	28.03	(4.19)	21.54	(4.16)	20.02	(4.31)	18.62	(4.53)
sinc-Hanning TBP=8	$30^\circ$	23.17	(3.43)	18.89	(3.96)	18.83	(3.96)	18.75	(3.96)
	$85^\circ$	25.51	(3.90)	21.35	(4.13)	19.94	(4.23)	18.91	(4.36)
Mean (standrad dev.)		26.53	(1.96)	20.41	(1.38)	19.64	(0.49)	18.92	(0.34)
Putamen									
Pulse	$\alpha$	$S_1$		$S_2$		$S_3$		$S_4$	
Gauss	$30^\circ$	30.90	(4.84)	25.27	(4.22)	25.19	(4.22)	25.16	(4.22)
	$85^\circ$	32.49	(5.51)	26.98	(4.24)	25.44	(3.97)	24.74	(3.91)
sinc-Hanning TBP=2	$30^\circ$	29.98	(4.56)	24.82	(4.08)	25.05	(4.07)	25.01	(4.07)
	$85^\circ$	31.85	(5.01)	26.62	(4.06)	25.59	(4.03)	24.94	(4.03)
sinc-hanning TBP=2.7	$30^\circ$	29.71	(4.39)	24.69	(4.04)	24.84	(4.03)	24.81	(4.02)
	$85^\circ$	31.39	(5.10)	26.34	(4.10)	25.23	(3.89)	24.61	(3.82)
sinc-Hanning TBP=8	$30^\circ$	27.91	(4.02)	24.55	(3.88)	24.50	(3.87)	24.46	(3.87)
	$85^\circ$	29.49	(4.56)	26.21	(4.12)	25.14	(3.90)	24.66	(3.84)
Mean (standrad dev.)		30.46	(1.48)	25.69	(0.96)	25.12	(0.34)	24.80	(0.23)

**Table 5.3:** *MWF* values (%) with models  $S_1$ ,  $S_3$ , and  $S_4$  in different *WM* regions for 10 subjects. *MWF* values are shown as median (IQR). In addition, corresponding  $|g_z|$  values are listed as mean (standard deviation).

Region	S1	S3	S4	$g_z(\mu T/m)$
Genu corpus callosum	4.37 (3.93)	12.09 (5.91)	12.66 (5.98)	54.53 (10.84)
Body corpus callosum	3.70 (3.15)	6.65 (1.90)	6.67 (2.00)	9.81 (3.41)
Splenium corpus callosum	14.10 (2.32)	14.23 (2.24)	14.03 (2.06)	4.93 (1.41)
Superior corona radiata	7.06 (2.04)	8.20 (1.72)	8.22 (1.69)	5.28 (1.96)
Posterior corona radiata	7.14 (1.41)	7.34 (1.55)	7.34 (1.53)	3.28 (1.25)
Superior longitudinal fasciculus	8.71 (1.19)	8.94 (0.91)	8.93 (0.92)	4.58 (1.10)

### 5.3.3.1 Navigator Echo

Figure 5.11 shows two examples  $MWF$  maps reconstructed with and without the phase of navigator. The phase has substantial influence on the quality of the estimated  $MWF$  maps.



**Figure 5.11:** Two examples, (A) and (B), of obtained  $MWF$  maps without and with the phase of the navigator echo.

## 5.4 Discussion

In this work we have introduced a numerical model for the signal dephasing of 2D  $mGRE$  sequences for arbitrary excitation pulses in the presence of a macroscopic field gradient  $g_z$ . In contrast to existing analytical solutions, our model is based on solving the Bloch equations numerically, which allows to estimate signal dephasing for any given flip angle  $\alpha$ . We have shown that it is indispensable to consider the phase along the slice profile  $\varphi_{xy}$  and the polarity of the slice-selection gradient  $G_{slice}$  for describing the signal dephasing for higher  $\alpha$ . In our experiments, the threshold was approximately  $60^\circ$ , but this may also vary with the  $RF$  pulse shape.

Compared to existing models [2, 11, 39, 59, 97, 129, 178], which include the slice profile and assume linear varying macroscopic field variations, with the proposed model it is possible to explain different signal decays for different signs of  $g_z$  observed when using larger flip angles. As illustrated in Figure 5.2, this mismatch is explained by the phase variation  $\varphi_{xy}$  along the slice profile causing either a faster dephasing or a short period of rephasing followed by dephasing. Consequently, depending on the pulse shape and effective flip angle, the polarity of the gradient  $G_{slice}$  must be included for modeling, as switching polarity inverts  $\varphi_{xy}$  and thus signal dephasing.

In addition to the polarity dependency of  $G_{slice}$ , the effects of  $B_1^+$  variations and scaling of the slice profile with  $\lambda$  have been investigated in model  $S_4$ . However, changes of  $R_2^*$  due to  $B_1^+$  and  $\lambda$  were relatively small compared with  $S_3$  (Table 5.1). Evaluation has been performed under assumption that with an ideal model the estimated  $R_2^*$  map should be

independent of  $G_{slice}$  polarity. For the models  $S_1$  and  $S_2$ , strong differences between  $G_{slice}$  polarities were found, primarily due to  $\varphi_{xy}$  and by using  $S_3$  it was substantially reduced. However, the main challenge for validation of the models was that, in vivo, no ground truth was available.

Another important aspect is the assumption that  $TR$  for a given  $\alpha$  is sufficiently long to avoid  $T_1$  influence in the presence of  $g_z$ . The experimental results in Figure 5.6A are in accordance with simulation results in Figure 5.3D, where the error decreases with  $TR/T_1$  and the minimum  $TR/T_1$  required enlarges with  $\alpha$ . To gain  $SNR$ , it is desirable to use  $\alpha_{Ernst}$ , but care should be taken on potential errors due to  $T_1$  and  $B_1^+$ . By increasing  $TR/T_1$ , both  $\alpha_{Ernst}$  and the overall  $SNR$  increase; however, the errors due to  $B_1^+$  magnified. For example, as illustrated in Figure 5.3, when  $TR/T_1 = 2$  the error when neglecting  $T_1$  is about 1.2% for  $\alpha = \alpha_{Ernst} = 77^\circ$ . By comparing errors caused by  $B_1^+$  variation, a deviation of  $\xi = 1.15$  leads to errors in a similar range. Thus, without knowing  $T_1$  it is not possible to separate these effects, but it can be adjusted by the  $RF$  pulse shape. For instance, to estimate  $R_2^*$  more accurately, longer  $RF$  pulses can be used to obtain a slice profile closer to the ideal, rectangular shape. This would have the advantage that signal dephasing is influenced less by  $B_1^+$  and  $TR/T_1$ , but it would lead to stronger  $\varphi_{xy}$  variations and zero crossings because of the sinc-shaped signal decay in the presence of  $g_z$ . However, for  $MWF$  estimation very short pulses are needed, which will be more sensitive to these factors. Optimization of the  $RF$  pulses for specific applications was beyond of the scope of this work, but different pulses and their effects can be included and studied with the provided framework.

When comparing different modeling approaches, we can distinguish between models that fit parameters of  $F(t)$  from the signal decay [59, 254] and models that use information from the pulse and field map to calculate  $F(t)$  [11, 97, 178]. Approaches fitting  $F(t)$  are more flexible in terms of model deviations from the ideal slice profile. For example, the sinc function used in the model approach by Fernandez-Seara and Wehrli [59] is well suited to model a variety of signal decays observed with different excitation pulses. Similarly, when modeling the macroscopic field as a quadratic function, the effects of non-ideal slice profile are inherently compensated for [254]. However, in these models, the parameter estimation is often challenging because of the multiplication of  $F(t)$  with  $S_{tissue}(t)$ , thereby requiring the acquisition of many echoes. In contrast, with the analytical solution or our proposed numerical approach for  $F(t)$ , only the parameters of the tissue model  $S_{tissue}$  need to be estimated. Thus, if the properties of the  $RF$  pulse are available, a detailed description of  $F(t)$  is possible, favoring a closed or numerical solution. To select an appropriate model for a certain  $RF$  pulse and flip angle, the provided framework can be used to evaluate the expected error of different modeling approaches. If  $\varphi_{xy}$  might be neglected for a specific  $RF$  pulse and flip angle, then an analytic solution yields a faster solution of  $F(t)$ .

This work has similar limitations as other related postprocessing approaches [2, 11, 39, 59, 94, 97, 97, 178]. The assumption of a linear varying magnetic field in slice direction might not hold in some areas with large susceptibility changes, which is especially pro-

nounced at higher field strengths. However, as we have solved the dephasing along the slice direction by numerical integration, the model can be easily adapted to describe the dephasing also for a quadratic varying magnetic field. Furthermore, in-plane dephasing effects are neglected. In 2D acquisitions the slice thickness is usually much larger than the in-plane resolution, but this might reduce accuracy in areas where the macroscopic in-plane field variations are high. A possible solution to account for in-plane dephasing could be to calculate the  $VSF$  in-plane as proposed by Yablonskiy et al. [250] and multiply the result with  $F_3$  or  $F_4$ , respectively. Given that  $g_z$  is rather strong and that the signal dephasing is mainly driven by  $g_z$ , a reliable parameter estimation is difficult to achieve due to the fast signal decay. To overcome this issue, for  $MWF$  and  $R_2^*$  it has been shown that z-shim gradients between echoes can improve maps by rephasing the signal with appropriated compensation gradients [81, 128]. Therefore, future work will focus on extending our model by including the moment of the z-shim gradients in the modeling to describe the signal dephasing accordingly for every echo.

In addition to variations of the macroscopic field, variation of the phase offset  $\varphi_0$  at  $TE = 0$  could potentially influence signal dephasing. Contributions to  $\varphi_0$  in phased array coils can be divided into receive coil-dependent ( $B_1^-$ ) and receive coil-independent (e.g.,  $B_1^+$  phase) [186]. To reconstruct the navigator corrected raw data, a multi-echo approach was used to combine the individual coil data [145]. In this approach, for each coil, images from all echoes are multiplied with the complex conjugate of the first echo, which removes inherently all components of  $\varphi_0$  of the coil combined data. The development of the proposed models pointed out that the use of navigator echoes is highly recommended to compensate for phase errors from physiologically induced  $B_0$  fluctuations. As illustrated in Figure 5.11, depending on the subject's reconstruction of parameter maps, not having the navigator echoes caused similar artifacts, as reported by Nam et al. [161]. If variations of  $\varphi_0$  should be included for example a ROEMER [187] or SENSE [179] reconstruction could be applied.

The scan time of the here proposed applications is about 6 minutes for  $R_2^*$  maps and 12 minutes for  $MWF$  maps. This is clinical acceptable for whole brain investigations, but further investigations will also focus on combination with accelerated imaging methods such as 2D controlled aliasing in volumetric parallel imaging (CAIPIRINHA) [21].

## 5.5 Conclusion

Proper modeling of the signal dephasing in the presence of  $g_z$  for larger flip angles requires the consideration of  $|M_{xy}|$  and  $\varphi_{xy}$  with correct  $G_{slice}$  polarity. Furthermore,  $B_1^+$  and  $\lambda$  variations can potentially lead to a bias in the estimated model parameters, depending on the excitation pulse. Consequently, the proposed model allows to minimize effects of  $g_z$ , which is highly relevant for accurate  $R_2^*$  and  $MWF$  mapping of the entire brain based on 2D  $mGRE$ .



## 2D versus 3D Gradient-Echo Sequences

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### 6.1 Introduction

The main sequence differences between 2D and 3D acquisitions in *MRI* are the *RF* pulse, which excites a volume instead of slice, and the second phase encoding gradient for encoding the volume. There are two basic types of pulses, the non-selective *RF* excitation and the slab-selective *RF* excitation. The slab-selective excitation restricts the excited volume by applying an *RF* pulse with a small slice-selection gradient  $G_{slice}$ . The name slab refers to the excited volume. Slab-selective excitation brings the advantage that the excited volume is reduced and consequently wrap-around artifacts outside the *FOV* are minimized. In contrast to that, non-selective excitation *RF* pulses excite the whole volume by applying a short hard-pulse.

In terms of slice thickness, 3D acquisitions allow acquiring much thinner slices compared with 2D acquisitions. In 2D, the slice-thickness results from the bandwidth of the *RF* excitation pulse  $\Delta f$  and the amplitude of the slice selection gradient  $G_{slice}$  (Equation 4.2). Hence, increasing  $G_{slice}$  gives thinner slices, but this is limited by the gradient system. Another option is to use longer *RF* pulses to decrease  $\Delta f$ . In contrast to 2D, the slice thickness in 3D acquisitions is given by:

$$\Delta z_{3D} = \frac{1}{N_{phase,2} \Delta k_z} \quad (6.1)$$

Therefore,  $\Delta z_{3D}$  decreases by increasing the number of phase encoding steps  $N_{phase,2}$ , or by decreasing the k-space step size  $\Delta k_z$ .

The acquisition time *TA* results for 2D acquisitions from the number of phase encoding

steps  $N_{phase,1}$  times the number of slices  $N_{slices}$  and the  $TR$  ( $TA_{2D} = N_{phase,1}N_{slices}TR$ ). In 3D acquisitions,  $N_{slices}$  is replaced by the  $N_{phase,2}$  ( $TA_{3D} = N_{phase,1}N_{phase,2}TR$ ). In terms of  $SNR$  efficiency, 3D acquisitions are superior to 2D by the factor of  $\sqrt{N_{phase,2}}$  if we assume that the same voxel volume and  $TR$  is used [14].

However, in 2D measurements, we can increase  $TR$  and this allows to acquire a single k-space line of several slices within  $TR$ . If  $TR$  is chosen long enough, a k-space can be measured for each slice. This acquisition scheme is referred to as multi-slice or interleaved slice acquisition. Compared with 3D acquisitions with short  $TR$ , the longer  $TR$  enables using larger  $\alpha$  to increase the signal.

In general, the  $SNR$  for 2D and 3D acquisitions is proportional to [14]:

$$\begin{aligned} SNR_{2D} &\propto S_{0,2D}(TR_{2D}/T_1, \alpha_{2D})\Delta x\Delta y\Delta z\sqrt{N_{phase,1}NexT_{acq}}, \\ SNR_{3D} &\propto S_{0,3D}(TR_{3D}/T_1, \alpha_{3D})\Delta x\Delta y\Delta z\sqrt{N_{phase,1}N_{phase,2}NexT_{acq}}, \end{aligned} \quad (6.2)$$

where  $\Delta x$ ,  $\Delta y$ , and  $\Delta z$  are the voxel dimensions in all three spatial directions,  $N_{phase,1}$  and  $N_{phase,2}$  the number of phase encoding steps,  $T_{acq}$  the acquisition time, and  $Nex$  the number of averages.  $T_{acq}$  is the time during the readout.  $S_{0,2D}$  and  $S_{0,3D}$  describe the steady-state signal for the spoiled  $GRE$  sequences, which depend on the ratio  $TR/T_1$  and  $\alpha$ . Using the steady-state equation for the 3D and 2D acquisition and assuming equal voxel size,  $N_{phase,1}$ ,  $Nex$ , and  $T_{acq}$ , the  $SNR$  ratio is given by [14]:

$$\begin{aligned} \frac{SNR_{3D}}{SNR_{2D}} &= \frac{S_{0,3D}}{S_{0,2D}}\sqrt{N_{phase,2}} \\ &= \frac{(1 - \exp(-TR_{3D}/T_1)) \sin \alpha_{3D} (1 - \exp(-TR_{2D}/T_1)) \cos \alpha_{2D}}{(1 - \exp(-TR_{2D}/T_1)) \sin \alpha_{2D} (1 - \exp(-TR_{3D}/T_1)) \cos \alpha_{3D}} \sqrt{N_{phase,2}}. \end{aligned} \quad (6.3)$$

Therefore, the  $SNR$  ratio between 3D over 2D acquisitions is given by  $\sqrt{N_{phase,2}}$  times the ratio  $S_{0,3D}$  to  $S_{0,2D}$ . As  $TR_{2D}$  and  $\alpha_{2D}$  are usually much larger in an interleaved slice acquisition, the ratio between the signals is  $< 1$ . Thus, the smaller ratio counteracts  $\sqrt{N_{phase,2}}$ .

Using the Ernst angle, Johnson et al. showed that often a similar or equal  $SNR$  efficiency can be achieved between 2D and 3D acquisitions [106].

Apart from the basic properties of 2D and 3D spoiled gradient-echo sequences [14, 25], relatively little attention has been given to the comparison between different signal decays in the presence of macroscopic field variations. In the case of 2D acquisitions, the signal decay results from the shape of the slice profile and the variations of the macroscopic field along the slice [39, 59, 94, 178, 202, 254]. For 3D acquisitions, the signal decay depends on the macroscopic field variations, the k-space trajectory, potential k-space filters, and the number of k-space samples. To describe these effects on signal dephasing, Yablonskiy et al. proposed the  $VSF$  [250].

In the following chapter we describe a comparison between 2D and 3D spoiled  $GRE$  acquisitions, focusing on signal dephasing and modeling of the signal decay in the presence

of macroscopic field variations. For signal modeling of the 3D data, we applied the *VSF* [250] and for the 2D data we used the signal model developed in this thesis [202]. The methods were evaluated with phantom and in vivo measurements in terms of  $R_2^*$  mapping. In addition to the advanced signal models, the  $R_2^*$  maps were estimated with a monoexponential signal model without incorporating macroscopic field variations. This study shows that within the same acquisition time similar results can be achieved with 2D and 3D approaches if an adequate signal model is used.

## 6.2 Methods

To assess the differences in signal dephasing in the presence of macroscopic field variations for 2D and 3D acquisitions, phantom and in vivo measurements have been performed. Except for  $TR$  and  $\alpha$ , acquisition parameters between 2D and 3D acquisitions were the same. In the 2D acquisitions, a larger  $\alpha$  and longer  $TR$  was used because of the interleaved slice acquisition. Thus, both acquisitions had the same voxel size and acquisition time, allowing a reasonable comparison between 2D and 3D. To study the impact of macroscopic field variations on signal dephasing, a monoexponential signal decay model for  $R_2^*$  was used. To account for macroscopic field variations, in the 3D acquisitions the *VSF* [250] model and for 2D acquisitions, the signal model of Soellrall et al. was applied [202].

### 6.2.1 Phantom Measurements

For the phantom measurements, a homogeneous agar phantom was built. This phantom should ideally have a constant  $R_2^*$  in the absence of macroscopic field variations. It was made of  $5g/L$  agar and, to reduce  $T_1$ , the liquid agar was doped with  $110\mu mol/L$  Magnevist® before solidification. Imaging was performed with 3T MR imaging system (Magnetom Prisma, Siemens, Erlangen, Germany) using an 8-channel knee coil. For the 3D acquisitions, the sequence parameters of the *mGRE* were:  $FOV = 128x128x144mm^3$ , matrix size  $128x128x48$ , slab-selective excitation with flip angle  $\alpha = 21^\circ$ ,  $TR = 51ms$ ,  $BW = 500Hz/pixel$ , 20 echoes acquired using bipolar acquisition with an echo spacing  $\Delta TE = 2.18ms$  starting from the first echo time  $TE_1 = 3.5ms$  and a navigator echo at  $TE_{Navi} = 48.38ms$ . For the 2D acquisitions, a slice-selective sinc-Hanning-windowed *RF* excitation pulse with a pulse duration  $T_{pulse} = 2ms$  and  $TBP = 2.7$  was used with  $\alpha = 60^\circ$ . In total, 48 slices with a slice thickness  $\Delta z = 3mm$  were acquired in an interleaved slice acquisition with a  $TR$  of  $2430ms$ . All other parameters were the same as for the 3D acquisitions.

### 6.2.2 In Vivo Measurements

The head of a male subject (30 years) was scanned with a 2D and 3D *mGRE* sequence. The sequence parameters for the 3D acquisitions were:  $FOV = 224 \times 128 \times 144 \text{ mm}^3$ , matrix size  $224 \times 128 \times 48$ , slab-selective excitation with flip angle  $\alpha = 21^\circ$ ,  $TR = 51 \text{ ms}$ ,  $BW = 500 \text{ Hz/pixel}$ , 20 echoes acquired with bipolar acquisition with an echo spacing  $\Delta TE = 2.22 \text{ ms}$ ,  $TE_1 = 2.87 \text{ ms}$ , and a navigator echo at  $TE_{Navi} = 48.72 \text{ ms}$ . For the 2D acquisitions, the same slice-selective excitation pulse as for the phantom with  $\alpha = 60^\circ$  was used. 48 slices with  $\Delta z = 3 \text{ mm}$  were acquired with an interleaved slice acquisition using a  $TR$  of  $2430 \text{ ms}$ . The acquisition time for both sequences was  $7 \text{ min}$  and  $28 \text{ s}$ . Besides the *mGRE* sequences, an anatomical *MPRAGE* scan with a voxel size of  $1 \text{ mm}^3$  was performed.

### 6.2.3 Data Processing and Evaluation

Physiologically induced phase fluctuations were first corrected in the raw data using the navigator echos. Then the individual coil images were combined. Both steps are described in Section 4.2. Before coil combination, the 3D data was reconstructed in two different ways. First, the raw data was reconstructed without filtering and second, as proposed by Yablonskiy et al. [250], a 3D Hanning filter was applied to reduce Gibb's ringing. In addition, the in vivo data was reconstructed without the navigator echoes to study the impact of physiologically induced fluctuations between 2D and 3D acquisitions. Afterwards, for all acquisitions, the field gradient maps  $g_x$ ,  $g_y$ , and  $g_z$  were estimated by calculating the gradient of field map  $\Delta B_0$  in all three spatial. To calculate the gradient, the *gradient()* function in MATLAB was used, which is based on finite differences. After the phase unwrapping using PRELUDE [103], the field map was obtained by fitting a linear equation to the first 4 echoes of the unwrapped phase.

Then, for the non-filtered and filtered 3D data, the signal dephasing  $F_{3D}(TE, N_{neigh})$  and  $F_{3D,filt}(TE, N_{neigh})$  was estimated for a different number of neighbors  $N_{neigh}$  with the *VSF*. For the 2D data, the signal dephasing in the slice-direction  $F_{2D}$  was estimated as described for the signal model  $F_3$  in Soellradl et al. [202]. For all three cases,  $R_2^*$  maps were estimated by non-linear fitting the following equations to the the reconstructed signal:

$$S_{3D}(TE) = S_0 \exp(-R_2^* TE) F_{3D}(TE, N_{neigh}), \quad (6.4)$$

$$S_{3D,filt}(TE) = S_0 \exp(-R_2^* TE) F_{3D,filt}(TE, N_{neigh}), \quad (6.5)$$

$$S_{2D}(TE) = S_0 \exp(-R_2^* TE) F_{2D}(TE), \quad (6.6)$$

where  $S_0$  describes the signal at  $TE = 0$ . For each acquisition, the estimated  $R_2^*$  values from the different acquisitions were evaluated within global *WM* and *GM* masks. The

subcortical *GM* masks were obtained from the *MPRAGE* images using *FSL* FIRST [171] and global *WM* masks were derived from the *MPRAGE* images with SIENAX [201] which is part of *FSL* [200]. Afterwards, the masks were affinely registered to the *mGRE* images using *FSL* FIRST [171].

## 6.3 Results

### 6.3.1 Phantom Measurements

Figure 6.1 compares the measured normalized signal decay with the estimated signal dephasing (Figure 6.1A). For the 3D, the 3D filtered, and the 2D data the signal was averaged in two *ROIs* with different field gradient values (Figure 6.1B). The signal decay shows variations depending on the acquisition and magnitude of the field gradients.

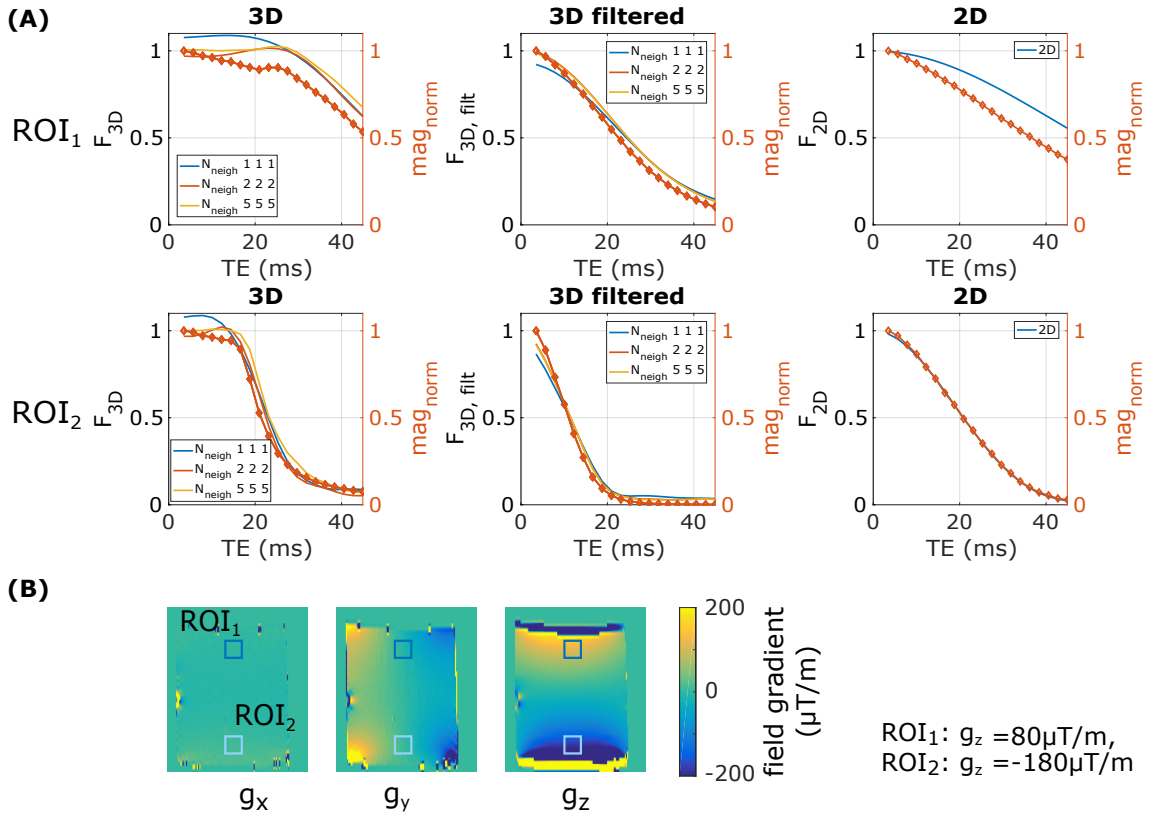
The 2D and the filtered 3D data show both a smooth decay, but the filtered data decays much faster than the 2D data. The signal of the non-filtered 3D data decays relatively slow and, depending on the field gradient, the signal decays faster after a certain *TE*. Further, the signal of the non-filtered 3D shows a signal overshoot at approximately  $TE = 20ms$  caused by Gibb's ringing. By applying a Hanning window, the overshoot vanishes, and the signal is smoothed. The filtering further results in a faster signal decay. When comparing the 2D signal with the non-filtered 3D signal, the signal decays smoother but it reaches roughly the same signal amplitude at the last echo (*ROI* 1). The filtered 3D signal decays faster than the non-filtered 3D and the 2D data approximately for  $TE > 10ms$ .

Besides the signal decay, Figure 6.1A illustrates the averaged estimated dephasing function for the non-filtered 3D ( $F_{3D}$ ), filtered 3D ( $F_{3D, filt}$ ), and the 2D data ( $F_{2D}$ ). For the 3D data, the plots show additionally the influence of  $N_{neigh}$ . The largest difference in the curves is given for the non-filtered data, whereas for the filtered data no visual difference can be observed.

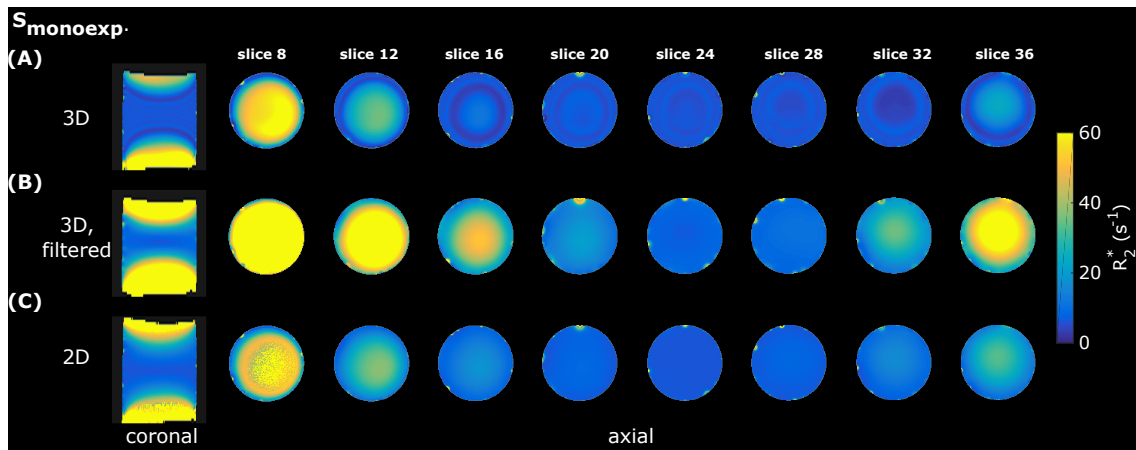
Figure 6.2 shows obtained  $R_2^*$  maps with  $S_{mono}$  for the three investigated cases. Similar to the different signal decays in Figure 6.1, different  $R_2^*$  maps are observable. For the non-filtered 3D data (Figure 6.2A), local variations are present because of Gibb's ringing. The filter removes these variations in the filtered  $R_2^*$  maps, but the  $R_2^*$  values are much more affected by the field gradients. For example, in slice 16 the 3D filtered data shows  $R_2^*$  values about a factor five larger than for the non-filtered case. When comparing the 3D non-filtered data with the 2D data, a similar overestimation in  $R_2^*$  is observed. The reference  $R_2^*$  value of the phantom was  $6.4s^{-1}$ , which was estimated in a *ROI* in the center of the phantom (field gradients are approximately 0).

Figure 6.3 illustrates the estimated  $R_2^*$  when including the macroscopic field variations in the signal models. In contrast to a conventional monoexponential signal model, the overestimation of  $R_2^*$  (Figure 6.2) can be reduced for all analyzed cases. However, depending on the acquisition type, with and without filtering, and  $N_{neigh}$  different  $R_2^*$  maps are obtained. To better characterize these differences, Figure 6.4 plots the median of  $R_2^*$

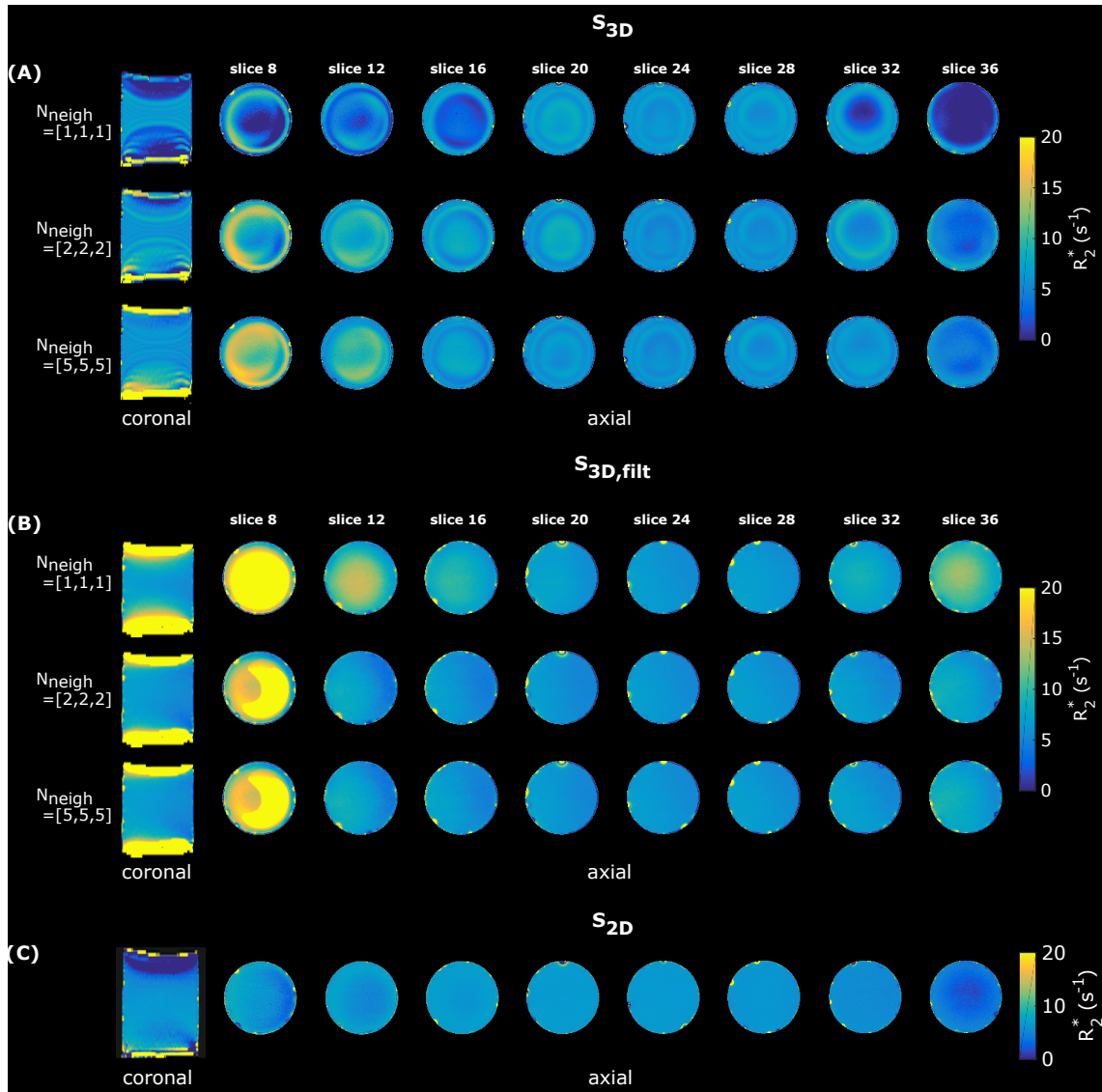
against the field gradient  $g_z$  in averaged intervals of  $20\mu T/m$ . For the non-filtered 3D,  $R_2^*$  depends on  $N_{neigh}$  with the most homogeneous map obtained with  $N_{neigh} = [5, 5, 5]$ . Apart from errors caused by the field gradients, in all maps Gibb's ringing related artifacts can be observed. In contrast to that, in the filtered 3D  $R_2^*$  maps the ringing is removed. Additionally, the results of the filtered 3D data indicate only a minor dependency on the number of  $N_{neigh}$ . From  $N_{neigh} = [1, 1, 1]$  to  $N_{neigh} = [2, 2, 2]$ , an improvement was found in the  $R_2^*$  maps (e.g. 6.3B slice 36) whereas from  $N_{neigh} = [2, 2, 2]$  to  $N_{neigh} = [5, 5, 5]$  no visual difference can be observed. Thus,  $N_{neigh} = [2, 2, 2]$  seems to be sufficient to describe the signal dephasing of the filtered data. The visual assessment of  $R_2^*$  maps and  $R_2^*$  as a function of  $g_z$  further reveals that in a large range of field gradient values ( $g_z \approx \pm 100\mu T/m$ ) the original  $R_2^*$  value can be recovered ( $6.4^{-1}$ ). When comparing 2D with the 3D  $R_2^*$  maps, a good performance for negative values up  $-200\mu T/m$  was found, but an underestimation of  $R_2$  for increasing positive  $g_z$  is visible (Figure 6.4).



**Figure 6.1:** Comparison of the measured normalized signal decay  $mag_{norm}$  (red line with squares) with the estimated signal dephasing for non-filtered 3D  $F_{3D}$ , filtered 3D  $F_{3D, filt}$ , and 2D data  $F_{2D}$ . (A) shows the averaged normalized signal decay and the estimated signal dephasing function from two ROIs. For  $F_{3D}$  and  $F_{3D, filt}$ , the dephasing functions were estimated for a different number of neighbors  $N_{neigh}$ . (B) shows a coronal slice of the field gradient maps  $g_x$ ,  $g_y$ , and  $g_z$  and the two ROIs for the evaluation.

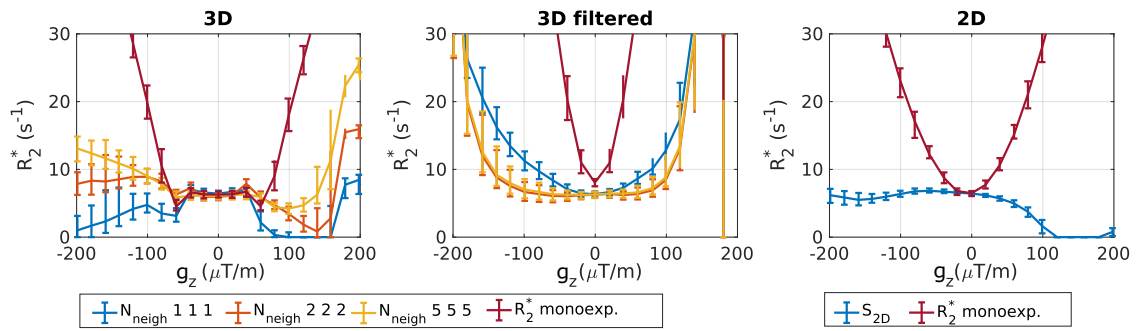


**Figure 6.2:**  $R_2^*$  maps estimated from the non-filtered 3D, filtered 3D, and 2D data using the monoexponential signal model  $S_{mono}$  in the homogeneous phantom. Depending on the acquisition,  $R_2^*$  is differently overestimated.



**Figure 6.3:** Estimated  $R_2^*$  maps from the phantom measurements with the signal models that account for macroscopic field gradients in the non-filtered 3D (A), filtered 3D (B), and 2D data (C). For the both 3D data sets, additional results are shown with different numbers of neighbors  $N_{\text{neigh}}$  for estimating the  $VSF$ .





**Figure 6.4:**  $R_2^*$  as a function of the field gradient  $g_z$  from the non-filtered 3D, filtered 3D, and 2D data in the phantom. For the 3D data, different numbers of neighbors  $N_{\text{neigh}}$  were used for calculation of the *VSF*. Values were averaged in an interval of  $20\mu\text{T}/m$  from the slice number 5 to 43.

### 6.3.2 In Vivo Measurements

Figure 6.5 shows  $R_2^*$  maps obtained by fitting the non-filtered 3D (Figure 6.5A), the filtered 3D (Figure 6.5B), and the 2D data (Figure 6.5A) to the monoexponential signal model  $S_{mono}$ . Additionally, Figure 6.6 illustrates the field gradient map  $g_z$  in  $z$ -direction. Depending on the data and field gradients strength,  $R_2^*$  is differently overestimated. The weakest influence of the field gradients on  $R_2^*$  shows the non-filtered 3D data, followed by the 2D data with a slightly larger sensitivity. Compared with the non-filtered 3D and the 2D data, by far the strongest impact of the field gradients on  $R_2^*$  is given for the filtered 3D data. Even in relatively homogeneous slices with small field gradients, such as slice 37, the filtering leads to a much larger  $R_2^*$ .

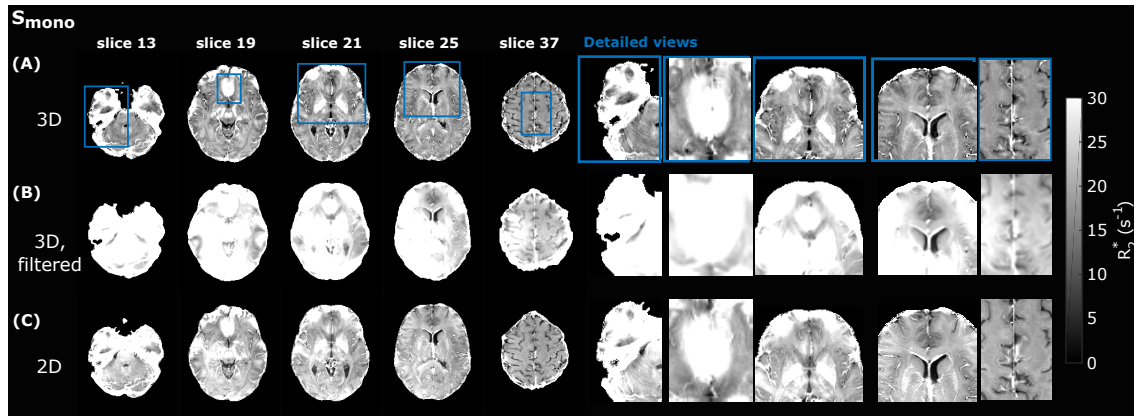
The results with the  $VSF$  model for the 3D data are illustrated in Figure 6.7 for the non-filtered and in Figure 6.8 for the filtered data. In both Figures, the  $R_2^*$  maps in (A) were obtained with  $S_{mono}$  and these are compared in (B-D) with the  $R_2^*$  maps estimated with different numbers of neighbors  $N_{neigh}$ . The Figures show that applying the  $VSF$  yields improved  $R_2^*$  maps. In contrast to the filtered data, a dependency on  $N_{neigh}$  can be seen for the non-filtered data as indicated by the red arrows in Figure 6.7. A visual comparison of the maps shows sharper edges and more details in the non-filtered maps.

Figure 6.9 compares  $R_2^*$  maps estimated from the non-filtered 3D data (Figure 6.9A) with the filtered (Figure 6.9B) and the 2D data (Figure 6.9C). As indicated by the red arrows Figure 6.9, the filtered  $R_2^*$  tends to overestimate  $R_2^*$  more than the non-filtered data and the non-filtered data underestimates  $R_2^*$  in areas with strong field gradients. The 2D  $R_2^*$  maps are similar to the non-filtered 3D  $R_2^*$  maps, but with a tendency to an  $R_2^*$  underestimation.

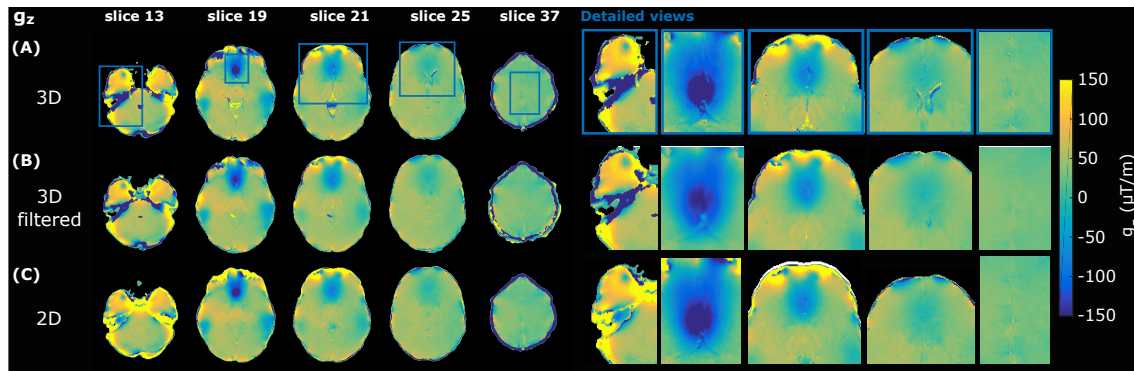
Table 6.1 lists quantitative  $R_2^*$  values of the different approaches. The results are in accordance with the visual observations. When comparing the  $R_2^*$  values estimated with  $S_{mono}$  and the results from  $VSF$  of the non-filtered 3D, only minor difference in  $R_2^*$  can be observed for different  $N_{neigh}$ . By applying the 3D Hanning filter, the  $R_2^*$  values obtained with  $S_{mono}$  are nearly doubled. For instance, in the brainstem  $R_2^*$  is  $18.68s^{-1}$  for the non-filtered 3D and  $39.81s^{-1}$  for the filtered 3D data. For the 2D data  $R_2^*$  (with  $S_{mono}$ ) are slightly higher than the non-filtered 3D data, but much smaller than the filtered 3D data. When applying the  $VSF$  to the filtered data, the overestimation of  $R_2^*$  caused by the filtering and the field gradients decreases. Nonetheless, the values in all evaluated regions are elevated compared to the non-filtered 3D data. When comparing the effect of  $N_{neigh}$ , it shows that for the non-filtered data  $N_{neigh} > [2, 2, 2]$  has an impact on  $R_2^*$ , whereas for the non-filtered data only minor changes are observable. Generally, the 2D median values estimated with  $S_{2D}$  are in a similar range to the values from the 3D filtered data with  $N_{neigh} = [5, 5, 5]$ . Interestingly, the interquartile range (IQR) is smaller in all evaluated regions with  $S_{2D}$  than for the non-filtered 3D data, suggesting lower  $R_2^*$  variations in 2D data set.

**Table 6.1:** Regional  $R_2^*$  ( $s^{-1}$ ) evaluation (represented as median (*IQR*)) of non-filtered 3D, the filtered 3D, and the 2D data in one subject.

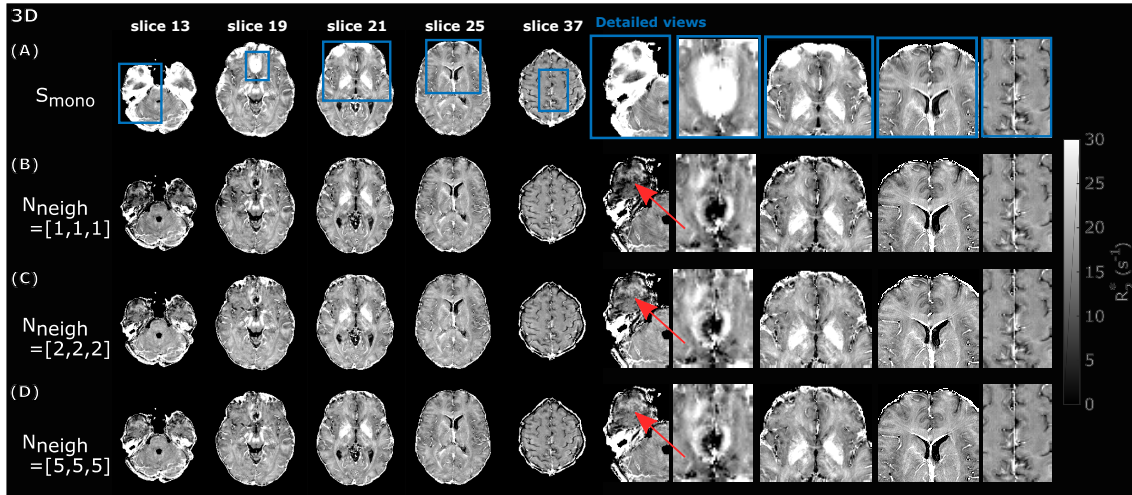
	data	Global WM	Global GM	Cudate Nucleus	Pallidum	Putamen	Thalamus	Hippocampus	Brainstem
3D	$S_{mono}$	19,89 (3,47)	17,91 (5,86)	20,59 (3,60)	33,73 (8,76)	23,66 (4,45)	20,17 (4,38)	19,12 (9,80)	18,68 (4,19)
	$N_{neigh} = [1, 1, 1]$	19,48 (3,39)	16,16 (5,77)	20,33 (4,07)	32,16 (9,31)	23,17 (4,43)	19,71 (4,54)	11,24 (8,35)	16,49 (5,51)
	$N_{neigh} = [2, 2, 2]$	19,99 (3,46)	17,08 (5,42)	20,07 (3,91)	32,85 (8,43)	23,92 (4,65)	20,73 (4,22)	15,34 (6,57)	19,04 (5,28)
	$N_{neigh} = [5, 5, 5]$	19,40 (3,30)	16,44 (5,17)	19,88 (3,86)	32,10 (8,34)	23,11 (4,61)	19,85 (4,19)	14,69 (5,85)	17,73 (4,94)
3D filtered	$S_{mono}$	30,42 (11,71)	31,31 (17,19)	24,49 (5,46)	48,36 (7,84)	35,51 (9,71)	33,74 (6,20)	53,52 (23,05)	39,81 (8,78)
	$N_{neigh} = [1, 1, 1]$	21,40 (3,13)	19,28 (4,96)	21,39 (3,02)	34,45 (6,83)	24,97 (3,81)	22,20 (3,78)	17,35 (4,89)	20,98 (4,36)
	$N_{neigh} = [2, 2, 2]$	20,62 (2,85)	18,41 (4,88)	21,14 (2,91)	33,36 (7,15)	24,08 (3,52)	21,19 (3,81)	14,80 (5,83)	19,24 (4,26)
	$N_{neigh} = [5, 5, 5]$	20,77 (2,88)	18,56 (4,87)	21,19 (2,92)	33,56 (7,17)	24,27 (3,54)	21,39 (3,80)	15,15 (5,81)	19,53 (4,26)
2D	$S_{mono}$	22,87 (4,78)	21,33 (7,49)	21,66 (3,02)	37,39 (5,37)	27,00 (5,13)	24,36 (3,78)	28,86 (11,91)	24,85 (5,42)
	$S_{2D}$	19,27 (3,24)	16,74 (4,52)	20,36 (2,70)	32,78 (6,19)	23,03 (3,85)	19,92 (3,52)	14,97 (4,87)	17,81 (15,57)



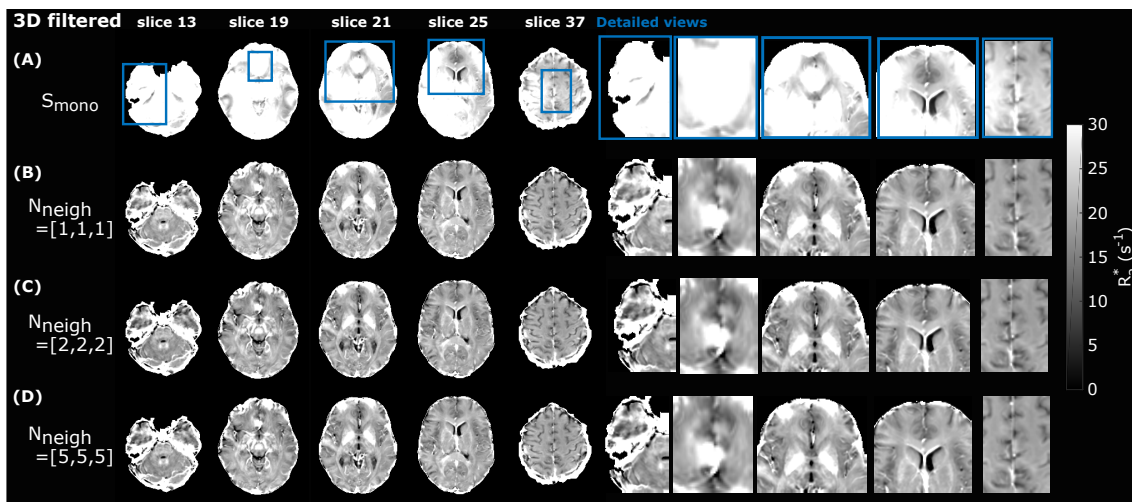
**Figure 6.5:**  $R_2$  maps estimated with a monoexponential signal model  $S_{mono}$  from the non-filtered 3D (A), the filtered 3D (B), and the 2D data (C). All maps show  $R_2^*$  overestimation in areas with macroscopic field variations. Compared with the non-filtered 3D and 2D data, the 3D filtered shows the strongest overestimation.



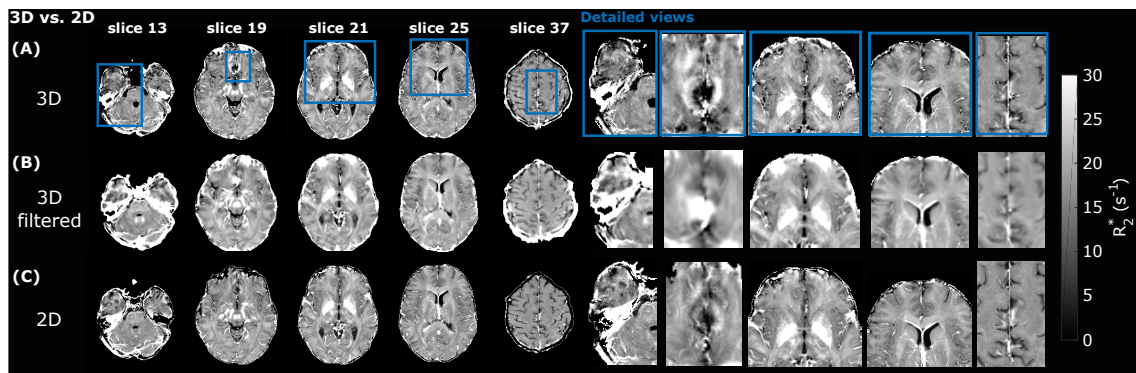
**Figure 6.6:** Estimated field gradient maps  $g_z$  in  $z$ -direction from the phase data of the 3D non-filtered, 3D filtered, and 2D data.



**Figure 6.7:** Comparison of  $R_2^*$  maps estimated with a monoexponential signal model (A) and by applying the *VSF* approach with different numbers of neighbors  $N_{neigh}$  for the non-filtered 3D data (B-D). The red arrows indicate differences in  $R_2^*$  for different  $N_{neigh}$ .



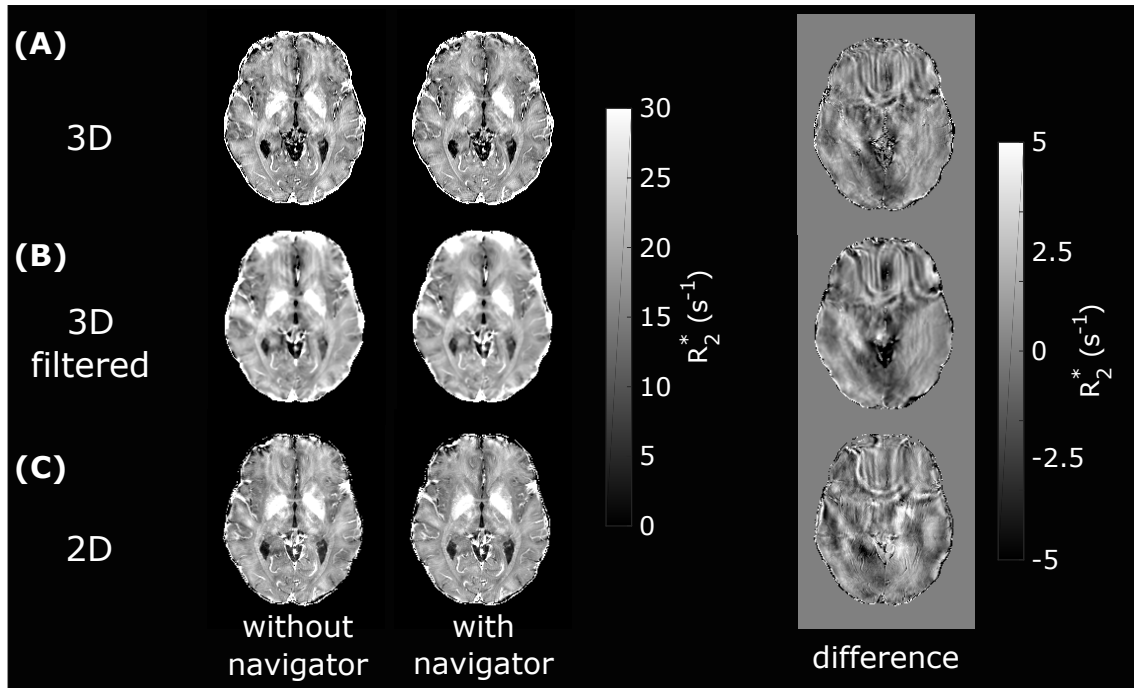
**Figure 6.8:** Comparison of  $R_2^*$  maps estimated with a monoexponential signal model (A) and by applying the *VSF* approach with different numbers of neighbors  $N_{neigh}$  for the filtered 3D data (B-D). Compared with the non-filtered data in Figure 6.7, visually no dependency on  $N_{neigh}$  can be observed.



**Figure 6.9:** Comparison of  $R_2^*$  maps obtained from the non-filtered 3D ( $N_{neigh} = [5, 5, 5]$ ) (A), the filtered 3D ( $N_{neigh} = [2, 2, 2]$ ) (B), and the 2D data (C).

### 6.3.2.1 Influence of Navigator Echo

Figure 6.10 demonstrates the effect of the acquired navigator phase on the resulting  $R_2^*$  maps for the non-filtered 3D (Figure 6.10A), the filtered 3D (Figure 6.10B), and the 2D data (Figure 6.10C). Independent of the acquisition, in all three  $R_2^*$  maps similar  $R_2^*$  variations are observable if the phase of the navigator is not incorporated into the model (left). When including the phase in the image reconstruction, the resulting  $R_2^*$  maps (middle) clearly improve. The difference images (right) on the right show  $R_2^*$  variations of about  $\pm 5s^{-1}$ .



**Figure 6.10:** Comparison of  $R_2^*$  maps estimated without and with phase correction using the navigator echo for the non-filtered 3D data (A), the filtered 3D data (B), and the 2D data (C). For all three cases, the phase correction improves the quantification of the  $R_2^*$  maps.

## 6.4 Discussion

In this chapter, the difference in signal dephasing in the presence of macroscopic field variations between 2D and 3D spoiled *GRE* sequences was investigated. To model the signal dephasing of the 3D data, the *VSF* was used [250] and for 2D the signal model developed in this thesis was applied [202]. Compared with the 3D acquisitions, the results show that a regional similar *IQR* of  $R_2^*$  can be obtained with 2D acquisitions in all regions except in the brainstem, using a proper signal model in combination with an appropriate choice of *TR* and  $\alpha$ . In addition, the results for the filtered 3D data show

slightly elevated  $R_2^*$  values compared with the 2D data and suggests a small bias in the modeling.

The similarity between the estimated  $R_2^*$  maps from 2D and 3D data can be explained by two essential things: first, the data-sets have a similar  $SNR$  and second, both approaches use an adequate signal model that include macroscopic field variations.

#### 6.4.1 SNR

By increasing the  $TR_{2D}$  of 2D acquisitions and the flip angle, the  $SNR$  benefit of 3D acquisitions over 2D acquisitions can be decreased. For example, assuming  $WM$  tissue with a  $T_1 = 800ms$  [231] and the given sequence parameters for the in vivo measurements, the ratio in Equation 6.3 becomes:

$$\begin{aligned} \frac{SNR_{3D}}{SNR_{2D}} &= \frac{S_{0,3D}}{S_{0,2D}} \sqrt{N_{phase,2}} \\ &= \frac{0.19}{0.96} \sqrt{48} = 1.46 \end{aligned} \quad (6.7)$$

Given these results, the  $SNR$  benefit for the 3D acquisition would be expected to be 1.46. For  $GM$  signal ( $T_1 = 1300ms$  [231]), the benefit becomes even smaller with a ratio of 1.17. Thus, depending on the tissue type, 3D acquisitions is only slightly more efficient. In the 3D acquisitions the volume was excited with a slab-selective pulse, which results in flip angle variation through the excited volume. Hence, the comparison is only valid in the central part of the slab while for 2D acquisitions every slice is excited with the same flip angle (except  $B_1^+$  field variations).

The difference between 2D and 3D  $SNR$  could be further decreased by using larger  $\alpha$  for the 2D in vivo measurements because  $\alpha$  is smaller than the Ernst angle  $\alpha_{Ernst}$ . However, it would increase the sensitivity for potential errors in  $R_2^*$  caused by  $B_1^+$  and  $T_1$ . Section 6.4.4 discusses this issue in detail.

#### 6.4.2 Signal Dephasing and Modeling

Besides the  $SNR$  as a prerequisite, the next important aspect is the influence of macroscopic field gradients on the signal dephasing. In 2D acquisitions, the signal dephasing caused by a macroscopic field gradient  $g_z$  is given by integration of the phase dispersion due to the field gradient along complex transverse magnetization (neglecting in-plane dephasing) until the  $TE$ . Assuming  $TR \gg T_1$  and a small  $\alpha$ , the shape of the signal decay is given by the shape of the  $RF$  excitation pulse for a constant  $g_z$  along the slice [178]. Thus, a sinc-Hanning-windowed pulse results in a sinc-Hanning-windowed shaped signal decay. In 3D acquisitions, the shape of the signal decay depends on the k-space trajectory, the number of sampling points, and potential k-space filters such as

the Hanning window, which was used in this chapter for the 3D filtered data. The *VSF* [250] includes all these effects and was validated in this chapter.

When comparing the non-filtered 3D data with the 2D data, two major observations can be seen that led to deviation from the simply monoexponential signal model  $S_{mono}$ . First, Gibb's ringing causes artifacts and leads to bias in  $R_2^*$ , which is most pronounced in the phantom measurements in the slice-direction (Figure 6.2). Gibb's ringing is a phenomena that can be explained by the effects of discrete sampling and truncation of the ideal continuous infinite k-space [25]. The amplitude of the ringing itself is independent of the number of k-space samples, but the oscillations increase their frequency for a fixed *FOV* [25]. The signal model of the *VSF* accounts for the ringing, which can be seen in the phantom (Figure 6.1). However, estimating  $R_2^*$  with the model  $S_{3D}$  results in a remaining bias associated with Gibb's ringing (Figure 6.3A). A possible explanation might be that the number of neighbors of a voxel  $N_{neigh}$ , used for estimating the *VSF*, were too small to properly represent the ringing. In the original publication eight neighbors were used for calculating the *VSF* [250]. Therefore, a larger number of  $N_{neigh}$  might improve the results of the non-filtered data. However, this comes at the cost of extremely large computation time. For example, for the in vivo data set the calculation for the *VSF* with  $N_{neigh} = [5, 5, 5]$  took about 12h with 12 central processing unit (CPU) kernels. To reduce the Gibb's ringing and the required numbers of neighbors, a Hanning filter has been suggested by the authors [250]. This filter was incorporated into the calculations of the *VSF*. The results are in accordance with [250] and show that Gibb's ringing is reduced and that less numbers of neighbors  $N_{neigh}$  are required if a Hanning filter is used. The phantom and in vivo results suggest that  $N_{neigh} = [2, 2, 2]$  are sufficient to estimate the *VSF*, whereas for the non-filtered data differences between  $N_{neigh} = [2, 2, 2]$  and  $N_{neigh} = [5, 5, 5]$  are clearly visible. Consequently, the computation time for calculating the *VSF* can be reduced because a smaller  $N_{neigh}$  is required. However, an obvious disadvantage of the Hanning filter is that it smooths the point spread function (PSF) leading to blurring in the image. Hence, fine structural details might be lost. Further, the filtering has a large impact on the signal decay of the *GRE* signal in the presence of macroscopic field variations. Because of the convolution of the broader *PSF* with the ideal image, the overall signal decay is increased, leading to a stronger sensitivity of the signal to field gradients. Therefore, when fitting  $R_2^*$  with  $S_{mono}$ , a much larger dependency on the field gradients can be observed. The results can be improved by accounting for the filtering directly in the *VSF*. However, a small bias in estimated  $R_2^*$  values appears compared with the non-filtered and 2D data (Table 6.1). Further investigations have to be performed to assess this difference.



### 6.4.3 Quantitative Interpretation of $R_2^*$ Values

Besides the small bias in the filtered 3D data, a very good agreement between the in vivo estimated  $R_2^*$  values of the 3D non-filtered data and the 2D data was found (Table 6.1). In all evaluated gray matter regions (cudate nucleus, pallidum, putamen, and thalamus) the  $R_2^*$  values lie within the 95% interval a comparable large age study [197]. On the other hand, for the 3D filtered data all these regions lie above the confidence interval except for the pallidum. For example, in the thalamus Sedlacik et al. reported a  $R_2^*$  of 19.9 (18.8–21.0) $s^{-1}$ . In contrast to that, a median value of 21.19 $s^{-1}$  was obtained with the non-filtered 3D data and of 19.85 $s^{-1}$  for the 2D data. In general, the non-filtered 3D data and the 2D data show a good agreement. Another interesting finding is that in the investigated regions only minor differences between  $R_2^*$  values with  $S_{mono}$  and  $S_{3D}$  were found for the 3D data. This can be explained by the sensitivity of  $S_{3D}$  to field gradients. Figure 6.4 shows that for  $S_{3D}$ ,  $R_2^*$  is over a wide range of  $g_z$  values not affected (approximately  $|g_z| < 80\mu/T$ ). After that, for larger  $g_z$ ,  $R_2^*$  abruptly increases.

### 6.4.4 Limitations

The results further show that the signal modeling in the presence of macroscopic field gradients for 2D and 3D have common limitations. Both methods require accurate field gradient maps for estimating the  $VSF$  for 3D acquisitions or the signal dephasing along the slice profile for 2D acquisitions. Therefore, any error in the field gradient maps, for example caused by noise or missing field map values close to air/tissue interfaces, propagates into the  $F_{3D}$ ,  $F_{3D,fit}$ , or  $F_{2D}$ . Another common problem of conventional  $mGRE$  sequences is that in areas with strong gradients the signal decays too fast to allow a reliable parameter estimation. This can be seen in in vivo  $R_2^*$  maps in Figure 6.9. Here, with none of the approaches an accurate  $R_2^*$  estimation close to the nasal cavities could be achieved. A possible solution in these areas would be to decrease the voxel size in the slice-direction to reduce signal dephasing. Apart from conventional  $mGRE$  sequences, z-shimming approaches, which compensate the effect of the field gradients by applying compensation moments in between the acquisitions could solve this issue. An overview of different approaches can be found in section 3.4.

In terms of modeling, the  $VSF$  is more complex to estimate because of the convolutions performed for a single voxel. In contrast to that, 2D acquisitions require only the complex transverse magnetization along the slice-direction. Another advantage of 2D acquisitions is that for small flip angles an analytic solution is given by the  $RF$  excitation pulse envelope [178]. A potential drawback in 2D acquisitions are  $B_1^+$  effects and changes of transverse magnetization because of  $T_1$  that might lead to bias in  $R_2^*$  [202]. If the performance in terms of  $SNR$  should be similar to 3D,  $S_{0,2D}$  needs to be increased for a given  $T_1$  (Equation 6.3). The optimal signal strength is given by the Ernst angle  $\alpha_{Ernst}$ . However, this has the drawback that variations caused by  $B_1^+$  become larger. Depending on the  $RF$  excitation pulse,  $B_1^+$  leads to a bias in  $F_{2D}$  if not accounted for

[202]. Similarly, if the assumption  $TR \gg T_1$  is not fulfilled the transverse magnetization along the slice-direction changes according to the steady-state equation [58] that might influence  $F_{2D}$  [202]. To decrease these effects,  $\alpha = 60^\circ$  and  $TR = 2.45s$  have been used for the in vivo measurement, but with the downside of a smaller  $S_{0,2D}$  because  $\alpha < \alpha_{Ernst}$  for WM tissue ( $T_1 = 800ms$ ,  $\alpha_{Ernst} = 87^\circ$ ).

In 3D acquisitions, the problems associated with  $B_1^+$  and  $T_1$  in terms signal dephasing are negligible. In 3D the volume is excited with a slab-selective  $RF$  excitation pulse or with a short hard-pulse and the whole volume is encoded with two phase encoding gradients and one readout gradient. The flip angle profile along the excited volume changes because of  $B_1^+$  and thus only the signal intensity varies along the profile. Similarly,  $T_1$  might lead to a change of the signal along the profile depending on  $TR/T_1$  and  $\alpha$ . However, the type of excitation pulse has an impact on the  $SNR$  and therefore on the quality of the estimated  $R_2^*$  maps. In these experiments the same  $FOV$  was used for 2D and 3D acquisitions to allow a reasonable comparison. The slab-selective excitation with a sinc-Hanning pulse leads to signal variations throughout the slab caused by the shape  $B_1(t)$  of the  $RF$  pulse. The profile is given by the  $FT$  of  $B_1(t)$  for small flip angles [98]. To reduced this variations and to achieve a homogeneous excitation, a phase oversampling of about 10% would be necessary. This would affect the acquisitions time and the  $SNR$  and was therefore not applied for the comparison here.

In addition to the signal dephasing associated with macroscopic field variations, the impact of phase variations resulting from physiologically induced fluctuations on 2D and 3D acquisitions were investigated. Independent of the acquisition type, similar artifacts were observed for 2D and 3D methods (Figure 6.10). By measuring the phase with a navigator echo [235], the image quality of  $R_2^*$  could be drastically improved. Therefore, before accounting for macroscopic field variations, it is recommended to use a navigator echo as well.

#### 6.4.5 Applications 2D

An aspect where 2D acquisitions might be favorable over 3D acquisitions is quantitative multi-compartment imaging such as the estimation of the  $MWF$ . As reviewed in section 2.3.4, in the brain several signal compartments associated with the myelin water, the intracellular, and extracellular compartment exist. For the myelin water compartment, a longitudinal relaxation time  $T_{1,my} < 400ms$  and for the intracellular and extracellular a  $T_{1,intra/extra} = 800ms$  have been reported [131]. Therefore, the myelin compartment recovers at rates larger than the intracellular/extracellular compartment. If a short  $TR$  is used, which is common for 3D acquisitions, the steady-state solutions of the compartments differs. Consequently, depending on the choice of  $TR$  and  $\alpha$ , a bias in the  $MWF$  can be introduced [198]. Shin et al. performed experiments using different  $TR$  and  $\alpha$  for 2D and 3D acquisitions [198]. In 3D acquisitions, the authors used a short  $TR = 70ms$  and

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two flip angles,  $\alpha = [20^\circ, 40^\circ]$  and in 2D acquisitions they used long  $TR = 2000ms$  with  $\alpha = [45^\circ, 85^\circ]$ . Shin et al. found a significant difference in the  $MWF$  between the 3D acquisitions, whereas for the 2D acquisitions no significant difference were found. These results suggest that 2D acquisitions with long  $TR$  lead to a smaller  $T_1$  bias in multi-compartment imaging.





## Adaptive Slice-Specific z-Shimming for $R_2^*$ mapping

This chapter is based on the publication:

M. Soellradl, J. Strasser, A. Lesch, R. Stollberger, S. Ropele, and C. Langkammer. Adaptive slice-specific z-shimming for 2D spoiled gradient-echo sequences. *Magnetic Resonance in Medicine*, (July):1–13, 2020, doi: 10.1002/mrm.28468

and on the ISMRM abstract:

M. Soellradl, J. Strasser, and C. Ropele, Stefan Langkammer. Adaptive and slice-specific z-shimming approach for signal rephasing in 2D multi gradient echo imaging. In *Proceedings of the 28th Annual Meeting of ISMRM*, 2020

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## 7.1 Introduction

In the last two chapters advances in the modeling of the 2D *mGRE* signal in the presence of macroscopic field variations could be achieved. However, a major issue of any postprocessing approach that accounts for macroscopic field variations is the faster signal dephasing with increasing field gradients. As a consequence, a reliable estimation of quantitative parameters becomes challenging, or is even not possible if the signal dephases before the acquisition window. As mentioned in Section 3.4, one way to overcome this restriction are z-shimming approaches. These methods compensate the effect of macroscopic field gradients by applying additional compensation moments. Recently, Nam et al. proposed a single scan *mGRE* sequence with z-shimming gradients, combined with a

signal model [178] for  $R_2^*$  mapping [159]. A similar approach was proposed by Lee et al. for  $MWF$  mapping [130], but instead of applying z-shimming gradients starting from the first echo, they applied z-shimming gradients after the sixth echo to avoid signal crushing the myelin water signal.

A common limitation of the aforementioned approaches is that the compensation gradients are fixed for the entire  $FOV$  (global z-shim). Consequently, a misbalance with the actual field gradient leads to an incomplete rephasing or even spoiling of the signal.

To overcome this limitation, we propose an adaptive slice-specific z-shimming approach to address spatial variations of  $g_z$  in different slices. The corresponding slice-specific compensation gradients are estimated for each slice individually from a fast prescan. Additionally, a more effective z-shimming pattern is introduced, where six  $g_z$  values are successively compensated between echo acquisitions. By adapting a signal modeling approach for 2D spoiled  $mGRE$  sequences [202], we compare this novel approach, in terms of  $R_2^*$  mapping, with a global z-shim approach with linearly increasing moments [130, 159] and a conventional  $mGRE$  sequence without z-shim gradients. Furthermore, to highlight the importance of adequate signal modeling in the presence of  $g_z$ ,  $R_2^*$  is also estimated from the conventional  $mGRE$  data with a more widespread utilized monoexponential signal model.

## 7.2 Methods

### 7.2.1 Signal Modeling

Signal dephasing caused by a field gradient  $g_z$  can be compensated at an  $TE$  by applying a short compensation gradient with duration  $t_c$  and amplitude  $G_c$ , which results in a compensation moment  $m_c = G_c t_c = -g_z TE$ . In the case of a train of  $k$  compensation gradients, each with the amplitude  $G_c[k]$  and identical  $t_c$ , the accumulated moment  $M_c[i]$  for the  $i$ th echo at  $TE_i$  is given by:

$$M_c[i] = \sum_{k=1}^i m_c[k] = \sum_{k=1}^i G_c[k] t_c = \bar{G}_c[i] TE_i. \quad (7.1)$$

The sum of all applied compensation moments  $m_c[k]$  is equal to a single theoretical mean compensation gradient  $\bar{G}_c[i]$  applied over the entire duration  $TE_i$ . This allows to superimpose  $g_z$  and  $\bar{G}_c[i]$  for signal modeling independent of the shape and duration of the applied compensation gradients. Assuming a mono-exponential signal decay with  $R_2^*$ , the signal  $S(TE_i)$  of the spoiled gradient-echo is given by integration of the complex transverse magnetization  $\underline{M}_{xy}(z)$  weighted with the phase dispersion induced by both gradients along the z-direction:

$$\begin{aligned}
S(TE_i) &= S_0 e^{-R_2^* TE_i} \int_{-\infty}^{\infty} \underline{M}_{xy}(z) e^{i\gamma(g_z + \bar{G}_c[i])z TE_i} dz \\
&= S_0 e^{-R_2^* TE_i} F_{z-shim}(TE_i),
\end{aligned} \tag{7.2}$$

where  $S_0$  describes the signal  $S(TE = 0)$  and  $F_{z-shim}(TE_i)$  summarizes the net effect of  $g_z$  and  $\bar{G}_c[i]$ . In the case of small flip angles, the resulting signal decay is described by the pulse envelope of the  $RF$  excitation pulse [178]. Otherwise, the integral in Equation 7.2 can be solved numerically, where  $\underline{M}_{xy}(z)$  is obtained by numerical solution of the Bloch equations [94, 202].

### 7.2.2 Sequence

Figure 7.1 shows a 2D  $RF$ -spoiled  $mGRE$  sequence (Figure 7.1A) and a combination of the global z-shimming patterns proposed by Nam et al. and Lee et al. [128, 159] (Figure 7.1B) along with the proposed slice-specific pattern presented in this work (Figure 7.1C). In addition, Table 1 lists the corresponding compensation gradients  $\bar{G}_c[i]$  for the z-shimming approaches for each echo.

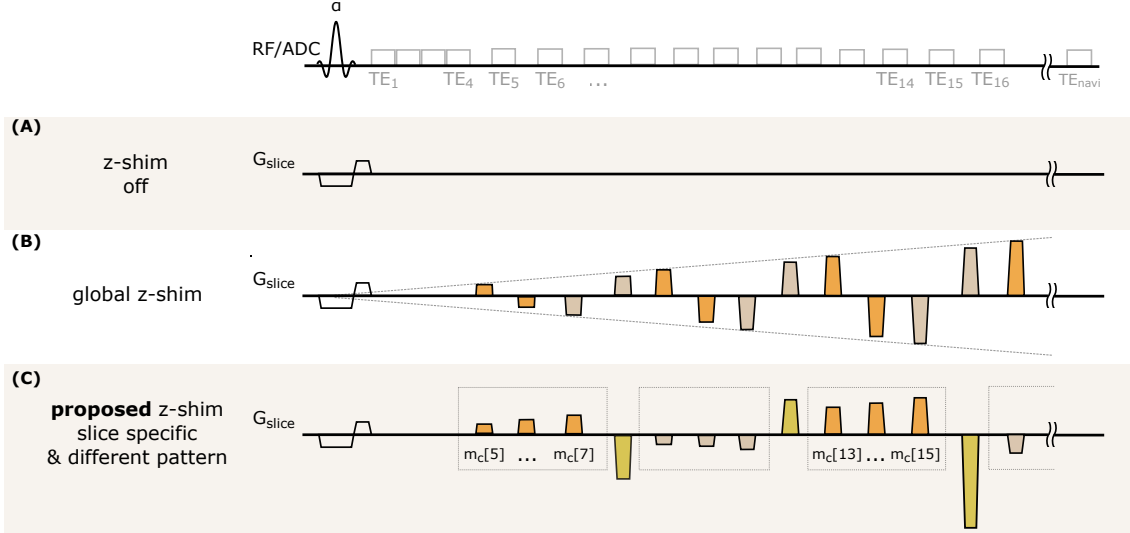
The compensation moments for the global z-shimming method (Figure 7.1B) are calculated for a single positive  $\bar{G}_c^+$  and negative  $\bar{G}_c^-$  value alternating. The first applied gradient moment after the fourth echo ( $m_c[5] = \bar{G}_c^+ TE_5 = -g_z^- TE_5$ ) compensates effects of negative  $g_z^-$  followed by nulling the accumulative moment via inverting  $m_c[5]$  ( $m_c[6] = -m_c[5]$ ). This step is repeated for a positive  $g_z^+$  by applying a negative compensation moment ( $m_c[7] = \bar{G}_c^- TE_7 = -g_z^+ TE_7$ ). To avoid crushing of the signal in the first echoes, z-shim gradients are not applied for the first echoes as proposed by Lee et al. [128].

Our work extends the compensation pattern in Figure 7.1B by two novel contributions. First, instead of using global  $\bar{G}_c^{+/-}$  for all slices, slice-specific compensation gradients  $\bar{G}_c^{+/-}[n]$  are applied for each slice  $n$ . These  $\bar{G}_c^{+/-}[n]$  values are estimated from a field map measured with a fast prescan. Second, instead of a single  $\bar{G}_c^+[n]$  and  $\bar{G}_c^- [n]$ , the coverage of compensated  $g_z^{+/-}$  values is increased by a successive application of three positive and three negative compensation moments. Based on the estimated  $\bar{G}_c^{+/-}[n]$ , the moments between echoes are scaled such that  $[\frac{1}{3}, \frac{2}{3}, \frac{3}{3}] \bar{G}_c^{+/-}[n]$  are compensated for three consecutive echoes, which is followed by a nulling of the total moment for the subsequent echo. To give an example, the moments  $m_c[n, 5]$  to  $m_c[n, 7]$  in the proposed pattern (Figure 7.1C) are calculated as follows, assuming equal echo spacing  $\Delta TE$ :

$$\begin{aligned}
m_c[n, 5] &= \frac{1}{3} \bar{G}_c^+[n] TE_5 \\
m_c[n, 6] &= \bar{G}_c^+[n] \left( \frac{1}{3} TE_5 + \frac{2}{3} \Delta TE \right) \\
m_c[n, 7] &= \bar{G}_c^+[n] \left( \frac{1}{3} TE_5 + \frac{4}{3} \Delta TE \right)
\end{aligned} \tag{7.3}$$

Moreover, to allow a more effective rephasing, the non-zero value is split up into

$[\frac{1}{5}, \frac{2}{5}, \frac{3}{5}, \frac{4}{5}, \frac{5}{5}] \bar{G}_c^+[n]$  or  $[\frac{1}{5}, \frac{2}{5}, \frac{3}{5}, \frac{4}{5}, \frac{5}{5}] \bar{G}_c^-[n]$  if either  $\bar{G}_c^+[n]$  or  $\bar{G}_c^-[n]$  is zero. In addition to the inserted z-shim gradients, for all variants in Figure 7.1, a navigator echo is acquired after the last echo to compensate for physiologically induced field variations [100].



**Figure 7.1:** Schematic overview of the compared sequences. (A) shows a spoiled  $mGRE$  sequence without z-shimming. In the global z-shim approach (B), moments are applied through alternating pairs (same color) with a linear increase along  $TE$ . The first moment in each pair is calculated based on a single positive or negative  $\bar{G}_c^{+/-}$  and the second moment balances the compensation moment to acquire a gradient-echo image with zero net-moment. The proposed slice-specific approach (C) applies slice-specific  $\bar{G}_c^{+/-}[n]$ , which are estimated from a prescan individually for each slice  $n$ . In addition,  $\bar{G}_c^{+/-}[n]$  is split up with factors  $[\frac{1}{3}, \frac{2}{3}, \frac{3}{3}] \bar{G}_c^{+/-}[n]$  (dashed boxes) followed by compensation of all moments. To correct for physiologically induced fluctuations, a navigator echo is acquired at  $TE_{navi}$ .

## 7.2.3 Simulations

### 7.2.3.1 Sensitivity for $F_{z-shim}$

To assess the sensitivity of  $F_{z-shim}$  in Equation 7.2 to variations caused by  $B_1^+$  changes,  $\lambda$ , and incomplete  $T_1$  relaxation, simulations were carried out for a standard  $mGRE$  sequence. Assuming  $R_{2,sim}^* = 30s^{-1}$ , the signal in Equation 7.2 was simulated with varying parameters ( $B_1^+$ ,  $\lambda$ , and  $TR/T_1$ ). The simulations have been performed using the same echo times and excitation pulse as used in the in vivo measurements. Next,  $R_{2,est}^*$  was estimated from the simulated signal by nonlinear fitting without varying the parameters. The results were evaluated by calculating the error between the estimated  $R_{2,est}^*$  and  $R_{2,sim}^*$ . To simulate  $B_1^+$  variations, the flip angle was scaled with a factor  $\xi = [0.6, 0.8, 1, 1.2, 1.4]$  ( $\alpha_{sim} = \alpha\xi$ ). Variations in  $\underline{M}_{xy}(z)$  due to  $\lambda$  were simulated by scaling the spatial coordinates along the slice direction with  $\lambda$ . To account for incomplete  $T_1$  relaxation, the



steady-state equation for spoiled *GRE* sequences was included to calculate  $\underline{M}_{xy}(z)$ . Simulations were performed using  $TR/T_1 = 2$  and  $TR/T_1 = 5$ .

#### 7.2.4 $R_2^*$ Estimation

For all measurements, the complex-valued raw data was first corrected with the phase of the navigator echo as described by Wen et al. [235] followed by a coil-combination using the method proposed by Luo et al. [145]. Then,  $F_{z-shim}(TE_i)$  was calculated as described in [202] for the model  $F_4(t)$  (Equation 5.5). In this model,  $\underline{M}_{xy}(z)$  is estimated for a certain *RF* pulse shape and  $G_{slice}$  with a numerical Bloch solver [1]. Additionally, two potential factors that might affect  $\underline{M}_{xy}(z)$  were included: First, nominal flip angle deviations due to the transmit *RF* field  $B_1^+$  and second,  $g_z$  is superimposed with  $G_{slice}$ , which leads to a change of the spatial encoding from  $z$  to  $z' = z\lambda$  with  $\lambda = G_{slice}/(g_z + G_{slice})$  [183]. Thus, depending on the sign and amplitude of  $g_z$ , the nominal slice thickness  $\Delta z$  is changed to  $\Delta z'$ , which is given by  $\Delta z' = \Delta z\lambda$ .

After the estimation of  $\underline{M}_{xy}(z)$ ,  $F_{z-shim}(TE_i)$  was calculated for each echo by substituting  $G_{z,input}[i]$  with  $G_{z,input}[i] = g_z + \bar{G}_c[i]$  to include the  $z$ -shim gradients. Using  $F_{z-shim}(TE_i)$ ,  $R_2^*$ , and  $S_0$  were estimated by nonlinear fitting of the reconstructed magnitude data to Equation 7.2 using the *lsqnonlin()* function in MATLAB (MathWorks, Natick, MA).

#### 7.2.5 Sequence and Model Evaluation

The differences between the investigated sequences and the proposed signal modeling were assessed by calculating four different  $R_2^*$  maps: From the measured data of all three sequences,  $R_2^*$  was estimated with the signal model described above. Additionally,  $R_2^*$  maps were calculated by fitting the standard spoiled *mGRE* data to a monoexponential signal decay ( $S_{mono}(TE_i) = S_0 e^{-R_2^* TE_i}$ ).

#### 7.2.6 Phantom Experiments

All experiments have been carried out on a whole body 3T *MRI* system (Magnetom Prisma, Siemens, Erlangen, Germany) using an 8-channel knee coil. To evaluate the proposed  $z$ -shim pattern, a homogenous phantom (5 g/L agar doped with  $110\mu\text{mol/L}$  Magnevist® to shorten  $T_1$ ) was built. Measurements with a spoiled 2D *mGRE* (Figure 7.1A), a global  $z$ -shim pattern (Figure 7.1B), and the proposed slice-specific  $z$ -shimming approach (Figure 7.1C) were performed. To allow a comparison between the acquisition methods for the estimation of  $R_2^*$ , all sequence parameters were set identically – except the amplitudes of the  $z$ -shim gradients. A sinc-Hanning-windowed *RF* excitation pulse (pulse duration  $T_{pulse} = 2\text{ms}$ ,  $TBP = 2.7$ ) with flip angle  $\alpha = 60^\circ$  was used. In total, 20 echoes with a monopolar readout and a  $BW = 500\text{Hz/Px}$  were acquired. The  $\Delta TE$  was  $3.4\text{ms}$  for the first four echoes without  $z$ -shim gradients, starting with  $TE_1 = 2.8\text{ms}$  up to  $TE_4 = 12.9\text{ms}$ . For the subsequent echoes with  $z$ -shim gradients ( $t_c = 2\text{ms}$ )  $\Delta TE$

was increased to  $5.4ms$  ( $TE_5 = 18.2ms$  to  $TE_{20} = 98.8ms$ ). After the 20th echo, phase encoding was rewound to acquire a navigator echo at  $TE_{navi} = 103.4ms$ . A total of 26 slices with a spatial resolution of  $1x1x4mm^3$  ( $FOV = 128x128mm^2$ ) were acquired in an interleaved slice acquisition scheme with a  $TR$  of 3 seconds. For all z-shimming approaches, z-shim gradients were applied with  $t_c = 2ms$  starting after the fourth echo. For the measurements with the global z-shim pattern (Figure 7.1B),  $\bar{G}_c^{+/-}$  was set to  $\pm 100\mu T/m$ . This value was approximately half of the maximum magnitude of the observed field gradients  $g_z$  in the phantom. In addition to the  $mGRE$  sequences, a  $B_1$  map was acquired using a Bloch-Siegert approach [190].

### 7.2.6.1 Contributions of Fractioning $\bar{G}_c^{+/-}[n]$

In the proposed z-shim pattern (Figure 7.1C) two modifications of the global z-shim (Figure 7.1B) are introduced. The first one is that a slice-specific averaged compensation  $\bar{G}_c^{+/-}[n]$  is estimated from the field gradient map  $g_z$ . The second one is that the gradients are split up in 3 factions of positive and negative  $[\frac{1}{3}, \frac{2}{3}, \frac{3}{3}]\bar{G}_c^{+/-}[n]$  (or in five if one is zero). In order to assess the contribution of fractioning of  $\bar{G}_c^{+/-}[n]$ , measurements with an intermediate approach have been performed. The intermediate approach uses the same pattern as for the global z-shim (Figure 7.1B) but with slice-specific compensation gradients.

### 7.2.7 Prescan to Estimate $\bar{G}_c^{+/-}[n]$

For the proposed z-shim approach, a prescan was done to estimate  $\bar{G}_c^{+/-}[n]$ . The prescan acquisition was performed with the same slice thickness ( $4mm$ ), an in-plane resolution of  $2x2mm^2$  ( $FOV = 64x64mm^2$ ), three echoes with  $TE = [2.7ms, 4.8ms, 6.9ms]$  and generalized autocalibrating partial parallel acquisition (GRAPPA) acceleration of 2. The phase data of the prescan was then processed to estimate the positive  $\bar{G}_c^+[n]$  and negative  $\bar{G}_c^- [n]$  for each slice as follows: The phase data was unwrapped using PRELUDE [103] and the field map was estimated by dividing the phase difference by the echo time difference between the third and first echo. For evaluation, a mask was created by thresholding the magnitude image, which then was eroded with disk elements (radius of 5 pixels) to eliminate outliers close to the border. To estimate the field gradient map  $G_{z,pre}$ , the gradient in z-direction of the field map was calculated in regions within the mask and smoothed with a 3D Gaussian filter (standard deviation of 1). Then, the  $G_{z,pre}$  map was quantized with an interval of  $10\mu T/m$ . For each slice,  $\bar{G}_c^+[n]$  was set to the minimum of negative  $G_{z,pre}[n]$  values ( $\bar{G}_c^+[n] = \min(G_{z,pre}[n] < 0)$ ) and for  $\bar{G}_c^- [n]$  to the maximum of  $G_{z,pre}[n]$  ( $\bar{G}_c^- [n] = \max(G_{z,pre}[n] > 0)$ ). Prior to scanning with the proposed z-shimming approach, the specific inter-echo compensation moments were calculated based on the pattern listed in Table 1.

**Table 7.1:** Mean compensation gradients  $\bar{G}_c[i]$  (based on Equation 7.1) for the global and the proposed z-shim approaches as function of echo number  $i$ . In the global z-shim approach, compensation moments are calculated from a single positive  $G_c^+$  and negative  $G_c^-$  whereas with the proposed approach slice specific values for  $G_c^+[n]$  and  $G_c^-[n]$  are estimated for each slice  $n$ . To increase coverage of compensated field gradients, these values are divided into 3 fractions or 5 fractions in case that one compensation gradient ( $G_c^+$  or  $G_c^-$ ) is equal to zero.

Echo $i$	1	...	4	5	6	7	8	9	10	11	12	13	14	
global z-shim	$\bar{G}_c^+, \bar{G}_c^-$	0	...	0	$\bar{G}_c^+$	0	$\bar{G}_c^-$	0	$\bar{G}_c^+$	0	$\bar{G}_c^-$	0	$\bar{G}_c^+$	...
proposed	$\bar{G}_c^+[n] \neq 0$	0	...	0	$\frac{1}{3}\bar{G}_c^+[n]$	$\frac{2}{3}\bar{G}_c^+[n]$	$\frac{3}{3}\bar{G}_c^+[n]$	0	$\frac{1}{3}\bar{G}_c^-[n]$	$\frac{2}{3}\bar{G}_c^-[n]$	$\frac{3}{3}\bar{G}_c^-[n]$	0	$\frac{1}{3}\bar{G}_c^+[n]$	...
z-shim	$\bar{G}_c^-[n] = 0$	0	...	0	$\frac{1}{5}\bar{G}_c^+[n]$	$\frac{2}{5}\bar{G}_c^+[n]$	$\frac{3}{5}\bar{G}_c^+[n]$	$\frac{4}{5}\bar{G}_c^+[n]$	$\frac{5}{5}\bar{G}_c^+[n]$	0	$\frac{1}{5}\bar{G}_c^-[n]$	$\frac{2}{5}\bar{G}_c^-[n]$	$\frac{3}{5}\bar{G}_c^-[n]$	...
	$\bar{G}_c^+[n] = 0$	0	...	0	$\frac{1}{5}\bar{G}_c^-[n]$	$\frac{2}{5}\bar{G}_c^-[n]$	$\frac{3}{5}\bar{G}_c^-[n]$	$\frac{4}{5}\bar{G}_c^-[n]$	0	$\frac{1}{5}\bar{G}_c^+[n]$	$\frac{2}{5}\bar{G}_c^+[n]$	$\frac{3}{5}\bar{G}_c^+[n]$	$\frac{4}{5}\bar{G}_c^+[n]$	...

### 7.2.8 In Vivo Experiments

The proposed slice-specific z-shimming approach (Figure 7.1C) was evaluated for in vivo  $R_2^*$  mapping by comparing the results with the global approach (Figure 7.1B) and the approach without z-shimming (Figure 7.1A). In total, 3 subjects were scanned on the same 3T MRI system using a 20-channel head coil. The study was approved by the local ethics committee and all subjects gave written informed consent. For all experiments the same RF excitation pulse as in the phantom measurements was used. 16 echoes and one navigator echo were acquired with  $TE_1 = 3ms$  to  $TE_4 = 9.7ms$  (without z-shim gradients,  $\Delta TE = 2.2ms$ ),  $TE_5 = 13.9ms$  to  $TE_{16} = 60.6ms$  (with z-shim gradients  $t_c = 2ms$ ,  $\Delta TE = 4.2ms$ ) and  $TE_{navi} = 64.8ms$ . Further sequence parameters included a bipolar readout with  $BW = 500Hz/Px$ ,  $TR = 2.5s$ , 35 slices with a voxel size of  $1x1x3mm^3$  ( $FOV = 256x176mm^2$ ). As proposed by Nam et al. [159], the value of  $\bar{G}_c^{+/-}$  was set to  $\pm 220\mu T/m$  for the global approach. The slice-specific compensation gradients  $\bar{G}_c^{+/-}[n]$  were estimated from a prescan as described for the phantom measurements, except that the mask was generated with the brain extraction tool BET, part of FSL [200]. Sequence parameters of the prescan were: 35 slices with a voxel size of  $2.3x2.3x3mm^3$  ( $FOV = 96x78mm^2$ ), three echoes with  $TE = [2.7ms, 4.8ms, 6.9ms]$  and a GRAPPA acceleration factor 3 with 20 reference lines,  $TR = 344ms$ ,  $\alpha = 20^\circ$ . The acquisition time was 15 seconds for the prescan and 7 minutes 20 seconds for each of the three  $mGRE$  sequences. In addition to the  $mGRE$  sequences, an  $MPRAGE$  sequence with  $1mm^3$  isotropic resolution was acquired for anatomical segmentation. Further,  $B_1$  mapping was performed with a highly accelerated approach based on the Bloch-Siegert shift [136].

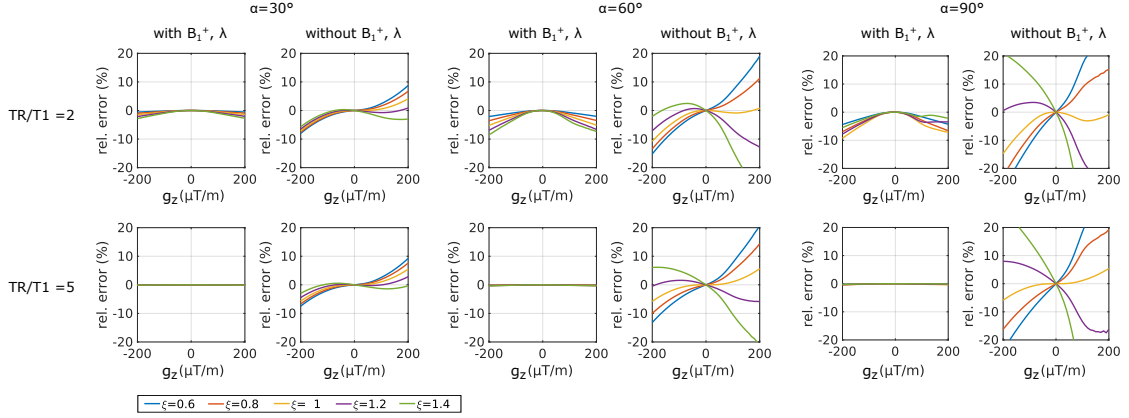
The different methods were compared by calculating the median and  $IQR$  of  $R_2^*$  values in global WM and GM masks. The global WM masks were obtained from  $MPRAGE$  images using SIENAX [201], part of FSL [200] and subcortical GM masks using FSL FIRST [171]. Regional  $R_2^*$  evaluation (median;  $IQR$ ) was performed after affine registration to  $mGRE$ -space with FSL FLIRT [102, 104].

## 7.3 Results

### 7.3.1 Simulations

#### 7.3.1.1 Sensitivity for $F_{z-shim}$

Figure 7.2 shows the influence of  $B_1^+$ ,  $\lambda$ , and  $TR/T_1$  on  $F_{z-shim}$ . For  $TR/T_1 = 5$  the relative error is negligible when including  $B_1^+$  and  $\lambda$  for all simulated flip angles because of complete  $T_1$  relaxation. Thus, the influence of  $T_1$  can be neglected. Without  $B_1^+$  and  $\lambda$ , for  $\alpha = 30^\circ$ , the error is relatively small and mainly driven by  $\lambda$ . For larger  $\alpha$ , the  $B_1^+$  related error increases and becomes the dominant factor. In contrast, for  $TR/T_1 = 2$ , substantial errors due to incomplete  $T_1$  relaxation can be observed in both models.

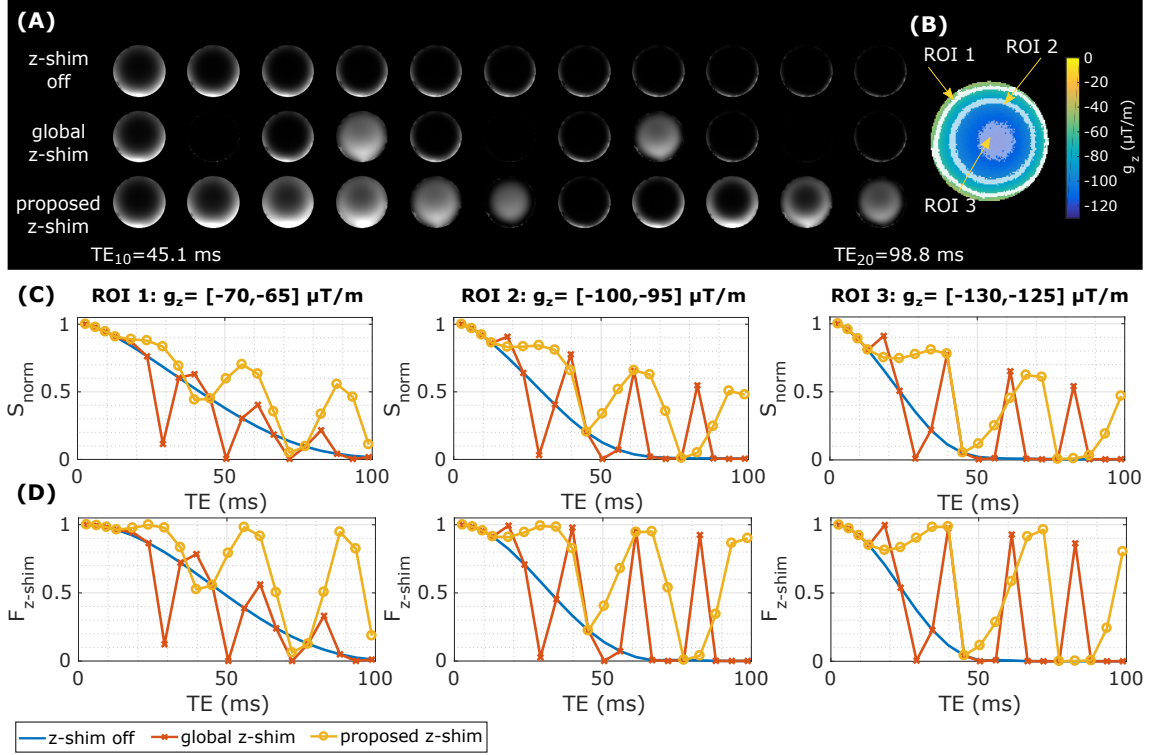


**Figure 7.2:** Simulation results for the sensitivity of  $B_1^+$ ,  $\lambda$ , and  $TR/T_1$  in Equation 7.2 for a spoiled  $mGRE$  sequence. The plots show the relative error (%) of the estimated  $R_2^*$  for three different flip angles  $\alpha$ . For each  $\alpha$ , simulations were carried out for  $TR/T_1 = 2$  and  $TR/T_1 = 5$  once by including  $B_1^+$  and  $\lambda$  in the model and once without  $B_1^+$  and  $\lambda$ . The curves show results for different  $\xi$  values accounting for  $B_1^+$  variations  $\alpha_{sim} = \alpha\xi$ .

### 7.3.2 Phantom

Figure 7.3 shows the signal decay of the three investigated pulse sequences within one slice. To demonstrate effects of varying  $g_z$ , three  $ROIs$  (Figure 7.3B) with different  $g_z$  intervals were defined and their normalized averaged signal decay  $S_{norm}$  (Figure 7.3C) and averaged  $F_{z-shim}$  (Figure 7.3D) were plotted. The standard spoiled  $mGRE$  sequence reveals a faster decay of  $S_{norm}$  with increasing magnitude of  $g_z$ , whereas for the  $z$ -shim approaches  $S_{norm}$  is differently rephased or dephased. For the global  $z$ -shim, the best signal rephasing was achieved in  $ROI 2$  where  $\bar{G}_c^+ \approx -g_z = 100\mu T/m$  followed by  $ROI 3$ . In  $ROI 1$  on the other hand, with a  $g_z$  interval of  $g_z = [-70, -65]\mu T/m$ , only a small portion of the signal was rephased. In contrast to the global  $z$ -shim, the prescan estimated compensation gradients for the proposed approach were  $\bar{G}_c^+[n = 4] = 125\mu T/m$  and  $\bar{G}_c^-[n = 4] = 0$ . Thus, only positive compensation gradients were applied in 5 fractions ( $[25, 50, 75, 100, 125]\mu T/m$ ). Depending on the  $g_z$  interval of each  $ROI$ , the best compensation varied with echo time for the proposed approach.

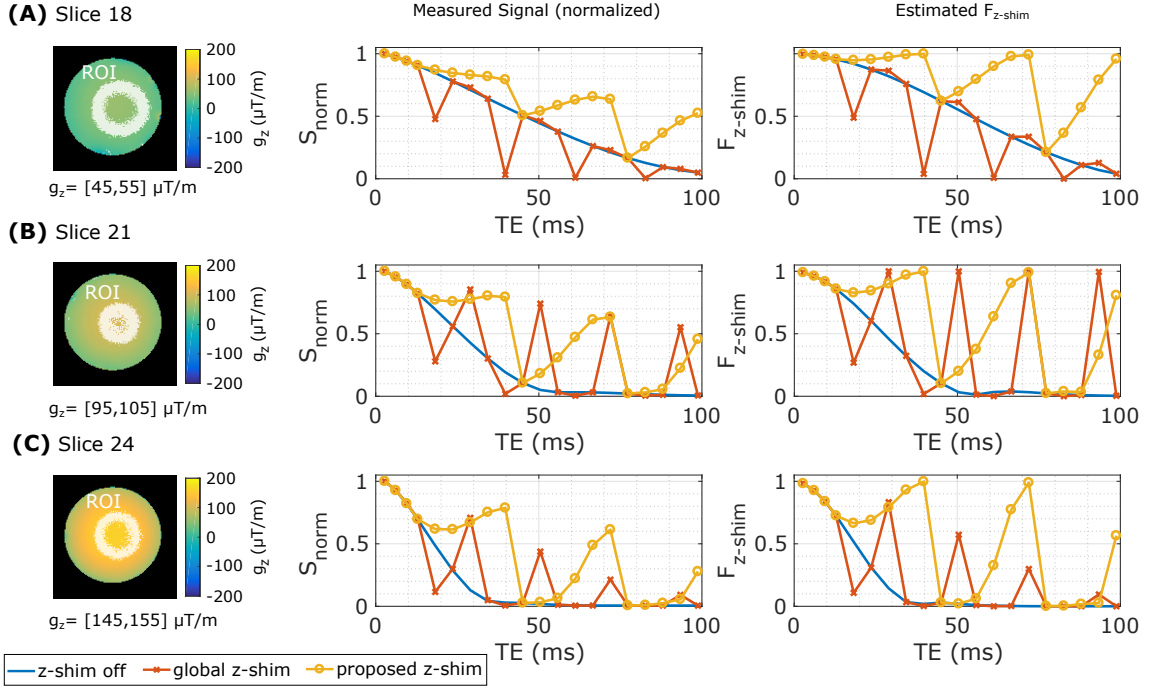
In Figure 7.4  $S_{norm}$  and  $F_{z-shim}$  are plotted as function of the echo time for three different slices. In each slice, the values were averaged within  $ROIs$  of different  $g_z$  interval. Similar to Figure 7.3, with the global approach the best signal rephasing was achieved when  $\bar{G}_c^- \approx -g_z = -100\mu T/m$  (Figure 7.4B). In contrast to that, with the proposed approach the signal was gradually rephased for all slices for each block of compensation gradients ( $\bar{G}_c^+[n = 18, 21, 24] = 0$ ). Compared with the global approach, the estimated  $\bar{G}_c^-$  for the depicted slices were  $\bar{G}_c^- [18] = -55\mu T/m$ ,  $\bar{G}_c^- [21] = -105\mu T/m$ , and  $\bar{G}_c^- [24] = -175\mu T/m$ , which are close to the range of  $g_z$  values within the  $ROIs$ . Therefore, after each fifth compensation gradient, the signal is nearly ideally compensated in each block. This is indicated when comparing  $S_{norm}$  of the echoes  $TE_9 = 39.7ms$  and  $TE_{15} = 71.9ms$  with



**Figure 7.3:** Comparison of the measured signal decay and the estimated dephasing functions  $F_{z-shim}(TE)$  within one slice. (A) shows the magnitude images of  $TE_{10}$  to  $TE_{20}$  and in (B) ROIs were defined within different field gradients intervals  $g_z$ . In these ROIs, the measured averaged normalized  $S_{norm}(TE_i) = S(TE_i)/S(TE_1)$  (C) and the averaged  $F_{z-shim}(TE)$  (D) were estimated. The lines in (C) and (D) show the results from a spoiled  $mGRE$  sequence without z-shim gradients in blue, with the global z-shim approach ( $|\bar{G}_c^{+/-}| = 100\mu T/m$ ) in red, and with the proposed slice-specific z-shimming in yellow. Note: The interpolation between echoes is solely for illustration purpose.

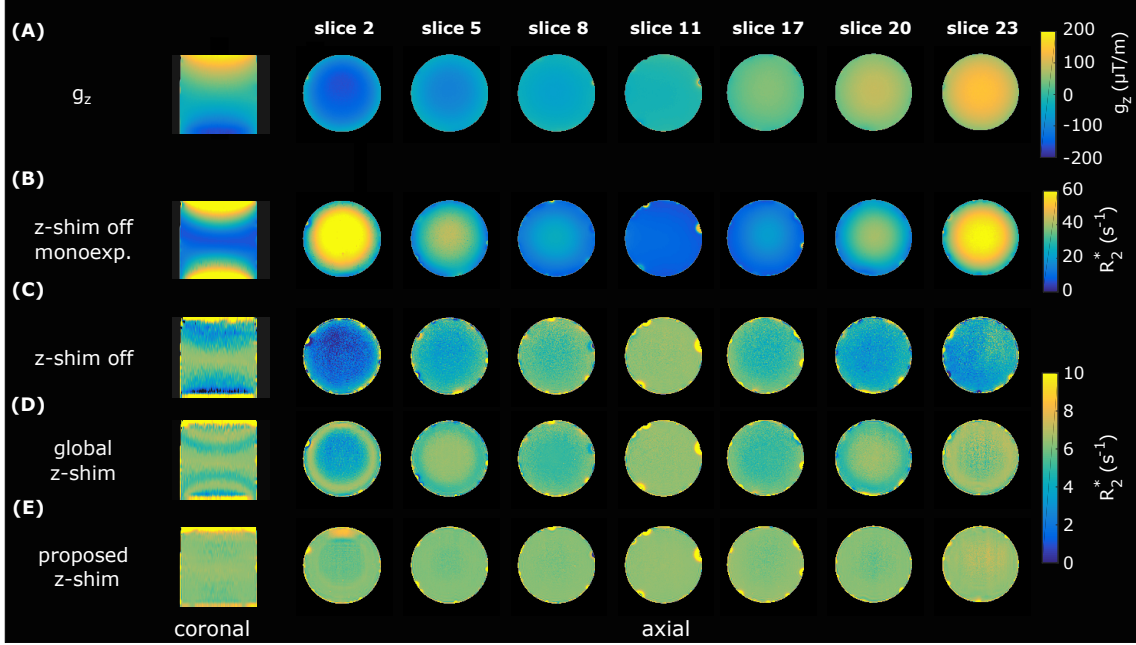
$F_{z-shim}$ . Here, the dephasing functions  $F_{z-shim} \approx 1$  suggesting an ideal compensation of  $g_z$ . Further, when comparing  $S_{norm}$  between the slices,  $S_{norm}$  is approximately equal for these echoes independent of  $g_z$ .

Figure 7.5 shows the estimated  $g_z$  map (Figure 7.5A) and the obtained  $R_2^*$  maps (Figure 7.5B-F). The  $R_2^*$  map from the monoexponential fit of the standard spoiled  $mGRE$  (Figure 7.5B) reveals a strong overestimation proportional to  $|g_z|$ , which can be drastically decreased by accounting for  $g_z$  in the signal model (Figure 7.5C). Nonetheless, compared with the  $R_2^*$  value of  $6.4s^{-1}$  in the center of the phantom ( $g_z$  is close to zero),  $R_2^*$  becomes underestimated with increasing  $|g_z|$ . Applying a global z-shim ( $|\bar{G}_c^{+/-}| = 100\mu T/m$ ) improved the results, especially in areas with  $|g_z|$  around  $100\mu T/m$  (Figure 7.5D, e.g. slice 5 and slice 20). Figure 7.5E demonstrates that the proposed slice-specific approach yielded more homogenous  $R_2^*$  maps over a wide range of  $g_z$  values (e.g. slice 2 and 23). Figure 7.6 shows the averaged  $R_2^*$  values of the phantom with a bin size of  $g_z = 10\mu T/m$  as

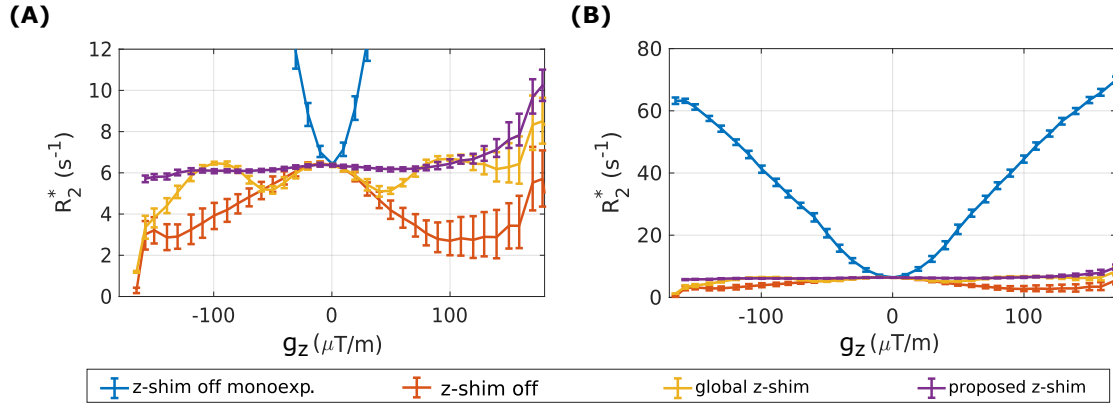


**Figure 7.4:** Comparison of the measured averaged normalized  $S_{norm}(TE_i) = S(TE_i)/S(TE_1)$  (middle) and the averaged estimated dephasing functions  $F_{z-shim}(TE)$  (right) in 3 slices (A, B, and C). In each slice, averaging was performed in a *ROI* defined by different intervals of field gradients  $g_z$  (left). The lines in the plots show the results from a spoiled *mGRE* sequence without z-shim gradients in blue, with the global z-shim approach ( $|\bar{G}_c^{+/-}| = 100\mu T/m$ ) in red, and with the proposed slice-specific z-shimming in yellow. Note: The interpolation between echoes is solely for illustration purpose.

a function of  $g_z$  and demonstrates the difference between the proposed approach and the global z-shimming. While the global z-shimming approach ( $|\bar{G}_c^{+/-}| = 100\mu T/m$ ) corrected  $R_2^*$  values at around  $|g_z| = 100\mu T/m$  to the expected value of  $6.4s^{-1}$  ( $R_2^*$  value at  $g_z \approx \mu T/m$ ), the proposed approach yielded constant  $R_2^*$  values over a broad range of  $g_z$  from  $-150\mu T/m$  to  $125\mu T/m$ . Furthermore, the results from the monoexponential fit of the standard spoiled *mGRE* data clearly showed the strong increase of  $R_2^*$  with  $|g_z|$ .



**Figure 7.5:** Comparison of estimated  $R_2^*$  maps of a homogenous phantom. (A) shows the field gradient map  $g_z$ . In (B), the  $R_2^*$  maps were calculated from the spoiled  $mGRE$  data by assuming a monoexponential signal model neglecting  $g_z$  ( $F_{z-shim} = 1$ ). The other  $R_2^*$  maps were calculated with the proposed signal model using the data of the spoiled  $mGRE$  (C), from the global z-shim ( $|\bar{G}_c^{+/-}| = 100\mu T/m$ ) (D), and from the proposed slice-specific approach (E).

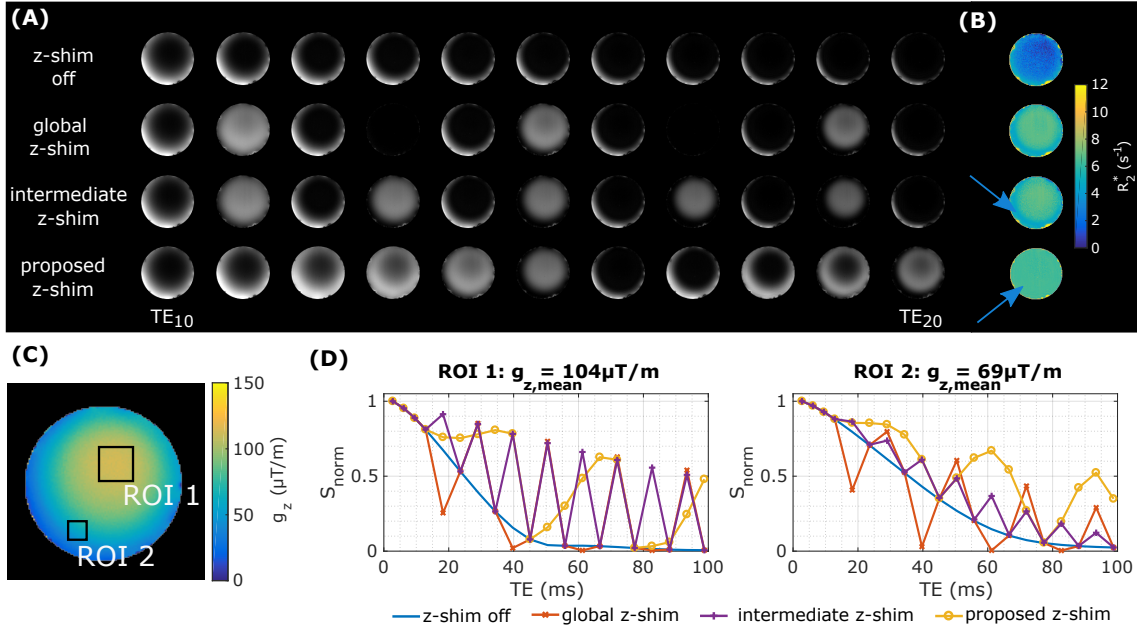


**Figure 7.6:**  $R_2^*$  values obtained from the phantom experiments as a function of the field gradient  $g_z$  (bin size  $10\mu T/m$ ) with different scaling of the  $R_2^*$  axes (A, B). From the spoiled  $mGRE$  data,  $R_2^*$  values were first estimated assuming a monoexponential signal model (blue line) neglecting  $g_z$  ( $F_{z-shim} = 1$ ) and second, by using the proposed model (red line). Further,  $R_2^*$  values from the global z-shim approach ( $|\bar{G}_c^{+/-}| = 100\mu T/m$ ) (yellow) and the proposed slice-specific method (purple) are plotted.  $R_2^*$  values are shown as median and 25th and 75th percentiles (whiskers).



### 7.3.2.1 Contributions of Fractioning $\bar{G}_c^{+/-}[n]$

Figure 7.7 shows the results obtained from a standard *mGRE* without z-shim, with a global z-shim, with the intermediate approach, and with the proposed z-shim approach for one slice. Figure 7.7A shows the magnitude echo images in this slice starting from the echo  $TE_{10} = 45.1ms$  up to  $TE_{20} = 98.8ms$  for the different approaches. Using the forward model for the signal decay  $S(t)$  in Equation 7.2, the  $R_2^*$  maps (Figure 7.7B) were estimated. In general, all z-shim approaches perform superior compared with the standard *mGRE* sequence. However, when closely comparing the intermediate z-shim with the proposed slice-specific z-shim, differences can be observed close to the border of the phantom (blue arrows). Here, the  $R_2^*$  values were underestimated in all cases except for the proposed z-shim. This can be explained by comparing the signal decay in the individual *ROIs* (Figure 7.7D) with the field gradient map  $g_z$  (Figure 7.7C). In *ROI* 1 the median of  $g_z = 104\mu T/m$  is close to the estimated  $\bar{G}_c^- = -115\mu T/m$  ( $\bar{G}_c^-[n] = 0$ ), which explains especially the good performance of the slice-selective z-shim, since a substantial amount of signal is rephased by the compensation gradients. In contrast, in *ROI* 2 the median  $g_z = 69\mu T/m$  is smaller than  $\bar{G}_c^-[n]$  and consequently the signal decay differs. The slice-specific approach with a single compensation gradient (intermediate z-shim) rephases a small portion of the signal. However, in the proposed z-shim, the signal is maximally rephased after the third moment in each block (out of 5), which corresponds to  $\frac{3}{5}\bar{G}_c^-[n] = -\frac{3}{5}115\mu T/m = -69\mu T/m$ . Thus, fractioning the compensation gradients  $\bar{G}_c^{+/-}[n]$  is advantageous if a larger range of  $g_z$  values is present in a slice.



**Figure 7.7:** Phantom results obtained when extending the global z-shim pattern (Figure 7.1B) by a slice-specific (intermediate) pattern. (A) shows the magnitude images from  $TE_{10}$  to  $TE_{20}$  and (B), the  $R_2^*$  maps. The differences of the methods in two  $ROIs$  with different mean  $g_z$  (C) are assessed by comparing the measured signal decays (D). With the estimated single  $\bar{G}_c^-[n] = -115\mu T/m$  a nearly ideal compensation can be achieved when  $\bar{G}_c^-[n] \approx -g_z$  (ROI 1). In the case of heterogenous  $g_z$  values, a more robust compensation can be achieved when fractioning  $\bar{G}_c^-[n]$  (ROI 2). Note: The interpolation between echoes is solely for illustration purpose.

### 7.3.3 In Vivo

Figure 7.8 shows representative  $mGRE$  images for the three investigated sequences (12th to 16th echo). For the spoiled  $mGRE$  sequence (Figure 7.8A), a faster signal decay in areas with strong  $g_z$ , e.g. close to the nasal cavities, can be observed. For all sequences, the 12th echo images as well as the 16th echo images were equal because of a zero net-moment ( $M_{c,12} = 0$  and  $M_{c,16} = 0$ ). Between these two echoes, the signal in various brain areas was differently rephased and dephased depending on the z-shim approach and  $g_z$ . The global z-shim pattern with  $|\bar{G}_c^{+/-}| = 220\mu T/m$  shows that negative  $g_z$  values and positive  $g_z$  values were rephased at the 13th and 15th echo, respectively (Figure 7.8B). Instead of single positive and negative  $g_z$ , a larger range of  $g_z$  values can be covered by the proposed approach (Figure 7.8C) (red arrows).  $R_2^*$  maps in Figure 7.5 demonstrate improvements in areas with strong  $g_z$  from the global z-shim pattern using constant  $|\bar{G}_c^{+/-}| = 220\mu T/m$  (Figure 7.5C) over the spoiled  $mGRE$  (Figure 7.5B and 7A), which are most pronounced in the temporal lobe and cerebellum (slice 3) or close to the sinuses (slice 9). Further improvements and additionally increased  $SNR$  are observed in the  $R_2^*$  maps obtained with the proposed adaptive z-shim (Figure 7.5D).

**Table 7.2:** Regional  $R_2^*$  ( $s^{-1}$ ) presented as median ( $IQR$ ) obtained with the 4 evaluated approaches in 3 subjects.

	z-shim method	Global		Caudate Nucleus	Globus Pallidus		Putamen	Thalamus	Brainstem				
		White Matter	Grey Matter		Anterior	Posterior							
subject 1 (m 33 years)	z-shim off monexp.	22.12	(4.26)	20.87	(3.97)	40.34	(8.33)	25.49	(5.11)	23.44	(3.47)	25.91	(6.88)
	z-shim off	19.25	(3.31)	19.77	(3.17)	36.03	(8.55)	22.74	(4.06)	19.96	(3.78)	16.99	(7.07)
	global z-shim	19.20	(3.19)	19.62	(2.96)	36.05	(8.09)	22.73	(4.16)	19.87	(3.71)	17.07	(5.93)
	proposed z-shim	19.20	(2.92)	19.74	(2.95)	35.98	(7.34)	22.77	(3.85)	19.89	(3.29)	17.80	(3.76)
subject 2 (m 30 years)	z-shim off monexp.	23.74	(4.89)	22.37	(3.93)	38.30	(6.55)	28.55	(5.99)	26.05	(3.66)	25.40	(5.65)
	z-shim off	18.75	(3.65)	19.85	(3.31)	31.50	(6.91)	22.33	(4.53)	18.87	(4.89)	17.01	(5.96)
	global z-shim	18.81	(3.47)	19.72	(3.09)	31.26	(6.30)	21.97	(4.32)	18.77	(4.30)	16.81	(5.67)
	proposed z-shim	18.84	(3.05)	19.78	(2.84)	31.78	(5.75)	22.34	(4.08)	18.93	(3.75)	17.41	(3.96)
subject 3 (m 51 years)	z-shim off monexp.	22.12	(4.87)	23.88	(5.17)	40.74	(14.08)	29.64	(7.31)	22.95	(4.15)	31.67	(12.46)
	z-shim off	19.56	(3.58)	22.35	(3.89)	37.87	(14.98)	27.10	(6.73)	20.60	(3.94)	18.22	(7.87)
	global z-shim	19.60	(3.44)	22.12	(3.32)	37.35	(14.27)	27.12	(6.26)	20.53	(3.79)	18.60	(5.81)
	proposed z-shim	19.73	(3.22)	22.14	(3.83)	37.38	(13.73)	27.12	(6.18)	20.86	(3.81)	18.69	(4.40)

**Table 7.3:** Regional  $R_2^*$  ( $s^{-1}$ ) presented as median (*IQR*) obtained with the 4 evaluated methods in 3 subjects. Values were estimated without including variations of the nominal flip angle due to  $B_1^+$  and spatial broadening or narrowing of  $M_{xy}(z)$  with  $\lambda$  caused by the superposition of  $g_z$  and  $G_{slice}$  in the model for Equation 7.2.

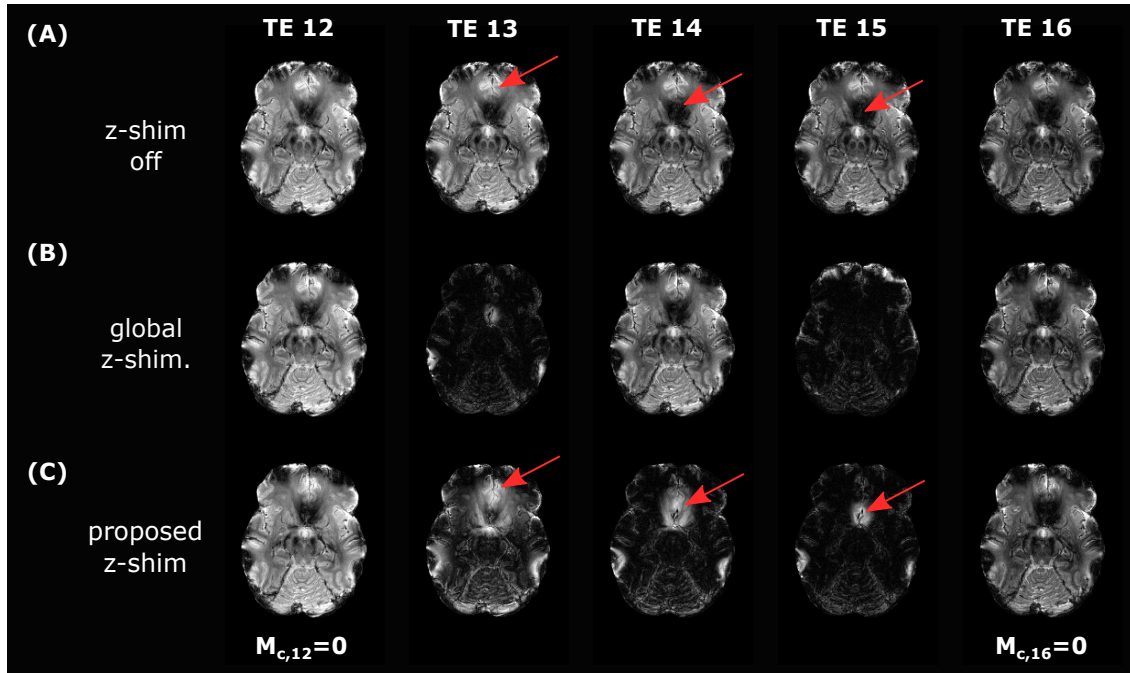
z-shim method	Global		Caudate Nucleus		Globus Pallidus		Putamen		Thalamus		Brainstem	
	White Matter											
subject 1 (m 33 years)	z-shim off monexp.	22.12 (4.26)	20.87 (3.97)	40.34 (8.33)	25.49 (5.11)	23.44 (3.47)	25.91 (6.88)					
	z-shim off	19.33 (3.31)	19.79 (3.14)	36.37 (8.47)	22.92 (4.12)	20.29 (3.68)	17.90 (6.74)					
	global z-shim	19.27 (3.18)	19.61 (2.94)	36.32 (8.05)	22.88 (4.19)	20.14 (3.66)	17.75 (5.78)					
subject 2 (m 30 years)	proposed z-shim	19.24 (2.92)	19.73 (2.94)	36.22 (7.33)	22.89 (3.93)	20.11 (3.29)	17.97 (3.81)					
	z-shim off monexp.	23.74 (4.89)	22.37 (3.93)	38.30 (6.55)	28.55 (5.99)	26.05 (3.66)	25.40 (5.65)					
	z-shim off	19.01 (3.63)	20.03 (3.36)	32.22 (6.76)	22.79 (4.50)	19.66 (4.67)	18.02 (5.68)					
subject 3 (m 51 years)	global z-shim	19.02 (3.47)	19.88 (3.12)	31.77 (6.20)	22.37 (4.36)	19.40 (4.17)	17.62 (5.55)					
	proposed z-shim	18.95 (3.07)	19.87 (2.85)	32.15 (5.70)	22.63 (4.14)	19.35 (3.75)	17.75 (4.05)					
	z-shim off monexp.	22.12 (4.87)	23.88 (5.17)	40.74 (14.08)	29.64 (7.31)	22.95 (4.15)	31.67 (12.46)					
subject 3 (m 51 years)	z-shim off	19.75 (3.57)	22.24 (3.87)	38.14 (14.98)	27.25 (6.84)	20.90 (3.93)	19.76 (7.57)					
	global z-shim	19.75 (3.43)	22.03 (3.34)	37.70 (14.05)	27.28 (6.31)	20.80 (3.80)	19.44 (5.75)					
	proposed z-shim	19.85 (3.22)	22.08 (3.83)	37.74 (13.71)	27.26 (6.33)	21.12 (3.81)	19.21 (4.46)					

### 7.3.4 Results without Considering $B_1^+$

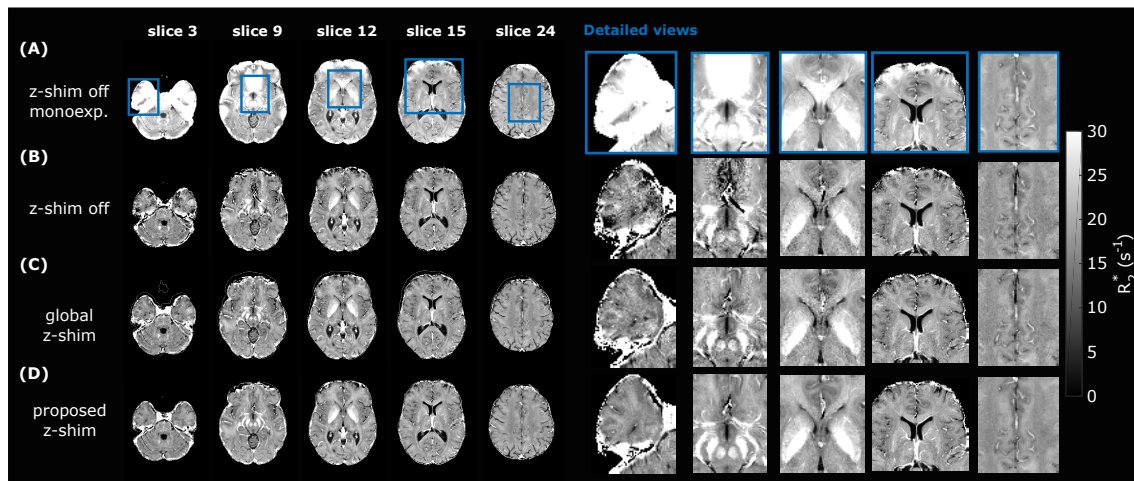
Table 7.4 summarizes the contributions of  $B_1^+$  and  $\lambda$  variations on  $R_2^*$  obtained with the proposed signal model. The relative change of  $R_2^*$  was estimated from  $R_2^*$  values obtained without and with incorporating  $B_1^+$  and  $\lambda$  variations in the signal modeling. In the brainstem, which corresponds to the region with largest  $g_z$  values of the evaluated regions, the biggest change of  $R_2^*$  was observed for the standard *mGRE* data without z-shim, ranging from  $-5.35\%$  to  $-8.44\%$ . Both z-shimming techniques reduced the difference, but the proposed lead to the smallest relative change, ranging from  $-0.92\%$  to  $-2.74\%$ , in the brainstem.

**Table 7.4:** Relative change (%) of  $R_2^*$  ( $s^{-1}$ ) values estimated with (Table 2) and without including  $B_1^+$  and  $\lambda$  variations (Table 7.3) for modeling  $F_{z-shim}$ .

	z-shim method	Global White Matter	Caudate Nucleus	Globus Pallidus	Putamen	Thalamus	Brainstem
subject 1 (m 33 years)	z-shim off	-0.42	-0.08	-0.95	-0.81	-1.64	-5.35
	global z-shim	-0.41	0.05	-0.76	-0.66	-1.34	-3.96
	proposed z-shim	-0.24	0.03	-0.66	-0.50	-1.12	-0.92
subject 2 (m 30 years)	z-shim off	-1.37	-0.92	-2.27	-2.10	-4.19	-5.93
	global z-shim	-1.09	-0.84	-1.63	-1.83	-3.36	-4.87
	proposed z-shim	-0.59	-0.48	-1.15	-1.27	-2.26	-1.90
subject 3 (m 51 years)	z-shim off	-0.95	0.49	-0.73	-0.54	-1.45	-8.44
	global z-shim	-0.77	0.41	-0.95	-0.60	-1.32	-4.51
	proposed z-shim	-0.61	0.27	-0.97	-0.50	-1.25	-2.74



**Figure 7.8:** Last five gradient-echo images from  $TE_{12}$  to  $TE_{16}$  acquired with a spoiled  $mGRE$  sequence without z-shimming (A), with the global z-shim (B), and with the proposed slice-specific z-shimming approach (C). At  $TE_{12}$  as well as at  $TE_{16}$  the sum of the compensation moments ( $M_{c,12}$ ,  $M_{c,16}$ ) is zero for all sequences. With the proposed approach, the signal can be rephased also in areas where it has already been completely dephased (arrows).



**Figure 7.9:** Axial views of estimated in vivo  $R_2^*$  maps (left) with detailed views of the blue rectangular regions (right). (A), the  $R_2^*$  maps were directly calculated from the spoiled  $mGRE$  data by assuming a monoexponential signal model neglecting  $g_z$  ( $F_{z-shim} = 1$ ). The other  $R_2^*$  maps were calculated using the proposed signal model for the spoiled  $mGRE$  (B), the global z-shim ( $|\vec{G}_c^{+/-}| = 220 \mu T/m$ ) (C), and the proposed slice-specific approach (D) data. An increase in SNR can be observed from (C) to (D) due to higher signal recovery.

## 7.4 Discussion

We have introduced an adaptive slice-specific z-shimming approach that allows minimizing effects of macroscopic field gradients in slice selection direction in 2D *mGRE* sequences. For each slice  $n$ , a maximum positive and negative compensation gradient  $\bar{G}_c^{+/-}[n]$  is obtained from a fast prescan. In order to increase the coverage of compensated  $g_z$  values,  $\bar{G}_c^{+/-}[n]$  is split into three fractions ( $[\frac{1}{3}, \frac{2}{3}, \frac{3}{3}]\bar{G}_c^{+/-}[n]$ ). Based on these gradient values, a pattern of compensation moments between the echoes is calculated (Figure 7.1C).

Our novel adaptive slice-specific z-shimming was compared with a conventional spoiled *mGRE* sequence and a global z-shimming approach that applies a positive and negative  $\bar{G}_c^{+/-}$  (Figure 7.1B) independent of the slice position [128, 159]. In contrast to modeling of the standard spoiled *mGRE*, the global z-shim enables to recover  $R_2^*$  values in areas with strong  $g_z$ , which is in line with the results of Nam et al. [159]. By performing slice-specific z-shimming with more compensated  $g_z$  values, the proposed approach results in *SNR* improvements (Figure 7.5). Quantitatively, the measured values are within the range of reported values in the literature at 3T. The z-shim approach by Nam et al. yielded a  $R_2^*$  of  $20.77s^{-1}$  for the putamen and  $34.22s^{-1}$  for the globus pallidus [159], which is close to the mean values of our 3 subjects with  $24.08s^{-1}$  and  $35.05s^{-1}$ . When considering the age of the subjects, our  $R_2^*$  values are in good correspondence with a study reporting different age ranges [197]. Subjects' regional  $R_2^*$  values in the caudate nucleus, thalamus, and brainstem are within the 95% confidence interval of this study [197]. For subjects 1 and 3 the  $R_2^*$  values in the globus pallidus are slightly above the 95% confidence interval as well as in the putamen for subject 3. For example, in the putamen of subject 3 (51 years)  $R_2^*$  is  $27.12s^{-1}$  compared with Sedlacik et al. who reported a  $R_2^*$  of  $24.3(22.1 - 26.6)s^{-1}$  [197].

During the optimization process of selecting the optimal  $\bar{G}_c^{+/-}[n]$  from the prescan field gradient map  $G_{z,pres}[n]$ , splitting of the compensation gradients into different magnitudes was performed. When using a single value (e.g. maximum and minimum of positive and negative  $G_{z,pres}[n]$ ) improvements were only observed in areas with  $g_z$  values close to the specific compensation gradient. To demonstrate this relation, additional measurements with a slice-specific approach but with a single  $\bar{G}_c^{+/-}[n]$  were performed. As shown in Figure 7.2, splitting  $\bar{G}_c^{+/-}[n]$  led to a more robust compensation over a wide range of  $g_z$  values. A further refinement of our approach could be made by passing the desired compensation gradient for each echo  $\bar{G}_c^{+/-}[n, TE_i]$  to the sequence. This comes with the advantage that the compensation gradients can be individually selected based on the distribution of  $g_z$  values in each slice.

Z-shim approaches mainly aim to avoid signal dephasing in areas with large  $g_z$ . In this context, a rather unexpected finding was that also areas with relatively low field gradients ( $|g_z| < 50\mu T/m$ ) yielded higher *SNR* in  $R_2^*$  maps by applying small compensation gradients compared with postprocessing-only methods (e.g. Figure 7.5, slice 24). This *SNR* increase might be especially promising for combined applications with acceleration methods such

as parallel imaging [21, 72, 179].

The proposed approach has some limitations. First, a prescan with a duration of 15 seconds is necessary to estimate  $\bar{G}_c^{+/-}[n]$ . However, this additional scan time is short compared with the fully sampled z-shim acquisition (7 minutes 20 seconds) itself and the increase in  $SNR$  compensates the prolonged scan time. Another issue, especially in vivo, is the estimation of a reliable field gradient  $g_z$  map from the prescan, which is used to define  $\bar{G}_c^{+/-}[n]$ . Here, we focused on a robust implementation and avoided potential gradient errors due to missing field map values in the skull by eroding the  $g_z$  map. Nevertheless, it might result in non-optimal compensation gradients in these areas. An alternative might be to match the slice position to a template  $g_z$  map instead of performing a prescan [224]. This work focuses on z-shimming because the signal dephasing is primarily along the slice-selective (z-)direction compared with the orthogonal directions. In addition, strong in-plane field gradients can be considered by calculating additional compensation moments in in-plane directions or, as proposed by Yablonskiy et al. [250], by modeling the signal dephasing with the *VSF*.

We have recently introduced a signal modeling approach for an arbitrary excitation pulse and  $g_z$  [202], which has been adapted in the current work to describe signal dephasing  $F_{z-shim}$  due to  $g_z$  and the compensation gradient  $\bar{G}_c$ . Because  $R_2^*$  is estimated from the measured data by nonlinear fitting of Equation 7.2, any modeling error in  $F_{z-shim}$  will propagate into the  $R_2^*$  estimate. Here,  $B_1^+$  and  $\lambda$  have been considered for modeling, but additionally the ratio  $TR/T_1$  can affect  $F_{z-shim}$ . If the assumption  $TR \gg T_1$  is not fulfilled,  $\underline{M}_{xy}(z)$  changes according to the steady-state equation for spoiled gradient-echo sequences [58] and might bias  $F_{z-shim}$ . To better assess the contributions of  $B_1^+$ ,  $\lambda$ , and  $TR/T_1$  to  $F_{z-shim}$ , additional simulations were carried out for different  $g_z$  values (Figure 7.2). For a ratio of  $TR/T_1 = 5$ ,  $T_1$  effects are negligibly small while errors due to  $B_1^+$  increase with  $\alpha$ . Compared with  $B_1^+$ , the estimated errors caused by  $\lambda$  are similar for each  $\alpha$ . In contrast, for  $TR/T_1 = 2$ , a bias because of  $T_1$  relaxation can be observed, which is small compared with the  $B_1^+$  error. To investigate the influence of  $B_1^+$  and  $\lambda$  in vivo, in Table 7.3 the results without considering  $B_1^+$  and  $\lambda$  are shown. It reveals that the greatest relative change of  $R_2^*$  for the proposed approach was 2.7% for subject 3 in the brain stem (Table 7.4). These small changes in  $R_2^*$  suggest that  $B_1$  mapping might not be necessary for the regions evaluated. However, when increasing  $\alpha$  or when evaluation regions with stronger  $g_z$ , accounting for  $B_1^+$  might be beneficial. Based on the simulation results, a potential small  $T_1$  effect cannot be excluded with the  $TR = 2.5s$  used in vivo.

Other sources for model deviations in  $F_{z-shim}$  are the input parameters  $g_z$  and  $\bar{G}_c$ . As for the prescan,  $g_z$  estimation is challenging if the field map values from adjacent slices are missing. For  $\bar{G}_c$  it is assumed that it is ideally characterized by the actual applied gradient moment of the *MRI* system. Thus, errors might occur in the case of gradient imperfections or when a different *MRI* system is used. Here, a good correspondence between the signal dephasing  $F_{z-shim}$  and the measured signal  $S_{norm}$  (Figure 7.3 and Figure 7.4) was observed indicating a reasonable accurate  $\bar{G}_c$  for the proposed approach.



## 7.5 Conclusion

A new adaptive slice-specific z-shim approach in combination with signal modeling for 2D *mGRE* data was introduced to minimize effects of macroscopic field gradients. The proposed approach allows a more robust correction of  $R_2^*$  maps over a broad range of field gradients and additionally provides a higher *SNR*.



## Discussion and Outlook

The methods presented in this thesis lead to substantial advances in the modeling and compensation of macroscopic field variations in quantitative *GRE* imaging with a focus on 2D acquisitions. First, a numerical signal model to describe the signal decay in the presence of a macroscopic field gradient  $g_z$  was proposed [202]. The work revealed that for larger flip angles signal phase along the slice profile  $\varphi_{xy}$  becomes crucial for signal dephasing. By applying the model to  $R_2^*$  and *MWF* mapping, the influence of  $g_z$  on the parameters could be improved. Nonetheless, with increasing field gradients signal modeling becomes challenging because of the fast signal decay. To resolve this issue, an adaptive slice-specific z-shimming sequence was proposed and combined with the signal model [206]. The approach outperforms signal modeling of conventional *mGRE* data and leads to better results than a global z-shim with slice-independent compensation moments for each slice.

A detailed discussion about the developed methods can be found in the dedicated chapters. This section discusses open issues and suggests refinements for further work. It additionally gives an outlook about future directions and applications.

### Navigator Echo

In the first experiments of this work, quantitative  $R_2^*$  analysis was carried out based on data obtained from the vendor's standard *mGRE* sequence. Although the proposed modeling approach in Chapter 3 worked well in the phantom measurements, a strong intrasubject variability in the estimated in vivo  $R_2^*$  maps was observed. These variations were later assigned to physiologically induced fluctuations of the phase signal during k-space acquisition [100]. As explained in Chapter 4, after implementation of a navigator echo [100], these artifacts could be substantially reduced. Based on literature and our findings, the use of navigator echoes is highly recommended when performing quantitative analyzes of *GRE* data. Further, the results of the 2D versus 3D comparison support that navigator echoes are also beneficial for 3D acquisitions.

## Gradient and Field Maps

A critical parameter for the presented signal model and others [178, 250] are the macroscopic field gradients  $g_x$ ,  $g_y$ , and  $g_z$ . The gradient maps were obtained by numerical differentiation of the field map  $\Delta B_0$ . Thus, any error in  $\Delta B_0$  propagates into the field gradient maps.

In this work  $\Delta B_0$  was obtained from the spatially unwrapped phase data using PRELUDE [103]. However,  $\Delta B_0$  becomes larger in regions close to air/tissue interfaces such as the frontal sinuses or nasal cavities, leading to a faster signal decay that results in a noisy signal or in the worst case in a loss of signal. In these areas unwrapping might fail, and consequently  $\Delta B_0$  cannot be estimated in this region. To improve  $\Delta B_0$  in voxels with short  $T_2^*$  due to macroscopic fields, decreasing the echo time is an option, if possible, or by measuring  $\Delta B_0$  with an *ASE* acquisition [6, 240]. The *ASE* sequence has the advantage that a small shift  $\Delta$  (Figure 2.5) between the *SE* and *GRE* readout gradient allows measuring signal decay in areas with strong inhomogeneities.

Besides the challenge of estimating  $\Delta B_0$  in areas with larger field variations, the border regions of the brain are an additional error source. For instance, estimating a reliable field gradient in the cortex is not a trivial task. When moving from cortex towards the meninges the signal becomes noisy or lost. Therefore, when calculating the through-slice or in-plane gradients, wrong  $\Delta B_0$  values lead to errors in the field maps.

A possible solution for the aforementioned problems might be to create a model for  $\Delta B_0$  that uses the measured data and to combine it with a forward model for  $\Delta B_0$ , which is based on the subject's geometry and susceptibility [33, 115, 150]. Given that it is possible to describe  $\Delta B_0$  by a function, it would improve gradient estimation and the quality of the estimated parameters.

## Model Validation

Apart from  $g_z$ , the influence of  $B_1^+$ ,  $\lambda$ , and  $TR/T_1$  for 2D acquisitions was investigated. All these parameters can change  $\underline{M}_{xy}(z)$ , and thus they affect signal dephasing in the presence of  $g_z$ .

In the phantom experiments in Chapter 5, Figure 5.6 illustrates the dependency of the signal dephasing on  $TR/T_1$  and  $g_z$ . Further, it shows that with increasing  $\alpha$  the  $B_1^+$  field has an impact on  $R_2^*$ . One unresolved issue is the challenge of separating changes of  $R_2^*$  caused by  $B_1^+$  and  $TR/T_1$  to quantify their individual contributions. When comparing results between 2D and 3D acquisitions in Chapter 6, a remarkable similarity between  $R_2^*$  values estimated in global *WM* and *GM* was found. In these experiments  $B_1^+$  was neglected ( $\alpha = 60^\circ$ ), showing that  $B_1^+$  and  $TR/T_1$  have only minor contributions. However, to assess the individual influence, a better experimental setup is required to clarify the relation between  $B_1^+$  and  $TR/T_1$ .

One possibility might be to perform phantom measurements in an area with a homogeneous field ( $g_z \approx 0$ ). In this region a field gradient  $g_{z,shim}$  could be superimposed using

the first order shim coil. This would lead to a relatively controlled environment for studying different dephasing effects. By varying the nominal flip angle,  $B_1^+$  changes can be simulated, and by repeating the measurements with different  $TR$ , it allows studying the impact of  $TR/T_1$ .

The results from the adaptive slice-specific z-shimming approach in Chapter 7 provide further insights into the sensitivity of  $R_2^*$  estimates to these parameters. Apart from the signal rephasing caused by the additional compensation gradients, the sensitivity for parameters that change  $\underline{M}_{xy}(z)$  decreases. In an ideal *GRE* imaging experiment, a homogeneous field without field gradients ( $g_z \approx 0$ ) is desired. In this case, the signal decay rate in Equation 7.2 is independent of the  $\underline{M}_{xy}(z)$ . By applying proper z-shimming gradients, this condition can be partially fulfilled. Consequently, the sensitivity for parameters that affect  $\underline{M}_{xy}(z)$  decreases. This relation can be seen in the regional comparison between estimated  $R_2^*$  with and without considering  $B_1^+$  and  $\lambda$  in Table 7.4. Here, the greatest change was found in the conventional *mGRE* data, suggesting that the sensitivity for these parameters can be decreased by z-shimming.

A parameter that strongly influences the sensitivity for  $B_1^+$  and  $TR/T_1$  is the shape of *RF* excitation pulse. Here, non-optimized sinc-Hanning-windowed pulses were utilized that are based on the vendor's standard *GRE* pulses. Hence, one of the next steps is to design tailored *RF* pulses for specific applications. For example, the measurement of  $R_2^*$  in deep *GM* regions does not require necessarily a fast *RF* pulse, which enables designing pulses closely to an ideal rectangular shape with a constant phase. An ideal shape has the advantage that the sensitivity for  $B_1^+$  and  $TR/T_1$  would be decreased in the presence of field gradients. Although  $B_1^+$  changes result in signal changes, the sinc-shaped signal decay is not affected, allowing signal modeling independent of  $\alpha$  and  $B_1^+$ , respectively. Similar holds for the effect of  $TR/T_1$ . With an ideal slice profile,  $\alpha$  is constant along the slice and depending on  $TR/T_1$ , the signal changes according to the steady-state equation [58], but independent of shape.

## Future Directions and Applications

The focus in the present thesis was on quantitative *GRE* imaging in the brain, but the methods developed here can be extended to different tissue types. An interesting application is the measurement of the hepatic iron concentration with  $R_2^*$  [84, 244]. To allow  $R_2^*$  quantification outside the brain, the chemical shift of fat has to be accounted for in the signal model. Similar as proposed by Hernando et al. [94], additional compartments, including the amplitudes and frequency shifts of the fat components, need to be added in the signal model.

Another potential application could be the assessment of  $R_2^*$  in the heart for studying myocardial iron overload [4, 51, 61]. The typical protocols for cardiovascular *MRI* have a slice thickness of  $10\text{mm}$  [90], which makes it extremely sensitive to macroscopic field variations. It would be interesting to investigate the impact of macroscopic field

variations on  $R_2^*$  estimation and to apply the proposed adaptive slice-specific z-shimming [206].

Another future project will be to extend the signal model for spoiled *GRE* to *ASE*-based sequences. As discussed in Chapter 2, the *ASE* allows studying  $R_2$  and  $R_2^*$  with the same sequence. Depending on the shift  $\Delta$  between *SE* and *GRE*, the *ASE* sequence is equally sensitive to macroscopic field variations. The proposed signal model can be adapted for the *ASE* by replacing the time  $t$  with  $\Delta$ . Further, it would be possible to include z-shimming gradients in the sequence and in the modeling.

The developed adaptive slice-specific z-shimming approach was designed for 2D acquisitions. However, the sequence also works for 3D acquisition, which allows applying variable z-shim gradients for each  $k_z$  line proving a great flexibility for potential applications. In the future, the sequence might be extended to the 3D  $R_2^*$  mapping approach by Han et al. [81]. By applying alternating z-shim gradients between the echoes, the proposed sequence acquires z-shimming images and standard *mGRE* images (z-shim moment is zero). Similar as proposed in Chapter 7, an adaptive approach could be implemented based on the field gradient values from a prescan. With these gradients, echo images with different compensated field gradients could be acquired and by modeling the signal with the *VSF* [250]  $R_2^*$  can be estimated more accurately.

This work did not accelerate acquisition time with parallel imaging methods mainly to avoid potential changes of the *PSF* caused by the undersampled k-space. For future clinical applications, it would be of great interest to investigate the effect of macroscopic field variations for certain acceleration methods to allow a faster image acquisition. A promising direction might be to incorporate the proposed approaches in model-based image reconstruction [215, 216]. In these methods, quantitative *MRI* parameters are estimated by solving an inverse problem with iterative reconstruction techniques such as the non-linear conjugate gradient (CG) method [40]. This potentially speeds up image acquisition and the quality of estimated parameters such as  $R_2^*$  or the *MWF* for clinical applicability.



## List of Acronyms

<i>ASE</i>	asymmetric spin-echo
<i>AST</i>	apparent susceptibility tensor
<i>bmGESEPI</i>	blipped multi gradient-echo slice excitation profile imaging
<i>BOLD</i>	blood oxygenation level dependent
<i>bSSFP</i>	balanced steady-state free precession
<i>BW</i>	bandwidth
<i>CAIPIRINHA</i>	controlled aliasing in volumetric parallel imaging
<i>CG</i>	conjugate gradient
<i>CISS</i>	constructive interference in steady-state
<i>CNS</i>	central nervous system
<i>CPU</i>	central processing unit
<i>CSF</i>	cerebrospinal fluid
<i>CT</i>	computed tomography
<i>DAWM</i>	diffusive-appearing white matter
<i>DESPOT1</i>	driven-equilibrium single-pulse observation of T1
<i>DESPOT2</i>	driven-equilibrium single-pulse observation of T2
<i>DSU</i>	dynamic shim updating
<i>DSV</i>	diameter of spherical volume
<i>DTI</i>	diffusion tensor imaging
<i>EDSS</i>	extended disability status scale
<i>EPI</i>	echo planar imaging
<i>FDRI</i>	field-dependent $R_2$ increase
<i>FFT</i>	fast Fourier transform
<i>FID</i>	free induction decay
<i>FLIRT</i>	FMRIB's linear image registration tool
<i>fMRI</i>	functional magnetic resonance imaging
<i>FOV</i>	field of view
<i>FSL</i>	FMRIB software library
<i>FT</i>	inverse Fourier transform
<i>FWHM</i>	full width half maximum

<i>GESEPI</i>	gradient-echo slice excitation profile imaging
<i>GESFIDE</i>	gradient-echo sampling of FID and echo
<i>GLA</i>	generalized Lorentzian approach
<i>GLTA</i>	generalized Lorentzian tensor approach
<i>GM</i>	gray matter
<i>GRAPPA</i>	generalized autocalibrating partial parallel acquisition
<i>GRASE</i>	gradient and spin-echo
<i>GRE</i>	gradient-echo
<i>IFFT</i>	inverse fast Fourier transform
<i>IQR</i>	interquartile range
<i>MC</i>	multi-coil
<i>mcDESPOT</i>	multi-compartment driven-equilibrium single-pulse
<i>mGESEPI</i>	multi gradient-echo slice excitation profile imaging
<i>MGESIC</i>	multi gradient-echo with magnetic susceptibility inhomogeneity compensation method
<i>mGRE</i>	multi-echo gradient-echo
<i>MIP</i>	maximum intensity projection
<i>MPRAGE</i>	magnetization-prepared rapid gradient-echo
<i>MRI</i>	magnetic resonance imaging
<i>MRM</i>	Magnetic Resonance in Medicine
<i>MS</i>	multiple sclerosis
<i>MSE</i>	multiple spin-echo
<i>MWF</i>	myelin water fraction
<i>MWI</i>	myelin water imaging
<i>NAWM</i>	normal appearing white matter
<i>NMR</i>	nuclear magnetic resonance
<i>NNLS</i>	non-negative least squares
<i>OST</i>	outer sphere theory
<i>ppm</i>	parts per million
<i>PRIME</i>	partially-refocused interleaved multiple echo
<i>PSF</i>	point spread function
<i>qMRI</i>	quantitative magnetic resonance imaging
<i>QSM</i>	quantitative susceptibility mapping
<i>RF</i>	radio frequency
<i>RMSE</i>	root mean squared error
<i>ROI</i>	region of interest
<i>SAR</i>	specific absorption rate
<i>SE</i>	spin-echo
<i>SNR</i>	signal-to-noise ratio
<i>SSFP</i>	steady-state free precession
<i>SWI</i>	susceptibility-weighted imaging



<i>TBP</i>	time bandwidth product
<i>TE</i>	echo time
<i>TR</i>	repetition time
<i>UHF</i>	ultra high field
<i>VSF</i>	voxel spread function
<i>WM</i>	white matter



### B.1 Rectangular Function

$$\text{rect}\left(\frac{x}{x_0}\right) = \begin{cases} 1 & \text{if } \left|\frac{x}{x_0}\right| \leq \frac{1}{2} \\ 0 & \text{if } \left|\frac{x}{x_0}\right| > \frac{1}{2} \end{cases}. \quad (\text{B.1})$$

### B.2 VSF k-Space

The integral in Equation 3.8 can be solved as follows:

$$\begin{aligned} \tilde{S}(k_x, TE) &= \sum_{n=1}^{N_x} \int \text{rect}\left(\frac{x - x_n}{a_x}\right) \rho(x) \exp(-2\pi i k_x x + i\gamma b(x)TE + i\varphi_0(x)) dx \\ &= \sum_{n=1}^{N_x} \rho_n \int_{x_n - \frac{a_x}{2}}^{x_n + \frac{a_x}{2}} \exp(-2\pi i k_x x + i\gamma(b_n + g_{nx}(x - x_n))TE + i(\varphi_{0,n} + \varphi_{nx}(x - x_n))) dx \\ &= \sum_{n=1}^{N_x} \rho_n \exp(i(\gamma b_n TE + \varphi_{0,n})) \int_{x_n - \frac{a_x}{2}}^{x_n + \frac{a_x}{2}} \exp(-2\pi i k_x x + (i\gamma g_{nx} TE + i\varphi_{nx})(x - x_n)) dx \\ &= \sum_{n=1}^{N_x} \rho_n \exp(i(\gamma b_n TE + \varphi_{0,n})) \frac{1}{i(-2\pi k_x + \gamma g_{nx} TE + \varphi_{nx})} \\ &\quad \exp(-2\pi i k_x x + (i\gamma g_{nx} TE + i\varphi_{nx})(x - x_n)) \Bigg|_{x_n - \frac{a_x}{2}}^{x_n + \frac{a_x}{2}} \\ &= \sum_{n=1}^{N_x} \rho_n \exp(i(\gamma b_n TE + \varphi_{0,n})) \frac{1}{i(-2\pi k_x + \gamma g_{nx} TE + \varphi_{nx})} \\ &\quad \left( \exp(-2\pi i k_x (x_n + \frac{a_x}{2}) + (i\gamma g_{nx} + i\varphi_{nx}) \frac{a_x}{2}) - \exp(-2\pi i k_x (x_n - \frac{a_x}{2}) + (i\gamma g_{nx} TE + i\varphi_{nx}) \frac{-a_x}{2}) \right) \\ &= \sum_{n=1}^{N_x} \rho_n \exp(i(\gamma b_n TE + \varphi_{0,n})) \exp(-2\pi i k_x x_n) \frac{1}{i(-2\pi k_x + \gamma g_{nx} TE + \varphi_{nx})} \\ &\quad \left( \exp(-2\pi i k_x \frac{a_x}{2} + (i\gamma g_{nx} TE + i\varphi_{nx}) \frac{a_x}{2}) - \exp(-2\pi i k_x (-\frac{a_x}{2}) + (i\gamma g_{nx} TE + i\varphi_{nx}) \frac{-a_x}{2}) \right). \end{aligned} \quad (\text{B.2})$$

The two exponential functions can be summarized to a sinc function ( $\text{sinc}(u) = \frac{\sin(\pi u)}{(\pi u)}$ ) by:

$$\begin{aligned}
\frac{1}{id}(\exp(i\frac{a_x}{2}d) - \exp(-i\frac{a_x}{2}d)) &= \frac{a_x}{i2\frac{a_x}{2}d}(\exp(i\frac{a_x}{2}d) - \exp(-i\frac{a_x}{2}d)) \\
&= a_x \frac{\sin(\frac{a_x}{2}d)}{\frac{a_x d}{2}} = a_x \text{sinc}(\frac{a_x d}{2\pi}) \\
&= a_x \text{sinc}(a_x(-k_x + \frac{\gamma g_{nx} + \varphi_{nx}}{2\pi})) = a_x \text{sinc}(a_x(-k_x + k_n)) \\
&= a_x \text{sinc}(a_x(k_x - k_n))
\end{aligned} \tag{B.3}$$

with  $d = -2\pi + \gamma g_{nx}TE + \varphi_{nx}$ . Then, for Equation B.4 follows:

$$\tilde{S}(k_x, TE) = \sum_{n=1}^{N_x} \rho_n \exp(i(\gamma b_n TE + \varphi_{0,n})) \exp(-2\pi i k_x x_n) a_x \text{sinc}(a_x(k_x - k_n)). \tag{B.4}$$



## List of Publications

### Journal Publications

M. Soellradl, J. Strasser, A. Lesch, R. Stollberger, S. Ropele, and C. Langkammer. Adaptive slice-specific z-shimming for 2D spoiled gradient-echo sequences. *Magnetic Resonance in Medicine*, (July):1–13, 2020, doi: 10.1002/mrm.28468

A. Damulina, L. Pirpamer, M. Soellradl, M. Sackl, C. Tinauer, E. Hofer, C. Enzinger, B. Gesierich, M. Duering, S. Ropele, R. Schmidt, and C. Langkammer. Cross-sectional and longitudinal assessment of brain iron level in Alzheimer disease using 3-T MRI. *Radiology*, 296(3):619–626, 2020, doi: 10.1148/radiol.2020192541

M. Soellradl, A. Lesch, J. Strasser, L. Pirpamer, R. Stollberger, S. Ropele, and C. Langkammer. Assessment and correction of macroscopic field variations in 2D spoiled gradient-echo sequences. *Magnetic Resonance in Medicine*, 84(2):620–633, 2020, doi: 10.1002/mrm.28139

C. Birkl, M. Soellradl, A. M. Toeglhofer, S. Krassnig, M. Leoni, L. Pirpamer, T. Vorauer, H. Krenn, J. Haybaeck, F. Fazekas, S. Ropele, and C. Langkammer. Effects of concentration and vendor specific composition of formalin on postmortem MRI of the human brain. *Magnetic Resonance in Medicine*, 79(2):1111–1115, 2018, doi: 10.1002/mrm.26699

### Conference Proceedings

M. Soellradl, J. Strasser, and C. Ropele, Stefan Langkammer. Adaptive and slice-specific z-shimming approach for signal rephasing in 2D multi gradient echo imaging. In *Proceedings of the 28th Annual Meeting of ISMRM*, 2020

C. Tinauer, S. Heber, L. Pirpamer, A. Damulina, M. Soellradl, M. Sackl, E. Hofer, M. Koini, R. Schmidt, S. Ropele, and C. Langkammer. Relevance-guided Deep Learning for Feature Identification in R2\* Maps in Alzheimer’s Disease Classificatio. In *Proceedings of the 28th Annual Meeting of ISMRM*, 2020

- C. Langkammer, A. Damulina, L. Pirpamer, M. Soellradl, E. Hofer, C. Tinauer, M. Sackl, C. Enzinger, S. Ropele, and R. Schmidt. Longitudinal assessment of brain iron deposition in Alzheimer's disease. In *Proceedings of the 28th Annual Meeting of ISMRM*, 2020
- J. Strasser, M. Soellradl, C. Enzinger, and S. Ropele. Accelerating DENSE MR elastography by including multi-axes motion encoding into the multiphase DENSE-MRE acquisition scheme. In *Proceedings of the 28th Annual Meeting of ISMRM*, 2020
- M. Soellradl, S. Ropele, and C. Langkammer. Gradient echo modelling with macroscopic field variations and large flip angles. In *Proceedings of the 27th Annual Meeting of ISMRM*, Montreal, Canada, 2019
- C. Langkammer, A. Damulina, L. Pirpamer, M. Sackl, M. Soellradl, E. Hofer, M. Koini, F. Fazekas, S. Ropele, and R. Schmidt. Quantitative Susceptibility Mapping in Alzheimer's Disease. In *Proceedings of the 27th Annual Meeting of ISMRM*, Montreal, Canada, 2019
- M. Soellradl, L. Pirpamer, J. Sedlacik, F. Fazekas, S. Ropele, and C. Langkammer. R2\* Correction for Gradient Echo with a Gaussian Excitation Pulse. In *Proceedings of the 26th Annual Meeting of ISMRM*, Paris, France, 2018
- C. Birkl, M. Soellradl, A. Toegelhofer, J. Haybaeck, L. Pirpamer, F. Fazekas, S. Ropele, and C. Langkammer. Effect of Vendor Specific Formalin Composition and Concentration on Post-Mortem MRI of Human Brain Tissue. In *Proceedings of the 25th Annual Meeting of ISMRM*, Honolulu, USA, 2018
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- M. Soellradl, L. Pirpamer, C. Langkammer, and S. Ropele. Optimization of Imaging Parameters for Fast Bound Pool Fraction Estimation from a Single Off-Resonance Magnetization Transfer Measurement. In *Proceedings of the 34th Annual Scientific Meeting of ESMRMB*, Barcelona, Spain, 2018

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