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# Automatic Summary Generation from Legislative Proceedings

Master's Thesis

submitted to

## Graz University of Technology

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Graz, November 2020





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# Automatisierte Generierung von Zusammenfassungen zu Gesetzgebungsverfahren

### Masterarbeit

zur Erlangung des akademischen Grades Diplom-Ingenieur Masterstudium: Computer Science

eingereicht an der

### Technischen Universität Graz

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# Abstract

Computer scientists have been trying to tackle the task of transcript summarization for decades, introducing different techniques and solutions, broadening the experience in both extractive and abstractive summarization. However, the field of transcript text summarization appears to be less researched and fairly new. The methods of summarization for articles or other well-structured, grammatically correct texts are quite often not applicable in such a case at all or yield poor results. Moreover, transcripts with several speechmakers and various narratives require taking the speakers into consideration and keeping track of the discourse. Lastly, a lot of the summaries produced with some of those techniques just tend to sound "robotic", especially the extractive summaries, where a coherent flow of sentences with smooth transitions between paragraphs is quite often missing.

This thesis suggests a novel approach to summarization of legislative proceedings transcripts using so-called "phenom"-capturing technique in an attempt to solve some of the aforementioned issues. A phenom is a specific pattern appearing in the text that is deemed to be worth extracting and presenting in the summary. It can be a long back-and-forth discussion between two people, a pull-quote of interest, an emotionally charged claim or a mention of a well-known person, organization or other entity. Those features tend to appear in certain parts of the text more often, thus a classification of text fragments has to be performed first to split the text in certain chunks bearing different functions in the transcript. Luckily, legislative meetings are mainly quite consistent and well-structured in this sense, with the organizers trying to stick to the agenda. After the parts of the text are classified and split into sections, the phenom extraction is performed, collecting facts to be filled into text templates crafted for each phenom. In the end, those generated sentences and paragraphs can be put together in the summary article and presented to the reader.

Findings and lessons learned revealed that the whole system is built in a flexible way so the phenoms that the consumer is not interested in can be easily left out or, if need be, other phenoms can be added and incorporated. The evaluation user study has shown that the phenom system concept and the fusion of extractive and abstractive approaches have proven to be a viable option of producing factually and grammatically correct summarization articles with some room for improvement. Certain steps of the system can use more sophisticated mechanisms discovering other approaches to boost the intermediate results such as paragraph classification or automated neural-net-based template generation instead of a bank of hand-written ones.

# Kurzfassung

Forscher im Bereich der Computerwissenschaften versuchen seit Jahrzehnten ausgeklügelte Lösungen zur Textzusammenfassung zu finden. Im Zuge dessen wurden bereits zahlreiche verschiedene Techniken und Ansätze implementiert, welche wichtige Erfahrungen sowohl in extrahierenden als auch in abstrahierenden Methoden kreiert haben. Allerdings sind im Bereich der Protokollzusammenfassungen von Diskussionen oder ähnlichen Unterhaltungen noch viele neue Erkenntnisse nötig. Bisher gefundene Methoden für die Zusammenfassung von Artikeln und gut strukturierten, grammatisch korrekten Texten sind leider oft nicht für solche Ansätze anwendbar oder liefer nur schlechte Ergebnisse. Besonders Transkripte mit mehreren beteiligten Sprechern erfordern besondere Beachtung der verschiedenen Handlungsstränge um den Kern der Gespräche zu folgen. Zuletzt klingen resultierenden Texte, wenn trotzdem solche vorhandenen Methoden verwendet werden, oft unnatürlich, speziell wenn extrahierende Varianten verwendet werden bei denen oft fließende Übergänge zwischen den einzelnen Paragraphen verloren gehen.

In dieser Masterarbeit wird ein neuartiger Ansatz zur Zusammenfassung von Transkripten aus gesetzgebenden Verfahren vorgestellt, welches "Phenom" einfangende Techniken umfasst, um vorher genannte Problemstellungen zu lösen. Ein Phenom ist eine spezielles Muster in einem Text, welche als würdig angesehen wird in einer Zusammenfassung präsentiert zu werden. Es kann etwa länger eine anhaltende Unterhaltung zwischen zwei Personen, ein wörtliches Zitat, emotionell gestützte Behauptungen oder die Erwähnung einer wichtigen Persönlichkeit sein. Diese Eigenschaften kommen tendenziell in bestimmten Bereichen eines Transkripts öfter vor als in anderen, daher ist zuerst eine Einteilung des Textes in bestimmte wichtige Bereiche, welche verschiedene Funktionalitäten darstellen, nötig. Glücklicherweise sind Diskussionen von Gesetzestexten meist gut strukturiert und folgen bestimmten Mustern einer vorgeschriebenen Agenda. Nachdem das Transkript klassifiziert und in die einzelnen Bereiche unterteilt ist wird eine Analyse zum extrahieren der Phenome vorgenommen. Im Zuge dessen werden Fakten in Textvorlagen eingeführt, welche für jede Phenom Klasse eigens kreiert wurden. Schlussendlich werden diese generierten Sätze und Paragraphen zu einem vollständigen Artikel zusammen gefügt, welche dem Leser zur verfügung gestellt werden.

Gewonnene Ergebnisse und Erfahrungen haben gezeigt, dass das gesamte erstellte System sehr dynamisch gebaut ist, so dass Phenome die für den Leser als nicht interessant empfunden werden einfach austauschbar sind. Die Evaluierung der zugehörigen Studie mit Testbenutzern hat eindeutig gezeigt, dass der gewählte Ansatz einer Kombination aus extrahierenden und abstrahierenden Zusammenfassungsmethoden im entwickelten System eine ausreichend gute neue Methode zur Erstellung von faktisch und grammatikalisch korrekten so wie auch verständlichen Artikeln darstellt. Bestimmte Schritte im Prozess der Zusammenfassungserstellung könnten mit noch komplexeren Mechanismen zum Evaluieren von wichtigen Phenomen verbessert werden. Ebenso würden Textvorlagen welche mit neuronalen Netzwerken erstellt werden eventuell bessere Resultate liefern als manuell geschriebene.

# Acknowledgments

I want to first of all thank my parents for always believing in me and supporting me. Mom and Dad, I would not have been where I am now without your help and love.

I am also thankful to both of my supervisors, Christian Gütl and Foaad Khosmood for making this thesis opportunity happen and involving me in such an interesting and exciting project. Both of them were very attentive and helpful no matter where I was - in Austria or in the US.

Thank you to my fellow Californian students who worked with me on this project -Thomas Gerrity, Richa Gadgil and Roberto Dominguez, whom I actively collaborated with, shared the ideas and accomplished tasks together.

I would like to thank the Marshall Plan Scholarship Foundation ("Austrian Marshall Plan Foundation," 2020) for providing me with funds to make my trip to California possible. Without their financial support such a collaboration would not have been feasible for me and I am very glad that such an opportunity opened in front of me.

Many thanks to my proofreader Dmitry for bringing this thesis to a better shape and fixing the mistakes that my tired brain produced.

And last but not least, I want to thank my significant other - Stefan, thank you for motivating me for this adventure and always being by my side.

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# **1** Introduction

In this chapter the motivation for this project will be provided. Furthermore, the contribution and the outline of this work will be defined.

## 1.1 Motivation

In 2015, the Institute for Advanced Technology and Public Policy (IATPP) launched the Digital Democracy project. The project was the first in the history of the US to transcribe and make available the full legislative proceedings of the state of California's bicameral legislature. States of Texas, Florida and New York were subsequently covered as well. The system allows a full faceted search, and exploration of all the transcripts and search results can be viewed along with the corresponding video segments (see Figure 1.1).

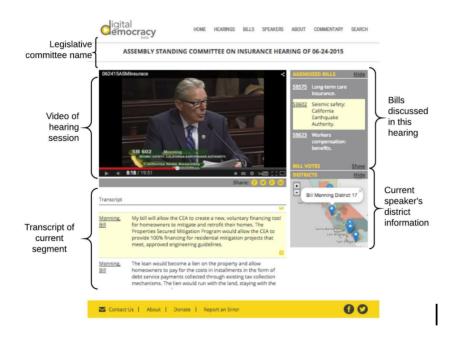


Figure 1.1: Digital Democracy project web interface.

The sheer volume and scale of these hearings make it difficult for ordinary citizens to get a high-level review of the events. The interactive search interface, however sophisticated, is not natural enough to convey a narrative. English-language summaries are deemed more friendly and natural to most users. It is an interface they are very familiar with. This thesis now attempts to generate summaries that could end up on a news report for public consumption.

The IATPP is making available its unique dataset consisting of thousands of hours of human-verified video transcriptions, and associated metadata of legislative proceedings. The primary research will be based on the transcripts from the state of California. Other states can be included in the system later, after it has proven to provide positive results.

## 1.2 Contribution

The main contribution of this work is the development of a summarization tool utilizing the Digital Democracy Database resources, providing more clarity and accessibility to all the assets regarding legislation proceeding in California. This work is an attempt to combine extractive and abstractive approaches to text summarization, the fusion of which led to the emergence of the phenom extraction technique. Such a methodology allows easy expansion and is adaptable to changes required by the end user, which can lead to the creation of personalized legislation news generation resource.

Some solutions for various important subtasks are offered in this thesis as well, such as paragraph classification, article planning system and name repetition resolution. All these tasks allow the resulting summaries to approach the quality and fluency of human-written abstracts - the gold standard that so many computer scientists and linguists have been striving to achieve.

Finally, a procedure to test the quality of the summaries in a user study is suggested in this thesis as well, due to the impossibility of estimating the accuracy and efficiency of the tool through commonly used metrics like ROUGE and others. A user study workflow is proposed and described in the end of this thesis to give an overview on estimation procedure for the results of the work.

## 1.3 Structure Of The Work

Chapter 2 discusses background topics connected this thesis and related works, giving an overview on the current state of the art and the most recent advances in particular fields of study. Such important topics as news production and computational journalism, natural language processing development history and the evolution of its subfields are looked at in details and discussed in the Chapter. Moreover, all the important concepts and theories are also introduced in this chapter to give the reader a good understanding of what will be further used in the work. Chapter 3 defines both functional and non-functional main requirements for the system developed in this thesis, afterwards introducing the conceptual design. This concept is later expanded in a more detailed architecture description with the workflow and components explained, following afterwards with the declaration of the tools and frameworks utilized at different stages of the project.

Chapter 4 provides the reader with all the technical details on the implementation of the components mentioned previously, giving a description of the techniques and methods used, showing examples of sample inputs and outputs.

Chapter 5 gives an overview of the user study, undertaken to assess the results of the completed work. An approach to the study questionnaire will be discussed, with an evaluation of the statistics collected from the study respondents. The answers and opinions of the participants can help estimate how well the following implementation managed to fulfill the existing requirements.

Chapter 6 contemplates on the experience accumulated throughout the research, development and testing process, presenting conclusions about methodologies, tools, techniques and approaches, how adopting and utilizing some of them has affected the work.

Chapter 7 draws further conclusions from the accomplished tasks and outlines prospects of future work possible within the project, bringing additions and improvements to the system.

# 2 Background and Related Work

In this Chapter, the literature findings on the topic of the thesis are presented and various approaches to text summarization are discussed. Firstly, a general discussion on online news sources and especially legislative news representation is being held, contemplating on the current state of art, pros and cons of human-made articles and machine-produced automated summaries. A short introduction to news writing theory with information about common article structure is described with a following conversation on the topic of computational journalism, its impact on the news production and future. Secondly, the Natural Language Processing (NLP) definition and its tasks are given, briefly summarizing the history of this field. Text summarization - one of the NLP tasks - is looked into, providing an overview on summary classification and methods for both singe and multi-document text summarization. Lastly, the dialogue summarization problem is presented with a discussion and a review of the techniques applied for solving this problem. Most of the statistics brought up in the following subchapters will be U.S.-based due to the relevance of the project to the United States legislative system representation.

### 2.1 News Media

In the age of technology there is constant access to vast amounts of information. The basket overflows; people get overwhelmed; the eye of the storm is not so much what goes on in the world, it is the confusion of how to think, feel, digest, and react to what goes on.

Criss Jami, "Venus In Arms"

The sources for getting news nowadays offer a great variety: TV broadcasting, newspapers, online forums, email subscriptions, social networks and so on. The leading sources among them remain to be television and the Internet - almost as many people now prefer to be informed online as those who still like to get their news on TV, which is roughly four in ten among the U.S. citizens as can be seen in Figure 2.1. Also according to PEW Research Center (2009), the rise of the online news consumption is only growing. Bigger consumption could mean greater production - however, according to the statistics in the U.S. (see Figure 2.2) the number of journalists hired by news outlets such as newspapers and radio stations is plummeting dramatically, and there is a general declining trend in the number of employees in the field (Grieco, 2020). Thus,

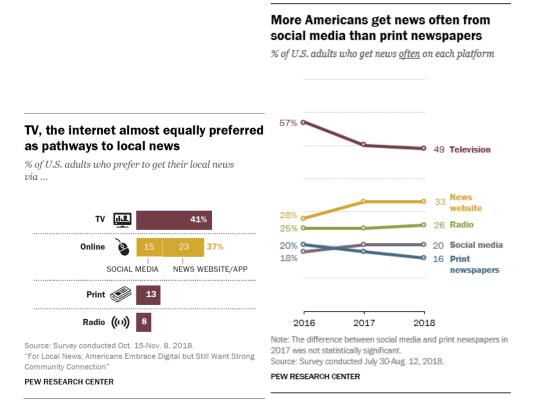


Figure 2.1: Infographics for news consumption in the U.S. (PEW Research Center, 2009)

there are even less people to supply the increasing news production with more articles and stories.

There is another downside to the constantly growing news flow - the amount of available information is becoming overwhelming. "NYTimes.com publishes roughly 150 articles a day (Monday-Saturday), 250 articles on Sunday and 65 blog posts per day" (Meyer, 2016) - and this is only one newspaper in one country. Many people feel like they are struggling to keep up to date with all the things constantly happening and feel overwhelmed by the amount of news (Gottfried, 2020), which can even later discourage them from trying to stay involved at all.

### 2.1.1 The Structure Of The News Article

To understand how the news works and to build own news summary article it is crucial to know how a news article is organized, to keep in mind its classic structure and to think about how an ordinary human-journalist would have written one. Even though each news reporter may have their own writing style, the basics remain almost always the same for most of the news reports.

#### Newsroom employees by news industry, 2008 to 2019

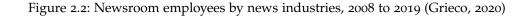
Number of U.S. newsroom employees in each news industry

Year	Total	Newspaper publishers	Broadcast television	Digital- native	Radio broadcasting	Cable television
2008	114,260	71,070	28,390	7,400	4,570	2,830
2009	104,490	60,770	28,040	8,090	4,330	3,260
2010	98,680	55,260	28,640	8,090	4,100	2,590
2011	97,350	54,050	28,050	9,520	3,540	2,190
2012	95,770	51,430	27,830	10,750	3,610	2,150
2013	92,240	48,920	25,650	11,250	3,700	2,720
2014	89,820	46,310	26,300	11,180	3,820	2,210
2015	90,400	44,120	28,430	11,710	3,380	2,760
2016	89,220	42,450	28,190	12,830	3,190	2,560
2017	87,630	39,210	28,900	13,260	3,320	2,940
2018	86,100	37,900	28,670	13,470	3,370	2,690
2019	87,510	34,950	30,120	16,090	3,530	2,820

Note: The OES survey is designed to produce estimates by combining data collected over a three-year period. Newsroom employees include news analysts, reporters and journalists; editors; photographers; and television, video and film camera operators and editors. Digital-native sector data is based on "other information services" industry code, whose largest segment is "internet publishing and broadcasting and web search portals."

Source: Pew Research Center analysis of Bureau of Labor Statistics Occupational Employment Statistics data.

#### PEW RESEARCH CENTER



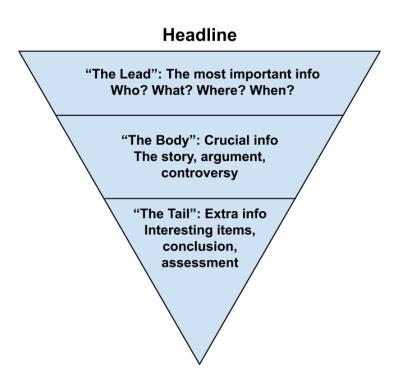


Figure 2.3: The "Inverted Pyramid", a news writing approach

#### 2 Background and Related Work

When it comes to reading news online, many readers don't even get to the middle of the article (Manjoo, 2013). To help grab the attention of the reader, the journalist has to follow the main ABC of news writing - Accuracy, Brevity and Clarity (Parks, 2014). One approach to captivating the audience from the very beginning involves applying one of the most popular writing techniques, the so-called "inverted pyramid" (Pöttker, 2003). As it can be seen in Figure 2.3, the most important information contained in the article goes in the first paragraph, telling the reader all the essential facts: "What happened? Who did what? When was it?". Essentially, this part of the article alone can serve as its brief summary. The second paragraph provides more additional facts about controversies, discussion, further information, quotes, etc. In the end everything is rounded up with a paragraph containing conclusions, assessments, some links to sources or further information.

A responsible journalist has to supply the facts they give in the article with the sources - whether it is a formal report from experts, police or other officials, or a person that was interviewed on the event, or a Web page. There are several ways to attach the source. One option is to include it in brackets after the quote in question. Some web-pages adopt another approach, providing pop-up lines whenever the user hovers over the sentence. It is also possible to list all sources at the end of the article. This improves the integrity of the article greatly, convincing the reader to trust the journalist in their storytelling. There has been a long ongoing debate about which sources exactly can be called credible and can be used for news reporting (Franklin & Carlson, 2010), but the situation is constantly changing with the rise of Internet, as more and more people turn to it as the ultimate news source.

An article supplied with images like photos, charts, infographics also help to capture the attention of the reader. Furthermore, it helps even to engage the readers with less or no prior knowledge of the topic discussed in the article (Lee & Kim, 2016). Visualizations assist in understanding of more complicated trends and numbers that might be brought up by the journalist. Studies (Henke, Leissner, & Möhring, 2020) also have shown that the presence of visual material in an article improves its credibility from the reader's point of view as well.

#### 2.1.2 Legislative News

Much more of the answer, though, involves democracy itself. How can citizens govern themselves if they are unable to hold their governments accountable?

Cohen, Hamilton, and Turner, "Computational journalism"

Legislative news might contain a lot of field-specific terms, which makes the news piece harder to consume. However, it is essential to keep the general public updated and informed about what is happening in the government, what laws and bills are being accepted or rejected. The main principle of democracy is involving people in ruling the country - "the concept of government legitimacy implies that citizens have some knowledge of their representative institution and a certain level of support for it." (Kurtz, 1997).

The general aim of government transparency is to provide the citizens with the means of keeping track of the decisions of the officials and being able to hold them accountable (Dawes & Helbig, 2010), which on its own can be a challenging quest (Blakeslee et al., 2015). Current developments in government transparency brought up various terms such as civic tech (Boehner & DiSalvo, 2016), E-Democracy (Parliamentary Office of Science and Technology, 2009), Open Government or Open Government Movement (Lathrop & Ruma, 2010; Latner, Dekhtyar, Khosmood, Angelini, & Voorhees, 2017). In the meantime, more and more initiatives are emerging to supply the people with insights of what is happening in the legal and political spheres; many countries or states provide portals with information about hearings, meetings, changes and adoptions of laws, which anybody can access ("California Legislative Information," 2020; "Eur-LEX: Access To European Union Law," 2020; "UK Legislation Portal," 2020). Those portals contain all the official information about legislation and accompanying documents with remarks and explanations.

However, a lot of interesting and important information can be missed due to the hearings and meetings generally not being fully documented. Many news agencies don't have enough resources to send their reporters to assembly meetings to cover the happenings there (Matsa & Boyles, 2014). It means that the only way to know about the happenings is either only use factual information provided by the government, such as voting results, law details and information about the legislators, or have a journalist to look through the available recordings of the hearings to get more specific insights. Yet writing an article based on such materials requires time, effort and knowledge of the domain. A journalist might have to look through hours of recordings to try and spot something particular in the video just to add up several sentences to the article in the end. Besides, all the information that the journalists have to browse through to connect all the dots might not be even presented in one place - this adds up even more to the working time and cost of such work.

A big topic for discussion in political news in general is bias. It is no secret that some of the politicians always try to use the media and news for their own agenda, hoping to be represented in a better light (Karen Callaghan, 2001). In the past several decades the accusations of the news media being biased when it comes to politics have intensified immensely (Niven, 2002). While the politicians are accusing the journalists of prejudice and subjectivity, and the journalists are blaming each other, the public is becoming more skeptical about the news regarding laws, politics and legislature (Crawford, 2006). As soon as the citizens get disengaged from the politics and the lawmaking, the whole principle of the open government - *"establish a system of transparency, public*"

#### 2 Background and Related Work

*participation, and collaboration*" (McDermott, 2010) - is at risk. That is why it is essential for the authorities not only to provide all the information about actions and decisions that they make, but also try to get the ordinary citizens involved, make them want to take part in building their democracy and helping organize their own country.

#### 2.1.3 Computational Journalism

Sometimes the question is asked: Is there an algorithm for journalism? The answer is yes, but to a certain degree.

Linden et al., "Algorithms for journalism: The future of news work"

The term "computational journalism" was defined by Turner and Hamilton (2009) as "the combination of algorithms, data, and knowledge from the social sciences to supplement the accountability function of journalism". Various other terms that technically refer to the same concept were also coined in the meantime: "algorithmic news", "automated content", "robot journalism" (Anderson, 2013; Dawson, 2010; Levy, 2018; Van Dalen, 2012) and so on. Essentially, they all mean either using an intelligent system to assist the reporters in writing a news article - whether with data collection, drafting, analysis or content selection - or completely replacing a human reporter in generating simple reports filled with information from big databases which can be done instantly upon receiving new data.

Using Artificial Intelligence (AI) in reporting and automated content is not a complete novelty. It has been first used for some easier tasks like weather forecasting (Goldberg, Driedger, & Kittredge, 1994), financial and business reports (Yu, 2014), stock news (Nesterenko, 2016) and sports coverage (Schonfield, 2010). The data supplying this type of news is mainly bias-free, strictly organized and numeric, which allowed simple template-based generation approach. With computers gaining more power and being capable of doing unimaginably complicated calculations, the restrictions are being constantly lifted and more complex storytelling systems using neural networks and machine learning emerge now and then in various fields of application.

Arguably the biggest question in this field is the one of ethics. One might think that completely replacing human reporters might put the latter at risk or at least make them worried. Journalists indeed have proven to be a community protective of the boundaries of their profession (Lahav & Reich, 2011). In general, it is a big psychological factor to any person to perceive the likes of themselves as "us", while everything alien and coming from the outside remains "them" (Brewer, 1999). In case of human journalism "they" are the AI reporters, so it is absolutely natural for the journalists to have more trust and a positive attitude towards their own community, while the "outgroup" of algorithms would receive more of a negative attitude. Moreover, some fears are expressed that even if AI is going to be engaged only for some easy subroutine tasks, that might make it difficult for young specialists to enter the field (Linden et al., 2017) because the beginner's work would then be replaced by the algorithm.

As for the ordinary reader's perception of a robot-written article, the same tendency remains, as it was shown by Graefe, Haim, Haarmann, and Brosius (2018). The reader mainly prefers human-written texts over machine-created ones, but, interestingly, if an algorithm-generated article is intentionally labeled as "human-authored", the text would still get the approval of the reader. Thus, possibly the readers still have less confidence in automatically generated articles and scrutinize them more than they would normally do reading an ordinary newspaper. Another interesting point proven by this study was the fact that the readers consider machine-produced text more credible, perhaps due to the heavy use of numbers and precise facts in such articles, which gives the impression of reliability to the reader. The text created by the algorithm will have fewer calculation errors or misspellings than the human-made article (Linden et al., 2017).

On the other hand, not the whole journalistic community is being hostile to the innovations. Van Dalen (2012) has studied articles and Internet blog posts mentioning the automated sport news generating portal Statsheet and discovered, that the human community is not fully rejecting such a novelty. Main reasons why the reporters remained confident, according to Van Dalen (2012) were such points as the AI journalism being still fairly abstract and not directly affecting them, or the fact that AI mainly occupies the fields that human journalists aren't particularly interested in.

Indeed, the emergence of automated news generation systems can be perceived not as a threat to the reporters' jobs, but as an opportunity for them *"to spend more time on substantive work"* (Peiser, 2019). Among the benefits of automated journalism is not only the dramatic decrease in consumption of human and time resources, but also a possible improvement in credibility and trust from the reader. It has been suggested already that computational approach to journalism can help with such issues as gender (Fischer-Hwang, Grosz, Hu, Karthik, & Yang, 2020) or political bias (Leppänen, Tuulonen, Sirén-Heikel, et al., 2020). As already mentioned above, the reader actually deems a machine-written text to be more credible and trustworthy, which could be a great advantage in such controversial topics as politics, elections or debates.

In general, the idea of an AI fully replacing journalists is still highly debatable, and various computer scientists and journalists are still very skeptical about it - like Linden et al. (2017) mentioned: "However, the idea that machines will become smart enough to replace journalists is [...] out of the question. [...] Algorithms only work on structured data. That's it. They only work on structured inputs. That's true of any computer. You can't take unstructured inputs and structure them on the fly."

## 2.2 Natural Language Processing Problem

NLP research has evolved from the era of punch cards and batch processing, in which the analysis of a sentence could take up to 7 minutes, to the era of Google and the likes of it, in which millions of webpages can be processed in less than a second.

Young, Hazarika, Poria, and Cambria, "Recent trends in deep learning based natural language processing"

Natural Language Processing or computational linguistics is an aspect of Artificial Intelligence helping to establish communication between computers and humans (Reshamwala, Mishra, & Pawar, 2013), to understand human language, form sentences in it to communicate with users and provide requested information in a way that is more natural for the people. Additionally, NLP can be also used as an aid in human-human interaction (Hirschberg & Manning, 2015) - the field of machine translation is one of those applications. The language can be perceived by the algorithm both in written and spoken form, and later processed and interpreted by an AI system. Over the last 20 years different NLP tasks have attracted the interest of many scientists, from programmers to linguists, statisticians and mathematicians. The applications of NLP can be met in various study fields, assisting doctors, scholars, people with disabilities, ordinary computer users. As Bird, Klein, and Loper (2009) remark, "NLP is important for scientific, economic, social, and cultural reasons".

The very first approaches to NLP were mainly using some hard-coded rules (Hayes-Roth, 1985). Such an approach was generally adopted after Chomsky (1957) proposed the concept of the rule-based descriptions of syntactic structures. This idea was instantly accepted in the field of machine translation with great optimism. One of the most famous examples of NLP progress was the program ELIZA (Weizenbaum, 1966) that had a fixed algorithm of rules on what phrases to use depending on the input from the user. Another example was SHRDLU (Winograd, 2004) - a program understanding natural language defined within a restricted domain with certain amount of objects, definitions and rules in the "world" of the domain. It was a precise and robust way to solve certain NLP problems, but not in many application fields or even varying cases in the same field.

Even though it gave a nice start for development of expert and recommender systems (Kazimierczak, 1990), afterwards the more complicated tasks required a more complex, better approach. The methodology was concentrating too much on the syntactic structure of the sentences, while it turned out the semantics and meaning behind the text were the crucial element. According to Su, Chiang, and Chang (1996), there are several major flaws in rule-based approach. Firstly, even though this method creates a comprehensive and compact system, it is very hard to upscale it. That is mainly due to

the costs of maintaining the large rule system without making it overly complicated or even decreasing the effectiveness of the whole system in an attempt to fix some bad cases by adding more new rules. Furthermore, the rule-based approach was working very poorly with *"'ungrammatical' spoken prose and ... the highly telegraphic prose"* (Nadkarni, Ohno-Machado, & Chapman, 2011) of more formal technical texts. Such systems also translate badly to other application domains or languages, as Su et al. (1996) mentioned in their overview.

The solution was found in statistics and probability theory, under the assumption that *"human cognition is probabilistic and that language must therefore be probabilistic too since it is an integral part of cognition"* (Manning & Schütze, 1999). At the very beginning of the AI era scientists were full of ideas but were severely lacking computational power to bring them to life. In an attempt to minimize the drawbacks of both NLP techniques, some solution was offered in the shape of the corpus-based statistic-oriented (CBSO) approach (Chen, Chang, Wang, & Su, 1991). Such a method implied that words with certain common properties essential for the processing can be clustered in some way. This technique allowed to use lesser training sets for the NLP systems, utilizing less computational power for the same tasks.

Still, the NLP problem quite often required larger corpora to work with, and only the growth of the World Wide Web allowed to make that task much easier with all the amount of text flowing though it. NLP research nowadays is often performed on the data sets collected from Twitter, Wikipedia, other social network sources. There is a general tendency pushing the NLP research towards Open Source Development, which can greatly decrease the costs of it and allow using and re-using such systems as flexible components in future work (Guerra, 2001). By the end of the second decade of 21st century the computational power has grown immensely, for example, giving an opportunity to have voice recognition systems not only on personal computers, but also on smartphones or even smart watches, making *"talking to your phone a commonplace activity, especially for young people"* (Hirschberg & Manning, 2015). Nevertheless, quite many aspects of natural languages such as ambiguity, irony, hidden meanings, etc., still prove to be an open topic challenging many researchers.

NLP consists of many various tasks with differing complexity. They can be subdivided in categories, depending on what part of the language they are dealing with. Some of those tasks are now well-defined and researched with main methodology adopted and wide-spread, while the others are still understudied and there is no common approach decided upon in the computer science society.

Further discussion in the context of this thesis goes more in detail about a particular NLP task - text summarization, which directly relates to the topic of this thesis. The aims of text summarization, the approaches, and the advantages and disadvantages of them shall be described and looked into.

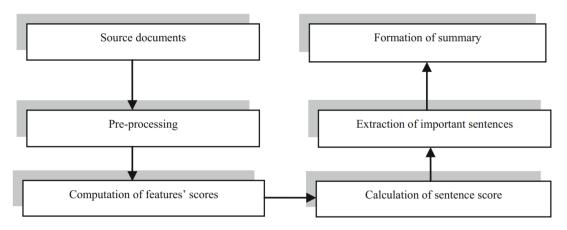


Figure 2.4: Block diagram of automatic extractive text summarization system by using statistical techniques (Gambhir & Gupta, 2017)

# 2.3 Text Summarization

Text summarization is the process of distilling the most important information from a source to produce an abridged version for a particular user or task.

Mani, Advances in Automatic Text Summarization

The constant exponential growth of the amount of information and the number of documents available online makes it more and more vital to develop a tool capable of narrowing it down and extracting only the most important parts (Gambhir & Gupta, 2017). Summarizing texts, articles and even conversations will give an opportunity to consume it faster and more efficiently. Four main requirements for a good summary were defined by L. Huang, He, Wei, and Li (2010) as following:

- **Information Significance**: only the important information from the original document should be added to the summary.
- **Information Coverage**: the extent of the information from the original document included in the summary should be maximized, however still being tightly connected to the significance requirement mentioned above.
- **Text Cohesion**: the summary should be grammatically correct and as readable as possible, not just a bunch of disconnected sentences and facts put together in an incomprehensible text.
- **Information redundancy**: the duplicate information from the original text is expected to be minimized, the summary should contain no factual repetition.

Text summarization techniques can be classified by the summary building method into *extractive* and *abstractive* approaches (Mani, 1999). Extractive summaries or extracts *"are produced by concatenating several sentences taken exactly as they appear in the materials* 

*being summarized"* (Nenkova & McKeown, 2011). Extracts appear to be very useful in the case when the user requires an overview of the document or a set of documents, without going through the whole content. It is supposed to provide the most important information picked and merged in one shorter summary - the workflow of one of the extractive approaches can be seen in Figure 2.4. The texts have to undergo some preprocessing stage if necessary, to "clean" it and prepare for actual summarization process, then the sentences are being scored and chosen to be extracted and added to the end summary by some criteria comprising of various features.

Speaking of preprocessing, one should not forget the importance of this step in the summarization pipeline. It is a step that many various NLP applications are relying on (Sunil, Jayan, & Bhadran, 2012) and it can greatly improve the end results if a correct preprocessing technique is chosen. There are numerous approaches aimed for that, such as:

- Sentence Segmentation the text has to be split in sentences or utterances (in case of a transcript) to be able to assess separately the features of each like length, syntactical structure, importance, etc.
- Tokenization segmentation of the text into even smaller units than sentences. For example, a good tokenizer will split the word "it's" into "it" and "is".
- Stemming and lemmatization the process of bringing all inflected words of the same root to the same form, either a canonical form (lemma) that actually belongs to the language or to a stem form reduced to the root. Mainly performed by suffix stripping and even by changing the word itself.
- Tagging assigning such labels as part-of-speech or dependency tags to words, to utilize these features further in the pipeline. In many modern language programming tools processes like tokenization and tagging are included and combined by default.
- Named Entity Recognition another sort of labeling of the words, giving tags like "person", "place", "organization" to the ones that are recognized as the names of one of those entities.
- Stop-words removal some words that occur fairly often but don't carry any significance to the NLP task have to be removed to decrease the fuzziness of the input data.
- Chunking recognizing such structures as noun chunks, verb phrases etc. within the sentence.
- Word of phrase replacement sometimes some words of phrases that essentially mean the same or close to being same should be unified. Processes like anaphora resolution, when the pronouns are replaced with the original noun they are representing, or replacement of the verbs with their hypernyms are used quite often for various NLP tasks.
- Other means of text normalization removal of consecutive repetitions of unigrams, bigrams or trigrams, filtering out filler words or disfluences and other "clean-up" of the text to make it easier for an algorithm to work with.

#### 2 Background and Related Work

However, summaries derived extractively are usually very different from a humanwritten summary (Yao, Wan, & Xiao, 2017). Due to grammar issues, the sentences might not be joined with each other through sentence connectors that would sound natural and characteristic for summaries written by a human. Nonetheless, in various cases such an approach still appears to be sufficient, providing a good enough result to settle on this method without further improvements. Abstractive summary, to the contrary to extractive, does not reuse the sentences or their parts from the original text, but tries to reformulate and paraphrase them, creating new ones that form a summary. This task is more complex than extractive summarization, since it requires a semantic analysis of the text and its abstract representation (Zhuge, 2015).

Another classification of summarization techniques is based on the aim of the summary: if it is supposed to give only the idea of what is the text about, it is considered indicative; if the summary provides more information from the main text, it is called informative (Babar & Patil, 2015).

Summarization for humans is a straight-forward and fairly easy process: the document has to be read and understood, then the key points have to be picked out, reformulated and collected back in a coherent text of smaller volume than the source. However simple, the task may become time consuming with more text to summarize. This could be accelerated a lot if the computational speed of a computer could be applied. On the other hand, summarization is a complex task for a computer as it requires if not understanding of the whole text, then at least knowledge of the text structure.

At first the research in this field was concentrated on single-document summarization, which meant extracting the main information from one single article, transcript, text, message or web-page. Certain techniques were proposed in the pioneer works in the late 50s-60s: frequency of the words suggesting their importance (Luhn, 1958), sentence position and the occurrence of certain keywords as the main factor (Baxendale, 1958), or even including such sentences that contained the words from the heading (Edmundson, 1969).

For the time being, those three main approaches were combined and used quite successfully for some tasks until novel algebraic and statistical methods started emerging. One of the first works among those was, for example, the system described by Kupiec, Pedersen, and Chen (1995), who suggested using a Naïve Bayes classifier and a training set with texts with highlighted important segments in it to teach the system what parts can be valuable for extracting to the summary. Such an approach proved to be fairly fruitful, yielding 84% accuracy in case of the summaries being 25% the length of the original testing text. However, if the summary needed to be narrowed down, the accuracy dropped. Various other methods were discovered and suggested, such as neural network approach (Yong, Abidin, & Chen, 2006), lexical chains (Barzilay &

Elhadad, 1999), saliency criteria (Boguraev & Kennedy, 1999) and even some attempts to mimic human summarization techniques, such as sentence reduction (Jing, 2000) and the "Cut and Paste" method (Jing & McKeown, 2000).

A need for big corpora by the end of 1980s started growing more with overall adoption of statistic approaches. The Cognitive Science Laboratory at Princeton University started working on WordNet - first an annotated corpus of so-called "synsets", sets of grouped synonyms and similar words of the English language (University, 2010). This initiative later turned into forming of the Global WordNet Association creating other corpora in various languages (Association, n.d.). Most of the databanks are under open license, to propagate the usage of the corpora in research all over the world. Use of discourse structures and syntactical trees was introduced with the creation of the Penn Treebank (Marcus, Santorini, & Marcinkiewicz, 1993), a large corpus of over 4.5 million English words with part-of-speech (POS) tagging. Carlson, Marcu, and Okurowski (2003) created a large corpus with discourse-level annotation for NLP research. The scientists started understanding that sharing such resources openly can greatly boost the research process, yielding to amazing results and achievements.

With the breakthroughs in computer science and improvement in computational power, the field of study was also expanded to multi-document summary. This was caused by the immense growth of information used and received in everyday life whether that was e-mails collections, web sites catalogs or other digital libraries of large scale. One of the well-known techniques is TF-IDF - Term Frequency (Inverse Document Frequency) based method introduced by Salton (1989). It adopts the notion that important words are repeated more often in various documents in the base that has to be summarized, however the system also excludes very common words that are repeated constantly but bear no significant meaning for the summary. This was later adapted in various other works, evolving into TF-ISF (Inverse Sentence Frequency) (Gupta, Chauhan, Garg, Borude, & Krishnan, 2012) and other versions. Graph-based approaches also became quite popular in the attempts to encode the textual or syntactical information from the documents into a versatile graph structure - like TextRank (Mihalcea & Tarau, 2004) with sentences as vertices and similarity score in between them, or the work of Zhang, Sun, and Zhou (2005) utilizing such properties of the graphs as centrality and network hubs. Other adoption from network calculation was the creation of LexRank (Erkan & Radev, 2004) and its further enhancements (Hariharan, Ramkumar, & Srinivasan, 2013).

The approaches discussed above were mainly applied to well-structured texts, such as scientific papers, reports, news, stories, etc. However, there is a specific subtask in summarization that deals specifically with dialogue and transcript summarization. The following section will concentrate closer on this topic and the discussion about the approaches in this subfield, as it is directly related to this thesis.

## 2.4 Spoken Language Summarization

Consequently, automatically generated meeting summaries could be of great value to people and businesses alike by providing quick access to the essential content of past meetings.

Wang and Cardie, "Domain-independent abstract generation for focused meeting summarization"

With time scientists started wondering whether the same summarization techniques that were discussed above are applicable to texts from other domains or of other styles. Summarization of dialog or meeting transcripts proved to be a tricky task for programmers - (Christensen, Gotoh, Kolluru, & Renals, 2003) described several experiments on applying already existing classic extractive summarization methods on speech recognition transcripts and concluded that more spontaneous speech provides less quality than organized structured text. Meeting transcripts consist of unstructured utterances with long-term semantic dependencies (Wang & Cardie, 2013). Such texts contain more grammatical and spelling errors, they are more noisy, thus producing a less readable and concise summary using extractive techniques (Liu & Liu, 2009; Murray, Carenini, & Ng, 2010). Still, there were many attempts to utilize extractive approach (Bui, Frampton, Dowding, & Peters, 2009; Riedhammer, Favre, & Hakkani-Tür, 2010; Xie, Liu, & Lin, 2008).

In some cases the result was good enough to consider the task accomplished, however, it became apparent that to make a more coherent and sophisticated summary text, the sentences have to be adjusted and transformed. Here the research took different paths: sentence compression (Filippova, 2010; Jing & McKeown, 2000), template generation (Oya, Mehdad, Carenini, & Ng, 2014; Wang & Cardie, 2013) or sentence fusion (Banerjee, Mitra, & Sugiyama, 2015).

Some recent approaches in transcript summarization will be discussed in more detail now. The reader can find the brief overview collected in the Table 2.1. This review is mainly going to be concentrated on the latest works between 2010 and 2019 to better describe the state of art and see the current picture of research in the field of dialogue and meeting summarization.

As it was mentioned above, scientists started turning away from extractive towards abstractive analysis, realizing that extractive approaches might not be enough to produce a more readable and grammatically correct summary. Nonetheless, by 2010 there were still some attempts in extractive summarization that could be regarded successful. Murray and Carenini (2008) suggested a system that tackled the conversation summarization as a classification task. They utilized a statistical classifier using various conversational structure features, such as sentence position, length, participant dominance, specific word usage, etc. Given all those features, a logistic regression classifier was picking the best sentence to plug into the summary. The authors picked this specific sort of classifier due to previous research (Cortes & Vapnik, 1995) proving that even though the quality of the results of a logistic regression classifier and a support vector machine (SVM) was fairly equal, the SVMs took way longer to train than logistic regression classifiers. The evaluation of the system showed that some of the features turned out to be more useful than others in different application domains. The authors claim that the system is robust even in noisy datasets and still provides useful summary information about meeting or email conversation in a very short time, even providing the possibility to be extended to other domains.

Another extractive approach was described by Bui et al. (2009) - this time steering more into so-called "focused summarization", which "in contrast to summaries of a meeting as a whole, they refer to summaries of a specific aspect of a meeting, such as the DECISIONS reached, PROBLEMS discussed, PROGRESS made or ACTION ITEMS that emerged" (Wang & Cardie, 2013). This particular work concentrated on classifying sentences into different dialogue acts to pick up the ones related to decision-making. Such a procedure is executed using Directed Graphical Models (DGM) to model sequences and dependencies in the conversation structure. The system could detect three main decision dialogue acts (DDA): issue, resolution, and agreement. After that, the algorithm was following two rules in decision region selection:

- The decision discussion region begins with an issue DDA.
- There has to be at least one issue and one resolution DDA in the region.

Such a region was picked for decision summary generation. Agreement DDA normally didn't contain any essential information regarding the problem, thus it was omitted from the summary. An SVM regression model was picking the best short fragment that was most likely to match the gold-standard extractive summary. Ultimately, DGM when using non-lexical features proved to outperform hierarchical SVM classification suggested before by Fernández, Frampton, Ehlen, Purver, and Peters (2008). The authors experimented with different feature sets and data, drawing conclusions that could lead to future work and improvements.

In the meantime, abstractive methods were rising among the community. Murray et al. (2010) proposed a document interpretation based on general conversation ontology with "message" generation - small summaries over multiple sentences - and further picking of the most informative messages. Suggested ontologies are describing not only high-level entities like Participant, Utterance or DialogueAct, but also subclasses and properties. This way, for example, ProjectManager is included in Participant or DialogueAct has various subclasses corresponding to different phenomena: decisions, actions, problems, etc. Sentences are classified by a pre-trained system that maps them to such an ontology description. The process doesn't stop at merely classifying sentences - the authors attempted to make a system that can recognize bigger patterns

#### 2 Background and Related Work

in the conversation, which they called "messages". An opening or closing of a meeting, a repeated agreement or disagreement, a decision-making process or a problem discussion can all be classified as such messages. An integer linear programming (ILP) then selects the most informative messages among all the detected ones, and using all the information from the ontology representation with the means of simpleNLG<sup>1</sup>, picks a sentence for each message. With a schema-based approach the planning of the end article is performed and the summary is assembled. A general downside to such an approach is the requirement for pre-training labeled datasets. The results showed that this technique outperforms human-written extracts with better readability, coherence and usefulness scores, but still loses to abstracts created by people.

A full summarization pipeline was suggested by Mehdad, Carenini, Tompa, and Ng (2013) being similar to the approach of Murray et al. (2010) with changes to the content selection step and different technique applied to the summary generation phase. Unlike Murray et al. (2010), the authors used lighter approach to annotation, having only links between sentences in a human abstract and the sentences in the original text. Sentences were classified pairwise whether they could be abstracted together by a new sentence, and a graph was built with sentences as nodes and edges as those classified connections. Afterwards, communities were detected inside the graph calculating betweenness of the nodes; single sentences with no connections represented their own singleton communities. To avoid redundancy and repetition, an entailment graph was created with a supervised method for each community, recognizing important and new information among the sentences. Normally, the nodes with more outgoing entailment relations and the roots of longer entailment chains were being regarded essential and informational. Finally, sentence fusion was performed with the help of a Word Graph based on the method proposed by Filippova (2010), merging identical words or synonyms, replacing some words with their hypernyms. Several sequences could be generated from the graph following the possible paths (see Figure 2.5), which later had to be ranked based on readability, informativeness and other scores to pick the best version to include in the summary. Certain drawbacks were detected in such an approach after the experiment testing. Firstly, since the generated sentences are still based on the sentences directly from the transcript, it's following the informal style of the original text, while human-created abstracts are translated to a proper formal writing style. Secondly, the subjectivity of human-written abstracts also distorts the way the program then tries to generate the summary. Lastly, since the speaker information is not taken into consideration, the summary does not give any participant description or naming, as the human-produced abstracts normally do. Also, it became apparent that such texts as meeting transcripts contain various grammatical and spelling errors and need to be normalized and pre-processed to improve some results. On the positive side, the system proved to be capable of generating longer sentences while still keeping them relatively grammatically correct, which can compete the quality of the previous word graph based approaches generating shorter sentences,

<sup>&</sup>lt;sup>1</sup>https://github.com/simplenlg/simplenlg

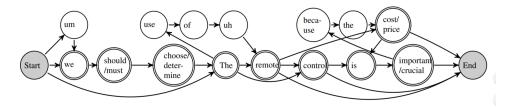


Figure 2.5: Word graph generated for a sentence utilized for sentence fusion. The arrows show possible fusion paths, double-bordered nodes contain merged words.(Mehdad, Carenini, Tompa, & Ng, 2013)

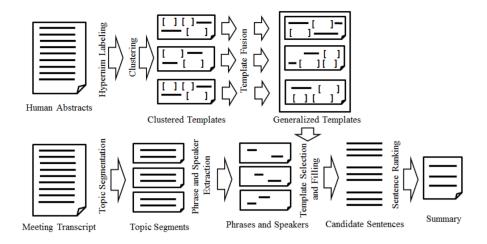


Figure 2.6: Two-component meeting summarization framework presented by Oya, Mehdad, Carenini, and Ng (2014)

and the informativeness of the summaries in general is higher than other meeting summarization models suggested before.

Oya et al. (2014) followed with a template-based approach to meeting summarization. This system was also using the word graph method, however, this time for template generation. The whole framework consisted of two components (see Figure 2.6) - offline template generation and online summary production. The template generation module was designed in such a way so it could possibly create the templates general enough, however also quite specific, so each template only accepted certain fillers. Sentences with active root verbs were collected from human-written abstracts, noun phases replaced with hypernyms, and after classification this blanks were fused using a word graph into the final templates. For the summarization component, topic segmentation was applied according to the method proposed by Galley, McKeown, Fosler-Lussier, and Jing (2003) with post-processing extensions. Salient sentences were extracted based on the frequency of each word in the fragment, the same hypernym replacement conducted on noun phrases. Each template was linked to a community of sentences from the training data, so during the search for a better summarizing sentence for an actual community in the current text the most similar training community had to be

#### 2 Background and Related Work

picked. Finally, the multitude of generated sentences was ranked based on such criteria as fluency, coverage, etc. and the best ones were chosen to build up the summary. This work brought such a template generation approach as a novelty together with the template selection technique, and according to the testing, the summaries were outperforming the human-written extracts as well as the results from contemporary works.

In the field of focused summaries, Mehdad, Carenini, and Ng (2014) proposed using phrasal query-based approach to directly address the needs of the user for any specific information needed from the document. The utterances were being extracted following two criteria: containing the essence of the text and the answer to the user query. The authors decided to utilize the concept signature and query terms - with log-likelihood ratio for the first case and WordNet synsets for the second. Those utterances were scored by maximizing the coverage, with some of them removed through an entailment graph afterwards to avoid redundancy. The rest of the procedure was similar to some approaches already discussed above - clustering, finding the best path over the word graph based on a ranking technique. As a result, the system proved to be correctly producing query-based summaries with good grammatical scores from both automated and manual evaluation.

Another graph-based approach was suggested by Banerjee et al. (2015). It is another example of graph sentence fusion per each topic fragment, when the best summary sentences are chosen by finding the best path on a word-graph. However, unlike the graphs described in Mehdad et al. (2013), in this case the authors applied dependency parsing to build the connections between words. Moreover, they attempted to solve some reference issues: when some entity is named in one sentence and referred to by a pronoun in the following ones, it creates problems for sentence fusion. Such noun phrases have to be unified by anaphora resolution: replacing all the pronouns with the original noun. ILP approach was used for path selection. The results have shown that anaphora resolution indeed improves the evaluation scores and the produced summaries outperform extractive summary model that served as a baseline.

Markov Decision Process (MDP) was used as a summarization technique by Murray (2015). Firstly, the existing community detection had to be applied and several different techniques were used for comparison: a supervised logistic regression, unsupervised k-mean clustering and human gold-standard sentence communities. The summarization MDP state structure is illustrated in Figure 2.7 - the states are representing unique word types occurring in every cluster. The sequence of words is generated in between the START and STOP states, producing a possible sentence for a cluster summary. Value Iteration allowed to pick the best possible word at every step and state thinning resolved the issue of word repetition in a sentence. Moreover, the average length of a produced sentence can be regulated by determining the number of time-steps, the so-called "horizon". In the end, the summary quote often consisted of some sentences being completely identical to the ones in the original text, some of them were short-

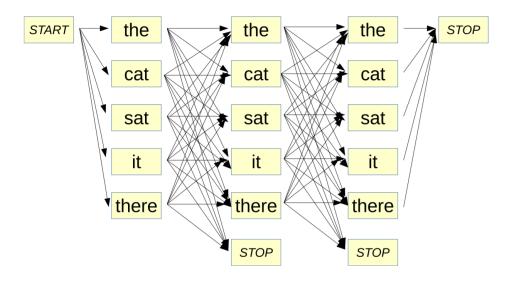


Figure 2.7: An example of Markov Decision Process state structure for a simple sentence (Murray, 2015).

ened, and some of them represented a fusion of different ones. In conclusion the authors were discussing the idea of combining the MDP approach with top-down template filling, due to the MDP being flexible with possible constraints on some fixed patterns from the templates. Unfortunately, the sentence fusion performed by the MDP quite often lead to the sentences being grammatically incorrect or nonsensical.

As it can be seen, community detection is occurring very often in such works, either directly for summary generation or for the template generation step. Singla, Stepanov, Bayer, Carenini, and Riccardi (2017) discussed various heuristics for such an operation: taking the whole text as a community for each sentence, 4 closest turns with respect to cosine similarity between the summary sentence and the conversation sentences, 4 closest turns but after replacing the verbs using synsets and 4 closest turns based on similarity with average word embedding vectors. As a result, the last technique turned out to be more effective than the rest; however, the system was tested in two languages - English and Italian, and the Italian version was showing fewer differences in the performance of all four approaches. This can be possibly explained by smaller train data available for the Italian language, which decreases the precision of the system.

Among the neural network approaches was the work suggested by See, Liu, and Manning (2017). The authors tried to address the main shortcomings of ordinary sequence-to-sequence approaches: incorrect factual detail representation and repetitiveness. They proposed to tackle the first issue with pointing methods, which would allow more accurate reproduction of information by copying some words directly from the original text. The authors attempted to solve the second problem by using coverage monitoring to keep track of what had already been summarized. The pointer-generator network consisted of an encoder and a decoder and was deciding the probability of

#### 2 Background and Related Work

a word at each step either being generated or copied from the text. For the coverage mechanism an adapted version of the approach by Tu, Lu, Liu, Liu, and Li (2016) was used, helping the decision-making at each step with a reminder of the decisions already taken previously. This way repeated attention of the network was prevented and repetition of the factual information is minimized. The system can be considered partially abstractive, because of the copying of the information from the original text; however the evaluation has shown that in the end it still even outperforms many of the state-of-art abstractive solutions, and in general there is a perspective of encouraging the network to write more abstractively but still retaining the accuracy of the pointer technique.

Since many approaches had already been suggested and shown relatively good results, many further works tried to combine them somehow in an attempt to boost the performance even more. Shang et al. (2018) experimented with combining the already described community detection technique using TF-IDF vector space and multi-sentence utilizing the word-graph representation. Summary sentences are generated, ranked by several values like coverage or fluency, and then by maximizing a submodular and monotone non-decreasing objective function the set of summary sentences is reduced to a desired summary length, and redundancy along with off-topic content are being decreased. A benefit of this work is that the approach is fully unsupervised, meaning no annotation or pre-training is needed. The input is just pure original text without any metadata and the only thing required is a language tool with a model, POS-tagger, word vectors and stopword lists. This makes the system very versatile and able to work out-of-the-box with different languages given a language model, and it is not domain-dependent as well.

Among the latest works Ganesh and Dingliwal (2019) presented a new approach, once again fusing the methods from previous papers: sequence-tagging the transcript and modeling a discourse structure with application of an attention-based network to it afterwards to generate the summary. The idea of using the discourse structure of the transcript is not new; the authors were in some way following the example of Stone, Stojnic, and Lepore (2013); however, drastically simplifying it due to modeling being the only purpose of creating such a structure. The discourse structure data and lexical information is used to remove abandoned and unfinished sentences, pauses, non-verbal cues, etc. The coverage-based pointer network approach is borrowed from See et al. (2017) without any additions, only with adaptations to newer versions of frameworks used in the pipeline. The result evaluation showed the abstractive properties of the end summary hence improving the readability score.

While some of the papers returned to discourse structure application, the others revisited encoder-decoder neural network approaches like Zhao et al. (2019). The authors employed the hierarchical encoder technique proposed by Li, Luong, and Jurafsky (2015) in an attempt to model long-term semantic dependencies in a conversation. To learn the semantic representation of the meeting transcript, an adaptive encoder inspired by binary neurons is applied to the texts. Utterance-level Long short-term memory (LSTM) networks help fragmenting conversational topics in the text. Afterwards a reinforced decoder network based on segment-level LSTM networks is used to generate summaries of the topic fragments - given the semantic representation, the decoder predicts the next word in the summary on each step. Reinforcement learning had to be applied to pre-train the decoder and optimize the network. The resulting summaries show striking fluency and appear rather natural, still retaining the coverage of necessary factual information. The outcome can already be compared to human-produced abstracts, which essentially comes very close to achieving the goal that computer scientists have been pursuing for several decades.

As a general picture, the tendency goes more and more towards abstractive summarization nowadays, when the researchers are trying not merely to represent the correct data obtained from the original text, but also make the final text sound as natural as possible, making it look like it has been written by a human and not by an algorithm. Furthermore, there are numerous attempts in focused summarization, which narrows down the amount of information the user gets from the transcript even more, concentrating only on the personalized shorter summary and making the abridged version more precise and effective.

Work	Туре	Methodology	What's new?
Murray	extractive	Machine learning classifi-	The system is not domain-
and		cation using conversational	restricted and outperforms
Carenini		features to detect saliency	state-of-the-art domain-
(2008)			specific summarization tools.
Bui et al.	extractive	Various dialogue act classifi-	Directed Graphical Model
(2009)		cation to detect the phrases	used to describe sequences
		that concern decision-	and dependencies, use of sim-
		making, outcome, and	ilarity measures to improve
		dependencies between the	sentence selection
		phrases	
Murray	abstractive	Input sentence ontology	Improved readability, coher-
et al.		mapping based on the	ence and informativity, fully
(2010)		set of features relating to	automatic summarizer
		conversational structure and	
		sentence-level phenomena,	
		abstract generation over	
		multiple sentences, most in-	
		formative abstracts selection,	
		final text generation based	
		on the picked abstracts	

Table 2.1:	Transcript	Summarization	Techniques

Mehdad et al. (2013)	abstractive	munities of clustered sen- tences, word graph with ranking for selecting the best	Abstractive summary gener- ation utilizing word graph model for sentence fusion, uti- lization of semantics in textual
		path on the graph	entailment graphs, method is not domain-specific due to minimal syntactic information usage.
Oya et al. (2014)	abstractive	Multi-sentence fusion and lexico-semantic information for template generation, word graph, utterance extraction based on topic segmentation	Novel approach to template generation. The generated summaries are generally pre- ferred by the participants of the user study to extractive ones and other state-of-the-art meeting summarization sys- tems.
Mehdad et al. (2014)	abstractive	Ranking and extracting ut- terances based on content and phrasal query, cluster- ing of extracted sentences by similarity, word graph appli- cation for aggregation with ranking for the final selec- tion of sentences for the sum- mary	Query-based focused summa- rization, concentrating on the required factual information, high grammaticality of end summary.
Banerjee et al. (2015)	abstractive	One sentence summary gen- eration per topic segment by fusing the sentences with each other	Robust approach for noisy data (including disfluences, etc.) outperforming extractive approaches.
Murray (2015)	abstractive	Summarization problem as MDP for community detec- tion among transcript sen- tences	MDP proved to be superior to extractive approaches; how- ever synthesized sentences are ungrammatical and nonsensi- cal. Application to other do- mains possible.

Singla et al. (2017)	abstractive	Template generation apply- ing slot labeling, summary clustering and fusion, auto- matic community creation using cosine similarity for template selection, topic classification using a lexical cohesion-based domain- independent discourse segmenter	Testing different cosine simi- larity heuristics by calculating on different levels: raw text, text with replaced verbs and average word embedding sim- ilarity; testing on English and Italian corpora
See et al. (2017)	abstractive	Neural sequence-to- sequence model augmented with a hybrid pointer- generator network and coverage model to avoid repetition	A methodology attempting to fix two downsides to previ- ous sequence-to-sequence ap- proaches - correct factual in- formation reproduction and repetitiveness.
Shang et al. (2018)	abstractive	Community detection for sentence clustering, single summary sentence gen- eration per topic using Multi-Sentence Compres- sion Graph, summary sentence selection by max- imization of a custom submodular quality function under a budget constraint	A combination of several pre- vious approaches in an at- tempt to utilize their strengths, fully unsupervised framework - the system does not rely on any annotations or train- ing sets and also not English- specific.
Ganesh and Dingli- wal (2019)	abstractive	Attention-based pointer net- work using discourse rela- tions in the dialogue using sequence tagging	Use of lexical information to remove pauses, abandoned sentences, nonverbal cues etc. and replace acknowledgments, appreciations, agreements etc. for a more informative sum- mary
Zhao et al. (2019)	abstractive	Neural network approach - a hierarchical neural en- coder based on adaptive re- current networks to learn the semantic representation of meeting conversation and decoder based on segment- level LSTM networks to gen- erate the summary	Adaptive segmental encoding introduced

# 2.5 Linguistic Improvements To The Generated Text

Various factors can make an automatically generated text better and more appealing to the human eye. First of all, it can be the basic features of most human-written texts, such as it being grammatically and factually correct. However, even that can still be not enough to prevent a human reader from detecting that the text is machine-produced. As Hervás, Costa, Costa, Gervás, and Pereira (2007) mentioned, *"Along with the limited use of vocabulary and syntactic structures they present, their lack of creativeness and abstraction is what points them as artificial"*. This is the second level of tasks that automated text generation and summarization systems have to tackle in order to boost the results and quality of the produced texts.

While more technical articles such as political news, stocks or weather reports, don't require the texts to be as sophisticated as poetry or literary storytelling since there is no particular need for metaphors, sarcasm or any abstraction, some of the high-level language tasks still remain. One of such tasks is being further tackled in this thesis - anaphoric expression generation. Anaphora is a term commonly used to describe a relation between two linguistic elements - antecedent and anaphor - where the first one is a semantic interpretation by the second (Y. Huang et al., 2000). For example, when a person is mentioned for the first time (antecedent) it is named fully, while being mentioned later in the current sentence or the following ones, it can be replaced by another linguistic element (anaphor), which can be a pronoun, reflexive, other name, description or even a gap. Lust (2012) also defines forward and backward anaphora:

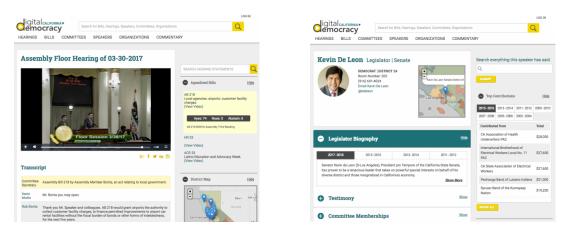
- Forward: *Billy* dropped the penny, when *he* saw the cat.
- Backward: When he sang a song, Jimmy opened the door.

Such a concept creates two tasks for computational linguistics. Firstly, anaphora resolution problem (Mitkov, 2014), where all the variations of references to the same subject have to be unified in order to allow the algorithm to perceive it as one entity. And secondly, anaphora simulation in text generation (McCoy & Strube, 1999), which is aimed at creating more sophisticated and stylistically complicated sentences, mimicking the human writing.

# 2.6 Digital Democracy

AI For Reporters is a part of the bigger initiative originating from California Polytechnic State University called Digital Democracy. The idea behind it is to make politics more accessible, more transparent and available to the common citizen, the press and anybody else interested in the political development of the United States. To have a really strong democracy in the country, the average citizen needs to be well informed. Moreover, the information that people consume should ideally contain no bias, representing only facts, dates, numbers and events that can be easily proven and traced back to its origin. Luckily, Digital Democracy has been building up such a

#### 2.6 Digital Democracy



a) A webpage for a committee hearing - the transcript is being shown under the video recording, all the metadata is shown on the right side from it.

b) A webpage with the information available about a legislator: a short biography, testimony, committee memberships and contributions from various sources.

Figure 2.8: Digital Democracy website with the available functionality.

base with facts and texts for years (Blakeslee et al., 2015; Budhwar, Kuboi, Dekhtyar, & Khosmood, 2018), and the AI For Reporters project is aiming to utilize it to the maximum. The main source of data for the project is the hearing transcript database within the Digital Democracy initiative created by human-assisted annotation methods (Ruprechter, Khosmood, Kuboi, Dekhtyar, & Gütl, 2018).

AI For Reporters is utilizing the multitude of data created during the years of Digital Democracy existing, especially the transcripts of the committee hearings with all the metadata available to it. All this information is accessible to any internet user on the Digital Democracy portal with searching possible through hearings, committees, people and bills (see Figure 2.8). The database behind this system contains numerous tables connected to each other, containing such data as transcript utterances, information about the speakers, the committee, with every entity having it's own identification number, whether it is a hearing ID or a person ID, committee ID, etc. By creating SQL queries and combining these tables, a researcher can gain access to any information they may require. Moreover, the database also contains video recordings for each available meeting, so each transcript can be even traced to its origin source if need be.

Any speaker that has ever taken part in any of the hearings is being stored in the database. Such information as the speaker's full name, title, occupation, political party, bill writing and voting history can be retrieved from the database if it's available for this particular speaker. Even the representatives of the general public that testify for the bill and come to the hearing to speak their mind for or against the bill are part of the records. If the required person is a legislator, the user can trace the bills that were suggested by them, as well as the data about the districts this person has ever represented and in which years, what committees they used to be or are currently a

#### 2 Background and Related Work

part of, etc.

For each bill there is a record in the database containing the text of it, all the different versions of it and the floors where the bill hearings took place, all the motions and voting results from the bill hearings, the available information about the bill authors and the people who took part in its creation.

Such a versatile and rich database provides endless opportunities for data analysis and with a proper demonstrative representation can illustrate in an easy way the legislation process in the United States to a common Internet user.

## 2.7 Summary

Automated news and summary generation has been rapidly developing as a field of NLP, utilizing the latest computational possibilities to the fullest and especially the progress in neural network technology and machine learning. All of this brought summarization to such a level that extractive methods were mainly replaced with abstraction that can be compared to human-written abstracts. However, most of the attempts are still falling behind in terms of the quality of the abstraction, precision or grammaticality in such a comparison. Due to that, nowadays the general opinion on computational journalism among human writers is only a little skeptical, or even cautiously positive - it is not seen as a threat to the news industry, but more as a useful tool that would give an opportunity to the journalists to concentrate on more creative work while the load of the simpler repetitive tasks will be taken off their shoulders by computers.

An in-depth review on latest achievements and novelties in the field of text summarization is also provided in this Chapter, describing the techniques emerging and observing the results of its application. The main trend appears to be more and more inclined towards abstractive summary since the researches have concluded that such an approach to summarization makes the end product sound more natural and gets closer to the quality of a human-written abstract. However, some extractive features are still present in many works and are not given up on, which suggests that having a fusion of abstractive and extractive summarization could be a plausible technique to pursue.

Other important concepts like anaphora resolution and generation of anaphoric structures were introduced for further description of the solution implementation on one of the project's levels. Such additions to the text generation process can help mimic human-written texts better, taking into consideration the peculiarity of expressions and figures of speech added only by people in texts and language.

# **3 AI For Reporters**

The idea behind AI For Reporters is to build a prototype news generation service where narrative content covering state legislatures is automatically generated from primary data sources, and can be distributed to local and regional news organizations for publication. Such a tool can be used to popularize the legislation openness and keep the population of the United States up to date with the lawmaking process within state and local governments.

As it was explained in Section 2.6, AI For Reporters is a part of the bigger initiative called Digital Democracy. However, this thesis does not extend the Digital Democracy project. AI For Reporters is designed only to make use of the database already created by the Digital Democracy team. Based on the review of techniques and approaches to transcript summarization in Section 2.4 in this Chapter, Section 3.1 will outline the main requirements and goals of the project, describing the desired ideal result of its work and its original aims. After which, in Section 3.2, the main adopted concepts are being explained, with main approaches and methodology documented within the section. Section 3.3 outlines the architecture of the project, telling about all the components and important steps of the workflow. Further on, in Section 3.4, all the libraries and frameworks used in the project are being described, explaining their meaning and place in the AI For Reporters structure.

## 3.1 Requirements

Defining the requirements is a crucial part for any development process, which gives clarity to the goals and steers the project in the right direction from the start. The requirements can be split into functional and non-functional, with both being equally important for the flow of the development process (Capilla, Babar, & Pastor, 2012).

AI For Reporters is a transcript text summarization system. The following requirements can be listed as functional:

- The facts supplying the program are either queried from the Digital Democracy database directly, or mined from the transcript texts of the legislator hearings stored in the database.
- Provided with a hearing ID, the system should fetch all the data connected to it with a query and begin processing and fact extraction, later using it to fill and arrange the article text templates in a grammatically correct and readable report.

#### 3 AI For Reporters

- Each fact has its own source for transparency reasons which is marked in the article with a footnote, allowing the reader to see the background of each statement and understand how it appeared in the text.
- The system has to be flexible enough to allow the addition of new types of facts to be mined or exclusion of the ones that represent no interest to the end user. It should be designed in such a way that external contributors could still add their own fact-mining blocks without prior knowledge of the whole system. Ideally, there should be minimum connection points that they would have to interact with in order to expand the summarizer functionality.

Among the non-functional requirements certain qualities can be defined that are expected to be present in the project:

- The fact extraction system must have high precision to be credible, meaning that the summarization has to be robust and has to have low tolerance of false results and incorrect facts represented by it.
- The system must provide a cohesive and readable end summary text as well as a collection of all the facts gathered, all the assets, pull-quotes, links and footnotes in one single file that can be provided to the end user.
- The execution time of the system should be short enough to be able to provide quick summaries for the end user on request and upon the new data emerging in the database. This can ensure that the news provided in the summary articles is topical and of current interest, keeping up to date with quickly evolving events today. The execution of the program should not take longer than it would take a human reporter to create an abstract from the hearing.
- The summary should have some abstractive properties to it and utilize not only the facts already existing in the database, but also the text of the transcript itself, performing some NLP analysis and and mining the facts directly from the text facts that otherwise could only be discovered by a human watching the recording.

# 3.2 Concept Description

As it has been already mentioned, one of the main aims of this work was to utilize the amount of data in the Digital Democracy project to its full potential. That meant not only working with the available metadata but also trying to extract the facts that could be interesting to the reader directly from the transcript of the hearing. After observing the hearing videos and reading through various transcripts, it appeared to be clear, that some patterns could be recognized and some data could be extracted from it.

This inspired the adoption of the so-called "phenom" approach - extraction of the key highlights from the transcript text and putting them all in a collection of facts. For each fact at least one template has been manually created, which are later either filled in and added to the final text if the corresponding fact has been successfully mined, or

is simply discarded if there are not enough facts in the collection to fill in the template.

Such an approach requires a close study of various transcripts and hearing, a lot of observation to track common features and patterns among the texts. Every hearing transcript may contain at least one or two facts that could be interesting to the summary reader and be worth extracting and adding to the resulting text. Digital Democracy database contains a multitude of videos to first examine how the legislation hearing proceeds, which parts of it is just a necessary agenda and which are special outliers, extraordinary happenings and events that might draw the attention of the reader as if they were present in the hearing itself. Each of such events can be represented as a phenom and produce a sentence or two for the end summary article.

Another important feature of such a concept is the ability to expand. Considering that the phenoms are unified in a specific generic way, it should cause no trouble to add new ones to the system if need arises, without any crucial changes in the architecture. Furthermore, other people working on the project could be also engaged in creating their own phenoms, without any in-depth knowledge of the code. Only knowing the entry and end-points for a phenom would be required to create an extension.

If any phenom can be called in the same way, some intelligent algorithm can be derived for the dynamic building of the article, such as a partial order planner or a similar technique. This creates a certain randomness in the article construction, and with the addition of multiple possible templates per phenom, the texts can vary a lot and not sound so "robotic" and bland, instead approaching the quality of human-written abstracts.

An initial design is demonstrated in the Figure 3.1 defining the steps and stages of the system starting with the input data retrieval, proceeding with processing, classification and generation, ending up with the final output production.

### 3.3 Architecture

The program architecture (see Figure 3.2) is designed as follows: the hearing identification number is provided by the newsworthiness ranking module, after which the requested hearing transcript is pulled from the Digital Democracy database with an SQL call. The newsworthiness selection mechanism is an external project currently in progress and will not be discussed in this thesis. Then the paragraph classification is performed, splitting the transcript into classified fragments using the predicted labels. After some preprocessing and separation of the fragments classified into categories earlier, the program is ready to start extracting facts from the transcript text. There is a collection of various classes created that can return one or more facts derived from the text. They have a system of pre- and postconditions and are being called by a partial order planner. Each of those classes has at least one corresponding template

#### 3 AI For Reporters

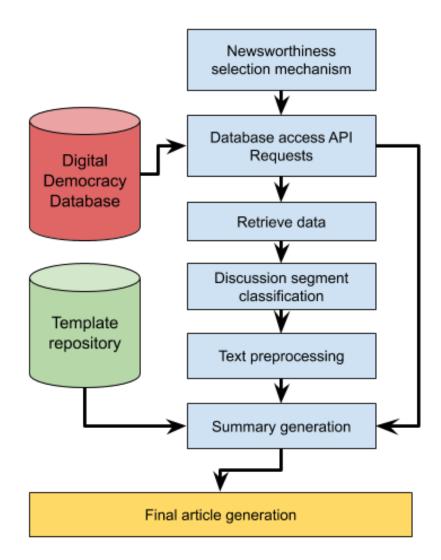


Figure 3.1: The initial design of the AI For Reporters structure.

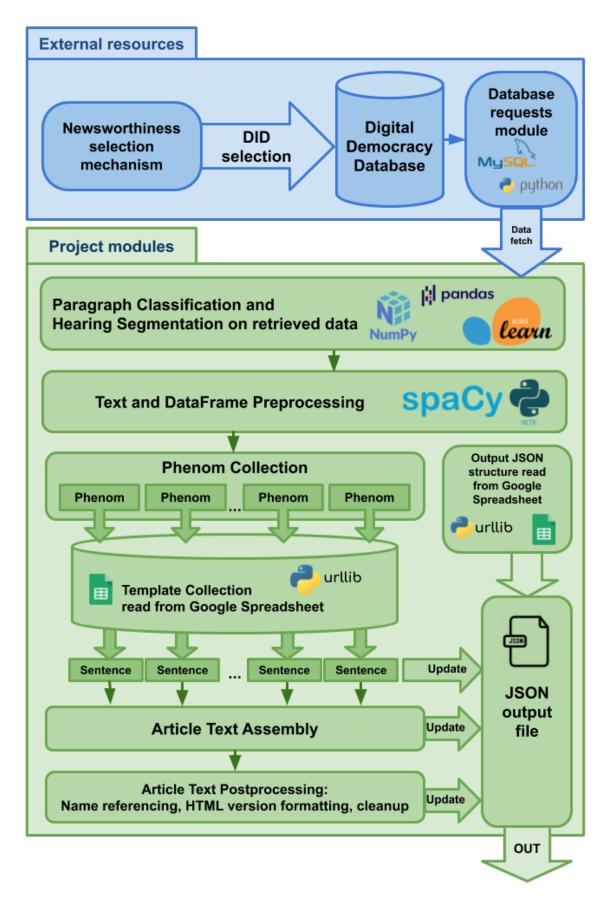


Figure 3.2: The workflow diagram of the AI For Reporters project

stored in the template bank, and these templates are getting filled on execution of the methods of each class. Some of the templates might require additional information from the database, thus API calls are also utilized at this step. After the execution of all possible fact extractors is finished, the final article is assembled from the filled templates according to the created plan, and all the data collected with various assets, headline, article text, etc. is written to a JSON file that can be delivered to the end user or demonstrated on the AI For Reporters web page.

Among the approaches discussed in Section 2.4 many works utilized template-based summary generation, either with hand-written templates or automatically generated ones. Such a methodology allows a more robust system producing grammatically correct sentences with potentially lower coverage but better precision, which follows one of the requirements brought up in Section 3.1. In the future, human-written templates will also allow other project contributors to easily add either other versions of templates for already existing phenoms, or new templates for newly-created additional phenoms. This decision matches another requirement about the system being easily extendable, which was outlined in Section 3.1.

# 3.4 Tools And Frameworks

AI For Reporters is developed in Python3 (Van Rossum & Drake, 2009), mainly due to the various packages for natural language processing and working with text available in this programming language. To be exact, two different Python packages were used for NLP tasks:

- SpaCy (Honnibal & Montani, 2017)
- Natural Language ToolKit (Bird et al., 2009)

Both tools are capable of parsing, tokenization, lemmatization and dependency tree building - all of the processes necessary for proper text mining. In tokenization SpaCy has proven to deliver better results, however in tasks like single-word lemmatization NLTK performs well enough and is more lightweight than SpaCy with the NLP pipe call so it doesn't slow down the process as much. Pyton library re<sup>1</sup> with tools for regular expressions is also invaluable for text processing and preprocessing in some parts of the task.

Scikit Learn (Pedregosa et al., 2011) together with numpy (Oliphant, 2006) serves nicely for classification purposes, providing useful built-in classes and methods to train and use different classifiers.

For the database calls a library called MySQLdb<sup>2</sup> is utilized, establishing connection to the Digital Democracy database and retrieving the required data, whether it is some

<sup>&</sup>lt;sup>1</sup>https://docs.python.org/3/library/re.html

<sup>&</sup>lt;sup>2</sup>https://mysqlclient.readthedocs.io/user\_guide.html

information about a speaker or the hearing transcript. The two main data structure libraries are used for storage and data collection - Python package for JavaScript Object Notation (JSON)<sup>3</sup> and Pandas (The Pandas Development Team, 2020). The transcripts are being stored in a Pandas DataFrame (McKinney, 2010) as a table containing fields with information about the speakers, the hearing itself, the utterances, etc. The DataFrame has a very versatile structure that allows accessing by indices, column or row names, slicing, joining and other manipulations. Moreover, DataFrame allows conversion to various different formats, such as Excel sheets, JSON objects and strings, arrays, etc. JSON structures are used for building up the output and presenting it to the end user in an adaptable and functional way. Python String Template<sup>4</sup> class was chosenfor the templates to allow convenient filling of the sentences with collected facts. Template sentences are being pulled from a shared Google spreadsheet by the means of the Python library urllib<sup>5</sup>.

Some phenoms that extract the whole sentence - for example, a pull quote - require some fine ranking system for that, and one of the most important criteria for a quote is readability. There are certain techniques and scores that can be used for such a check - Automated Readability Index (Senter & Smith, 1967), Flesch-Kincaid formula (Kincaid, Fishburne Jr, Rogers, & Chissom, 1975), SMOG grading (McLaughlin, 1969), etc. Python library Textstat<sup>6</sup> implements various readability rating techniques and allows an easy application of such formulas to texts and sentences.

The listed tools are used on various steps of the program workflow, which can be seen in the Figure 3.2.

## 3.5 Summary

AI For Reporters has to be a versatile tool for summarization of legislation proceedings' transcripts. It should be able to provide an informative yet brief text, containing the most important points of the meeting, so the reader can obtain all the valuable information at once in a very short time, without spending hours on looking through the recordings in an attempt to keep up with the events. This system should also be flexible enough to be possibly extended and built upon later on demand of the end consumer, whether it will be an ordinary citizen seeking for a legislation news wire service or local newspapers and web-portals attempting to interest more readers in such important happenings within the government.

In this Chapter such an important step for software development as requirements definition has been discussed. It is essential to predefine the main prerequisites, both

<sup>&</sup>lt;sup>3</sup>https://docs.python.org/3/library/json.html

<sup>&</sup>lt;sup>4</sup>https://docs.python.org/2.4/lib/node109.html

<sup>&</sup>lt;sup>5</sup>https://docs.python.org/3/library/urllib.html

<sup>&</sup>lt;sup>6</sup>https://github.com/shivam5992/textstat

#### 3 AI For Reporters

functional and non-functional, that the end program will have to correspond to, so the development process follows these requirements and proceeds in the right direction. Based on the discussions held in Chapter 2 some decisions on design were taken, such as the adoption of a template-based approach or accepting certain preprocessing techniques. According to the requirements an abstract design can then be devised, with the representations of input, output and simplified steps in between. Later on, the enhancement and implementation of this design concept will be discussed, including various techniques, tools, frameworks and libraries used at each step.

Following up, a description of the newly introduced concept utilized for this project is given, proceeding with an overview of the instruments and libraries chosen for the development of the AI For Reporters summarization tool. In the next Chapter a more in-depth description of the technical details of the development process will be given, explaining more about the inner concepts and steps of the project and also describing how they were actually implemented.

# **4** Development

In the following Chapter the more detailed overview on the components of the project will be given, as well as the rundown of the implementation process with the technical description of these components. Section 3.3 has already defined the pipeline and the workflow of the program, giving an overview of it's components. Certain modules that are supposed to be a part of the AI For Reporters project and be included in its pipeline will only be shortly brought up without going into any detail about the implementation of those components.

Section 4.1 then supplies the reader with technical details about the implementation of these components. The application of the tools introduced in Section 3.4 is described along with some development solutions. Based on the discussions held in Chapter 2 certain techniques are implemented for some components, such as template-based sentence generation or creating of anaphoric expressions.

### 4.1 Implementation

The following Subsections explain the principles and mechanisms of various parts of the project, giving some examples and technical details for a better understanding of the work conducted in this thesis.

### 4.1.1 Data Structure and Storage

The database API uses various SQL requests via Python library MySQLdb to retrieve certain data from it. All sorts of database requests created over the course of this project were stored in a common Python script file db\_queries.py for convenient reusing at any other point within the program. Inside this script a Database Class is implemented with all the information about the credentials and access initiation. Each method of

	С	E	G	Н	1	J	L	Μ	N	0	Р	Q	R
1	alignment	did	first	hid	last	pid	state	text	time	type	uid	vid	vid_file_id
2	Indetermir	26114	Travis	52774	Allen	43	CA	SB1322 went into effect January 1st. Under this law, child prostitution is now lega	30	Discussion	13573646	27651	48cc14638aa8a34cdba8b
3	Indetermin	26114	Reginald	52774	Jones-Sawy	87	CA	Thank you. Any witnesses in support?	158	Discussion	13573654	27651	48cc14638aa8a34cdba8b
4	Indetermin	26114	Travis	52774	Allen	43	CA	Today, I brought two individuals. The first I'd like to introduce is Detective Anthor	160	Discussion	14681710	27651	48cc14638aa8a34cdba8b
5	For	26114	Tony	52774	Guerrero	112565	CA	Hello, my name is Detective Tony Guerrero and I've been working fighting human	172	Discussion	13573656	27651	48cc14638aa8a34cdba8b
6	Indetermin	26114	Reginald	52774	Jones-Sawy	87	CA	Thank you. Next witness.	363	Discussion	13573666	27651	48cc14638aa8a34cdba8b
7	Indetermin	26114	Travis	52774	Allen	43	CA	Thank you. Next, I have Jason Parker, Chief Investigator for the Sutter County Dis	367	Discussion	13573667	27651	48cc14638aa8a34cdba8b
8	For	26114	Jason	52774	Parker	112568	CA	So, last year, we started working with some nonprofit groups. Operation Undergr	375	Discussion	13573668	27651	48cc14638aa8a34cdba8b
9	Indetermin	26114	Reginald	52774	Jones-Sawy	87	CA	Thank you. Are there any other witnesses in support? Are there any witnesses in a	567	Discussion	13573679	27651	48cc14638aa8a34cdba8b
10	Against	26114	Jodie	52774	Langs	18510	CA	Good morning. Thank you. My name is Jodie Langs. I'm with Westcoast Children	602	Discussion	13573681	27651	48cc14638aa8a34cdba8b

Figure 4.1: A fragment of a bill discussion data table fetched from the Digital Democracy database.

#### 4 Development

that class contains a specific database query addressing a certain need for any data available within Digital Democracy.

One of the main and biggest requests is the initial data retrieval: knowing a discussion identification number (later **did**), the program sends a big joint request over the database tables fetching all the all the required data that is connected to that **did** and stores it in a Pandas DataFrame (see Figure 4.1). The columns of this DataFrame contain such information as **did**, speaker id (**pid**), hearing id (**hid**), utterance text, first and last name of the speaker, alignment of the speaker, etc. Each row represents all of this data per one utterance. For debugging and logging reasons such a DataFrame can be written to a Microsoft Excel sheet with Pandas library method pandas.DataFrame.to\_excel. All the training data examples discussed further were also stored and labeled in Excel spreadsheets.

### 4.1.2 Paragraph Classification

To approach the summarization task in this particular case, the decision was made to split the hearing into certain segments, each representing a particular event happening throughout the meeting. Various approaches discussed earlier in Chapter 2.4 adopt a technique of splitting the text in fragments and then summarizing each part separately, producing one or more sentences based on the information extracted from it. Such an approach seemed promising for the type of the text this project tries to summarize mainly for two reasons - firstly, a legislative proceeding is indeed mainly well-structured and has certain steps on the agenda for the legislators to go through, and secondly, this agenda stays the same in most of the cases.

After investigating various transcripts of the meeting, the following segment types were defined:

- *Organizational* reading the agenda, presenting some members, announcing some information unrelated to the bill discussion.
- *Intro* the Chair or the Clerk reads the number of the bill and calls out the person to introduce the bill to the audience, the presenter talks about the bill and in the end encourages the audience to vote in favor.
- *Testimony and questions* the invited experts and the public are invited to testify for or against the bill, the audience is asking any relevant questions, optionally a motion on the bill is proposed and seconded.
- *Voting* the voting on the motion is announced, the votes are gathered and read out by the Clerk.
- *Closure* The meeting is announced to be adjourned or the next bill presentation is called out.

These paragraphs also often contain some procedural language to mark the beginning or the end of each segment, so it was decided that a program can be taught to

#### 4.1 Implementation

Α	В	С	D	E	F
uttera	pid	positio	text	Paragraph label	Secondary label
1	92	1	Everybody turn off their cell phones if they want to bother us while we spend the next 3 to 4 minutes in this committee. And lets take our roll. We have 4 measures, all on consent items. And all the items on our agenda are on consent. So we'll take the roll and then we have a motion on the consent calendar.	1	
2	2998	1	Senators Beall? Here. Beall present, Cannella? Here. Cannella present. Allen? Bates? Gaines? Galgiani? Leyva? Here. Leyva present. McGuire? Here. McGuire present. Mendoza? Here. Mendoza present. Roth? Here. Roth, present. Wieckowski? Here. Wieckowski present.	0	
3	92	1	Okay these consent calendar items are ACR 58 by various Assembly Members, Williams, ACR 63, Maienschein, and ACR 65, Brough, ACR 78, Salas, and if there's no discussion on these items, we'll have a motion for the approval of the consent calendar. The motion's to approve this consent calendar, and we'll take a vote.	2	4
4	2998	1	On the consent calendar, Senators Beall? Aye. Beall aye, Cannella? Aye. Cannella aye. Allen? Bates? Gaines? Gaigiani? Leyva? Aye. Leyva aye. McGuire? Aye. McGuire aye. Mendoza? Aye. Mendoza aye. Roth? Aye. Roth aye. Wieckowski? Aye. Wieckowski aye.	4	
5	92		7? We're gonna put that on call, we have 7 votes in favor. So we'll wait for other members to come and record their votes, and as soon as they come and vote, we will adjourn the committee. So we're waiting on 4 Senators, and we'll wait for them and record their votes and adjourn.	0	
6	2998	1	Thank you Senator Beall.	0	
7	92	1	Thank you.	4	
8	92	1	Consent calendar.	2	
9	2998	1	Senators Allen? Bates? Gaines? Galgiani? Galgiani aye.	4	
10	92	1	8 votes in favor, we'll wait for the remaining members to attend and vote.	4	
11	92	1	So, open the roll, and call the roll please.	4	
12	2998	1	On the consent calendar, Senators Allen? Bates? Bates aye. Gaines?	0	
13	2998	1	On the consent calendar, Senators Allen? Bates? Bates aye. Gaines?	0	
14	92	1	9-0, we'll keep it on call for remaining Senators to vote.	0	
15	92	1	9-0, we'll keep it on call for remaining Senators to vote.	4	
16	92	1	Lets call the roll please.	4	
17	92	1	Lets call the roll please.	0	
18	92	1	On the consent calendar, Senators Allen? Gaines? Aye. Gaines aye.	0	
19	24	1	That's it.	0	
20	92	1	Okay that vote is 10-0, we'll remain open for the remaining member to vote, Senator Allen.	4	

Figure 4.2: One of the annotated transcripts that were used as a training set for the classifier

recognize such words and phrases and detect those boundaries. Thus, such a task can be tackled as a classification problem. Afterwards, each fragment can be separately analyzed and summarized on its own.

#### **Training Dataset**

To prepare any classifier a training set was needed, and since the problem was so case specific, there was no other way but manually label some data and train the classifier on it. 40+ actual meeting transcripts of various length from 10-15 up to 2000 utterances were taken as a test data set. Human annotators had to read through these texts, labeling the beginning and the end of each specific fragment within each hearing. Such an approach was aimed to help teaching the classifier to distinguish the boundary where one fragment ends and another begins. Integer labels from 1 to 5 were assigned to the categories and label o was representing a non-border utterance within the fragment. An example of such manually labeled hearing can be seen in Figure 4.2. One can see the column on the right with integers for labels. Original idea was also to keep additional labels if the utterance contains more features from more than one category; however it was dropped later due to being more prone to subjective judgment of an annotator.

#### Classifiers

The classification module was technically not a part of this thesis, but it still needs some short introduction because it plays a crucial role in the pipeline of AI For Reporters. Different types of classifiers were experimented with in an attempt to achieve higher accuracy - it is important to keep the system robust and avoid false labeling results that can lead to completely wrong factual assumptions. Among those classifiers were binary ones for each label separately and multi-classification predictors for labels from 1 to 5,

		Binary Classifier for each section 0-4						
	Data Pro	eprocessi	ng + TF-I	DF on Cu	arrent Hearing Text			
	Class o	Class 1	Class 2	Class 3	Class 4			
Average F1 Score	0.5733	0.6943	0.6604	0.6398	0.7952			
Average Accuracy	0.8393	0.8955	0.8707	0.8865	0.9269			
		Binary	Classifier	for each	section 0-4			
	Data Pro	-	ng + TF-I us Currer		evious Hearing Text g Text			
	Class o	Class 1	Class 2	Class 3	Class 4			
Average F1 Score	0.4897	0.6412	0.5489	0.6857	0.7655			
Average Accuracy	0.8438	0.8786	0.8561	0.8943	0.9134			

Table 4.1: Scoring results of different classifiers tested on the labeled data.

with different techniques such as Naive Bayes, linear SVM, TF-IDF count vectorizer. Some of the accuracy results can be seen in the Table 4.1.

In the end, binary classification based on linear SVM approach turned out to be the most accurate among all the attempted versions. Moreover, to boost the accuracy even more, some preprocessing of the text proved to be useful. Such manipulations as excluding stop words and replacing recognized named entities with placeholders "person" or "company" were performed on the training data using (the) NLTK and SpaCy libraries.

## 4.1.3 Text Preprocessing

Essentially, some text preprocessing is required before the phenom extraction can be started. This preprocessing includes certain procedures:

• The utterances in the database were split generally into fragments of approximately the same length, meaning if a person had a long speech it would still be divided into several consecutive utterances by the same person. However, for the needs of this project all the consequent utterances from the same speaker have to be joined into one.

9	Benjamin Allen	Allen	70 Thank you so much, well let me take a moment to call a quorum first.
2	7 Committe Secretary	Secretary	2998 Allen. Here. Allen aye. Here.>>Wilk, here. Present. Present. galgiani. Leyva? Present, again. Present, Mendoza? Mendoza here, Pan? Here. Pan here, Vidak?
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Beniamin Allen	Allen	Okay, so we have the presence of a quorum. It's now an opportunity to hear all the folks who come out in support. We've got a number of bills today and so I just ask you give your name, your affiliation and just one sentence, if you like to express your support. But I think this is, I understand folks have come in from far, and we really appreciate you being here. I will say it's my understanding that both the Democratic side and the Republican side are both recommending a support position, an aye position, and yes position on this bill. So I think it's exceedingly likely to pass today out of Committee and, but we are interested and we're glad you're here, but in 20 the interest of time. Dease Dease do keep your comments very erver whort.
	Brian	Howe	103204 Brian Howe with California Federation of Teachers. We're in strong support. Thank you.
10	10 Alexis	Berries	103205 My name is Alexis Berries, I'm a former foster youth. I spent 18 years in foster care and in strong support of SB12.
11	11 Benjamin Allen	Allen	70 Thank you so much.
			My name is Annemarie McGovern. I'm a former foster youth attending Berkeley City College and I would just like to testify to the point that CAFyES has helped me to
12	Annemarie	12 Annemarie McGovern	103206 stay in sustainable housing and to continue school.
3	13 Benjamin Allen	Allen	70 Thank you.≻>Thank you.
4	14 Jonathan Lily	Lily	103207 My name is Jonathan Lily and I am a current foster youth attending Sierra College. And I am in strong support of the SB12.
5	15 Benjamin Allen	Allen	70 Thank you.
		-	My name is Rosalie Adams from Cosumnes River College. And I am a foster youth, as well as a student help in the financial aid office, so I know first hand that this is a
٥	Ib Kosalle	Adams	103208 very important bill, and I am in much support of it. I hank you.
2	17 Tony	Tran	My name is Tony Tran. I'm a former foster youth. I'm also the student body President of my college Cosumnes River College. And I just wanted to say that if it wasn't 103209 for financial aid and the resources available to us, I would not be in college.
00	18 Benjamin Allen	Allen	70 Thank you. And maybe you'll be sitting up here one day.
			I'm a current student at Butte college, and I know that personally this bill would greatly benefit many students at our campus. And so I'm going to say thank you for
6	19 Unidentific Speaker	Speaker	6440 this and I am in full support. Thank you.
			Hello, my name is Alicia Lazoya. I'm a former foster youth and I currently attend Sierra College. I have, this is my second semester. I benefited from CAFEYS and I
0	20 Alicia	Lazoya	103210 hope to see a lot more from our foster youth benefit also and I support this bill.
5	21 Benjamin Allen	Allen	70 Thank you so much.
			Hello my name is Kai Morton and I'm of full support of SB12 and also for if it wasnt for inspired scholars and the resources I wouldn't have navigate college fairly well
22 Kai	Kai	Morton	103211 so thank you.
2	23 Benjamin Allen	Allen	70 Thank you.

Figure 4.3: A fragment of the preprocessed input data that goes through phenom extraction system. Only several data fields are shown here -user first name, last name, personal ID and an utterance belonging to this person.

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- A lookup table is built a hash table with person identification numbers (**pid**) as keys, and names and surnames as values.
- A list of experts is accumulated all **pid**s of the people who meet certain criteria are collected in one list for further usage. The criteria was defined as following: the person must not be a legislator and has to have a speech long enough to be labeled as an expert. The threshold length of the utterance was derived from checking average speech lengths of the speakers in the testimony.
- All the mentions of any bill numbers are checked and unified into one common pattern. Sometimes the Digital Democracy transcription process produces some rare spelling errors, due to which the bill names can be misspelled. Moreover, not all the speakers call the bills the same way some prefer to say "assembly/senate bill", while the others will just call it "AB/SB". After the unification using regular expressions all the recognized bill names look like "AB #" or "SB #", where # is the bill number. This allows the system to identify other bill mentions in the utterances much easier.
- An additional column is added to the DataFrame containing the word count for each utterance. Another additional column contains the SpaCy Span object of each utterance with tokenized text. This is done once for the whole hearing in the very beginning to get better performance time and not call the NLP pipe for tokenization of the hearing text anymore.
- In the end, based on the previously calculated word counts for each utterance, the length of the whole hearing is calculated in words to get an understanding of the scale of the transcript.
- Some discrepancies in DataFrame column names are also resolved before the main work to unify the terms and avoid KeyErrors in addressing the DataFrame by indices. All accidental Null-values that were retrieved from the database in any cells have to be removed again for the sake of smooth work of the algorithm.
- Such preprocessed table is logged on every execution to an Excel datasheet for easier debugging a programmer can look it up anytime and know exactly with what the program was working on the current run.

All the values and tables being calculated in this process are stored either in the DataFrame - like the word count or joined utterances - or otherwise saved in a global variable of the module for further use. An example of preprocessed input data can be seen in Figure 4.3.

Another important process to consider before the summarization begins - the hearing has to be split into fragments determined by the paragraph classifier. Different phenoms require different segments of the text to work with, it can be either the whole hearing text or any of the five predefined paragraphs. A class Discussion is defined for this purpose, with fields storing six different DataFrames. The first one is the whole text, and the other five DataFrames contain only paragraphs of one type. In the process of paragraph fragmentation all the utterances within the same paragraph labels are regarded to be of the same type and added to the corresponding DataFrame. The length of each fragment in the Discussion class instance is calculated and checked for not being null - otherwise a postcondition is added about certain fragment being absent from the discussion, which is later taken into consideration by the partial order planner described in Subsection 4.1.4.

### 4.1.4 Facts Extraction: Phenom System

In this thesis a novel approach is introduced - a concept of "phenom"-based fact extraction. After exploring various committee hearing transcripts, certain patterns could be spotted among the texts that provide some important or interesting information. Surely, a neural network can be trained on pre-labeled datasets to recognize such salient fragments like it was described in some of the approaches given in Section 2.4. However, the idea of what is considered "interesting" and "important" is a very subjective concept and may vary from person to person. The project described in this thesis is oriented on delivering summaries as a product to various end users, whose requirements may vary too. Creating a dataset and labeling it for every different need is a long and tedious process and does not seem to be a reasonable approach. Thus a need for some flexible and versatile module mechanism became evident in this project - the system must contain some easily interchangeable segments that can be included or excluded, and new elements can be added as well, like it was already stated in the system requirements in Section 3.1.

It was suggested to represent such modules as phenoms - a class that can go through the data provided to it and look for some specific facts that it can extract. Each phenom should be independent of the others unless there is a certain entailment relationship between two phenoms and one of them allows the emerging of another.

#### **Phenom Structure**

Such modules should have some common structure to unify the instantiation, storage and calls made to each of them. It was decided to create an abstract base class Phenom and make every single phenom a subclass of it, inheriting some common methods and overriding the others that have to be phenom-specific. The abstract class Phenom contains the following attributes:

- facts a dictionary collecting all the facts provided by the phenom, that are later used for template filling.
- candidate\_text a string containing a filled template sentence if it was completed correctly.
- people a dictionary containing all the facts about the people mentioned by the phenom.
- footnote a string with the background information about the facts for transparency reasons.

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- completed a boolean value showing whether the phenom has been already executed once.
- postconditions a list of the postconditions generated by the phenom upon execution.
- type denotes a specific type of phenom such as "introduction" or "summary" for further article building by themed paragraphs.
- is\_pullquote and is\_headline boolean values defining whether the resulting text should be handled differently in case it is not an article sentence, but a headline or a pull quote.

The Phenom class contains several important methods that the inheriting classes use either the same way or override with its own ones. Method check\_preconditions is executed by the planner to actually check whether the phenom is ready for execution and all the prerequisites are met. It returns a boolean value depending on whether that's true or false. This method is inherited from the base abstract class. Method build\_phenom is also inherited and remains the same for all the phenoms - if all the required facts were successfully gathered by the phenom, this method retrieves all the templates with corresponding identifiers and attempts to fill them in with the facts, randomly picking one afterwards. Last but not least, the method get\_facts is abstract and overridden by each phenom differently, because each of them follows different procedures to procure the required facts. The global collection of facts is also passed to this method from the bigger scope, so that if the phenom needs some facts that already exist in the system - it can just pull it from there. This way the same procedures don't have to be repeated and the efficiency of the system is improved greatly.

#### Simplified Partial Order Planner

On the testing step of the phenom system, the program simply had all of them hardcoded in a certain order to try out the possibilities and abilities of such a mechanism. However, as soon as phenom modules became unified, the need for a more sophisticated approach became apparent. Some inspiration was taken from such algorithms in partial order planning (POP) as STRIPS (Fikes & Nilsson, 1971) and the likes of it, with the main idea of sets of preconditions and postconditions. However, the big difference between systems with such algorithms and AI For Reporters is that normally in POP the system provides the plan beforehand, without actually executing, because the postcondition-precondition sets can be clearly calculated at any hypothetical step. On the other hand, the phenom system is built in such a way that some of them are capable of producing some postconditions despite not being executed completely. Thus, the new set of preconditions can only be known after the finished execution of a phenom and it differs from text to text. This means that the planning has to be combined with parallel execution and has to be reconsidered at every step. Moreover, algorithms like STRIPS rely on the end state of the plan, which is in this case unknown and cannot be specified. Furthermore, while most of the POP approaches utilizes the Principle of Least Commitment (Weld, 1994) where the goal is to accomplish the end state in as few

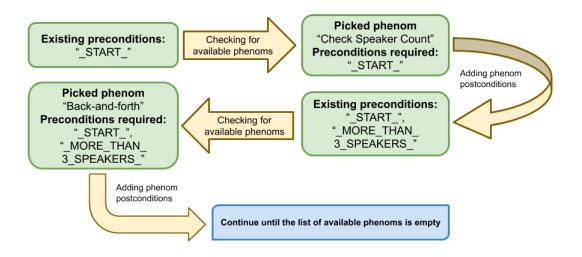


Figure 4.4: Simplified example of the postcondition-precondition planning system within AI For Reporters.

steps as possible, while in case of this thesis it is desirable to execute as many steps as possible to have a richer and bigger article containing all the information available.

Taking all these remarks into consideration, a step-by-step planning technique was devised. In the Figure 4.4 a simplified planning diagram can be seen illustrating the approach. The system starts with one initial precondition "\_START\_" and by calling the method check\_preconditions on this precondition set for each phenom possible in the system, a list of phenoms available for execution is collected. The planner picks randomly one of them and runs the fact collection procedure. Each phenom has a list of postconditions that it can produce upon the completion of its steps, which are later added to the set of preconditions for further selection of next phenoms. Such a planner runs in a loop checking upon the list of available phenoms on each step and as soon as the list turns up to be empty its work is finished.

There are cases when some phenoms require the other ones to be executed first to be picked themselves. They require a specific postcondition generated by other phenoms, which serves as their own precondition. However, at the same time it might be so that on such a step the precondition list would allow several other phenoms to be picked too. It is essential to preserve such an entailment relation between those two phenoms and ensure that the following one gets picked directly after the first one. Some addition had to be made to the planner algorithm to meet this requirement. An artificial precondition "\_HAS\_PRIORITY\_" was added to the starting set of the preconditions and to the requirements of the entailment phenoms. If the planner recognizes among the available phenoms one with such precondition it is forced to pick this one first. This way some extent of order enforcement can be added to the randomness of the planner to establish the smooth flow of the article text. The steps of the plan are collected in a

list in the process, which is later utilized to build the article from the sentences that each phenom has created.

### 4.1.5 Template-Based Sentence Generation

All the templates used by the system are stored in a shared Google spreadsheet for easier access of the non-programmer contributors. The rows of the spreadsheet contain a template identifier, template text and a list of fact identifiers present in the template. Each template is directly connected to a phenom, that mines the facts to fill this particular template. They are represented with instances of Python String Templates class, which is an extension of String class containing some variable placeholders within the text marked as "\$identifier". These placeholders can be replaced by values from a dictionary under the key with the same name as the identifier of a placeholder. Furthermore, this class offers two different methods for filling these template strings - safe\_substitute which replaces all the available placeholders and leaves the ones that have nothing to be filled with as is, and substitute that raises a KeyError if any identifier appears to be missing among the keys of the mapping dictionary. The second method is the one that proved to be useful in the project because of its robustness. Ordinarily, the algorithm should not even reach the template-filling step of the phenom execution if some facts are missing. However, if for some reason there is still an attempt to fill the template, nothing will be produced as a result if the program does not have all the facts required.

The system supports multiple templates per phenom - firstly all the available options are being filled with facts if possible, and one of the resulting sentences is picked randomly afterwards as a candidate text. Such a variation allows the system to generate slightly different texts on each new run, producing a result that imitates more human-written abstracts with all its language variety.

After each successful phenom execution that produced a sentence for a summary the system makes an update call to the output JSON structure saving the created data within the object.

## 4.1.6 Output Production: The JSON Collection

To make the result of the program usable and functional for the end user, a decision was made to create a JSON-structured output file, containing not only the end article, but also all the information collected over the process of running the algorithms, all the required metadata, data about the people represented in the summary, links to videos and pictures providing background to the article. The base structure of this JSON file is stored in a Google spreadsheet containing the names of the main fields and subfields, restrictions on the types of the data stored in them and other restrictions like a check on phenom names that can be added to the JSON. The main fields in this

#### JSON structure are:

- headline\_text stores the headline for the article generated by the system.
- **byline\_text** stores the line with author info about the article.
- **date\_text** stores the date of the hearing.
- **article\_text** the field for main article text storage.
- **article\_html** the same article text as above, only with html markup for proper display on the html page.
- endnotes\_text licensing line containing words like "All rights reserved".
- **assets** links to all the assets for the article, including images, sources, videos, etc.
- **content** a list of substructures each containing a fact retrieved by a phenom.
- **pullquotes** all the pull quotes collected by the phenom system.
- **personas** information about all the people that are mentioned in the end summary article.

Listing 4.1: An example of a completed JSON output file

1	{"pullquotes": [
2	{"quote_author": 109768,
3	"quote_text": "Canada, Australia, Finland, South Korea, Czech Republic, to name a few,
	already teach their elementary and high school students to be media literate.",
4	"quote_note_url": null,
5	"quote_caption_full": null,
6	"quote_citation": "pull_quote_extractor",
7	"quote_author_affiliation": "Beth Thorton, a member of the Center for Media Literacy",
8	"quote_note": "The pull quote is retrieved by the Pull Quote Extractor Module."}],
9	"article_text": "In California on Wednesday",
10	"personas": [
11	{"pid": 113,
12	"info": "Patrick O'Donnell, Democratic Assembly member representing district 70",
13	"note": "chairperson",
14	"last": "O'Donnell",
15	"first": "Patrick"}, ],
16	"headline_text": "Headline for the bill discussion",
17	"endnotes_text": "All Rights Reserved (c). AI4Reporters, 2020.",
18	"date_text": "Wednesday, July 12, 2017",
19	"byline_text": "AI4Reporters",
20	"content": [
21	{"text": "In California on Wednesday, July 12, 2017, Assembly Standing Committee on
	Education met and discussed the bill SB135.",
22	"phenom": "intro",
23	"note": "Extracted from Digital Democracy Records",
24	"citation": null},
25	{"text": "The official title of the bill SB135 is: An act to add Section 51206.3 to
	the Education Code, relating to pupil instruction",
26	"phenom": "bill_name",
27	"note": "Extracted from Digital Democracy records",
28	"citation": null},]
29	}

This structure is read and parsed to an empty JSON structure upon the start of the execution of the program, later being updated every time some new data is mined or pulled from the database. If the new data is a phenom-produced sentence, it is added to the "content" field, while the pull quotes are collected separately in its own field, and all the information about all the mentioned people is saved in the "personas" list.

An example of a JSON output file can be seen in the Listing 4.1.

### 4.1.7 Article assembly

After all the phenoms are finished running and the program received a complete plan, the final article assembly begins. A special method parses over the resulting JSON structure, mainly over the "content" list, appending the sentences in the order according to the devised plan. If a footnote to a sentence is found, a footnote symbol has to be appended to the end of the sentence and the footnote text added to the end of the article. If any pull quotes are found among the data, they are inserted at some point inside the article, formatted properly with tabulations and quotation marks to stand out from the text.

As it was mentioned before, there can be some variations in the planning due to the random picking of the phenoms. Moreover, multiple template availability per phenom also adds up to this diversity, meaning that the end product might vary on different runs of the program. This will help to make the articles sound more natural and less robotic, especially if the tool will be used repeatedly in one news source for various transcripts.

### 4.1.8 Anaphoric Expressions Generation Problem

As it was explained in Section 2.5, in human speech or written text it is absolutely natural to introduce an object or a person for the first time with a full name, perhaps even including titles or other descriptors; however, when the same entity is mentioned later, it is referred to by a shortened name or even a pronoun. One of the goals of this thesis is to attempt to mimic human-written abstracts, thus such phenomenon has to be taken into consideration. A computer program producing sentences that may mention the same entities repeatedly should have some mechanism to reproduce this phenomenon, some technique to keep track of what has been already mentioned and what is being introduced for the first time.

Within this thesis project this problem arises regarding the names of the legislators and members of the public mentioned in the generated sentences. An approach was suggested, based on the fact that every person that has ever taken part in any hearing is documented in the Digital Democracy database with their own ID and affiliation information if any is available. This way, the templates can be filled not directly with the names, but with personal IDs, keeping the data stored behind this ID in some collection within the JSON. Afterwards, a post-processing step can be applied to an already assembled article, counting the mentions and replacing them with either full names with affiliations or shorter versions of names. This way the problem becomes some sort of reverse anaphora resolution problem, however in this case the algorithm has to populate different references for the same entity instead of finding the different

### Senate Committee meets to discuss Foster Youth, Postsecondary Education, Financial Aid Assistance

AI4Reporters Wednesday, March 15, 2017 11:39 PM PST

In California on March 15th, Senate Standing Committee on Education met and discussed the bill SB12 [1].

"an act to amend Sections 79220 and 79221 of, and to add Section 69516 to, the Education Code, and to amend Section 16501. 1 of the Welfare and Institutions Code, relating to foster youth" was the official title of the bill under discussion [2].

Below is a brief summary of the discussion and its events.

" California's foster care system has made very good strides in the last several years, especially for older youth and foster youth, like providing more access to housing and other support services.

-- Jim Beall, Democratic Senate member representing district 15

The bill dealt with the topics of foster youth and financial aid assistance [3].

The hearing was led by Benjamin Allen, Democratic Senate member representing district 26, as the Chairperson [4].

Karen Micalizio, a member of the Butte College, spoke in front of the committee during the testimony part of the meeting [5].

*I am here today to express my strong support of SB12, which will make college* possible for California's foster youth by improving access to financial aid.

-- Karen Micalizio, a member of the Butte College

Micalizio was one of the experts testifying in favor of the bill [6].

The speakers also brought up SB1023 throughout the discussion [7].

Motion "Do pass as amended, but first amend, and re-refer to the Committee on [Human Services]" was voted on after the committee and the public were done with all the questions and disputes.

Seven legislators voted in favor while none of the voters voted against the motion. No one abstained from voting. As a result, the motion "Do pass as amended, but first amend, and re-refer to the Committee on [Human Services]" for bill SB12 passed.

NOTES

[1] Extracted from Digital Democracy records [2] Extracted from Digital Democracy records[3] Extracted from Digital Democracy Records [4] Extracted from Digital Democracy Records [5] A person is defined as an expert if they belong to general public, have a speech long enough and there is any information about their affiliation present in the database [6] The average alignment is taken from the Digital Democracy Database values for the following speaker [7] The mentions of other bills are recognized automatically from the transcript of the hearing.

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Figure 4.5: An example of a fully rendered article text with HTML tags on a web page.

#### 4 Development

ones and bringing them to one form.

To begin with, all the placeholders for people in the templates are preceded with double underscores to make it easier to find the personal IDs in the text later. The algorithm within AI For Reporters utilizes regular expressions and with the help of "re" library searches through the text for all the IDs, replacing them with names one by one. An empty dictionary is created beforehand to keep track of repetitions. Whenever an ID is found, it is checked over the dictionary, and if it is not present, then a full name with titles and affiliations is placed in the text instead of the ID and saved in the dictionary. If the ID already exists in the dictionary, the full name is reduced to just the last name or the last name with the title. For example, a person with the personal ID 113 when mentioned for the first time will be referred to as "Patrick O'Donnell, Democratic Assembly member representing district 70". For the second and further times it will be just "Assembly Member O'Donnell" or even simply "O'Donnell". Such a method proved to be an efficient solution for this issue, bringing more flow to the texts.

An example of a text generated from the data, fragment of which was shown in Figure 4.3, can be seen in Listing 4.2. The text already contains numbered links to the footnotes that will be appended to the text at the end, explaining the source of the facts. After the algorithm goes through all the PIDs that are marked in the text with a prefix "\_\_\_" for easier recognition and replaces it with full names or shorter versions, the output looks like the one shown in Listing 4.3. The names in the pull quotes, however, remain full from the very beginning, due to it being a common practice in journalism to state the author of the quote fully, no matter whether they were already mentioned in the text or not. The full view of the text after rendering on a webpage with all the formatting HTML tags can be seen in Figure 4.5. The end user could decide whether they take the plain text from the JSON as the end product or use the text enriched with HTML tags for formatting.

## 4.2 Summary

In this Chapter the actual design of the project corresponding to the needs and requirements defined previously in Chapter 3 is first described in detail and then carried out, and the implementation process is explained with technical features and examples. The structure has been slightly adapted several times during the development process with some components being scrapped, improved or changed, increasing the execution time, flexibility of the system and its capability to address further needs and ideas in the future. Such changes and decisions will be discussed in more details in Chapter 7.

The code has been also adapted during the development process to reduce the execution time: calling some of the libraries' methods was time-consuming, and thus changes were made to minimize those calls. For example, usage of SpaCy nlp pipe

was reduced to a single call, storing the tokenized text for later use.

Careful reviewing of the output of the code execution in iterations helped to improve the results of each separate module and the overall program. Some values like appropriate readability scores for the pull quotes could only be chosen in such a way, by checking what worked better with a specific text and language style. The code was run first on the small hand-picked set of hearing transcripts that were supposed to produce certain results, and after the testing was satisfactory enough the program was tested further on random picks from the whole dataset available. This way the work could be concentrated first on improving the quality of the system output and afterwards switching to to fixing errors caused by bigger data and discovering outliers that were not represented on the testing set.

	Listing 4.2: An example of an output article text before the replacement of the PIDs with names and titles of speakers
1	In California on March 15th, Senate Standing Committee on Education met and discussed the bill SB12 [1].
2	
3	"an act to amend Sections 79220 and 79221 of, and to add Section 69516 to, the Education Code, and to amend Section 16501. 1 of the Welfare and Institutions Code , relating to foster youth" was the official title of the bill under discussion [2].
4	
5 6	Below is a brief summary of the discussion and its events.
7	California's foster care system has made very good strides in the last several years, especially for older youth and foster youth, like providing more access to housing and other support services.
8	—Jim Beall, Democratic Senate member representing district 15
9	
10 11	The bill dealt with the topics of financial aid assistance and foster youth [3].
11 12 13	70 was the Chair of this committee meeting [4].
14	6424 spoke in front of the committee during the testimony part of the meeting [5].
15 16 17	I am here today to express my strong support of SB12, which will make college possible for California's foster youth by improving access to financial aid. — Karen Micalizio, a member of the Butte College
18	Amen Antennete) a menuer of the Datio Contege
19	The postion of $-6424$ was in favor of the bill [6].
20 21	Bill SB1023 was also brought up during the bill discussion [7].
22 23	Following this discussion, the committee proceeded to vote on the bill.
24 25	After the bill was presented and discussed, the committee proceeded to voting on the motion "Do pass as amended, but first amend, and re-refer to the Committee on [ Human Services]".
26	
27	Seven legislators voted in favor while none of the voters voted against the motion. No one abstained from voting. As a result, the motion "Do pass as amended, but first amend, and re-refer to the Committee on [Human Services]" for bill SB12 passed.

Listing 4.2: An example of an output article toxt before the replacement of the PIDs with names and

	of speakers
1	In California on March 15th, Senate Standing Committee on Education met and discussed the bill SB12 [1].
2	
3	"an act to amend Sections 79220 and 79221 of, and to add Section 69516 to, the Education Code, and to amend Section 16501. 1 of the Welfare and Institutions Code , relating to foster youth" was the official title of the bill under discussion [2].
4	Polar is a brief commune of the discussion and its surgets
5	Below is a brief summary of the discussion and its events.
6	
7	California's foster care system has made very good strides in the last several years, especially for older youth and foster youth, like providing more access to housing and other support services.
8	—Jim Beall, Democratic Senate member representing district 15
9	
10	The bill dealt with the topics of financial aid assistance and foster youth [3].
11	
12	Benjamin Allen, Democratic Senate member representing district 26, was the Chair of this committee meeting [4].
13	
14	Karen Micalizio, a member of the Butte College, spoke in front of the committee during the testimony part of the meeting [5].
15	
16 17	I am here today to express my strong support of SB12, which will make college possible for California's foster youth by improving access to financial aid. — Karen Micalizio, a member of the Butte College
17	- Raten Micanzio, a member of the butte Conege
	The postion of Micalizio was in favor of the bill [6].
19 20	The position of micanzio was in favor of the bill [0].
20	Rill SPrace was also brought up during the kill discussion [7]
21	Bill SB1023 was also brought up during the bill discussion [7].
22	Following this discussion, the committee proceeded to note on the hill
23	Following this discussion, the committee proceeded to vote on the bill.
24	
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# Listing 4.3: An example of an output article text after the replacement of the PIDs with names and titles of speakers

# 5 Research Study

In the following Chapter the approach to the evaluation of the project is discussed, contemplating on the ways to assess the quality of the summarization. Two main research questions are established for the user study - the evaluation of the factual quality of the summary, the coverage and correctness, as well as the grammaticality, coherence and the flow of the text.

# 5.1 Study Design

The user study aims to check the following hypotheses:

- Can effective, original natural language headlines referring to the content of a particular hearing or bill discussion be generated automatically?
- Can legislative proceedings be effectively summarized using fully automated abstractive methods, given the full proceedings and associated metadata?
- Will automated summaries be sufficiently informative and interesting to readers compared with human generated ones?
- Can automated reference generation allow readers to trace every claim made within the summaries, to a primary source fact or video documentation?

Many of the summarization systems require various metrics to evaluate the quality of produced texts, such as coherence, content, grammaticality, readability (Mani, 2001), etc. At the beginning of the research in the field of text summarization such evaluation tests had to be performed manually with the help of human experts, which is costly and time-consuming. Realizing those drawbacks, the researchers came up with various automated systems with built-in metrics for summary assessment. Saggion, Radev, Teufel, and Lam (2002) suggested three techniques for content-based evaluation: cosine similarity, unit overlap and longest common subsequence. Papineni, Roukos, Ward, and Zhu (2002) offered an application of automated evaluation methods called BLEU (stands for <u>Bil</u>ingual <u>Evaluation Understudy</u>) while Lin (2004) later proposed the system called ROUGE (stands for Recall-Oriented Understudy for Gisting Evaluation). However, all those systems rely on a comparison of the summary to some gold-standard abstract, usually human-written.

Unfortunately, in case of AI For Reporters, there are no human texts to compare to, so the user study has to resort to old-fashioned ways of evaluation. On the other hand, human-conducted tests are easier and cheaper to crowdsource than two of decades ago.

#### 5 Research Study

Moreover, such services also suddenly brought a greater variety to the demographics of survey respondents, which in university-based works were mainly found among the student population (Samuel, 2018). People representing various age groups, social layers, occupations are asked to accomplish certain micro tasks for a certain earning - in case of research the task is to take part in the scientific user study, giving opinions on their experiences with the end product of the research.

One of the most popular approaches to assessing the opinion of a group is to apply a rating scale to the answers. A Likert scale (Joshi, Kale, Chandel, & Pal, 2015) is a well-known psychometric scale that has been used in numerous questionnaires, where the rating is usually based on two opposite concepts and certain levels between them. Such concepts include agreement, frequency, importance, interest, etc. For example, the respondent can be asked to rate their experience using a certain tool, given a scale from 0 to 10 where 0 represents poor experience and 10 rates the best. Another common approach is to present the respondent with a statement and give various levels of agreement to choose from, such as:

- Strongly disagree
- Disagree
- Neither agree nor disagree
- Agree
- Strongly agree

The answers to such questions can be processed separately and examined one at a time, or some of the questions can be grouped and lead to a common conclusion, after which the accumulated answers are assessed together. Such data can be represented in a very illustrative way in a bar chart.

While evaluating results based on Likert scales, the researcher still has to keep in mind the downsides of such an approach - since it is in the human nature to be agreeable, the respondents have a tendency to subconsciously choose the answers that they assume the majority would chose, or answers they think they are expected to choose. To minimize this bias it is a common practice to anonymize the responses and not ask for such identifying information as names, social position, age, etc.

# 5.2 Setting and Instruments

Amazon Mechanical Turk<sup>1</sup> is a good example of a crowdsourcing mechanism that is used widely in the research nowadays, and it was selected to conduct a remote user study for this project. It allows to get many respondents in a short time representing various demographics groups, which is a great advantage for a scientific user study. However, it has a downside to it - often the collected responses lack quality, thus

<sup>&</sup>lt;sup>1</sup>https://www.mturk.com/

Article Evaluation User Study *Required
Demographics
On average, how often do you read news about state or federal government bills and legislature months: *
O Daily
O Weekly
O Monthly
Once or twice a year
O Never
How often do you consume state-level news data in print, on the web, or in video: *  Daily Weekly Monthly Once or twice a year Never
<ul> <li>What is your level of English language proficiency? *</li> <li>English is my mother tongue</li> <li>Second language - level C1-C2 (fluent)</li> <li>Second language - level B1-B2 (intermediate)</li> </ul>
O Second language - level A1-A2 (beginner)

Figure 5.1: An introductory questionnaire aimed at understanding the background of the respondents, their involvement and interest in legislation news.

	Article contain	ed all the imp	ortant facts fi	om the video	.*	
ctions		Strongly disagree	Disagree	Neutral	Agree	Strongly agree
ow be presented with one or more video recordings of a legislator committee hearing. Please m carefully, making notes about what you think was important in these videos. When you click k, it will begin playing. DO NOT START FROM THE BEGINNING OF THE VIDEO. DO NOT REWIND EGINNING. Please start watching from the exact moment the video starts playing. The	Choose one	0	0	0	0	0
n starts at 4:25 into the video.	What importar Your answer	nt facts were i	missing from t	he article? If	none, please	put 'None'. *
Victoestorrage-us-west-s3-us-west- ronaws.com/videos/be16b3dac759bb78e48c550c356f3c0a/be16b3dac759bb78e48c550c356f3c ##=274.1854						
en finisk med bien all the side of t	There was at le		rect fact in th	e article. *		
I you finish watching all the videos? *		Strongly disagree	Disagree	Neutral	Agree	Strongly agree
Yes No	Choose one	0	0	0	0	0
e respondent is presented with a link to a video record- g of the source hearing to watch before getting to read e article itself. Control questions are required to confirm	Please elabora Your answer	te on any inco	orrect facts yo	ou noticed. If r	none, please	put 'None'. *

b) Likert scale questions example with an additional text field after each one to collect possible improvement feedback.

Figure 5.2: Fragments from the user study questionnaire.

any researcher needs to count on discarding some of them before the analysis and evaluation. Such an issue could still be overcome by simply increasing the number of overall respondents.

The questionnaire created for the user study consists of several blocks aimed to get the information for certain research questions or resolve some formalities. The structure of it goes as follows:

- Collecting official agreement from the participant to take part in the user study, with a short explanation what the study is about and what it involves.
- Multiple choice questions concerning the background of the respondent mainly collecting the information about the user's involvement and interest in legislative process in California, how often they read the news about it, etc. (see Figure 5.1).
- Control questions with Yes/No answer or a text field option to understand whether the respondent took the questionnaire seriously and actually watched the videos presented in the user study (see Figure 5.2a). "Have you finished watching the videos?" or "What was the bill under discussion in the video?" can allow to get an insight about this and later help in discarding the invalid and "fake" responses.
- Following this there is a block of Likert scale questions directly addressing the research questions (see Figure 5.2b). They allow the respondent to agree or

disagree with certain statements, thus/thereby presenting their opinion on the quality of the summary:

- Article contained all the important facts from the video.

- There was at least one incorrect fact in the article.

- I found the article helpful to get all the important information.

- I found the article too short.

- I found the article too long.

- I found it clear to see where the facts in the sentences came from.

- The footnotes after the article were helpful for me.

- The article is grammatically correct.

as well as the quality of the article text itself:

- The article was easy to read.

- I could understand the article content.
- The article language reads awkward.

- The article flows nicely.

- It is obvious the article is not written by a human.

- The article was difficult to read.

with a small addition covering the general opinion on the comparison between the summary article and the original video recording:

- I would prefer reading the article over watching the recording.

- It is easier for me to watch the recording to get the important information.
- Each of the Likert scale questions has a follow-up open answer request to elaborate and explain the choice of certain agreement or disagreement. This information could be crucial to derive some ideas about future enhancements and improvements from the direct feedback of a possible end user.

The user study questionnaire is embedded in the Mechanical Turk study as a Google Form<sup>2</sup> with links to the committee hearing recordings and corresponding articles generated for it by the AI For Reporters system. The results of the user study are collected afterwards in a Microsoft Excel<sup>3</sup> sheet, analyzed and visualized using its built-in plotting tools.

## 5.3 Procedure

The Turkers are introduced to the purposes of the system, and are required to watch a recording of a committee hearing and answer some easy questions about the contents to make sure that the Turkers actually have watched the videos - this will help filter out the outliers, the "bad" answers of people who were not filling out the questionnaire in good faith. A recording of an appropriate length is selected: on the one hand, it has to be long enough to actually contain some interesting information for a proper summary to be created from. On the other hand, the respondent should not be forced to watch

<sup>&</sup>lt;sup>2</sup>https://www.google.com/forms/about/

<sup>&</sup>lt;sup>3</sup>https://www.microsoft.com/en/microsoft-365/excel

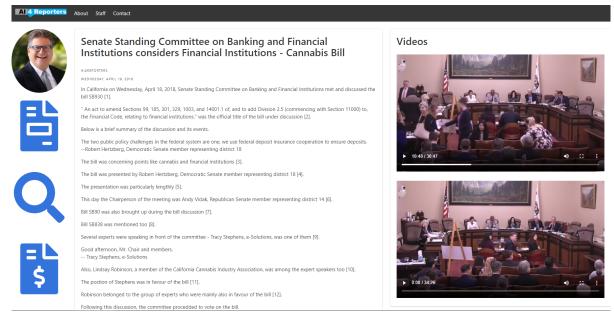


Figure 5.3: AI For Reporters webpage.

a recording of a several hour-long meeting for the sake of the user study. Thus, it has been decided that an optimal video should be approximately 20-30 minutes long. Afterwards the respondents will receive a link to a webpage representation of the AI For Reporters output (see Figure 5.3), where the summary text is rendered with all the available footnotes and assets like videos, links and images. The Turkers will have to read through the summary article, then fill out the questionnaire. A Likert scale is used in most of the questions that are, as was already mentioned before, split in two categories of assessment - summary quality and article quality evaluation. The questionnaire attempts to get feedback from the respondents regarding the present and the missing important facts in the summary with the comparison to the recording itself. In these questions a text field is available to provide a more informative response that can be later used for definition of any possible future improvements and corrections. The second category of questions offers the Turkers some statements that they can agree or disagree with to a specific extent. Such questions inquire about the flow of the text, how smoothly it reads, if there are any grammatical or spelling mistakes, if the article approaches the quality of the human-written abstracts or not.

Analyzing the responses to these questions can help to understand the efficiency of the system and show whether the phenom extraction approach leads to a successful combination of extractive and abstractive summarization techniques.

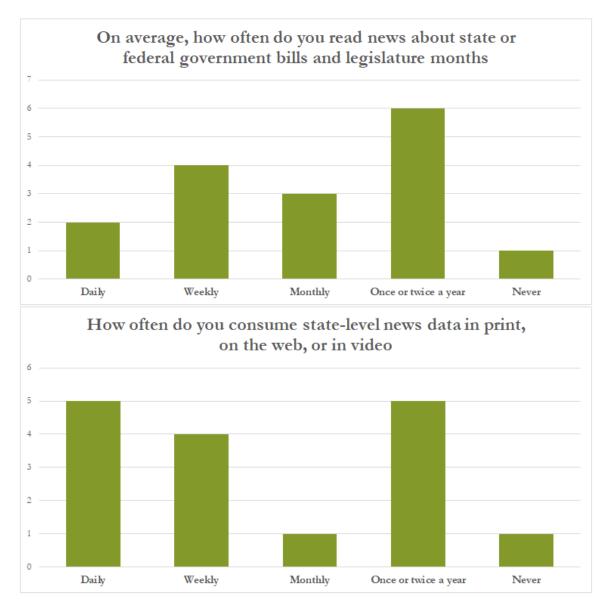


Figure 5.4: Participants' general interest in lawmaking and state-level news.

### 5.4 Study Participants

As it was already mentioned before, crowdsourcing of the questionnaire responses allows to bring variety to the demographics of the respondents. Anyway, for this particular study the classic demographic questions like the ones about age, occupation, ethnicity, location, etc. do not bring much information that would be important for the tool evaluation. Instead of that, it could be immensely helpful to understand how often the users have to deal with news about legislative processes in general on day-to-day basis - are they interested in such news at all? Such questions can elucidate what is the general level of the public involvement in the lawmaking process in the USA. Such an estimation can be seen in the plots shown in Figure 5.4. According to these statistics, the respondent in general seem to vary in their experience and interest, which is a good aspect for this user study.

Moreover, since the respondents will have to rate the quality of the text produced by the system - the flow and grammaticality of it, how well it is written and whether it sounds as if a human has created it. To be capable of such an assessment, the respondent has to have a certain level of knowledge of the English language, meaning it should be either a fluent second language or the mother tongue to the study participant. Thus including such a check to filter out insufficient language skills is also crucial for this study. The collected user answers claim that all of the respondents consider English their native language.

All in all, 54 responses were collected during the testing period, with 17 of them ending up being valid answer sets (31,5 % of all the responses collected) and 37 being discarded as invalid (68,5% of all the responses collected). Such a drastic decrease in the answer set size shows one of the main disadvantages of using MTurk as a source of study participants, unfortunately. This drawback with a Turker-performed user study is definitely a point to discuss in Chapter 6.

### 5.5 Results And Discussion

The acquired results can be separated into several groups to address different research questions established previously. Basically, the two main categories of questions were assessing the quality of the summarization and the quality of the article text generation. All the Likert scale-based data described further can be found in Table 5.1.

### Summarization quality

The answers provided some sort of understanding of how successfully the article managed to summarize the most important points from the video. On the one hand, the main trend appears to be positive at first with at least half of the respondents agreeing or even strongly agreeing with most of the statements about the article

	Strongly		Agree		Neutral		Disagree		Strongly Disaoree	
Article contained all the important	1	6%	8	47%	4	24%	6	18%	1	6%
facts from the video.										
There was at least one incorrect fact	1	6%	1	6%	4	24%	7	41%	4	24%
in the article.										
The article is grammatically correct.	7	12%	11	65%	2	12%	7	12%	0	0%0
The article was easy to read.	ſŪ	29%	9	35%	7	12%	e	18%	1	6%
I could understand the article con-	ε	18%	12	71%	1	6%	1	6%	0	0%0
tent.	1			1						
I found the article helpful to get all	с С	18%	гU	29%	7	12%	7	41%	0	0%0
the important information.										
The article language reads awk-	1	6%	3	18%	4	24%	2	12%	7	41%
ward.										
The article flows nicely.	Э	18%	4	24%	e	18%	гО	29%	7	12%
It is obvious the article is not written	1	6%	e	18%	гО	29%	4	24%	4	24%
by a human.										
I found the article too long.	0	0%0	1	6%	4	24%	9	35%	9	35%
I found the article too short.	0	0%0	9	35%	4	24%	4	24%	Э	18%
The article was difficult to read.	1	6%	4	24%	1	6%	7	41%	4	24%
I found it clear to see where the facts	Э	18%	6	35%	4	24%	4	24%	0	0%0
in the sentences came from.										
The footnotes after the article were	Ю	12%	4	24%	7	12%	7	41%	7	12%
helpful for me.										
I would prefer reading the article	1	6%	гŲ	29%	e	18%	4	24%	4	24%
over watching the recording.										
It is easier for me to watch the	Э	18%	7	41%	1	6%	гО	29%	1	6%
recording to get the important in-										
formation.										

### 5.5 Results And Discussion

63

Table 5.1: All the response data from Likert scale-based questions

5 Research Study

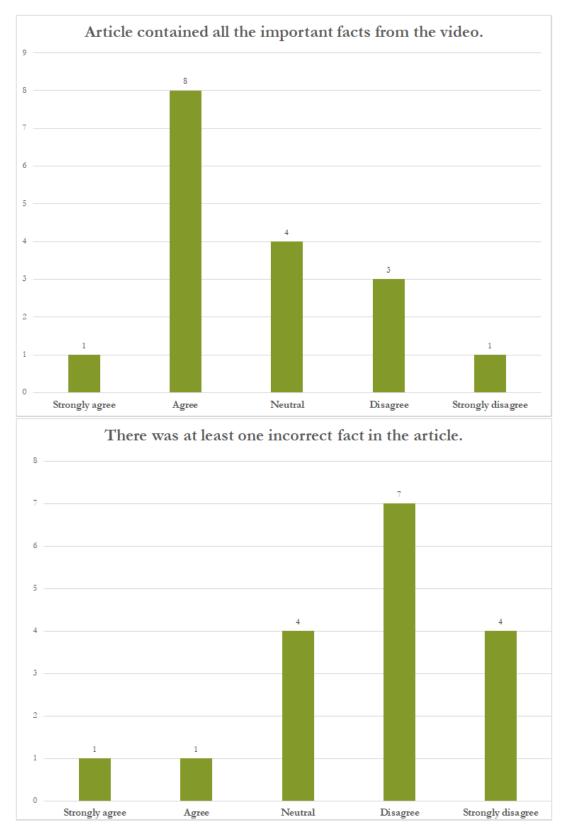


Figure 5.5: Participants' opinions on the completeness and correctness of the summary.

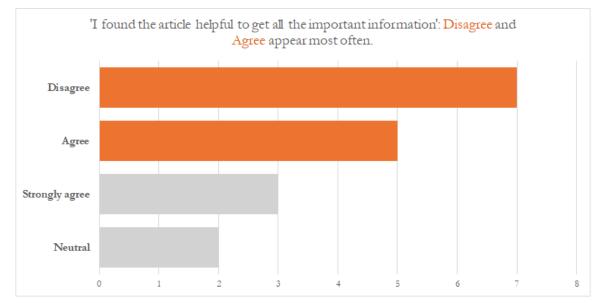


Figure 5.6: Participants' opinions on how helpful the summary was.

containing all the important facts and the correctness of those facts (see Figure 5.5). On the other hand, another statement showed the opposite tendency which brought some confusion to the assessment - "I found the article helpful to get all the important information" surprisingly has "Disagree" in the majority (see Figure 5.6). However, such a statement can be interpreted differently - whether the reader didn't find all the information needed in the article or they just simply did not like the information brought to them in such a way and didn't consider it "helpful". Moreover, some data from the open-answer field attached to this statement for the answer elaboration and justification sheds some light on this controversy: apparently, not all of the participants realized that the presented article was a summary and they expected to see some additional information ("I think it just elaborated on the video to a small degree but did not really give any extra information") while the others appeared to be inconsistent in answers stating that the article didn't miss out on any important facts while also disagreeing to the statement of it being helpful.

### Article quality

The overwhelming majority of the respondents agreed that the summary is grammatically correct (see Figure 5.7), only having some remarks about formatting issues like missing capitalization in some sentences or a missing article once or twice. The same statistics apply to the statements "*I could understand the article content*" and "*The article was easy to read*" - the majority of the respondents supports the claims. The sentence "*The article was difficult to read*" supports the previous assertion regarding the readability of the article, even though the text might include professional jargon - 11 respondents disagree or even strongly disagree with the statement, assuring that the article is easy enough to read.

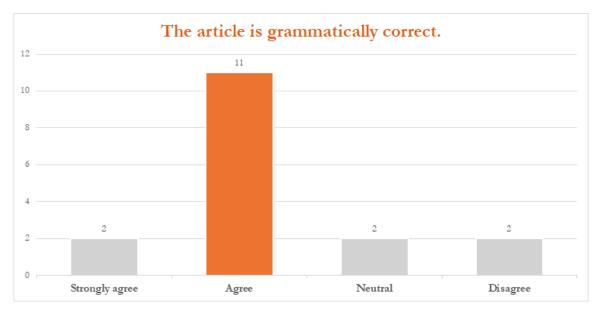


Figure 5.7: Participants' opinions on the grammaticality of the article.

As for the stylistics and the flow of the article, the respondents mainly say that even though the article does not read awkwardly (9 respondents either disagree or strongly disagree with the statement "*The article language reads awkward*"), the flow of the article text appeals less to the users (7 respondents either disagree or strongly disagree that the article flows nicely).

It was an interesting point to observe whether or not the article was going to successfully mimic the human writing of a report-style news article, so a statement was added to the user study claiming *"It is obvious the article is not written by a human"*. As a result, the respondent group split into almost equal thirds, agreeing, disagreeing or remaining neutral about the statement (see Figure 5.8). However, those who didn't think it obvious that the article was written by an algorithm were slightly in the majority, which can be be interpreted as a good sign.

The length of the article apparently was considered optimal by the majority of the readers, since most of them (11 respondents) reacted to both statements "*The article was too short*" and "*The article was too long*" with disagreement or remaining neutral. However, among the rest the common opinion turned out to be that the article was too short.

#### **News Transparency**

A rather confusing result appeared in the responses to the statements assessing the usefulness of the footnotes. While 9 users agreed that the footnotes make it clear to see where the facts are coming from, other 9 users disagreed with the statement "*The* 

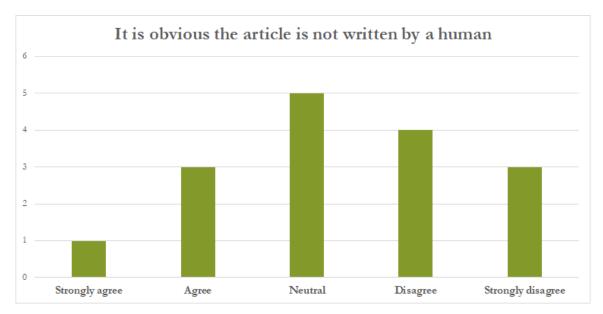


Figure 5.8: Participants' opinions on the similarity of the article to a human-written text.

*footnotes after the article were helpful for me*". Does that indicate that the footnotes are not increasing usability and improving the reader's experience at all or should they just be presented in a different way? Unfortunately, a more extensive study has to be conducted here to draw correct conclusions.

### **Medium Preference**

Would such an article be more preferable to readers instead of a video recording? Several Likert-scale questions address this matter - 6 respondents agree to the statement "I would prefer reading the article over watching the recording" while 8 disagree, and also 10 readers agree or strongly agree with the statement "It is easier for me to watch the recording to get the important information". The original hypothesis here was that the users would prefer reading to watching the video, because it is less time consuming. Such a question is tricky for several reasons - firstly, as a reader you have to trust the source completely to know that no important facts were omitted and nothing is missing from the summary. Secondly, a video might simply prove to be more entertaining than an article on such topics as legislation and politics. Last but not least, some people absorb the information more effectively by reading it, while others understand it better in audiovisual form. There is no particular consensus on this matter in research - some studies show that younger people surprisingly prefer reading to watching the news (Mitchell, 2016), while others claim that adults still choose watching the TV news over reading a newspaper (Mitchell, 2018). At any rate, this is not the main research question of this thesis, and the statements considering this topic were only added to the questionnaire to try and gauge the main interest of the respondents.

### **General Feedback**

Switching to open-answer questions paired with some Likert scale ones, there is an opportunity to get even more insights on the opinions from the testers. For example, it became apparent that people in general have varying expectations from a legislative news report - while some praise the absence of personal touch within such an article ("It was short and to the point with out a whole lot of opinion."), the others seem to find the article too dry ("It was too vague and yet too technical at the same time"). Some people also expected to see some reasoning and some causal justification of things that took place in the video, such as voting and some discussion ("No reason given for the Republican *voting against the measure.*"). Such a task could be fairly easily done by a human reporter; however is impossible for a summarization algorithm - it would require some analysis and conclusions from the events, not only the presentation of what is given in the transcript. Some respondents appreciated the additional information about the people mentioned in the article - it is indeed more noticeable if it's written in the text and might be not obvious for video observers ("This seemed almost oblivious to me as I watched the video."). Most of the complaints about the way the article reads were concerning formatting, paragraph splitting and other minor issues that were not directly caused by the work of the summarization tool, but were the byproduct of the output production and can be easily fixed in later improvements. The respondents who mentioned that the article did not look like a human-written text again brought up the absence of "creativity" or "personal touch". Moreover, some of the testers complained about the terminology that could be hard to understand for an average reader - however, this was expected to become a general issue considering the topic of the news chosen for this project.

## 5.6 Summary

All in all, after conducting the user study it can be seen that in general the tool coped with its tasks well enough, especially with the summarization requirements. The testers agreed that the article was both factually and grammatically correct. The system seems to be producing a summary containing most of the crucial facts from the transcript, and, most importantly, presenting them correctly - high precision is a vital requirement for a system like this. Seemingly, some readers expected to see some additional information like in an ordinary article written by a human reporter - with personal touch and possibly opinions and conclusions, while the others appreciated brevity and the absence of judgment and assumptions. As the article is only meant to be a summary of the available data and text, the system is yet incapable of drawing any conclusions on its own.

Even though in general the respondents seemed to be satisfied with the grammar in the text, some article-writing issues became apparent after conducting the user study, showing that there is a lot of improvement possible in such areas as sentence generation, article composition and presentation. The latter clearly turned out to be of great importance to the reader - proper formatting and presentation make the article look more professional, believable and genuine, which is a crucial aspect in news production. Among such requirements are better paragraph splitting and linking, proper noun capitalization, checking that all the articles are in place. Such improvements will be proposed in Chapter 7, bringing up some ideas to fix what has been discovered in this Chapter.

On the other hand, some results ended being rather inconclusive and not leading to particular decision regarding some of the research questions. It is still rather unclear whether the users actually prefer reading such an article to watching a video. Additionally, it is also unclear whether the footnotes with fact sources are doing more good than bad and what is causing such a response to it. From the current user study data it cannot be derived precisely whether the footnotes themselves are an issue or it is the visual aspect that lowers the quality of the user experience. Based on the data acquired in this user study, new material and questions could be formulated for any future user studies that could be conducted within this project to answer this and some other questions. This will also be further discussed in Chapter 7.

# 6 Lessons Learned

This Chapter covers the findings made during the literature review, development process and evaluation. Some of the ideas and discoveries will be later included and elaborated on in the next Chapter.

### 6.1 Literature Review And Background Research

During the comprehensive literature survey conducted within this thesis, various essential topics were discussed and looked into. Firstly, it's important to consider the current state of art of the news industry to understand the rising need for automated artificial intelligence tools for news production and summarization and, as well as the influence of such tools on the employees and workers in the industry. It is crucial to help improve the quality of the information delivered to the reader by both liberating the journalists from tedious simple tasks and providing the reader with an opportunity to reduce the time spent on receiving the news without loosing all the important information. Furthermore, the general trend is that journalists are mostly handling these novelties with cautious neutrality bordering on the positive attitude, even as such tools become more and more widespread. Such agreeableness proves once again that developments in the field of news generation and summarization are in high demand and will be gladly accepted.

Secondly, the literature review also covered different methods, approaches and techniques applied in this field, showing the great variety of tools available for solving such tasks. A researcher must always take into consideration already existing works to learn from their achievements and failures, thoroughly analyzing the data that will be involved in the project, the application field, the end user and their demands. Only then a right direction can be chosen from the diverse array of approaches, adjusting the methods to achieve better results in the end.

### 6.2 Development

Developing a news generation and summarization tool is a tricky matter and with the current vast choice of approaches and tools there are a lot of possibilities to test and try out. Throughout the development process Python has proven to be a very versatile instrument for language processing tasks thanks to its large libraries like NLTK and SpaCy that are being widely used, updated and constantly improved by the creators and the NLP community - a lot of customizations have been created by different users based on these libraries and their modules. Both tools can and should be used interchangeably, since they both have stronger and weaker sides. Various other libraries have been tested and tried out for some sub- and side tasks, sometimes proving to be efficient and fruitful, sometimes replaced or discarded as unhelpful.

The approach adopted for this thesis - creation of separate small modules, "phenoms" - has shown different sides to it. On the one hand, it turned out to be very adaptable and functional, making the system easily extendable and flexible once the main core of it is built and functioning properly. On the other hand, the process of deriving the phenoms itself can be tedious and time-consuming, and it requires some amount of knowledge of the application field and the topic of legislation and lawmaking. This downside should be, however, easily solvable by attracting journalism and political sciences professionals for additional phenom concept creation in the future. In general, having a second opinion of a non-technical person could be a great boost to improve the results of the system execution - the desires of the end user can be considered even more and better texts can be produced, approaching the quality of human-written abstracts in the future. Possible improvements to the system that can be already defined at the current moment will be described in more details in the upcoming chapter.

# 6.3 Evaluation

The original idea for evaluation was an in-person testing with students, possibly from the field of political studies, on the territory of Cal Poly campus. However, since the tool was being developed during the outbreak of COVID-19 pandemic, the option of collecting responses in-person was not available anymore by the end of the development. Thus some other solutions had to be found instead to gather the opinions about the project's effectiveness remotely. Amazon Mechanical Turk turned out to be one of the possible options, with some adjustments made for the testing process. An important point learned from this experience is that there could be more than 50% of the responses that would have to be discarded due to their ineligibility for the user study. Moreover, there should be some checks within the survey to make sure that the respondent is filling in the questionnaire in good faith and taking it responsibly some questions about the contents of the videos, some text fields for the answers to be elaborated. Unfortunately, this adds more to the workload during the final data analysis phase, because the responses have to be looked through manually in order to discard the invalid ones. In the end, it remains questionable if such an approach is still less time-consuming than a user study held in-person among students, where the process of surveying can be observed and controlled by at least one of the project members.

The combination of Likert scale and open questions has proven to be a rather effective scheme of building a questionnaire. It allows both gathering important opinion data on the results for the user study, and getting vital insights on the flaws within the

development process and potential ways to improve it. Some users can point out specific things that were lacking something in their opinion, which offers a lot of topics to consider in future work discussion.

# 7 Conclusion and Future Work

This chapter concludes the research and examines the accomplished tasks. It also brings up some possible further improvements and adaptations of the system that might boost up the effectiveness of the summarization tool and help to create better summaries approaching the standard of human-written abstracts, improving the quality of the generated article and making it sound more natural.

## 7.1 Conclusion

Text and transcript summarization problem has been studied for decades by computer scientists and proved to be a difficult task to tackle. Various approaches have been devised and tested out throughout this time, producing different results. These methods might differ based on the topic and style of the text the researches are dealing with: some working better, for example, with strictly organized text, while the others demonstrate better results working with spontaneous dialog speech. Such features are very important to take into consideration before starting the work, basing the research on previous works and experiences.

Transcript and dialog summarization in general appears to have been studied less than organized grammatical text summary generation, some of the latter having been proven to perform fairly badly on 'unconditional' texts. However, some of the approaches remained applicable, and moreover, their fusion could even improve the end result. Nowadays there is a variety of automated transcription tools that appear more frequently than ever and boast much better quality. They produce speech-to-text transcripts that are almost entirely grammatically correct, which allows the computer scientists to have bigger and better corpora to work with, boosting the research process a lot and delivering new discoveries.

Within this thesis an automated system generating summary articles based on the transcripts and data on legislation proceedings was successfully created, adopting methods from both extractive and abstractive summarization. This work achieved several lesser goals as well:

- A paragraph classification mechanism for committee hearing transcripts was devised.
- The idea of a phenom extraction-based approach was carried out and implemented in a fully functional algorithm.

#### 7 Conclusion and Future Work

- A planning technique was applied to the set of the phenoms available for a dynamic creation of a summary article.
- Certain text preprocessing methods were applied in an attempt to make the text read more "natural" and resemble a human-produced article.
- A step towards news transparency was taken by adding sources and footnotes to any fact brought up in the generated sentences.

After the user study it became apparent that the main goal was achieved successfully, while some lesser targets still remain open and in general could always use some improvement and polishing. It is also possible to adopt and incorporate other ideas that were brought up throughout the literature review but not used in this thesis.

# 7.2 Future Work

Several improvements can be added to the system to enhance the results. First, the paragraph classifier efficiency is satisfactory for the task but still not perfect - it is possible that expanding the training dataset or changing the approach to text preprocessing can yield better results and boost the accuracy of the classifier. Second, some other techniques of template creation other than using human-written ones could prove useful to test, adapting some of the approaches to template generation from the works discussed in Section 2.4. Third, an even more sophisticated article planner may also help in creating the article with better flow and fluency. It would be crucial to devise a planner that could group together in a paragraph the generated sentences that belong to the same topic, making the structure of the text even more refined.

In general, since the system is easily expandable via the addition of new phenoms, it could be a big step forward to team up with colleagues from journalism and political studies and collaborate on creating more phenoms involving other patterns from the transcript that the current work didn't cover. Furthermore, more complicated and advanced phenoms can be added: for example, analyzing tones and sentiments, or keeping track of the discourse by the means of attentions networks or other methods.

Some formatting issues have to be addressed, improving the quality of the text from grammatical and visual point of view. The algorithms for checking the right capitalization of proper names, placement of punctuation and so on has to be upgraded - after the user study it became obvious that such aspects appear quite often very important to the reader, making the text more credible and trustworthy if it contains no grammar or punctuation errors and is formatted in a visually appealing way.

Involving the recordings videos or even fragments of it could be another possible future improvement. The idea of combining text footnotes with sources to the facts and actual videos with the timestamps for those sources was discussed as a future addition during the development process. Such an improvement can help to can help increase the transparency of the news article even further. That could also improve the opinion of the readers on the footnotes to the text, even though the testers' general thoughts on the subject were inconsistent after performing the first user study.

Additionally, involving some video extractive summarization could be an interesting theory to test out. A hypothesis whether combining video excerpts with textual summary information will provide better experience for the user and yield more informative summarization remains a question for future research on the topic.

Lastly, performing further user studies could help resolve some questions that still remained unclear after the first user study. Using other material, improved and adapted questionnaires, or organizing a qualitative study with the respondents submitting a detailed review with opinions and remarks could provide invaluable insights for the future to help make a better tool based on the one created within this thesis.

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