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# Effects of HDL3 and Apolipoprotein A-I on Amyloid-beta Production and its Transport at the Blood-Brain Barrier

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# Abstract

Alzheimer's disease (AD) is the most prevalent form of dementia worldwide, with an estimated 135,5 million people becoming affected by the year 2050. Although the discovery of the disease was more than a century ago, there is still no promising treatment available. The difficulty in developing such treatments is attributed to the fact that AD is still not sufficiently understood. Researchers have developed various hypotheses, but the topic remains controversial. It is generally accepted that the amyloid-beta peptide (A $\beta$ ) plays an important role in the progression of the disease. An accumulation of this peptide leads to extracellular plaque formation, first discovered by Alzheimer in 1906. In addition, the outcome of several previous studies established an association between AB and lipid / lipoprotein metabolism. In this thesis, the effect of the lipoprotein HDL<sub>3</sub> and its main apolipoprotein A-I (apoA-I) on the AB precursor protein (APP) expression and processing as well as Aβ transport at the blood-brain barrier (BBB) is investigated using a well established in vitro model consisting of primary porcine brain capillary endothelial cells (pBCEC). Furthermore, the impact of Aβ on apoA-I and HDL function is examined. Since both, HDL<sub>3</sub> and apoA-I were already shown to prevent formation of reactive oxygen species (ROS) in other tissues and, thus, preventing oxidative stress and associated cell damage, it was not surprising that we could prove this to apply also in pBCEC. Interestingly, both, HDL<sub>3</sub> and Aß promote expression of APP, although it is presently unclear how APP is further processed. While in pBCEC mainly HDL<sub>3</sub> promotes cholesterol efflux, the presence of  $A\beta_{1-40}$  had no impact on efflux, however, when combined with HDL<sub>3</sub>  $A\beta_{1-40}$  showed increased levels of the two transporters low density lipoprotein receptor related protein 1 (LRP1) and ATP binding cassette transporter (ABC) G1, the former known to promote A $\beta$  clearance from the brain. Additionally, HDL<sub>3</sub> led to higher extracellular A $\beta$ oligomer levels, identified as pre-fibrils, 2.4-fold, in combination with A $\beta_{1-40}$  peptides even 3.6-fold, letting us infer that HDL<sub>3</sub> may act as a chaperone and contribute to A $\beta$ clearance from the brain. Whether this effect occurs by directly binding Aß peptides or by stimulating other pathways has yet not been understood. Transport studies to confirm this clearance hypothesis revealed not convincing. However, we were able to demonstrate that apoA-I is capable of counteracting AB uptake.

The results obtained provide a good basis for further studies, which are urgently needed to gain deeper insights into the connection between APP, A $\beta$  and HDL<sub>3</sub> / apoA-I at the interface between the central nervous system (CNS) and the circulation.

# Zusammenfassung

Die Alzheimer Krankheit ist die wohl weitverbreitetste Demenzerkrankung weltweit, mit etwa 135,5 Millionen Betroffenen im Jahre 2050. Obwohl die Krankheit bereits vor über hundert Jahren entdeckt wurde, gibt es noch keine vielversprechenden Behandlungsmethoden. Die Schwierigkeit hierbei liegt vermutlich daran, dass die Pathogenese der Alzheimer Erkrankung bis heute nicht ausreichend verstanden wird. Über die Jahre haben Forscher verschiedenste Hypothesen aufgestellt und die Literatur dazu ist häufig kontrovers. Weitgehend anerkannt wird jedoch, dass das toxische Amyloid-beta Peptid (Aβ) eine wichtige Rolle im Verlauf der Krankheit spielt. Bei einer Anhäufung dieses Peptids im extrazellulären Bereich des Gehirns kann es sogenannte Plaques bilden, welche bereits 1906 von Alzheimer bei der Entdeckung der Krankheit nachgewiesen wurden. Darüberhinaus konnten schon vorhergehende Studien einen Zusammenhang zwischen Aβ und dem Lipidund Lipoproteinstoffwechsel herstellen. In dieser Arbeit wird die Auswirkung des Lipoproteins HDL<sub>3</sub> und seinem Haupt-Apolipoprotein A-I (apoA-I) auf die Expression von Amyloid Precursor Protein (APP) und dessen "Processing" sowie der Transport von Aβ an der Blut-Hirn Schranke anhand eines gut etablierten *in vitro* Modells aus primären Schweinehirn-Kapillarendothelzellen (pBCEC) untersucht. Zudem soll der Einfluss von Aß auf die Funktionen von apoA-I und HDL anhand dieser Zellen erforscht werden. Dass sowohl HDL<sub>3</sub> als auch apoA-I die Bildung der "Reaktiven Sauerstoff-Spezies" (ROS) verhindern und so oxidativem Stress und den damit verbundenen Zellschäden vorbeugen, konnte bereits in anderem Gewebe nachgewiesen werden, weshalb es nicht überraschend war, dass dies auch für unsere pBCEC zutraf. Interessanterweise steigert die Behandlung der Zellen mit sowohl HDL<sub>3</sub> als auch Aß die Expression des Aß-Vorläuferproteins APP, jedoch ist bisher unklar, wie APP schließlich weiter gespalten wird. In pBCEC ist HDL<sub>3</sub> Hauptverursacher des Cholesterin Efflux. Unsere Untersuchungen ergaben, dass Aß hierbei keinen Einfluss hatte, jedoch in Kombination mit HDL<sub>3</sub> zu erhöhten mRNA Levels der beiden Transporter "low density lipoprotein receptor related protein 1" (LRP1) und "ATP binding cassette transporter" (ABC) G1 führte, wobei LRP1 nachweislich zur Beseitigung von Aβ aus dem Hirn beiträgt. Zusätzlich steigerte HDL<sub>3</sub> extrazelluläre Aβ Oligomer Levels um ein 2,4-faches, in Kombination mit A $\beta_{1-40}$  Peptiden sogar um ein 3,6-faches. Diese Beobachtungen lassen uns vermuten, dass HDL<sub>3</sub> als Chaperon wirken und an der Beseitigung von Aß aus dem Hirn beteiligt sein könnte. Ob dies über direktes Binden der Aβ-Peptide oder durch Aktivierung weiterer Signalwege geschieht, ist unklar. Auch Transport-Studien, die diese Hypothese bestätigten könnten, waren wenig aufschlussreich. Jedoch konnten wir zeigen, dass apoA-I in der Lage ist, der Aβ-Aufnahme von pBCEC entgegenzuwirken.

Die Ergebnisse dieser Arbeit liefern eine gute Basis für weitere Experimente, die dringend benötigt werden, um den Zusammenhang zwischen APP, A $\beta$  und HLD<sub>3</sub> / apoA-I an der Schnittstelle zwischen Zentralnervensystem und dem Blutkreislauf besser zu verstehen.

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# List of Abbreviations

Αβ	amyloid-beta peptide
ABC	ATP binding cassette transporter
ACAT	acetyl-coenzymeA:cholesterol acyltransferase
AD	Alzheimer's disease
ADAM	a disintegrin and metalloproteinase
аро	apolipoprotein
APP	amyloid-beta precursor protein
BACE	β-site of APP cleaving enzyme
BBB	blood-brain barrier
CNS	central nervous system
(p)BCEC	(porcine) brain capillary endothelial cells
HDL	high density lipoproteins
HDL-C	HDL-cholesterol
LRP	low density lipoprotein receptor related protein
LCAT	lecithin-cholesterol acyltransferase
LXR	liver-X receptor
NFT	neurofibrillary tangles
RAGE	receptor for advanced glycation endproducts
ROS	reactive oxygen species
TEER	transendothelial electrical resistance
qRT-PCR	quantitative real time polymerase chain reaction

# 1. Introduction

Over 47.5 million people suffer from dementia worldwide, with around 7.7 million new cases being reported each year. The numbers are rapidly increasing and by the year 2050 even 135.5 million people are expected to have this syndrome. Alzheimer's disease (AD) is the most common form, with an estimated number of 60-70% of all dementia related cases resulting in this specific disease.<sup>1</sup>

AD was named after the German neuropathologist Alois Alzheimer, who in 1906, was the first to discover and describe two neuropathological abnormalities, later identified as senile plaques and neurofibrillary tangles (NFT), in a 51 year old patient showing signs of cognitive impairment.<sup>2,3</sup> Although this discovery occurred more than a century ago, no effective treatments to prevent, halt, or reverse this neurodegenerative disorder have been established so far.<sup>4</sup>

AD can be classified into early-onset AD, manifesting in individuals between 30 and 60 years (~1-6%), and late-onset AD, diagnosed in patients at the age of 60 and older (~90%). Thus, the greatest risk factor for developing AD is advancing age,<sup>5,6</sup> but the etiology of the disease is multifactorial and complex.<sup>7,8</sup> Diabetes mellitus, female gender, head trauma, hyperlipidemia, positive family history, previous depression and vascular factors also increase the chances of contracting AD.<sup>6</sup> The consequences are loss of synapses and neurons, as well as formation of extracellular senile plaques and intracellular neurofibrillary tangles.<sup>9</sup> Affected people suffer symptoms such as progressive decline in cognitive function, which are often accompanied with behavioral disturbances.<sup>10</sup>

Although it is now widely accepted that amyloid-beta peptides (A $\beta$ ) play a key role in the pathogenesis of the disorder,<sup>11</sup> the exact mechanisms are still poorly understood and additional research is required.<sup>12</sup>

# 1.1. Amyloid-beta peptide

According to various prior studies, A $\beta$  plays a crucial role in the progression of AD. The amyloid-beta precursor protein (APP) can be processed in two different signaling pathways by distinctive, proteolytic cleavage of secretases. While for the non-amyloidogenic pathway APP cleavage by  $\alpha$ -secretases, members of the ADAM

family, leads to release of soluble APP $\alpha$  (sAPP $\alpha$ ) having neuroprotective properties, sequential cleavage by  $\beta$ -secretase (BACE1) and  $\gamma$ -secretase complex leads towards the amyloidogenic pathway releasing sAPP $\beta$  and A $\beta$ ,<sup>13–15</sup> (shown in Figure 1).<sup>15</sup> The amyloid cascade hypothesis suggests that predominant cleavage by  $\beta$ -secretase is causing an imbalance of A $\beta$  peptide production and clearance, which further leads to spontaneous aggregation of the peptide into soluble oligomers. Those then are capable to form fibrils and might even deposit in diffuse senile plaques.<sup>12,16,17</sup> Whether the formation of senile plaques is one of the reasons causing AD or just a by-product of the disease is not completely understood.<sup>18</sup> Also, the relationship between senile plaques and NFT is still unclear, although previous studies suggest that A $\beta$  fibrils induce tau phosphorylation.<sup>19,20</sup>

It is generally accepted that the two major isoforms of A $\beta$ , A $\beta_{1-40}$  and A $\beta_{1-42}$  containing 40 and 42 amino acids, respectively, are toxic and contribute to synaptic and mitochondrial damage as well as increased release of ROS.<sup>21,22</sup> The most abundant isoform in the brain is A $\beta_{1-40}$ ,<sup>23</sup> but A $\beta_{1-42}$  has two additional amino acids, making it more hydrophobic and, thus, it is more likely for A $\beta_{1-42}$  to aggregate.<sup>24</sup>



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**Figure 1: APP processing into two different signaling pathways.** Cleavage of APP by  $\alpha$ -secretases, ADAM9, 10 or 17, leads towards the non-amyloidogenic pathway and formation and release of sAPP $\alpha$ . Proteolytic processing through the amyloidogenic pathway occurs when APP is cleaved by the  $\beta$ -secretase (BACE1) and  $\gamma$ -secretase complex into sAPP $\beta$ , A $\beta$  and the amyloid precursor protein intracellular domain (AICD). A $\beta$  and sAPP $\beta$  are released. (Figure adapted from LaFerla *et al.*<sup>15</sup>)

#### **1.1.1.** Aβ and reactive oxygen species

The term reactive oxygen species (ROS) summarizes oxygen molecules with an unpaired electron rendering them extremely reactive, such as superoxide, hydrogen peroxide, singlet oxygen, ozone, hypohalous acids, and organic peroxides.<sup>25</sup> ROS production occurs during normal cell metabolism in multiple cell compartments and is very complex. An overproduction results in "oxidative stress",<sup>26</sup> further causing damage to biomolecules, such as lipids, proteins, and DNA, and might even lead to chronic diseases.<sup>27</sup> In the past, ROS have been noticed to be an important factor in the pathogenesis of AD. Consistently, increased ROS levels were associated with accumulation of A $\beta$  peptides and A $\beta$  was proven to promote formation of hydrogen peroxides and lipid peroxides.<sup>28–33</sup> On the other hand, Behl *et al.* suggested that the toxicity of A $\beta$  results from the free radical damage of hydrogen peroxides.<sup>34</sup> It is hypothesized that there is a connection between A $\beta$ , ROS, and the nuclear factor NF- $\kappa$ B, known to be a regulator of ROS,<sup>35</sup> but to fully understand this pathway, further research is required.

#### 1.1.2. Aβ transport and clearance

Besides NFT, Aβ plaques are one of the two main hallmarks of AD and several prior studies have shown that increased failure of AB clearance is recognized in the pathogenesis of the disease.<sup>24</sup> Soluble, extracellular Aβ in the brain is removed mainly across the BBB.<sup>24,36</sup> The apical side facing the blood circulation and the basolateral side facing the brain parenchyma are functionally distinct.<sup>37</sup> Transport across the BBB is generally restricted to molecular weight, diameter and solubility of proteins.<sup>38</sup> Although Aβ peptides are generally small enough to cross the BBB, tight junctions between the cells prevent them from passing the BBB, so they therefore require special transporters.<sup>24</sup> In contrast, a disrupted BBB allows circulating Aβ to pass the barrier.<sup>39</sup> Recent *in vitro* and *in vivo* studies identified the low density lipoprotein receptor related protein-1 (LRP1) to promote clearance of Aβ across the BBB and, hence, prevent the accumulation, aggregation, and deposition of  $A\beta$ peptides.<sup>40,41</sup> While the ATP-binding cassette transporter (ABC) B1 directly exports Aß into the circulation, ABCA1, earlier shown by our group to be preferentially located at the basolateral side of the BBB,<sup>42</sup> indirectly promotes Aβ clearance.<sup>43</sup> The exact mechanism however is yet not understood, but Tokuda et al. suggest that by regulating cholesterol efflux, also lipid levels of apoE are controlled. Higher lipidated

apoE then can bind A $\beta$  more efficiently, thus, modulating the capacity of A $\beta$  to aggregate.<sup>44</sup>

On the other hand, receptor for advanced glycation endproducts (RAGE) can transport A $\beta$  from the circulation into the brain, although this event is mostly inhibited by soluble transporters, such as soluble form of RAGE, soluble form of LRP, anti-A $\beta$  IgG and serum amyloid P component, which bind 70-90% of plasma A $\beta$ .<sup>24</sup>

#### 1.2. The Role of Cholesterol and (Apo)Lipoproteins in AD

In 1911, Alzheimer discovered uncommon lipid changes in the brain of an AD patient.<sup>45</sup> Only decades later researchers established a link between lipid metabolism and AD, when apolipoprotein E (apoE) type 4 allele was identified as a strong risk factor for late-onset AD.<sup>9,46,47</sup> Whether apoE4 contributes to loss of neuroprotective function or gain of toxic function is discussed very controversially in the literature.<sup>48,49</sup>

By now, researchers have revealed that cholesterol metabolism is associated with AD. Cholesterol is a multifaceted molecule. It acts as a cofactor for signaling molecules, as a precursor for steroid hormones, VitD, and bile acids, and, not least, is an essential component of cellular membranes determining their fluidity and biophysical properties by lowering permeability and increasing compacity.<sup>50,51</sup> The most cholesterol-rich organ of the human is the brain with about 25% of the total amount in the human body.<sup>52</sup> There, the lipid is mainly synthesized *de novo* by astrocytes, oligodentrocytes, and neurons. Degenerating neurons and synapses increase the release of cholesterol in AD.53 To maintain cholesterol levels sensed through the membrane-bound sterol regulatory element-binding protein (SREBP),<sup>54</sup> cholesterol is catabolized to 24S-hydroxycholesterol (24S-OHC) via cholesterol 24hydroxylase, CYP46A1,<sup>55</sup> and then transported across the BBB into the circulation where it is transferred and finally eliminated in the liver.<sup>56</sup> Else, 24S-OHC can also act as a ligand of liver-X receptors (LXR)  $\alpha$  and  $\beta$ , which have the ability to regulate gene expression of various proteins involved in cholesterol metabolism.<sup>57</sup> Results from our group have shown that in pBCEC, LXR activate cholesterol efflux via ABCA1 and apoA-I.<sup>58</sup> ABCA1 promotes cholesterol efflux towards apoA-I, leading to HDL generation, and ABCG1 towards HDL,59,60 as illustrated in Figure 2.61 Cholesterol transport from the peripheral circulation into the brain is usually

prevented by an intact BBB.<sup>53</sup> While cholesterol in the brain is mainly unesterified, the enzyme acetyl-coenzymeA:cholesterol acyltransferase (ACAT) can convert the unesterified membrane cholesterol into cytoplasmic cholesteryl-ester droplets for homeostasis.9,62,63 regulates intracellular cholesterol storage and, hence, Interestingly, numerous studies reported that inhibition of ACAT results in decrease of Aß production and increase of Aß clearance.<sup>63–67</sup> The influence of cholesterol on Aß production might further be attributed to the fact that cholesterol affects the membrane properties. Higher cholesterol content in lipid rafts allows clustering of the membrane-associated  $\beta$ - and  $\gamma$ -secretases with their substrates, thus, promoting the pathogenic cleavage of APP into A<sup>9</sup>



**Figure 2: Free cholesterol transport.** ABCA1 promotes the transport of free cholesterol towards lipid-poor apoA-I, ABCG1 towards mature HDL, here shown on a macrophage model. (Figure adapted from Duffy and Rader. <sup>61</sup>)

#### 1.2.1. High-density lipoproteins

High-density lipoproteins (HDL) are plurimolecluar particles predominantly consisting of polar lipids solubilized by apolipoproteins. In addition HDL carry many different proteins. The main protein components of HDL include apolipoproteins and enzymes, recognized as key components, but also proteins of acute-phase response, complement regulation, proteinase inhibition, immune response and hemostasis. HDL molecules are highly heterogeneous in their structural, chemical and biological properties and exist in multiple isoforms. There are two main subclasses: a less dense HDL<sub>2</sub> and a protein-richer HDL<sub>3</sub> with higher density.<sup>68</sup> These two subclasses can be separated by ultracentrifugation, as described in "3.2. Isolation". ApoA-I is believed to be present in almost all HDL particles and accounts for approximately 70% of total HDL protein. Further HDL proteins include apoA-II, apoE, apoA-IV, apoCs, apoD, apoH, apoJ, apoL-1, apoM and apoO. One of the major HDLassociated enzymes is lecithin-cholesterol acyltransferase (LCAT). It catalyzes cholesterol esterification to cholesterol esters. HDL is associated with about 75% of plasma LCAT activity.<sup>68</sup> Not at least, HDL functions as one of the major cholesterol carriers in the human blood.<sup>69</sup> Earlier studies suggested that high HDL-C levels decrease the risk of AD, while lower HDL-C levels may correlate with the severity of AD.<sup>70–73</sup>

#### 1.2.2. Apolipoprotein A-I

As mentioned above, apoA-I was identified as the major HDL protein. Important functions of apoA-I involve activation of LCAT, interaction with cellular receptors and motion between other lipoprotein molecules.<sup>68</sup> To generate nascent HDL apoA-I first reacts specifically with ABCA1 and in the next step with LCAT, where nascent HDL is enriched with esterified cholesterol and, thus, forms mature HDL.<sup>74</sup> There is evidence that apoA-I has influence on the pathogenesis and increases the risk of AD by modulating brain cholesterol metabolism.<sup>75,76</sup> Khalil *et al.* proposed that aging modified the apoA-I / ABCA1 dependent cholesterol efflux pathway, probably due to oxidative modifications during aging.<sup>77</sup>

#### 1.2.3. Functions of HDL and apoA-I at the BBB

The existence of the BBB was originally observed by Paul Ehrlich in 1885, when he noted that after injecting water-soluble dye into the circulation brain and spinal cord were the only parts of the body remaining unstained.<sup>78</sup> Later studies discovered its function as a highly specialized structural and biochemical barrier between circulating blood and underlying brain tissues.<sup>79–81</sup> The barrier is formed of a complex network of brain capillary endothelial cells, pericytes and astrocyte foot, being in connection via junctions (Figure 3, adapted from Gerstner *et al.*).<sup>82</sup> Between the endothelial cells, tight junctions control paracellular diffusion of water-soluble substances through the BBB, consisting of transmembrane proteins and cytoplasmic plaque proteins. These junctions raise the endothelial electrical resistance up to 1,500 - 2,000  $\Omega$ •cm<sup>2</sup>, compared to 3 - 33  $\Omega$ •cm<sup>2</sup> in other tissues.<sup>83</sup>

Earlier studies in our lab have revealed that pBCEC are able to produce and secrete apoA-I. Using our 3D-BBB *in vitro* model, a dose- and time-dependent transcytosis of apoA-I across a monolayer of pBCEC was observed in both directions. Moreover, there is evidence that HDL<sub>3</sub> supports the transport of apoA-I.<sup>84</sup> As mentioned above, an upregulation of apoA-I levels and secretion, respectively, due to LXR activation, in combination with increased ABCA1 levels results in formation of HDL-like particles at the brain parenchymal side of the BBB.<sup>42</sup> Our previous results also already showed a decrease in A $\beta$  oligomer levels when treating pBCEC with HDL<sub>3</sub>.<sup>85</sup> There is evidence that HDL acts as a repressor of A $\beta$  production via activation of the ABC transporters.<sup>86</sup> Also, HDL can directly bind A $\beta$ , thus, inhibiting its oligomerization and acting as a transporter.<sup>87,88</sup> Finally, results obtained in our lab have implicated that apoA-I enhances the production of sAPP $\alpha$ ,<sup>84</sup> the beneficial cleavage product from APP processing.



**Figure 3: Schematic representation of the BBB.** Blood vessels are surrounded by endothelial cells, which are connected via tight junctions. Pericytes, astrocytes and neurons adjoin to the endothelial cells. An intact BBB allows only certain proteins and transporter to move from blood to brain parenchymal compartment and vice versa, whereas a disrupted BBB loses its barrier function. (Figure adapted from Gerstner *et al.* <sup>82</sup>)

# 1.3. Aim of Thesis

The aim of the present thesis was to investigate the effects of both,  $HDL_3$  and apoA-I on A $\beta$  production and clearance in cells constituting the BBB, in particular in brain capillary endothelial cells (BCEC).

Hence, APP and A $\beta$  oligomer levels as well as levels of secretases responsible for specific pathways in APP processing were examined.

Furthermore, using a polarized *in vitro* model mimicking brain and blood sides at the BBB, transport studies of A $\beta$  were performed in the absence or presence of apoA-I or HDL<sub>3</sub>. Previous research led to hypothesize that the transporters ABCA1, ABCG1, and LRP1 have supporting roles in the clearance of A $\beta$  across the BBB,<sup>40,41,86,89–92</sup> thus, effects on mRNA and protein levels of these transporters were examined.

Also, we were trying to outline how HDL<sub>3</sub> and apoA-I, respectively, modulate the uptake of A $\beta$  by pBCEC and if they had an impact on cellular ROS production. Most of these experiments were additionally performed in presence of A $\beta$  to mimic AD conditions. Since protein and lipid metabolism of pigs are comparable to humans,<sup>93</sup> BCEC were isolated and cultivated from porcine brains and used for our *in vitro* model.

# 2. Materials

75 cm<sup>2</sup> cell culture flasks, 6- and 12-well plates were purchased from Greiner Bio-One, Transwell<sup>®</sup> 12-well plates were obtained from Corning Life Sciences.

# 2.1. Isolating, Culturing and Treating Cells

Recipes for solutions and medium used during cell isolation, culturing and treating cells are listed below. Reagents purchased are illustrated in Table 1.

# 1x PBS, 5 Liters

- 40 g NaCl
- 10 g Glucose
- 1.5 g KCl
- 0.457 g NA<sub>2</sub>HPO<sub>4</sub> 2 H<sub>2</sub>O
- 0.1 g KH<sub>2</sub>PO<sub>4</sub>

Fill up to 5 liters with  $d_2H_2O$ , stir for 15 min and sterile-filter the solution. The pH should be between 7.38 and 7.42. Store at 4°C.

## **Dextran Solution**

- 163.3 g Dextran
- 2 g NaHCO<sub>3</sub>
- 91 ml MEM Earle's Medium (10x)

Fill up to 900 ml with  $d_2H_2O$ , stir overnight at 4°C, determine and adjust density with  $d_2H_2O$  to 1.0612 g/L. Autoclave solution and store at 4°C.

## **Preparation Medium**

- 500 ml Earle's Medium M199 (1x)
- 1% Penicillin / Streptomycin
- 1% Gentamycin
- 1 mM L-Glutamine

## Plating Medium A

- 500 ml Earle's Medium M199 (1x)
- 10% Horse Serum
- 1% Penicillin / Streptomycin
- 1% Gentamycin
- 1 mM L-Glutamine

## **Plating Medium B**

- 500 ml Earle's Medium M199 (1x)
- 10% Horse Serum
- 1% Penicillin / Streptomycin
- 1 mM L-Glutamine

## Serum Free Medium

- 500 ml Earle's Medium M199 (1x)
- 1% Penicillin / Streptomycin
- 1 mM L-Glutamine

## DMEM / Ham's F12 Medium

- 500 ml Dulbecco's Modified Eagle's Medium / Ham's Nutrient Mixture F12
- 1% Penicillin / Streptomycin
- 0.7 mM L-Glutamine
- 0.4% Hydrocortisone stock solution [50 µg/ml]

# Collagenase / Dispase Solution

Sterile-filter 140 mg Collagenase / Dispase and 10 ml Plating Medium A and store aliquots at -20 °C.

## Percoll® Biphase

## 1.03 g/ml density

- 40 ml PBS (1x)
- 9 ml Percoll® (pH 8.5 9.5)
- 1 ml MEM Earle's Medium (10x)

## 1.07 g/ml density

- 20 ml PBS (1x)
- 27 ml Percoll® (pH 8.5 9.5)
- 3 ml MEM Earle's Medium (10x)

Store at 4°C.

## Collagen G Solution [60 µg/ml and 120 µg/ml]

Mix 450  $\mu$ I [for 60  $\mu$ g/ml] or 900  $\mu$ I [for 120  $\mu$ g/ml] of Collagen G stock solution [4 mg/ml] with 30 ml PBS (1x). Store at 4°C.

## Apolipoprotein A-I

Apolipoprotein A-I (apoA-I) is isolated, cleaned up and provided by the working group of ao.Univ.-Prof. Dr.phil. Gernot Desoye, Department of Obstetrics and Gynecology at the Medical University of Graz. Dissolve 1 mg of apoA-I gently in 1 ml PBS. Avoid air bubbles that lead to oxidation. Store aliquots at -20°C.

#### HDL<sub>3</sub>

HDL<sub>3</sub> is isolated and stored as described in "3.2. Isolation".

## Hydrocortisone Stock Solution [50 µg/ml]

Dissolve 1 mg hydrocortisone in 1 ml ethanol and 19 ml DMEM / Ham's F12 Medium. Store aliquots at -20°C.

# Amyloid-beta 1-40 Stock [1 mg/ml]

Dissolve 1 mg amyloid-beta 1-40 (A $\beta_{1-40}$ ) powder (Sigma Aldrich) in 1 ml PBS and store aliquots at -20°C.

## Fluorescent Amyloid-beta 1-40

Incubate 100  $\mu$ I of amyloid-beta 1-40 [1 mg/ml] with 3  $\mu$ I Alexa Fluor 488 5-TFP for 1 h at 37°C in 18  $\mu$ I carbonate bicarbonate (CBC) buffer. Remove unbound fluorescent dye via size-exclusion on a PD-10 column. For elution use 1 ml PBS buffer.

Purchased solutions and chemicals are listed below.

Product	Company
Amyloid-beta Protein Fragment 1-40	Sigma-Aldrich
Collagen G from bovine calf skin	M&B Stricker
Collagenase / Dispase	Roche
D(+)-Glucose monohydrate	Carl Roth GmbH
Dextran	VWR
Dispase	Life technologies
DMEM / Ham's F12 Medium	Life technologies
Horse Serum	PAA Laboratories
Hydrocortisone	Sigma-Aldrich
L-Glutamine	PAA Laboratories
Earl's Medium 199 (1x)	Life technologies
Minimum Essential Medium (MEM, 10x)	Life technologies
Penicillin / Streptomycin / Gentamycin	PAA Laboratories
Percoll® pH 8.5- 9.5	Sigma-Aldrich
Trypsin- EDTA	PAA Laboratories

Table 1: Solutions and Chemicals used for Cell Culture Experiments

# 2.2. Protein Isolation, SDS-PAGE and Immunoblotting

Chemicals used for protein isolation, SDS-PAGE and immunoblotting are listed in Table 2, antibodies in Table 3. Solutions were prepared as followed:

## Protein Lysis Buffer

- 50 mM Tris (pH 7.5)
- 10 mM EDTA
- 1% Triton-X 100
- 1 tablet of protease inhibitor cocktail

Fill up to 10 ml with  $d_2H_2O$ . Aliquots are stored at -20°C

# Running Buffer (10x)

- 30.3 g Tris
- 144 g Glycin
- 10 g SDS

pH should be set to 8.3.

# Transfer Buffer (1x)

- 240 ml Running Buffer (10x)
- 480 ml Methanol

Fill up to 2.4 liters with  $d_2H_2O$  and store at 4°C.

## TBS-T (1x)

- 100 ml Tris, 1 M, pH 7.5
- 250 ml NaCl, 5 M
- 2.5 ml TWEEN 20

Fill up to 5 liters with  $d_2H_2O$ .

## **Blocking Solution**

Dissolve 5% non-fat dry milk Blotting-Grade blocker in 1x TBS-T. Prepare freshly or freeze at -20°C.

Product	Company
Blotting-Grade blocker, non-fat dry milk	Biorad
Bovine Serum Albumine, 2 mg/ml	Thermo Scientific
Cell Proliferation Reagent WST-1	Roche
Centrifuge Sigma 3K15 with angle rotor 12154-H	Sigma
Chemicals	Carl Roth or Sigma Aldrich
CL- Xposure Film (12.5 x 17.5 cm)	Thermo Scientific
Clarity Western ECL Substrate	Biorad
Combi- Shaker KL 2	Carl Roth
Concentrator Plus	Eppendorf
ELMA Transsonic 460, ultrasonic unit	Carl Roth
IKA® Model MS1 mini-shaker	Carl Roth
Magnetic stirrer with heating, MR 3001, Heidolph	VWR
Microcentrifuge, Qualitron DW- 41	Carl Roth
NuPage® MES SDS Running Buffer (20x)	Life technologies
NuPage® MOPS SDS Running Buffer (20x)	Life technologies
NuPage® Novex 4-12% Bis- Tris Midi Gel	Life technologies
Pierce® BCA Protein Assay Kit	Thermo Scientific
Protease Inhibitor Cocktail tablets	Sigma Aldrich
PVDF membrane, Hybond-P	GE healthcare
Ratek RSM7, Rotary Suspension Mixer	VWR
Sunrise photometer with Magellan software	Tecan
XT sample buffer (4x)	Biorad
XT sample reducing agent (20x)	Biorad

Table 2: Materials used for Protein Isolation and Western Blot

Antibody	Working dilution	Company	Protein detected
Primary antibody			
Rabbit anti β-Actin	1:5,000	Sigma Aldrich	β-Actin
Rabbit anti β-Amyloid Precursor Protein; CTF	1:1,500	Sigma Aldrich	APP <sub>695</sub> , APP <sub>751</sub> and APP <sub>770</sub> ; C- terminal fragment
A11 rabbit anti-amyloid oligomer (AHB0052)	1:1,500	Life Technologies	Aβ oligomers
Mouse anti-ABCA1 (AB18180)	1:2,000	Abcam	ABCA1
Rabbit anti-ABCG1	1:1,000	Abcam	ABCG1
Secondary antibody			·
Goat anti-rabbit IgG-HRP	1:5,000	Santa Cruz Biotechnology	
Goat anti-mouse-HRP	1:5,000	Sigma Aldrich	

Table 3: List of Antibodies used for Immunoblots.

# 2.3. RNA Isolation, cDNA Synthesis and qRT-PCR

Products and reagents used for RNA isolation, cDNA synthesis and quantitative real time PCR are listed in Table 4. Pre-validated primers for qRT-PCR were ordered from Qiagen or self-designed and purchased from Life technologies, given in Table 5.

Product	Company
0.2 ml PCR Tubes	Biorad
2-Log DNA ladder	New England laboratories
Biozym LE Agarose	Biozym
C-1000™ Thermal Cycler	Biorad
Ethidiumbromide [10 mg/ml]	Life technologies
Gel loading Dye, Blue (6x)	New England laboratories
Hard-Shell® Low-Profile Thin-Wall 96-Well Skirted PCR Plates	Biorad
HE 33 Mini Submarine Electrophoresis Unit	Hoefer® Inc.
High Capacity Reverse Transcriptase Kit	Life technologies
Icycler iQ™, Real-time PCR Detection System	Biorad
	Biorad
Microseal 'B' Adhesiye Seals for PCP plates	Biorad
Molecular Imager ChemiDoc XDS System	Biorad
Nanobiop® ND-1000 0V-VIS Spectonbotometer	Peqlab
Nuclease free water	Carl Path
Nuclease-life water	Dharmasan
ON target plus sirina, 5 nmol	Dharmacon
One I ouch Filter-tips, 10 µl	Biozym
Power Pac HC, Power Supply	Biorad

Table 4: Materials used for RNA Isolation, cDNA Synthesis and qRT-PCR

PrimeFect™ Diluent	Lonza
PrimeFect™ siRNA Transfection Reagent	Lonza
Taqman Gene Expression Master Mix	Life technologies
TriReagent RT	Molecular Research Center, Inc

Table 5: Primers used for qRT-PCR

Gana	Forward Primer 5'-3'	Amplicon length
Gene	Reverse Primer 3'-5'	(bp)
ABCA1	GCCATTCTCCGGGCCAAC	252
	GGCTTCACGCCGCTGAT	
ABCG1	GTTCTCCACGTCCAGCTCTC	225
	CAGGGTTCTTCGTCAGCTTC	
ADAM10	AGCAACATCTGGGGACAAAC	219
	CTTCCCTCTGGTTGATTTGC	
APP	CGTGGGAGTTTAGCTGCTTC	122
	TCAAATGCAATCGTGGAAAA	
BACE1	ACAGTGGCACCACCAACCTT	105
	GCCAGAAACCATCAGGGAAC	
HPRT1	AGGACCTCTCGAAGTGTTGG	247
	CAGATGGCCACAGGACTAGA	
LRP1	GCAGATGTATCAACATCAACTGG	98
	GGGTGCTAGAGCAAGAGTGG	

# 2.4. Diverse Studies

Materials and reagents for ROS studies, cholesterol efflux studies, A $\beta$  uptake studies and A $\beta$  transport studies can be found in Table 6-9.

Table 6. Materials and Reagents used for ROB Statics		
Product	Company	
H <sub>2</sub> DCFDA dye (Light sensitive)	BIOTREND Chemikalien GmbH	
Triton X-100	Carl Roth GmbH	
Ethanol	Carl Roth GmbH	
Black Eppendorf Tubes (1.5 ml)	Carl Roth GmbH	

Table 6: Materials and Reagents used for ROS Studies

Table 7: Materials and Reagents used for Cholesterol Efflux Studies

Product	Company
[ <sup>3</sup> H]-Cholesterol	Perkin Elmer
NaOH (0.3N)	Merck
Qubit protein assay kit	Invitrogen
Ultima Gold Scintillation Cocktail	New England Nuclear

Table 8: Materials and Reagents used for Aβ Uptake

Product	Company
Amyloid-beta 1-40	Phoenix Peptides
Alexa Fluor 488 5-TFP	Invitrogen

Table 9: Materials and Reagents used for Aβ Transport

Product	Company
[ <sup>125</sup> I]-Amyloid-beta 1-40	Phoenix Peptides
[ <sup>14</sup> C]-Sucrose	Perkin Elmer
Gamma 5500B	Beckman
Ultima Gold Scintillation Cocktail	New England Nuclear

# 3. Methods

# 3.1. Isolation and Culture of Primary Porcine Brain Capillary Endothelial Cells

The method was adjusted from Franke et al.<sup>94</sup> Therefore, porcine brain halves were obtained from the local slaughterhouse, guickly rinsed with 75% ethanol and transported in jars filled with PBS containing 2% P/S on ice. Three hemispheres were used for one preparation of brain capillary endothelial cells (pBCEC). A sterile glass plate was placed under the hood and the glass containing the brains in cold PBS on ice next to it. Using forceps the meninges and visible blood vessels were removed gently. Capillaries would remain in the tissue. Further, the choroid plexus was removed generously. Subsequently, the hemispheres were cut into smaller pieces using a scalpel and the tissue was minced with a sterile vegetable cutter. The homogenate then was transferred into a 200 or 250 ml sterile screw-cap bottle containing a magnetic stirrer and Earle's Medium M199 1X preparation medium containing penicillin, streptomycin, gentamycin and L-glutamine were added to obtain a total volume of 100 ml. For the first digestion step 0.07g dispase per 100 ml were added and let stir for 1 to 11/2 h at 37°C in a water bath. Following this, 150 ml dextran solution were added to the homogenate and well mixed. The suspension then was portioned into 8 centrifuge tubes and centrifuged at 6,800 g for 10 min at 4°C. After centrifugation the supernatant was discarded, the myelin was scraped off the tube sidewalls, and the remaining pellet containing the endothelial cells was dissolved with 2 ml plating medium A each, carefully resuspended and filtered across a nylon mesh (180 µM). To remove the basal lamina of the capillaries, 350 µl collagenase / dispase solution were added to the cells and very gently stirred in a water bath at 37°C for 1 minute. The cell suspension was centrifuged at 110 g for 10 min, the pellet was resuspended in 5 ml pre-warmed plating medium A. The cell suspension was carefully placed on top of a percoll biphase gradient prepared using 20 ml of 1.03 g/ml percoll solution underlaid with 15 ml of 1.07 g/ml percoll solution. Endothelial cells were separated from other cells and myelin by centrifuging at 1,300 g for 10 min at 15 °C, leaving the break off. After centrifugation, pBCEC were visible in the interphase. The cells were carefully collected with a transfer pipette and washed in 30 ml of plating medium A, with a centrifugation step at 110 g for 10 min. Depending on the size of the cell pellet the cells were resuspended in 7 to 10 ml of plating medium A and cultivated in 7 to 10 collagen-coated 75 cm<sup>2</sup> flasks. The flasks were pretreated with 5 to 10 ml of collagen [60 µg/ml], which were replaced with 9 ml of plating medium A before adding 1 ml of the pBCEC suspension and shaking the flasks gently to make sure, the cells were spread equally. The cells then were placed in the 37°C incubator. On the following day the medium was changed to plating medium B after two gentle washing steps using pre-warmed PBS.

Cells were split three days after isolation when they have become confluent. Depending on the experiments, 6-well, 12-well or Transwell<sup>®</sup> 12-well plates were pretreated with 60 µg/ml or 120 µg/ml collagen, respectively. Once cells were washed gently with 10 ml PBS twice, they were rinsed with 2.5 ml of trypsin/EDTA and incubated for 1 to 2 min at 37°C. The culture flasks were removed and struck against the hand with the edges to quickly remove the endothelial cells attached to the plates, leaving residual pericytes behind. To make sure cells got detached from the plates, the plates were examined under the microscope. The addition of 5 ml plating medium B led to inactivation of trypsin/EDTA. The suspension containing the cells was then transferred into a 50 ml falcon tube, centrifuged at 110 g for 5 to 6 min, and the cell pellet was dissolved in the quantity of plating medium B required. To ensure cells spread equally, plates were shaken gently and then incubated at 37°C in humidified air containing 5% CO<sub>2</sub> for two days until they become 90 to 100 % confluent.

#### 3.2. Isolation of HDL<sub>3</sub> and ApoA-I

ApoE-free HDL<sub>3</sub> [1.125 - 1.210 g/ml] was isolated freshly from normolipidemic humans, described by Sattler *et al.*<sup>95</sup> 7 x 9 ml blood were collected in EDTA tubes. The blood was then centrifuged at 1,100 g for 10 min, 15 °C. Meanwhile, 9 ml of 1.063 g/ml KBr solution were added to the centrifuge tubes using a syringe and stored at 4°C. 38 ml of plasma were added slowly to the light sensitive 13.08 g potassium bromide. To dissolve the chemical, the plasma was incubated on a round shaker and gently shaken for 15 min at 4°C. The density of the plasma mix was controlled. 1 ml plasma should weigh 1.242 g, compared to 1 ml Aqua dest. with 1.014 g. 4.6 ml of plasma solution were added slowly to the bottom of each ultracentrifuge tube containing 9 ml of PBS [1.063 g/ml], air bubbles were removed and the centrifuge tubes were sealed carefully. The solutions then were centrifuged

at 694,000 g for 4 h at 15°C in a Beckman coulter, 90Ti Rotor. In the next step, HDL<sub>2</sub> and HDL<sub>3</sub> were collected. The two HDL forms were visible as two yellow layers in the else clear solution. The upper layer, containing HDL<sub>2</sub>, was collected primary. Therefore, the centrifuge tube was poked with a 10 ml syringe and filled with 8 to 9 ml HDL<sub>2</sub> and the syringe was left in the tube. The same procedure was repeated for the yellow layer below, containing HDL<sub>3</sub>. To prevent HLD oxidation air bubbles in the syringe were removed. Before using HDL<sub>3</sub>, the lipoprotein was desalted using a PD10 "desalting column" and PBS (10 mM, pH 7.4). The concentration of HDL<sub>3</sub> protein was determined with the BCA assay. To prevent oxidation of HDL, argon was filled into the falcon tube to replace oxygen.

ApoA-I was purified after delipidation of HDL by size exclusion chromatography on a Sephacryl S-200 column (3 x 150 cm) as described by Kratzer *et al.*<sup>96</sup>

## 3.3. BCA Protein Assay

For various experiments the Pierce<sup>TM</sup> BCA Protein Assay Kit from Thermo Fisher Scientific was used to determine protein concentrations. Solutions A and B were mixed with a ratio of 50:1. Further, 100  $\mu$ l of the mix were added per well into 96-well microtiter plates and incubated with 4  $\mu$ l of the protein samples. The plates were incubated in the water bath for 30 min at 37°C, shaking slightly. The kit also provided an albumin standard with a concentration of 2 mg/ml. Multiple dilutions were prepared to generate standard curves. The protein indicator changed from blue to purple after incubation at 37°C and the plates were measured at a wavelength of 562 nm on Sunrise<sup>TM</sup>Tecan plate reader.

## 3.4. Protein Isolation, SDS-PAGE and Western Blot Analysis

Cells cultured in 6-well plates were incubated with 1 ml serum-free medium plus 20  $\mu$ g/ml apoA-I or 50  $\mu$ g/ml HDL<sub>3</sub> in combination with or without 0.5  $\mu$ g/ml A $\beta_{1-40}$ . As vehicle control PBS was used. After 24 h of treatment the plates were placed on ice, supernatants (media) were collected and cells were rinsed with cold PBS twice.

To isolate cellular proteins, 40  $\mu$ l of protein lysis buffer were added per well. The cells were scraped off, the suspension was transferred into 1.5 ml Eppendorf tubes, and

vortexed for 30 seconds, followed by 2 times 3 min of sonication in a water bath sonicator with a break of 1 minute in between. The lysates were centrifuged at 10,000 g for 10 min at 4°C to get rid of DNA. After determining the protein concentration with a BCA protein assay cell lysates were stored at -20°C.

To isolate extracellular proteins cell culture supernatants/media were centrifuged at 10,000 g and 4°C for 10 min to remove cellular debris first. Then 100  $\mu$ l of ice-cold 30% TCA were added per 1 ml medium and vortexed well. Afterwards, the samples were left on ice for 30 min to provide precipitation of proteins. The samples were centrifuged at 10,000 g and 4°C for 10 min, the supernatant was discarded, and the protein pellet washed with 0.5  $\mu$ l of acetone twice, vortexed, and centrifuged. For the final step the pellet containing the proteins was air-dried and then dissolved in 20  $\mu$ l 1 x loading buffer.

To detect intra- and extracellular proteins, SDS-PAGE was performed using NuPage® Novex 4-12% Bis-Tris Midi Gels (Thermo Scientific). Protein samples were denaturated at 95°C for 5 min before loaded onto the gels. Proteins were separated by molecular weight using the SDS-PAGE method described by Laemmli.<sup>97</sup> Therefore, gels were run at 180 mV for ~45 min. For immunoblotting (western blotting), separated proteins were blotted onto a 0.45 µm PVDF membrane (GE healthcare) at 50 mV for 1½ h at low temperature. After blocking with 5% skim milk powder for 1 h, the membrane was washed with TBS-T for 7 min and incubated with a primary antibody at 4°C over night. On the following day, the membrane was washed three times for 7 min using TBS-T, and incubated with secondary HRP-conjugated goat anti-rabbit antibody for 1½ h. After another 3 x 7 min of washing with TBS-T, the membrane was incubated with ECL substrate (Biorad) for 2-5 min and immunoreactive bands were detected using the ChemiDoc<sup>™</sup> Touch Gel Imaging System (Biorad). Image Lab software (Biorad) was used for densitometry. List of antibodies used see page 17, Table 3: List of Antibodies used for Immunoblots.

#### 3.5. RNA Isolation and qPCR

After culturing and incubating pBCEC in 6-well plates, the medium was removed and 330 µI TRI ReagentRT were added onto the cells. Samples of three wells were pooled in Eppendorf tubes and 50 µl BAN were added. The mixture then was inverted for over 20 times and incubated at room temperature for 5 min. The suspension was centrifuged at 12,000 g for 15 min at 4°C. The resulting aqueous phase was transferred into fresh Eppendorf tubes and RNA precipitated by adding 500 µl isopropanol. The samples were left at room temperature for 10 min and then centrifuged at 12,000 g and 4°C for another 8 min. The RNA appeared as a white pellet and was washed with 1 ml 75% ethanol, centrifuged at 7,500 g and 4°C for 5 min. Once the supernatant was removed, the pellet was air-dried until the ethanol evaporated completely. Depending on the size of the pellet 50 to 100 µl RNAse free water was added and incubated at 55-60°C for 10 to 15 min at 300 rpm. Finally, the RNA content was measured using a NanoDrop 1,000. To transcribe RNA into cDNA, High Capacity Reverse Transcriptase Kit (Life technologies) was used. The resulting cDNA was diluted to an end-concentration of 2.5 ng/µl. For gPCR 5 µl SYBR green, 0.3 µl forward and reverse primer as well as 2.4 µl nuclease free water were used per 2 µl (= 5 ng) RNA. Relative gene expression was quantified by setting the housekeeping gene HPRT1 as reference and the  $\Delta \Delta Ct$  method described by Livak and Schmittgen.<sup>98</sup> List of primers used see page 18, Table 5: Primers used for gRT-PCR.

## 3.6. Reactive Oxygen Species (ROS) Assay

The pBCEC were cultured in 12-well plates. After incubation with 500  $\mu$ l of serumfree medium with either 2 or 20  $\mu$ g/ml apoA-I or 20  $\mu$ g/ml or 50  $\mu$ g/ml HDL<sub>3</sub>, respectively, each of the 4 treatments in the absence or presence of 0.5  $\mu$ g/ml A $\beta_{1-40}$ for 24 h, cells were washed with pre-warmed PBS. Addition of 600  $\mu$ l pre-warmed 10  $\mu$ M light sensitive H<sub>2</sub>DCFDA/PBS solution for 20 min at 37 °C led to fluorescent staining of ROS. Subsequently, cells were washed with ice cold PBS and lysed in 300  $\mu$ l 3% Triton X-100 in PBS for another 30 min at 4°C. To improve the solubilisation of the fluorescent dye, 50  $\mu$ l of absolute ethanol were added and shaken for additional 15 min before transferring the lysates into black Eppendorf tubes and centrifuging at 10,000 g for 10 min at 4°C to remove cellular debris. 100  $\mu$ l of the supernatant were pipetted into 96-well microtiter plates in duplicates. The fluorescence was measured at excitation and emission wavelength of 485 and 530 nm, respectively. To determine cell protein content, a BCA protein assay was performed and fluorescence was normalized to the protein concentration.

#### 3.7. Cholesterol Efflux

Cells were cultured in 12-well plates for two days before incubation with 500 µl Plating medium B including 0.5 µCi/ml [<sup>3</sup>H]-cholesterol at 37°C in humidified air containing 5% CO<sub>2</sub>. 24 hours later, cells were washed with pre-warmed PBS twice and incubated for 4 h in 1 ml pre-warmed serum-free medium per well for equilibration of cellular cholesterol pools. Cells were then washed twice and incubated with 20  $\mu$ g/ml apoA-I or 50  $\mu$ g/ml HDL<sub>3</sub> in the absence and presence of 0.5  $\mu$ g/ml A $\beta_{1-40}$ , respectively, whereby 1 ml of serum-free medium was added per well. Time points of 2, 4, and 24 h, 200 µl of the media were collected and transferred to 5 ml scintillation cocktail (New England Nuclear). The amount of [<sup>3</sup>H]-labeled cholesterol effluxed from the cells to the medium was determined by beta counting. At 24 h, the remaining medium was discharged, the cells were washed with PBS twice and 300 µl of 0.3 N NaOH were added to each well. The plates were incubated at 4°C over night to assure lysis of the cells. The plates then were put on a shaker at room temperature for 15 to 20 min before beta counting 200 µl of the lysates. To determine the protein content, 10 µl of the lysate were measured using the Qubit protein assay kit and fluorimeter from Thermo Fisher Scientific. Finally, values obtained from media were normalized to the protein content and the amount of [3H]cholesterol in the lysates. Cholesterol efflux was calculated as the percentage of the sum of the total medium and cellular counts/min.

## 3.8. Aß Uptake

To quantify uptake of  $A\beta_{1-40}$  by pBCEC, the cells were cultured in 12-well plates. When grown confluent pBCEC were incubated for 24 h with 500 µl of serum-free medium containing 2 or 20 µg/ml apoA-I or 20 µg/ml or 50 µg/ml HDL<sub>3</sub>, respectively. Subsequently, 0.5 µg/ml of fluorescent-labeled (Alexa Fluor 488)-A $\beta_{1-40}$  in serum free medium were added and cells were incubated for another 2 h at 37°C. From each well 3 x 100 µl medium were pipetted into black 96-well microtiter plates with clear bottom and the fluorescence was measured at a wavelength of 490-525 nm. pBCEC were washed with PBS twice, 200 µl of 0.3 N NaOH were added to the cells and the plates were stored at 4°C over night to lyse the cells properly before determining the protein concentration with the BCA protein assay. The results obtained were presented in relative fluorescence units (RFU)/100 mg protein.

#### 3.9. Aβ Transport Study across polarized pBCEC

To establish polarized pBCEC cultures, cells were plated onto collagen-coated [120 g/ml] Transwell® (12-well) culture dishes at a density of 40,000 cells/cm<sup>2</sup>. Cells were grown for 2-3 days depending on the transendothelial electrical resistance (TEER; 50  $\Omega$ •cm<sup>2</sup>). The tightness of the Transwell<sup>®</sup> culture was assessed by measuring the TEER using an EndOhm tissue resistance measurement chamber and EndOhm ohmmeter (World Precision Instruments). TEERs of collagen-coated, cell-free filters were used as blanks. Tight junction formation was induced (overnight) by adding DMEM/Ham's F-12 medium containing 550 nM hydrocortisone along with the treatments indicated below. Establishment of intact tight junctions was indicated by TEERs rising between 100 and 1,000  $\Omega$  • cm<sup>2</sup> in the *in vitro* BBB model system. 1.2 ml of medium were added to the basolateral (lower) chamber and 0.5 ml were added to the apical (upper) chamber of the Transwell®system. The cells were incubated at 37°C for 2 hours before removing the medium from the basolateral chamber and 1.2 ml of DMEM Hams hydrocortisone including treatment together with  $[^{125}I]$ -A $\beta_{1-40}$  and [<sup>14</sup>C]-sucrose, used as control for paracellular transport, were added and incubated for another 2 h. 10% of the volume from the apical or basolateral side were mixed with 5 ml scintillation cocktail (New England Nuclear) and measured in the beta counter. Meanwhile, 80% from the remaining apical as well as from the basolateral media were transferred into gamma counter tubes and set on ice. 30% TCA was added in an amount equal to 10% of the sample volume. After vortexing, the samples were left on ice for additional 30 min before the tubes were centrifuged at maximal speed for 10 min at 4°C. The supernatant was transferred into new tubes and measured in the gamma counter. The beta counts are indicators for cell density and guality of the tight junctions formed due to the addition of hydrocortisone to the medium. The ratio of gamma to beta counts was calculated and represents the

intensity of  $[^{125}I]$ -A $\beta_{1-40}$  transport from the basolateral side simulating the brain parenchymal side to the apical side simulating the blood side. The transcytosis quotient (TQ) was calculated as followed:

$$A\beta TQ = \frac{\frac{\begin{bmatrix} 1^{25}I \end{bmatrix} - A\beta \ acceptor}{\begin{bmatrix} 1^{25}I \end{bmatrix} - A\beta \ input}}{\frac{\begin{bmatrix} 1^{4}C \end{bmatrix} - sucrose \ acceptor}{\begin{bmatrix} 1^{4}C \end{bmatrix} - sucrose \ input}}$$

# 3.10. Analysis and Statistics

Results obtained are presented as mean±SEM. All experiments were performed three times unless stated otherwise. Statistical significances (\*p $\leq$ 0.05; \*\*p $\leq$ 0.01; \*\*\*p $\leq$ 0.001) were determined by two-tailed Student's *t* test or analysis of variance (ANOVA), performed by using Prism software (*Graphpad* version 6, CA, USA). Intensity levels of western blot protein band signals were analyzed with Image Lab software (*Bio-Rad Labs* version 5).

# 4. Results

# 4.1. HDL3 and HDL3 in Combination with A $\beta_{1\text{-}40}$ increase extracellular Amyloid-beta Oligomer Levels

Prior studies from our group suggest that HDL<sub>3</sub> has the ability to decrease A $\beta$  oligomer levels in pBCEC,<sup>85</sup> while apoA-I increases sAPP $\alpha$  levels in pBCEC.<sup>84</sup> To further gain insights into the effects of HDL<sub>3</sub> and apoA-I on APP, the precursor protein of both, sAPP $\alpha$  and A $\beta$ , primary pBCEC were treated with vehicle control (PBS), apoA-I [20 µg/mI], or HDL<sub>3</sub> [50 µg/mI], respectively, all three in the absence or presence of A $\beta_{1-40}$  [0.5 µg/mI] for 24 h.

To measure mRNA levels, RNA was isolated from the cells, transcribed into cDNA and qRT-PCR was performed using the housekeeping gene HPRT1 as reference. Data were analyzed using the  $\Delta\Delta Ct$  method. To investigate APP protein levels, cellular proteins were isolated and immunoblots with specific full-length APP antibodies (listed in Table 3) performed yielding a signal detected at ~110 kDa (Figure 4 C). Interestingly, although no increase was observed in APP mRNA levels (Figure 4 A), protein levels of APP increased with PBS plus A $\beta$  and with HDL<sub>3</sub> with or without A $\beta$ , as compared to control conditions (Figure 4 B). The addition of 0.5 µg/ml A $\beta_{1-40}$  to the vehicle control increased APP protein levels by 2.4-fold. An even higher impact was observed with HDL<sub>3</sub> (3.8-fold) or HDL<sub>3</sub> in combination with A $\beta_{1-40}$  (4.4fold) compared to vehicle control.

Subsequently, the question was addressed how A $\beta$  oligomer levels were affected with the conditions mentioned above. Analyzing intracellular A $\beta$  oligomer protein levels using a specific A $\beta$  oligomer antibody (listed in Table 3) yielded bands detected at ~16 kDa (tetramers) and ~36 kDa (octamers) (Figure 5 C). Prior studies from our group suggested that 50 µg/ml HDL<sub>3</sub> reduces A $\beta$  oligomer levels in pBCEC.<sup>85</sup> Contrary to expectations, the present results revealed no significant changes between the treatments. Interestingly, extracellular oligomer levels found in pBCEC supernatants were only detected in a much higher molecular mass range at ~220 kDa (Figure 5 C) and increased by 2.4-fold with HDL<sub>3</sub> and 3.6-fold with HDL<sub>3</sub> in combination with A $\beta_{1.40}$  (Figure 5 B). Although more thorough analysis is required, at present these findings may suggest that HDL<sub>3</sub> binds A $\beta$  oligomers and perhaps transports them from the cell to the environment / medium.



**Figure 4:** HDL<sub>3</sub> increases APP levels in pBCEC. Cells were incubated with vehicle control (PBS), vehicle control +  $A\beta_{1.40}$  [0.5 µg/ml], apoA-I [20 µg/ml], apoA-I [20 µg/ml] +  $A\beta_{1.40}$  [0.5 µg/ml], HDL<sub>3</sub> [50 µg/ml] +  $A\beta_{1.40}$  [0.5 µg/ml] for 24 h in serum free conditions. mRNA levels of APP (A) were analyzed using qRT-PCR method and normalized to the housekeeping gene HPRT1. A $\beta$  precursor protein (APP) protein levels were detected via western blot and normalized to  $\beta$  actin (B+C). mRNA data represent mean±SEM from 3, protein data from 2 independent experiments performed in triplicates. \*p≤0.05; \*\*p≤0.01; \*\*\*p≤0.001 versus control.



Figure 5: HDL<sub>3</sub> and HDL<sub>3</sub> +  $A\beta_{1.40}$  lead to higher extracellular amyloid-beta oligomer levels. Cells were incubated with vehicle control (PBS), vehicle control +  $A\beta_{1.40}$  [0.5 µg/ml], apoA-I [20 µg/ml] in combination with  $A\beta_{1.40}$  [0.5 µg/ml], HDL<sub>3</sub> [50 µg/ml] and HDL<sub>3</sub> [50 µg/ml] in combination with  $A\beta_{1.40}$  [0.5 µg/ml], HDL<sub>3</sub> [50 µg/ml] and HDL<sub>3</sub> [50 µg/ml] in combination with  $A\beta_{1.40}$  [0.5 µg/ml] for 24 h in serum free medium. Intracellular (A) and extracellular (B) A $\beta$  oligomer levels were detected via western blot analysis. Triplicates were performed. Densitometric evaluation was performed using Image Lab software (Bio-Rad Labs version 5) and results show mean±SEM from 3 independent experiments. \*p≤0.05; \*\*p≤0.01; \*\*\*p≤0.001 versus control. Representative western blot images of intracellular A $\beta$  oligomers show no differences (C) but there is an increase in extracellular high-molecular mass oligomer levels (D).

# 4.2. Transcription of ADAM10 and BACE1 is not altered by Treatment with $A\beta_{1-40}$ , apoA-I or HDL<sub>3</sub>

Changes in APP protein levels in preceding experiments raised the question whether HDL<sub>3</sub> and/or HDL<sub>3</sub> in combination with A $\beta$  modulate the amount of  $\alpha$ -secretase ADAM10 and  $\beta$ -secretase BACE1 and, thus, influence the processing of APP. pBCEC were incubated with vehicle control (PBS), apoA-I [20 µg/ml] or HDL<sub>3</sub> [50 µg/ml], in absence or presence of A $\beta_{1.40}$  [0.5 µg/ml] in serum free medium for 24 h before RNA was isolated and transcribed into cDNA. qRT-PCR was performed and analyzed with the  $\Delta\Delta Ct$  method. Neither for ADAM10 (Figure 6 A) nor for BACE1 (Figure 6 B) significant changes in mRNA levels between the different concentrations were recorded. It is important to note, however, that these results are no stringent prove that protein levels of the secretases are not affected, nor can we tell whether and how the activity of the two enzymes is modulated.



Figure 6: mRNA levels of ADAM10 and BACE1 are not significantly altered after treatment with A $\beta_{1-40}$ , apoA-I or HDL<sub>3</sub>. Cells were incubated with vehicle control (PBS), vehicle control + A $\beta_{1-40}$  [0.5 µg/ml], apoA-I [20 µg/ml], apoA-I [20 µg/ml] + A $\beta_{1-40}$  [0.5 µg/ml], HDL<sub>3</sub> [50 µg/ml] and HDL<sub>3</sub> [50 µg/ml] + A $\beta_{1-40}$  [0.5 µg/ml], respectively, for 24 h in serum free conditions. mRNA levels of ADAM10 (A) and BACE1 (B) were evaluated via qRT-PCR and normalized to the housekeeping gene HPRT1. Data summarize mean±SEM from 3 independent experiments performed in triplicates. \*p≤0.05; \*\*p≤0.01; \*\*\*p≤0.001 versus control.

# 4.3. ApoA-I and HDL<sub>3</sub> prevent Reactive Oxygen Species (ROS) Production in pBCEC

ROS have been noticed to be an important factor in the pathogenesis of AD. In the past, accumulation of A $\beta$  peptides was consistently associated with increased ROS levels and A $\beta$  was proven to promote formation of hydrogen peroxides and lipid peroxides.<sup>28–33</sup> To determine potential effects of HDL<sub>3</sub>, apoA-I, and A $\beta_{1-40}$  on ROS levels, pBCEC were incubated with vehicle control (PBS) or in the presence of increasing concentrations of apoA-I [2 µg/ml and 20 µg/ml] and HDL<sub>3</sub> [20 µg/ml an 50 µg/ml], respectively, with or without A $\beta_{1-40}$  [0.5 µg/ml]. After 24 h, fluorescence in the cell lysates was measured. The addition of 0.5 µg/ml A $\beta_{1-40}$  had no significant impact on ROS formation. Interestingly, however, as compared to control conditions ROS levels decreased as the concentration of apoA-I (Figure 7 A) or HDL<sub>3</sub> (Figure 7 B) increased. Thus, a significant reduction in ROS levels was exerted by 2 µg apoA-I (only in the presence of A $\beta$ ), by 20 µg/ml apoA-I (~11%) and by 50 µg HDL<sub>3</sub> (~24%; regardless if A $\beta$  was present). These results suggest that both apoA-I and HDL<sub>3</sub> may protect pBCEC from production of ROS.



Figure 7: ApoA-I and HDL<sub>3</sub> prevent pBCEC of reactive oxygen species (ROS) production. Cells were treated with two different concentrations [2 µg/ml and 20 µg/ml] of apoA-I in and without the presence of  $A\beta_{1.40}$  [0.5 µg/ml] (A) or two different concentrations [20 µg/ml and 50 µg/ml] of HDL<sub>3</sub> with or without  $A\beta_{1.40}$  [0.5 µg/ml] (B) for 24 h in serum free medium. ROS were dyed using fluorescent H<sub>2</sub>DCFDA dye. Once the cells were lysed, fluorescence was measured and normalized to protein concentrations. Results show mean±SEM from 3 independent experiments performed in triplicates. \*p≤0.05; \*\*p≤0.01; \*\*\*p≤0.001 versus control.

## 4.4. Aβ does not compromise Cholesterol Efflux from pBCEC

A major function of both, lipid-free apoA-I and HDL is to serve as acceptors for (excess) cellular cholesterol released from the cell in their presence via ABCA1 and ABCG1 efflux pumps, respectively, pathways, which are also highly active in pBCEC.<sup>42,58</sup> To determine the cholesterol efflux activity of pBCEC when treated with apoA-I, HDL<sub>3</sub> and/or A $\beta_{1.40}$ , cells were labeled with [<sup>3</sup>H]-cholesterol, cellular cholesterol pool equilibrated, and incubated in serum free medium in the absence or presence of apoA-I [20 µg/ml] or HDL<sub>3</sub> [50 µg/ml], with and without A $\beta_{1.40}$  [0.5 µg/ml]. Time dependent cholesterol efflux was measured by counting beta rays in the medium after 2 h, 4 h and 24 h. As expected, apoA-I moderately induced cholesterol release from pBCEC while HDL<sub>3</sub> more efficiently promoted cholesterol efflux activity of pBCEC. The presence of 0.5 µg/ml A $\beta_{1.40}$  did not alter cholesterol efflux activity of pBCEC, neither under basal conditions, nor in the presence of cholesterol acceptors apoA-I or HDL<sub>3</sub>, suggesting that the ability of pBCEC to release cholesterol is maintained in the presence of [0.5 µg/ml] A $\beta_{1.40}$ .



**Figure 8:** A $\beta_{1.40}$  treatment does not affect apoA-I and HDL-mediated cholesterol efflux activity in pBCEC. Cells were labeled with [<sup>3</sup>H]-cholesterol for 24 h and equilibrated for 4h. Then they were incubated with vehicle control (PBS), vehicle control + A $\beta_{1.40}$  [0.5 µg/ml], apoA-I [20 µg/ml], apoA-I [20 µg/ml] + A $\beta_{1.40}$  [0.5 µg/ml], HDL<sub>3</sub> [50 µg/ml] or HDL<sub>3</sub> [50 µg/ml] + A $\beta_{1.40}$  [0,5 µg/ml], respectively, for 24 h in serum free medium. Samples were taken after 2 h, 4 h and 24 h and [<sup>3</sup>H]-labeled cholesterol was measured in the medium during these time points by a beta-counter. The figure illustrates the mean±SEM from 3 independent experiments performed in triplicates. Statistical significances (\*p≤ 0.05; \*\*p≤0.01; \*\*\*p≤0.001 versus control) were determined by analysis of variance (ANOVA).

# 4.5. HDL<sub>3</sub> enhances ABCG1 and LRP1 Expression in pBCEC when treated in Combination with $A\beta_{1-40}$

We next examined whether expression levels of ATP-binding cassette transporters (ABC) A1 and ABCG1 correlate with the magnitude of cholesterol efflux activity observed. In addition, mRNA expression levels of lipoprotein related-receptor protein 1 (LRP1) was determined by qRT-PCR. pBCEC were incubated with vehicle control (PBS), apoA-I [20 µg/ml] or HDL<sub>3</sub> [50 µg/ml], respectively, in absence or presence of A $\beta_{1-40}$  [0.5 µg/ml] for 24 h in serum free medium. RNA and proteins were isolated and qRT-PCR/immunoblots were performed. For the ABCA1 immunoblot two bands at ~220 kDa, characteristic for ABCA1, were detected (Figure 9 C) but revealed no significant changes after 3 series of experiments in protein (Figure 9 B) nor mRNA (Figure 9 A) levels after 24 h of incubation with A $\beta_{1-40}$  and/or apoA-I and/or HDL<sub>3</sub>.



Figure 9: ApoA-I and HDL<sub>3</sub> do not affect ABCA1 protein and mRNA levels. pBCEC were incubated with vehicle control (PBS), vehicle control +  $A\beta_{1-40}$  [0.5 µg/ml], apoA-I [20 µg/ml], apoA-I [20 µg/ml] in combination with  $A\beta_{1-40}$  [0.5 µg/ml], HDL<sub>3</sub> [50 µg/ml] and HDL<sub>3</sub> [50 µg/ml] in combination with  $A\beta_{1-40}$  [0.5 µg/ml] for 24 h in serum free conditions. mRNA levels (A) and protein levels (B + C) of ATP-binding cassette transporter ABCA1 were detected using qRT-PCR / western blot. Statistics represent mean±SEM from 3 independent experiments performed in triplicates. \*p≤0.05; \*\*p≤0.01; \*\*\*p≤0.001 versus control.

Interestingly, HDL<sub>3</sub> [50  $\mu$ g/ml] in combination with A $\beta_{1-40}$  [0.5  $\mu$ g/ml] upregulated mRNA expression of ABCG1 by 2-fold (Figure 10 A).

Regarding expression of LRP1, HDL<sub>3</sub> treatment moderately (1.4-fold) and HDL<sub>3</sub> in combination with A $\beta_{1-40}$  substantially (2.1-fold) increased transcription (Figure 10 B).

These results together led us to believe that higher ABCG1 and LRP1 levels detected in pBCEC after treatment with HDL<sub>3</sub> plus A $\beta_{1-40}$ , respectively, may promote the chaperone function of HDL in binding A $\beta$  peptides and/or increased transport of A $\beta$  across the BBB.



**Figure 10:** HDL<sub>3</sub> increases ABCG1 and LRP1 expression in combination with A $\beta_{1-40}$ . pBCEC were incubated with vehicle control (PBS), vehicle control + A $\beta_{1-40}$  [0.5 µg/ml], apoA-I [20 µg/ml] + A $\beta_{1-40}$  [0.5 µg/ml], HDL<sub>3</sub> [50 µg/ml] or HDL<sub>3</sub> [50 µg/ml] + A $\beta_{1-40}$  [0.5 µg/ml] for 24 h in serum free conditions. The mRNA expression levels of ATP-binding cassette sub-family G member 1, ABCG1 (A), and lipoprotein related-receptor protein 1, LRP1 (B), were investigated by using qRT-PCR method. Values were normalized to the housekeeping gene HPRT1. Data show mean±SEM from 3 independent experiments performed in triplicates. \*p≤0.05; \*\*p≤0.01; \*\*\*p≤0.001 versus control.

## 4.6. ApoA-I inhibits Uptake of $A\beta_{1-40}$ by pBCEC

To confirm the hypothesis that HDL<sub>3</sub> can bind A $\beta$  and, thus, increases its transport from the brain parenchymal compartment to the blood compartment, transport studies were performed. Therefore, pBCEC were cultured on Transwell<sup>®</sup> filters to simulate a 3D BBB model. Hydrocortisone was added for 24 h to induce formation of tight junctions. pBCEC further were incubated with apoA-I [2 µg/ml and 20 µg/ml] or HDL<sub>3</sub> [20 µg/ml and 50 µg/ml], respectively, for 24 h. Subsequently, [<sup>125</sup>I]-labeled A $\beta_{1-40}$  and [<sup>14</sup>C]-sucrose, used as control for paracellular transport, were added to the basolateral chamber (mimicking the brain parenchymal side) and transport to the apical chamber (mimicking the blood side) was investigated. To determine the amount of [<sup>125</sup>I]-labeled A $\beta_{1-40}$  transported from basolateral to apical side, gamma counts were measured and normalized to beta counts, detecting [<sup>14</sup>C]-labeled sucrose. Contrary to expectations, no significant changes were observed after treatment with lower and higher concentrations of apoA-I or HDL<sub>3</sub> (Figure 11 A). Since the transport studies remained without significant findings, the question raised

since the transport studies remained without significant indings, the question raised whether A $\beta$  could be uptaken by pBCEC. For the following A $\beta$  uptake assay the concentrations of apoA-I and of HDL<sub>3</sub> remained the same. After 24 h of incubation with apoA-I or HDL<sub>3</sub> fluorescent-labeled A $\beta_{1-40}$  was added for 2 h and decrease of fluorescence in the medium and, therefore, uptake in the cells was determined by measuring fluorescence present in the medium at a wavelength of 490-525 nm. Interestingly, a lower concentration of apoA-I [2 µg/ml] decreased the uptake of A $\beta_{1-40}$  reduced by over 43%. Higher concentration of apoA-I [20 µg/ml] only led to uptake-reduction of 19%. HDL<sub>3</sub> did not influence the uptake of A $\beta_{1-40}$  in a significant way (Figure 11 B).



**Figure 11:** ApoA-I and HDL<sub>3</sub> show no significant changes in transport of Aβ<sub>1-40</sub> from brain to blood side but apoA-I inhibits uptake of Aβ<sub>1-40</sub>. pBCEC were cultured in Transwells® 12-well to investigate Aβ transport from basolateral (brain) to apical (blood) side. Transendothelial electrical resistance was monitored every day and when reaching >50 Ω•cm<sup>2</sup>, cells were incubated with hydrocortisone [550 nM] for 24 h to induce tight junction formation. Then the cells were incubated with apoA-I [2 µg/ml or 20 µg/ml] or HDL<sub>3</sub> [20 µg/ml or 50 µg/ml] in serum free medium for 24 h before medium containing [<sup>125</sup>I]-labeled Aβ<sub>1-40</sub> [0.3 nM] and [<sup>14</sup>C]- sucrose [100 nM], used as control for paracellular transport, was added to the basolateral chamber. [<sup>125</sup>I]-labeled Aβ<sub>1-40</sub> was measured in the medium from the apical chamber using a gamma counter. For the Aβ uptake assay (B) cells cultured on 12-well plates were incubated with two concentrations of apoA-I [2 µg/ml and 20 µg/ml] or HDL<sub>3</sub> [20 µg/ml and 50 µg/ml] in serum free medium. After 24 h, fluorescent-labeled (Alexa Fluor 488)Aβ<sub>1-40</sub> was added for 2 h and decrease of fluorescence in the medium and therefore uptake in the cells was determined measuring the fluorescence at a wavelength of 490-525 nm. Data shown are mean±SEM of 2 independent experiments for the Aβ transport study and 3 independent experiments for the Aβ uptake assay performed in triplicates. \*p≤0.05; \*\*p≤0.01; \*\*\*p≤0.001 versus control.

# 5. Discussion

The purpose of the current study was to determine the effects of  $HDL_3$  and apolipoprotein A-I (apoA-I) on amyloid-beta production in primary porcine cerebrovascular endothelial cells (pBCEC) and its transfer at the blood-brain barrier (BBB).

It is understood that amyloid-beta (Aβ) plays a key role in the development and pathogenesis of Alzheimer's disease (AD).<sup>16,99–101</sup> Results obtained in this work show that treatment of pBCEC with  $A\beta$ , HDL<sub>3</sub> and a combination of both increase intracellular APP levels. Studies of the two enzymes  $\alpha$ -secretase ADAM10 and  $\beta$ secretase BACE1 revealed no changes in mRNA expression levels leaving space for speculations whether APP gets cleaved into the neuroprotective sAPPa or the toxic AB. To answer this question, intra- and extracellular AB oligomer levels were investigated via immunoblots using an oligomer antibody (Table 3: List of Antibodies used for Immunoblots.). Prior studies from our group have suggested that HDL<sub>3</sub> reduces Aß formation *in vitro*.<sup>87</sup> Results presented here failed to show changes with HDL<sub>3</sub> in cellular A<sup>β</sup> oligomer formation, taking into account that the oligomer antibody from the past experiments differs from the one used in the present studies. However, interestingly, HDL<sub>3</sub> led to 2.4-fold increased extracellular Aβ oligomer levels, and even to 3.6-fold increase when cells were treated with HDL<sub>3</sub> combined with Aß monomers. Remarkably, oligomers found in the medium were detected in a highmolecular mass range. The oligomer-specific antibody A11 used for these studies was already previously recorded to detect a wide variety of amyloidogenic peptides and proteins in a higher-molecular mass range, recognized as pre-fibrillar oligomers.<sup>102</sup> The effects observed with incubation of pBCEC with HDL, may suggest that HDL<sub>3</sub> binds Aβ oligomers and enhances their transport out of the cells. Future studies will aim to clarify whether HDL may prevent further accumulation and formation of fibrils from pre-fibrillar Aβ oligomers.

Our group was able to previously show in pBCEC that both lipid-free apoA-I and HDL<sub>3</sub> function as acceptors for cellular cholesterol.<sup>42,58</sup> In agreement to those prior studies, apoA-I moderately induced cholesterol release from pBCEC, while HDL<sub>3</sub> more efficiently promoted cholesterol release. Therefore efflux pumps ABCA1 and ABCG1 release cholesterol from the cells towards apoA-I and HDL<sub>3</sub>, respectively.<sup>42,58,60,103</sup> The presence of 0.5  $\mu$ g/mI A $\beta_{1-40}$  did not alter cholesterol efflux activity of pBCEC, indicating that BCEC are maintaining cellular cholesterol balance

under simulated conditions of A $\beta_{1-40}$  challenge. Due to these results, we investigated mRNA levels of ABCA1 and ABCG1. In addition to their crucial role in promoting cellular cholesterol efflux, it has been reported that apoA-I and HDL<sub>3</sub> exert anti-inflammatory effects via these cholesterol transporters.<sup>104</sup>

While most studies focus on ABCA1 and its clearance effect on A $\beta$  depositions, <sup>86,89–</sup><sup>92</sup> in these studies, using pBCEC, ABCA1 was affected by neither A $\beta_{1-40}$ , apoA-I, nor HDL<sub>3</sub>.

Surprisingly, however, we found a significant increase in ABCG1 mRNA levels, despite unchanged cholesterol efflux to HDL<sub>3</sub> observed, with the treatmentcombination of HDL<sub>3</sub> and A $\beta_{1-40}$  for 24 h as compared to control conditions. It remains to be investigated if ABCG1 aids in the proposed function of HDL to bind / scavenge A $\beta$  peptides and/or in increased transport of A $\beta$  across the BBB. In addition, increased ABCG1 expression may support anti-inflammatory functions of HDL at the BBB when challenged with A $\beta$ .

Moreover, mRNA expression levels of lipoprotein related-receptor protein 1, LRP1, were determined via qRT-PCR. Recent *in vitro* studies showed that LRP1 promotes A $\beta$  clearance across the BBB.<sup>40</sup> Storck *et al.* were able to confirm this theory in their *in vivo* studies using transgenic mouse strains (*Slco1c1-CreERT2 Lrp1fl/fl* mice) that allow tamoxifen-inducible deletion of *Lrp1* specifically within brain endothelial cells. A deletion of *Lrp1* resulted in strong reduction of A $\beta$  efflux across the BBB.<sup>41</sup> HDL<sub>3</sub> treatment moderately (1.4-fold) and HDL<sub>3</sub> in combination with A $\beta_{1.40}$  substantially (2.1-fold) increased transcription of LRP1 in pBCEC. With this background information, increased levels of LRP1, ABCG1, and extracellular A $\beta$  indicate that HDL<sub>3</sub> binds A $\beta$  and promotes its transport across the BBB.

To substantiate this allegation, transport studies with our 3D BBB *in vitro* model were performed. Therefore, pBCEC were cultured on Transwell<sup>®</sup> filters in monolayers, where the basolateral side simulates brain parenchymal compartment while the apical side simulates the blood compartment. Contrary to expectations, these experiments did not reveal a significant difference in transport of A $\beta_{1-40}$  across pBCEC cultured on Transwells between vehicle control, HDL<sub>3</sub> and apoA-I, respectively, although these data must be interpreted with caution, because the transport experiments were performed only twice, using samples with relatively low TEER values, indicating that there was no proper formation of tight junctions.

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To ensure that the results obtained so far were not misinterpreted and HDL<sub>3</sub> and apoA-I lead to uptake of A $\beta$  by pBCEC, uptake studies were performed. Both, HDL<sub>3</sub> and apoA-I are accepted to bind A $\beta$  and apoA-I coated particles were even shown to increase their uptake across the BBB.<sup>96,105</sup> Surprisingly, treatment with 2 µg/ml of apoA-I reduced the uptake of A $\beta_{1-40}$  by over 43%, treatment with 20 µg/ml by 19%. Studies of Forte *et al.* with apoA-I on Chinese hamster ovary (CHO) cell monolayers have shown that apoA-I has the ability to form extracellular assembly complexes with the sizes of the complex concentration-dependent. Thus, a higher concentration of apoA-I leads to bigger complexes.<sup>106</sup> One theory could be that small complexes of apoA-I and A $\beta$  agglutinate and, thus, cannot enter the cells, while bigger complexes, containing more apoA-I stimulate the uptake of bound A $\beta$ .

Increasing age leads to increased ROS levels, ROS have been linked to the pathogenesis of AD, and accumulation of A $\beta$  peptides was consistently associated with increased ROS levels.<sup>28–33</sup> As previous *in vitro* studies have indicated, both HDL<sub>3</sub> and apoA-I contribute to inhibition of ROS formation,<sup>107–109</sup> with the data obtained in our studies we can infer that this theory also applies for pBCEC. ApoA-I and HDL may protect cerebrovascular cells from increased oxidative stress in the presence of A $\beta$ .

Figure 12 summarizes the data obtained for HDL<sub>3</sub> treatment. In Figure 13 effects of apoA-I are illustrated.



**Figure 12: Effects of HDL<sub>3</sub> found in pBCEC.** This schematic diagram illustrates a model of the BBB: pBCEC (brown) connected via tight junctions (dark grey), pericytes (yellow), astrocytes (red), microglia (purple) and neurons (grey) on the brain side. The scheme summarizes the effects of HDL<sub>3</sub> on pBCEC as revealed in our studies. We were able to prove that HDL<sub>3</sub> prevents ROS formation in pBCEC. Moreover, due to the results obtained we infer that HDL<sub>3</sub> leads not only to increased cholesterol efflux, preferably to the brain parenchymal side,<sup>42</sup> but also to upregulation of LRP1 mRNA levels, indicating that HDL<sub>3</sub> promotes A $\beta$  transport out of the cells. In presence of A $\beta$ , HDL<sub>3</sub> even leads to higher increased LRP1 mRNA levels and also increased ABCG1 mRNA levels. 24 h treatment with HDL<sub>3</sub> and HDL<sub>3</sub> in combination with A $\beta$ , respectively, also revealed higher intracellular levels of APP protein, presently unclear whether these are further processed to sAPP $\alpha$  or A $\beta$ . The finding of increased extracellular A $\beta$  pre-fibrils in the environment could support the thesis that HDL<sub>3</sub> increases A $\beta$  transport from the cells to the medium, possibly by binding it.



**Figure 13: Effects found after 24h treatment with apoA-I.** The scheme of the BBB shows pBCEC (brown) connected via tight junctions (dark grey), pericytes (yellow), astrocytes (red), microglia (purple) and neurons (grey) on the brain side. After treating pBCEC with apoA-I we found that apoA-I has the ability to inhibit ROS formation and, thus, prevents from oxidative stress. Also our results suggest that apoA-I counteracts A<sup>β</sup> uptake by BCEC.

In summary, the results of the present study indicate that HDL<sub>3</sub> in presence of A $\beta$  upregulates LRP1 and ABCG1 transporter levels, suggesting a supporting function in the clearance and scavenging of A $\beta$  peptides and oligomers. To date, it is unclear whether this effect is achieved by binding the peptides or by activating different clearance pathways. Moreover, further research is required to understand if the increase in APP protein levels leads to formation of beneficial sAPP $\alpha$  or crucial A $\beta$ . Also, the ability of apoA-I to prevent A $\beta$  uptake by pBCEC was proven, but it needs to be clarified if this process is concentration dependent. However, we found that both HDL<sub>3</sub> and apoA-I prevent ROS formation and maintain their potential as cholesterol acceptors in primary capillary endothelial cells when challenged with A $\beta$  peptides.

# 6. Outlook

To gain further insights into APP processing at the BBB, the activity of BACE1 should be investigated with an activity assay. Also we would recommend redoing the transport study, using samples with TEER values of 300 and higher only. Protein levels of ABCG1 and LRP1 should be determined after treatment. The *in vitro* BBB model would also be suitable to determine in which direction cholesterol efflux in the presence of A $\beta$  is promoted.

Concerning the uptake studies, apoA-I concentrations of 50  $\mu$ g/ml and higher could be applied to see, if the A $\beta$  uptake is in fact concentration-dependent.

We further aim to investigate if higher concentrations of HDL<sub>3</sub> as used here will lower A $\beta$  oligomers in pBCEC and we would like to prove that HDL<sub>3</sub> binds A $\beta$  oligomers and/or A $\beta$  and determine the capacity of these bonds.

# 7. Indices

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