#### CHAPTER 1 BACKGROUND INFORMATION

where

$$J = \frac{1}{2} \left( \frac{1}{R_1} + \frac{1}{R_2} \right) \tag{1-20}$$

denotes the mean curvature of the level surface passing through the point under consideration, with  $R_1$  and  $R_2$  being its principal radii of curvature. Eq. (1-19) is a nontrivial consequence of (1-14); its derivation can be found in (Heiskanen and Moritz, 1967, pp. 51-53). It will play a basic role in Wavre's theory of equilibrium figures.

Normal and anomalous gravity field. Since the actual gravity field is mathematically rather complicated, it is usually referred to a normal gravity field of a simple analytical nature. In general, the normal gravity potential U is chosen in such a way that the reference ellipsoid is an equipotential surface for U:

$$U(x, y, z) = U_0 = \text{const.}$$
, (1-21)

in the same way as the geoid is an equipotential surface for the actual gravity potential W:

$$W(x, y, z) = W_0 = \text{const.}$$
; (1-22)

we may assume  $U_0 = W_0$ . The normal potential U will be considered in detail in Chapter 5; here we only mention Somigliana's closed formula for normal gravity  $\gamma$  on the ellipsoid:

$$\gamma = \frac{a\gamma_e \cos^2 \phi + b\gamma_p \sin^2 \phi}{\sqrt{a^2 \cos^2 \phi + b^2 \sin^2 \phi}} \quad , \tag{1-23}$$

where a and b are shown in Fig. 1.1,  $\gamma_e$  and  $\gamma_p$  denote normal gravity at equator and pole, respectively, and  $\phi$  indicates geographical latitude on the ellipsoid (sec. 1.4).

The difference

$$T = W - U \tag{1-24}$$

for the same point is called *anomalous potential*, or *disturbing potential*. Denoting by N the height of the geoid (1-22) above the reference ellipsoid (1-21), we have the famous formula

$$N = \frac{T}{\gamma} \quad , \tag{1-25}$$

also due to Bruns, which is as elementary as it is intriguing, besides being extremely useful.

# **1.3 Spherical Harmonics**

In this section we shall collect some well-known but very important formulas for spherical harmonics for later reference; the notations follow (Heiskanen and Moritz, 1967), sections 1–8 through 1–15, 2–5, and 2–9.

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### 1.3 SPHERICAL HARMONICS

Spherical coordinates r (radius vector),  $\theta$  (polar distance), and  $\lambda$  (longitude) are related to rectangular coordinates x, y, z by

 $x = r \sin \theta \cos \lambda$   $y = r \sin \theta \sin \lambda$  $z = r \cos \theta$ :

see Fig. 1.3.

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FIGURE 1.3: Spherical and rectangular coordinates

If we express Laplace's equation  $\Delta V = 0$  in spherical coordinates and try to solve it by a product of three functions, each of which depends on only *one* spherical coordinate:

$$V = f(r)g(\theta)h(\lambda) \quad , \tag{1-27}$$

then the solutions are found to be

 $f(r) = r^n$  or  $f(r) = \frac{1}{r^{n+1}}$ , (1-28a)

 $g(\theta) = P_{nm}(\cos \theta) \quad , \tag{1-28b}$ 

$$h(\lambda) = \cos m\lambda$$
 or  $h(\lambda) = \sin m\lambda$ , (1-28c)

where

$$n = 0, 1, 2, 3, \dots, m = 0, 1, \dots, n ;$$
(1-29)

(1-26)

n is called the *degree*, and m the *order* of the functions under consideration. (There is a second solution for g which, however, will not be needed until much later, see sec. 5.1.)

Thus, the dependence on r and on  $\lambda$  is simple: f(r) is a positive or negative power of r, and  $h(\lambda)$  is a sine or cosine of multiples of  $\lambda$ .

The functions  $P_{nm}(\cos \theta)$  are less elementary. They are called Legendre functions and defined by (we put  $\cos \theta = t$ ):

$$P_{nm}(t) = \frac{1}{2^n n!} (1 - t^2)^{\frac{m}{2}} \frac{d^{n+m}}{dt^{n+m}} (t^2 - 1)^n \quad . \tag{1-30}$$

An explicit expression is

$$P_{nm}(t) = 2^{-n} (1 - t^2)^{\frac{m}{2}} \sum_{k=0}^{n_0} \frac{(-1)^k (2n - 2k)!}{k! (n - k)! (n - m - 2k)!} t^{n - m - 2k} \quad , \tag{1-31}$$

where  $n_0$  is the greatest integer  $\leq (n-m)/2$ . The Legendre functions are thus polynomials in  $t = \cos \theta$ , multiplied by powers of  $\sqrt{1-t^2} = \sin \theta$ .

For m = 0 we have the Legendre polynomials

$$P_n(t) = P_{n0}(t) = \frac{1}{2^n n!} \frac{d^n}{dt^n} (t^2 - 1)^n \quad ; \tag{1-32}$$

they are polynomials in t of degree n. For  $m \neq 0$ , the  $P_{nm}(t)$  are called the associated Legendre functions.

The first five Legendre polynomials are

$$P_{0}(t) = 1 ,$$

$$P_{1}(t) = t ,$$

$$P_{2}(t) = \frac{3}{2}t^{2} - \frac{1}{2} ,$$

$$P_{3}(t) = \frac{5}{2}t^{3} - \frac{3}{2}t ,$$

$$P_{4}(t) = \frac{35}{8}t^{4} - \frac{15}{4}t^{2} + \frac{3}{8} .$$

$$(1-33)$$

The products of functions (1-28b) and (1-28c),

$$\begin{aligned} R_{nm}(\theta, \lambda) &= P_{nm}(\cos \theta) \cos m\lambda , \\ S_{nm}(\theta, \lambda) &= P_{nm}(\cos \theta) \sin m\lambda , \end{aligned} \tag{1-34}$$

are Legendre surface harmonics, and the products of (1-28a, b, c),

$$r^n R_{nm}(\theta, \lambda)$$
,  $r^n S_{nm}(\theta, \lambda)$ , (1-35a)

$$r^{-(n+1)}R_{nm}(\theta,\lambda)$$
,  $r^{-(n+1)}S_{nm}(\theta,\lambda)$ , (1-35b)

are the corresponding solid spherical harmonics  $(m = 0: zonal, 0 < m \le n: tesseral (m = n: sectorial))$ . The functions (1-35), as well as their (finite or convergent infinite) linear combinations, are harmonic.

#### **1.3 SPHERICAL HARMONICS**

In particular, the series

$$V(r, \theta, \lambda) = \sum_{n=0}^{\infty} \sum_{m=0}^{n} \left[ A_{nm} \frac{R_{nm}(\theta, \lambda)}{r^{n+1}} + B_{nm} \frac{S_{nm}(\theta, \lambda)}{r^{n+1}} \right]$$
(1-36)

or, equivalently,

$$V(r, \theta, \lambda) = \sum_{n=0}^{\infty} \frac{1}{r^{n+1}} \sum_{m=0}^{n} P_{nm}(\cos\theta) (A_{nm}\cos m\lambda + B_{nm}\sin m\lambda) \quad , \tag{1-37}$$

may be used for representing the earth's external gravitational potential, which is a harmonic function.

Since the first term, for n = 0, is nothing else than GM/r (see (1-3)), the series (1-36) or (1-37) may also be given the form, frequently used in satellite applications:

$$V = \frac{GM}{r} \left[ 1 - \sum_{n=2}^{\infty} \sum_{m=0}^{n} \left( \frac{a}{r} \right)^n P_{nm}(\cos \theta) (J_{nm} \cos m\lambda + K_{nm} \sin m\lambda) \right] \quad , \qquad (1-38)$$

in which a is the semimajor axis of the earth (that is, of a best-fitting earth ellipsoid) and the coefficients  $J_{nm}$  and  $K_{nm}$  are, in a simple way, related to the coefficients  $A_{nm}$ and  $B_{nm}$  in (1-37). The advantage of the form (1-38) is that the coefficients are small dimensionless numbers. There is no term with n = 1 if the origin is at the geocenter.

As an example we mention the case of the equipotential ellipsoid. In view of the rotational symmetry we have  $K_{nm} = 0$  always and  $J_{nm} = 0$  if  $m \neq 0$ . On putting  $J_{n0} = J_n$  and noting (1-32), the expansion (1-38) thus reduces to

$$V = \frac{GM}{r} \left[ 1 - \sum_{n=2}^{\infty} J_n \left(\frac{a}{r}\right)^n P_n(\cos\theta) \right] \quad , \tag{1-39}$$

and the coefficients are given by

$$J_{2\nu} = (-1)^{\nu+1} \frac{3e^{2\nu}}{(2\nu+1)(2\nu+3)} \left(1 - \nu + 5\nu \frac{C-A}{Ma^2e^2}\right) ,$$
  
$$J_{2\nu+1} = 0 , \qquad (1-40)$$

where  $e^2 = (a^2 - b^2)/a^2$ , *M* denotes the mass of the (normal) earth, *A* (equatorial) and *C* (polar) are its principal moments of inertia, and  $\nu = 1, 2, 3, \ldots$  (Heiskanen and Moritz, 1967, p. 73).

Orthogonality relations. The integral over the unit sphere of the product of any two different functions  $R_{nm}$  or  $S_{nm}$  is zero:

$$\iint_{\sigma} R_{nm}(\theta, \lambda) R_{sr}(\theta, \lambda) d\sigma = 0$$

$$\iint_{\sigma} S_{nm}(\theta, \lambda) S_{sr}(\theta, \lambda) d\sigma = 0$$
if  $s \neq n$  or  $r \neq m$  or both,
$$\iint_{\sigma} R_{nm}(\theta, \lambda) S_{sr}(\theta, \lambda) d\sigma = 0$$
in any case.
$$(1-41)$$

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The symbol  $\sigma$  denotes the unit sphere r = 1, and  $d\sigma$  its surface element. For the product of two equal functions we have

$$\iint_{\sigma} [R_{n0}(\theta, \lambda)]^2 \, d\sigma = \frac{4\pi}{2n+1} \equiv \kappa_{n0} \quad ,$$

$$\iint_{\sigma} [R_{nm}(\theta, \lambda)]^2 \, d\sigma = \iint_{\sigma} [S_{nm}(\theta, \lambda)]^2 \, d\sigma = \qquad (1-42)$$

$$= \frac{2\pi}{2n+1} \frac{(n+m)!}{(n-m)!} \equiv \kappa_{nm} \quad \text{if} \quad m \neq 0 \quad .$$

The integral over the unit sphere is explicitly expressed by

$$\iint_{\sigma} (\cdot) d\sigma = \int_{\lambda=0}^{2\pi} \int_{\theta=0}^{\pi} (\cdot) \sin \theta d\theta d\lambda \quad . \tag{1-43}$$

Let us now put r = 1 in (1-36) and write

$$V(1, \theta, \lambda) = f(\theta, \lambda) \quad , \tag{1-44}$$

so that

$$f(\theta, \lambda) = \sum_{n=0}^{\infty} \sum_{m=0}^{n} \left[ A_{nm} R_{nm}(\theta, \lambda) + B_{nm} S_{nm}(\theta, \lambda) \right] \quad . \tag{1-45}$$

We multiply  $f(\theta, \lambda)$  by  $R_{nm}(\theta, \lambda)$  or  $S_{nm}(\theta, \lambda)$  and integrate over the unit sphere, taking into account (1-41) and (1-42). This determines the coefficients as

$$A_{nm} = \frac{1}{\kappa_{nm}} \iint_{\sigma} f(\theta, \lambda) R_{nm}(\theta, \lambda) d\sigma ,$$
  

$$B_{nm} = \frac{1}{\kappa_{nm}} \iint_{\sigma} f(\theta, \lambda) S_{nm}(\theta, \lambda) d\sigma .$$
(1-46)

Finally we introduce the Laplace surface harmonics  $Y_n(\theta, \lambda)$  of  $f(\theta, \lambda)$ , defined by

$$Y_n(\theta, \lambda) = \sum_{m=0}^n \left[ A_{nm} R_{nm}(\theta, \lambda) + B_{nm} S_{nm}(\theta, \lambda) \right] \quad , \tag{1-47}$$

and write

$$f(\theta, \lambda) = \sum_{n=0}^{\infty} Y_n(\theta, \lambda) \quad . \tag{1-48}$$

Then the Laplace harmonic of degree n is given by the expression

$$Y_n(\theta, \lambda) = \frac{2n+1}{4\pi} \int_{\lambda'=0}^{2\pi} \int_{\theta'=0}^{\pi} f(\theta', \lambda') P_n(\cos\psi) \sin\theta' d\theta' d\lambda' \quad , \tag{1-49}$$

#### 1.4 ELEMENTS OF ELLIPSOIDAL GEOMETRY

which obviously is closely related to (1-46),  $\psi$  being the spherical distance between the points  $(\theta, \lambda)$  and  $(\theta', \lambda')$ :

$$\cos \psi = \cos \theta \cos \theta' + \sin \theta \sin \theta' \cos(\lambda' - \lambda) \quad . \tag{1-50}$$

A simple consequence of (1-49) is obtained by taking  $f(\theta, \lambda) = Y_k(\theta, \lambda)$  with  $k \neq n$ :

$$\iint_{\sigma} Y_k(\theta', \lambda') P_n(\cos \psi) d\sigma = 0 \quad , \tag{1-51}$$

another important expression of orthogonality  $(d\sigma = \sin \theta' d\theta' d\lambda'$  here).

*Reciprocal distance.* We finally mention the simple but fundamental sphericalharmonic development of 1/l occurring in equations such as (1-1) and (1-5). Consider two points P and P' in space, having spherical coordinates

$$P(r, \theta, \lambda)$$
 and  $P'(r', \theta', \lambda')$ 

By applying the cosine theorem to the plane triangle OPP', O being the origin r = 0, we find for the spatial distance l = PP':

$$l = \sqrt{r^2 + r'^2 - 2rr'\cos\psi} \quad , \tag{1-52}$$

where  $\psi$ , the angle between the radius vectors r = OP and r' = OP', is again given by (1-50). The reciprocal distance may now be expanded into the series

$$\frac{1}{l} = \sum_{n=0}^{\infty} \frac{r'^n}{r^{n+1}} P_n(\cos\psi) \quad , \tag{1-53}$$

which converges (uniformly in  $\psi$ ) for r' < r since

 $|P_n(\cos\psi)| \le 1 \quad ; \quad$ 

it diverges for r' > r.

# 1.4 Elements of Ellipsoidal Geometry

For convenience and later reference we collect here some well-known (cf. Bomford, 1962, pp. 494-497; Heitz, 1988, pp. 99-105) and easily derivable formulas from ellipsoidal geometry.

Besides the semimajor axis a and semiminor axis b of the meridian ellipse (Fig. 1.1) we have already met the flattening

$$f = \frac{a-b}{a} \tag{1-54}$$

and the (first) excentricity e defined by

$$e^2 = \frac{a^2 - b^2}{a^2}$$
 , (1-55)