Cryptanalysis of SHA-3

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an der

Technischen Universität Graz

vorgelegt von

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Abstract

Cryptographic hash functions are a fundamental part of modern cryptography and play an important role in many practical applications. In recent years new techniques have been developed in this field and attacks for popular designs like MD5 and SHA-1 were published. As a consequence, NIST announced a public competition in 2007 to find a new hash standard, the SHA-3 competition. This competition ended in October 2012 and Keccak was selected as the winner.

In this thesis, the security of the hash function Keccak is evaluated. An overview of the current state of the security analysis is given and attacks on round-reduced variants of Keccak are presented using techniques from differential cryptanalysis. Furthermore, the applicability of algebraic attacks to find preimages is evaluated.

A tool assisted method, which was previously used for the analysis of SHA-2, is applied on the Keccak hash function and allows to find practical collisions for up to 4 rounds. The attack is of practical complexity and takes only minutes on recent hardware. In addition, a technique is shown to find new differential characteristics, for larger output sizes of Keccak, by combining multiple characteristics.

Keywords: hash function, Keccak, cryptanalysis, SHA-3, collision resistance, algebraic attacks, differential characteristics

Kurzfassung

Kryptographische Hashfunktionen sind ein wesentlicher Teil der modernen Kryptographie und haben eine bedeutende Rolle in vielen praktischen Anwendungen. In den letzen Jahren wurden neuen Techniken zur Analyse entwickelt und Attacken auf bekannte Hashfunktionen wie MD5 und SHA-1 publiziert. Infolgedessen kündigte NIST einen öffentlichen Wettbewerb an, um einen neuen Standard für Hashfunktionen zu finden, den SHA-3 Wettbewerb. Dieser Wettwerb endete im Oktober 2012 und Keccak wurde als Gewinner ausgewählt.

In dieser Arbeit wird die Sicherheit der Hashfunktion Keccak untersucht. Es wird ein Überblick über existierende Attacken auf Keccak gegeben und es werden Attacken auf runden-reduzierte Varianten von Keccak, basierend auf Differenzieller Kryptoanalyse, präsentiert. Weiters wird die Anwendbarkeit von algebraischen Attacken untersucht um Urbilder zu finden.

Eine automatisierte Methode, welche zuvor für die Analyse von SHA-2 verwendet wurde, wird auf Keccak angewendet und erlaubt es Kollisionen für bis zu 4 Runden zu finden. Die Attacke hat eine praktische Komplexität und benötigt nur wenige Minuten auf einem aktuellen Computer. Zusätzlich wird eine Methode präsentiert, um neue differentielle Charakteristiken für längere Ausgabegrößen von Keccak zu finden, in dem man mehrere Charakteristiken miteinander kombiniert.

Schlüsselwörter: Hashfunktion, Keccak, Kryptoanalyse, SHA-3, Kollision, algebraischer Angriff, Differenzielle Kryptoanalyse

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Stefan Kölbl Graz, Austria, April 2013

Introduction

Begin at the beginning and go on till you come to the end; then stop. – Lewis Carroll, Alice in Wonderland

This thesis is about the cryptographic hash function Keccak. Cryptographic hash functions are a fundamental part of modern cryptography and are used in many practical applications, for instance verification of message integrity, message authentication or secure storage of passwords. A hash function computes a short identifier for a message, which is representatively used in cryptographic protocols, to provide integrity or authentication.

A cryptographic hash function takes an input of arbitrary finite length and produces a fixed sized output. Usually, the input domain is larger than the output domain, therefore these functions are many-to-one. As a result, the existence of two different messages having the same output is unavoidable. In consequence, for a hash function to be secure it should be computationally infeasible to find these collisions.

The most commonly used hash functions at the moment are SHA-1, SHA-256 and SHA-512 certified by NIST. They are part of several standards and based on the design principles of MD4 and MD5. In the last few years cryptanalysis made a huge leap forward and weaknesses have been found for these functions. Practical

collisions have been shown for MD4 [1], MD5 [2] and SHA-0 [3]. Although the computational effort to construct collisions for SHA-1 is still impracticable, the security bound is much lower than expected [4]. Attacks on reduced rounds are possible and practical example have been shown [5]. For this reason there is a strong interest in designing new secure hash functions.

This thesis deals with the analysis of the hash function Keccak, which was selected by NIST as the winner of the SHA-3 competition.

1.1 SHA-3 Competition

The SHA-3 competition was a public competition held by NIST (National Institute of Standards and Technology), with the purpose of finding a new cryptographic hash algorithm. It was announced on November 2nd, 2007 with the goal to find a new standard by the end of 2012. There were 64 initial submissions by October 31th, 2008 and 51 were selected to advance to the first round. This round lasted till July 24th, 2009 and 14 candidates have been selected to advance to the second round. After briefly a year for the public review NIST selected five candidates for the final round: Blake [6], Grøstl [7], JH [8], Keccak [9] and Skein [10]. Out of these five finalists, NIST selected Keccak to become the new SHA-3 standard on October 2, 2012.

1.2 Outline

The thesis is structured as follows. In Chapter 2, the fundamental properties and design principles of hash functions are presented followed by generic attacks. Chapter 3 describes the Keccak hash function and its building blocks in detail. In Chapter 4 an overview of the current state of research on Keccak is given.

The main part of this thesis is the analysis of the Keccak hash function and can be found in Chapter 5. The first part of this chapter shows how algebraic attacks can be applied on Keccak and the results are evaluated. The second part deals with differential cryptanalysis. A tool-assisted approach is presented to automatically find complex differential characteristics for Keccak. The third part of the analysis presents a method, based on combining known high probability characteristic, to find new characteristics for larger output sizes. In Chapter 6, the conclusion can be found which summarises the results and discusses direction for future work.

2

Cryptographic Hash Functions

It turns out that an eerie type of chaos can lurk just behind a facade of order - and yet, deep inside the chaos lurks an even eerier type of order.

- Douglas R. Hofstadter

This chapter gives a brief introduction to cryptographic hash functions, their applications and properties. In addition some generic attacks are outlined to get a bound for the security provided by these functions. A more thorough introduction can be found in [11].

A hash function is an efficient deterministic algorithm, which maps an input of arbitrary length to a fixed size output called hash-value, digest or fingerprint (see Figure 2.1).

$$h: \{0,1\}^* \to \{0,1\}^n$$
 (2.1)

A hash function associates a hash-value with every input which can be used as an identifier for this message. Consequently, no two messages should have the same output, a so-called collision. As the input domain is much larger than the output range, collisions are unavoidable. Thus, while these collisions are unavoidable it should be infeasible to find them efficiently.

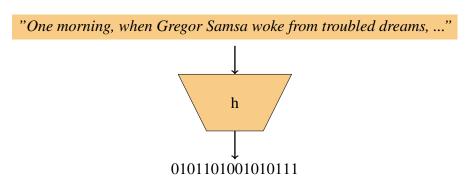


Figure 2.1: A hash function is a function h mapping an input of arbitrary length to an output of fixed length n.

2.1 Applications

Cryptographic hash functions are one of the most versatile cryptographic primitives and are a fundamental part of modern cryptography. A typical application for them is to provide message integrity. If a single bit is changed in a message it will influence the computation and result in a different output, allowing to detect any modifications.

In signature schemes, like the DSA (digital signature algorithm), hash functions are used as a short unique identifier for a message [12]. This scheme signs the hash, as a representative for the message, which speeds up the computation and also provides additional security compared to a raw RSA signature.

A second important application are MACs (message authentication code). A MAC is a keyed hash function that provides both integrity and authenticity of a message. For this a secret, shared by two parties Alice and Bob, is involved in the computation of the hash and allows the receiver to check if the message originates from the desired sender. HMAC (hash-based message authentication code) is a widespread algorithm used in standards like TLS [13] and IPSec [14].

Another common application is password protection. Passwords are usually not stored as plaintext but only the digest is stored. The password entered by the user is hashed and compared with the stored digest. This allows the original password to be kept secret due to the one-wayness of hash functions. For a secure hash function it should be infeasible to derive a password from the stored hash-value.

A further application is for confirmation of knowledge or commitment schemes. If someone wants to prove that he has some information without revealing it, the hash of this information can be made public. Once this information is public the commitment can be verified by computing this hash. Cryptographic hash functions are also used for pseudo random number generation, key derivation and play an important role in micropayment systems like MicroMint [15] or Bitcoin [16].

Hash functions should be fast compute in general but this is not necessarily true for all applications. Password hashing and key derivation schemes like bcrypt [17], scrypt [18] and PBKDF2 [19] are designed to be computationally expensive to make brute force attacks less efficient.

Different applications might also have different security requirements. For instance if an attacker constructs two documents with the same hash-value then also the signature of this two documents will be the same. The attacker can deceive an user by signing one of the documents and gets a valid signature on the second document.

2.2 Security

As hash functions play such an important role in cryptography they have to fulfil various requirements to be considered secure. For discussing security the following three properties are used:

- Preimage resistance
- Second preimage resistance
- Collision resistance

An attack on a hash function typically tries to break one of these properties. For a secure hash function it is assumed that, if an attacker is computationally bound then it is infeasible to break any of these properties.

Furthermore, for an ideal hash function it would be desirable to behave like a random oracle. A random oracle outputs for every input a random value from the output domain. If an input is used a second time the same random value is chosen. This concept is important for security proofs in protocols and cryptographic primitives where one can prove that the system is secure if the hash function behaves like a random oracle.

No real hash function can implement a random oracle. Hence, the best that can be achieved is that there exists no efficient algorithm that can distinguish the output of a hash function from the output of a random oracle.

2.2.1 Preimage Resistance

A hash function is preimage resistant if it is hard to invert.

Definition 1. Preimage Resistance: For a given output y it should be computationally infeasible to find an input x' such that y = f(x').

A hash function with n-bit output is preimage resistant if no algorithm exists that finds a preimage with a complexity of less than $\mathcal{O}(2^n)$.

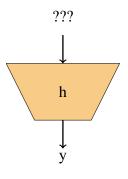


Figure 2.2: Preimage Resistance: The attacker needs to find a valid input which results in the given output y.

2.2.2 Second Preimage Resistance

Definition 2. Second Preimage Resistance: For given x, y = h(x) it should be computationally infeasible to find $x' \neq x$ such that h(x') = y.

A hash function with n-bit output is second preimage resistant if no algorithm exists that finds a preimage with a complexity of less than $\mathcal{O}(2^n)$.

This property gives the attacker additional information on the input for the fixed output y. This could improve an attack, for instance by knowing the message length or the exact input to a block in an iterative scheme.

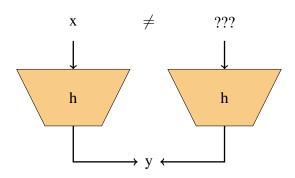


Figure 2.3: Second Preimage Resistance: Given an input/output pair the attacker needs to find a second input which results in the same output y.

2.2.3 Collision Resistance

Definition 3. Collision Resistance: It should be computationally infeasible to find two distinct inputs x, x' such that h(x) = h(x').

A hash function with n-bit output is collision resistant if no algorithm exists that finds a preimage with a complexity of less than $\mathcal{O}(2^{n/2})$.

This problem might look similar to second preimage resistance but the attacker can choose both x and x' in this case and the output y is also not fixed, which enables the use of birthday attacks. As the input domain is much larger than the output domain collisions are unavoidable (pigeonhole principle), so the best one can achieve is that it is computationally infeasible to find a collision.

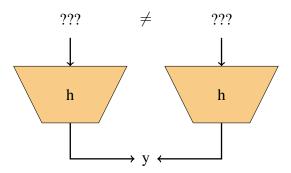


Figure 2.4: Collision Resistance: The attacker needs to find two different messages with the same output *y*.

2.3 Design

Most hash functions follow an iterative design similar to Figure 2.5. The input m is split into evenly sized blocks M_1, M_2, \ldots, M_n and a compression function f is used

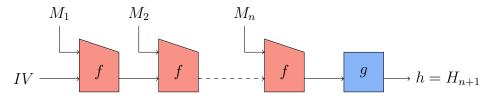


Figure 2.5: An iterative construction for a hash function, where IV is a fixed initial value.

to process each block iteratively. If the input m is not a multiple of the block size the message is padded accordingly. Examples for this padding can be found in the upcoming constructions.

2.3.1 Merkle-Damgård construction

The Merkle-Damgård construction is a method to build a collision-resistant hash function from a collision-resistant compression function [20]. It uses the same iterative approach and adds the length of the message at the end of the padding which is often referred to as Merkle-Damgård strengthening (MD-strengthening).

```
Algorithm 1 Merkle-Damgård Construction

Precondition: Message: m

Output: Hash: h

Apply padding to m and split in evenly sized blocks M_1, M_2, \ldots, M_n and

H_0 = 0^n

H_i = f(H_{i-1}, M_i) for 1 \le i \le n

H_{n+1} = g(H_n)
```

For designs like MD4 no output transformation is used and g is the identity function.

Padding

The input m is padded by appending a 1-bit followed by the minimum number of 0 bits to result in a multiple of the block-size. This is followed by an additional block which encodes the binary representation of the length of m. This is often referred to as Merkle-Damgård strengthening.

Proof of Collision-resistance

This construction gives a provable collision-resistant hash function. However, other flaws still exist which are discussed in Section 2.4.3.

Proof. Assume that the hash function h is not collision-resistant and the attacker can find a colliding message pair (M, M') such that h(M) = h(M'). Consider the following two cases:

- The length of the two messages is not equal. If the attacker has found a collision then the output of the last compression function call must be equal. The last message block contains the message length, hence M_n and M'_n are different but this implies that a collision for the compression function exists as f(M_n, H_n) = f(M'_n, H'_n).
- The length of the two messages is equal. In this case at least one compression function call must lead to a collision $f(M_i, H_i) = f(M_j, H_j)$ for the output to be equal.

This proof assumes that no additional output transformation is applied else one has to consider collisions in the output transformation too. \Box

2.3.2 Sponge construction

The sponge construction is a mode of operation building a function which takes arbitrary sized input and generates arbitrary sized output. It is based on a fixedsized permutation f, a padding rule and takes two parameters: the rate r and the capacity c. The sponge construction is iterative and operates on an internal state Sof size b = r + c.

First, split the input m into blocks M_0, M_1, \ldots, M_n of size r by using the padding rule. Set the initial state to $S = (0 \dots 0)$ and process the input through the following two phases

- Absorb: XOR the *i*th message block to the first *r* bits of the state and update the state with the *f*-permutation. Repeat this step for all message blocks.
- Squeeze: Append the first r bits of the state to h and update the state. Repeat this step to generate more output bits.

An outline of this procedure can be seen in Figure 2.6. When using a random permutation the sponge construction is as secure as a random oracle apart from inner collisions [21].

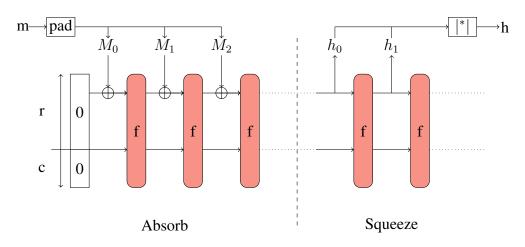


Figure 2.6: The sponge construction takes input of arbitrary length and computes an output of arbitrary length. It uses a fix-sized invertible permutation f and the input is processed iteratively.

Padding

The input m is padded to be a multiple of the block-size. This is done by appending a 1-bit followed by the minimum number of 0 bits and a 1 bit to result in a multiple of the block-size. This padding is called multi-rate padding.

$$pad(m) = (m||10^*1)$$
 (2.2)

2.4 Generic Attacks

In this section, general attacks on hash functions are outlined. These kind of attacks can be applied to any hash function disregarding the underlying structure. The hash function acts like a black box and the only relevant parameter is the length n of the hash value. It is assumed that the output of the hash function is uniformly distributed. If this is not the case generic attacks can be more efficient.

2.4.1 Brute-Force Attack

The simplest approach for an attacker to find a preimage would be to test different messages and check if he gets the desired output y. If the hash function has *n*-bit output, then the probability, given a random input x, h(x) = y is equal to 2^{-n} . Hence, after about $\mathcal{O}(2^n)$ trials a correct input will be found.

The same method allows to find a second preimage with the only difference is to discard x if it equals the given input. A generic attack to find a (second) preimage

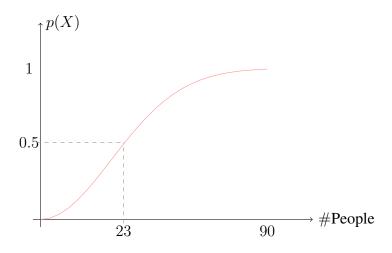


Figure 2.7: The probability that two persons share the same birthday is > 0.5, for a group of 23 people.

has a complexity of $\mathcal{O}(2^n)$.

2.4.2 Birthday Attack

The birthday paradox states that in a group of 23 people, the probability is > 0.5 that two persons share the same birthday. The probability for this event grows quickly to 1 (as can be seen in Figure 2.7).

This fact appears when searching collisions. Consider a hash function with *n*bit output, then the probability that two messages collide is 2^{-n} . It follows that the probability that no collision occurs after N trials is:

$$p'(N) = 1 \cdot \left(1 - \frac{1}{2^n}\right) \cdot \left(1 - \frac{2}{2^n}\right) \dots \left(1 - \frac{N-1}{2^n}\right) \approx e^{-\frac{N^2}{2^{n+1}}}$$
(2.3)

For details on this approximation see [22]. The probability for a collision after N trials is then given by the converse probability:

$$p(N) = 1 - p'(N) \approx 1 - e^{-\frac{N^2}{2^{n+1}}}$$
 (2.4)

Consequently, the expected numbers of trials is $\sqrt{\ln(2)2} \cdot 2^{n/2}$ before a collision occurs. A generic attack to find a collision has a complexity of $\mathcal{O}(2^{n/2})$.

The simplest version of this attack is to store a list of hash outputs and subsequently compute new outputs [23]. If an output is already in the list then a collision was found (see Algorithm 2).

The high memory requirements make this approach infeasible in practice even for a relative small output size, but memoryless variations can be applied [24] for

Algorithm 2 Birthday Attack - Yuval						
Preco	Precondition: List <i>L</i>					
1 W	hile do					
2	select random message m					
3	if $h(m) \in L$ then					
4	found collision					
5	else					
6	add $(h(m),m)$ to L					

instance Floyd's cycle-finding algorithm.

From this attacks it follows that, if a hash function should have k-bit collision resistance then the output must be at least of size n = 2k. The digest size, of the currently most common used hash algorithms, is listed in Table 2.1.

Algorithm	Output size	Year released			
MD5	128-bit	1992			
SHA-1	160-bit	1995			
RIPEMD-160	160-bit	1996			
Whirlpool	512-bit	2000			
SHA-2	224-, 256-, 384- and 512-bit	2001			
Keccak (SHA-3)	224-, 256-, 384- and 512-bit	2012			

Table 2.1: Digest sizes for different hash algorithms.

2.4.3 Attacks on the Merkle-Damgård construction

The Merkle-Damgård construction is provable collision-resistant but has other undesirable properties. The length extension attacks allows an attacker to compute H(pad(m)||X) without knowing m (see Figure 2.8). This can be a problem, for instance if a MAC is constructed by computing H(key||m). In this case it would allow an attacker to forge valid tags for messages of the structure H(pad(key||m)||X). A random oracle would not have such a property [25].

Kelsey and Schneier showed that finding a second preimage for hash functions with Merkle-Damgård strengthening is easier for large messages [26]. Using their results, a second preimage for SHA-1 for a message of size 2^{60} can be found with a complexity of 2^{106} compared to the costs of 2^{160} for the generic attack.

Computing multi-collisions for hash functions based on the Merkle-Damgård construction can be done efficiently. Multi-collisions are *t*-tuples of messages which all hash to the same output. Joux presented an approach to construct 2^t -collisions at *t* times the costs of finding a collision for two messages [27].

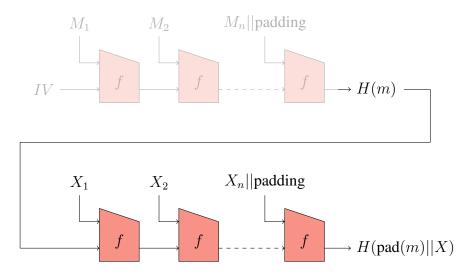


Figure 2.8: The length extension property allows to compute H(pad(m)||X), using H(m) as input to the compression function f. An attacker needs no further information on the structure of m.

B Keccak

This chapter is about the Keccak hash function designed by Guido Bertoni, Joan Daemen, Michaël Peeters and Gilles Van Assche. Keccak was submitted to the SHA-3 competition and selected by NIST as the winner on October 2nd, 2012.

Keccak is a sponge based construction using the fix sized permutation Keccak-f. The internal structure of this permutation is very important to understand the following analysis, thus a detailed description of the building blocks is given in this chapter.

3.1 Description of Keccak

Keccak is a family of hash functions based on the sponge construction where the state can have a size $b \in \{25, 50, 100, 200, 400, 800, 1600\}$. It uses the permutation Keccak-f and the padding scheme defined in Section 2.3.2. A specific instance of Keccak is notated as Keccak $[r, c, n_r]$ where r is the rate, c the capacity and n_r the number of rounds.

The permutation f used in Keccak operates on a three-dimensional state with elements in \mathbb{F}_2 (see Figure 3.1). The dimensions for this state are $5 \times 5 \times w$ with $w \in \{1, 2, 4, 8, 16, 32, 64\}$. This allows to represent each lane as a w-bit word. A

3.1. Description of Keccak

three-dimensional array is used, S[x][y][z], to describe the state. Some additional terms are defined to ease the description of the state:

- A plane is a set with constant y-coordinate (S[*][y][*]) of size 5w.
- A slice is a set with constant z-coordinate (S[*][*][z]) of size 25.
- A sheet is a set with constant y-coordinate (S[x][*][*]) of size 5w.
- A row is a set with constant y- and z-coordinate (S[*][y][z]) of size 5.
- A column is a set with constant x- and z-coordinate (S[x][*][z]) of size 5.
- A lane is a set with constant x- and y-coordinate (S[x][y][*]) of size w.

A visualisation of this terms can be found in Appendix A.1.

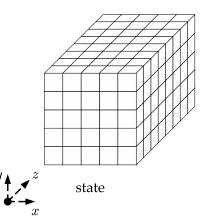


Figure 3.1: Outline of the internal state of Keccak with a width of 8^1 .

The hash h for a message m is computed in the following way for $\text{Keccak}[r, c, n_r]$:

- 1. Initialise the state S[x][y][z] = 0 for $x = 0 \dots 4$, $y = 0 \dots 4$ and $z = 0 \dots w$.
- 2. Compute the padded message $M = m || 10^*1$ such that M is a multiple of r.
- 3. Absorb the next r-bit message block by computing $S[x][y] = S[x][y] \oplus M_i$ and update the state by computing S = f(S).
- 4. Squeeze until the requested number of bits is reached.

¹Image from http://keccak.noekeon.org/

3.1.1 Keccak-*f*

Keccak uses the iterative permutation Keccak-f operating on \mathbb{F}_2^w , with w being the word size. The permutation consists of multiple rounds in which five functions are used in sequence $R = \iota \circ \chi \circ \pi \circ \rho \circ \theta$. The number of rounds n_r depends on the word size of the lane:

$$n_r = 12 + 2\log_2(w) \tag{3.1}$$

Apart from ι , this functions are the same for each round.

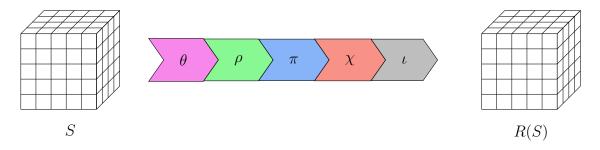


Figure 3.2: One round of the Keccak-f permutation.

Description of θ

The θ function is linear and provides diffusion over the whole state. The step adds to every bit of the state S[x][y][z] the bitwise sum of the neighbouring columns S[x-1][*][z] and S[x+1][*][z-1].

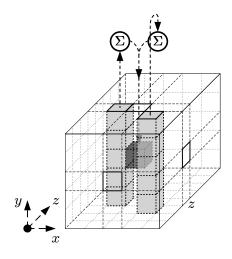


Figure 3.3: θ step of Keccak-f.

This procedure can also be described with the following equation:

$$\theta: S[x][y][z] \leftarrow S[x][y][z] + \sum_{n=0}^{4} S[x-1][n][z] + \sum_{n=0}^{4} S[x+1][y][z]$$
(3.2)

For computing the inverse of this step see [9].

Description of ρ

This function rotates the bits in every lane by a constant value. This is done to speed up dispersion between the slices. The constants are given in Table 3.1.

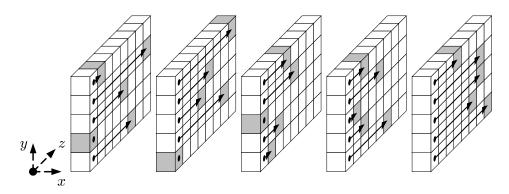


Figure 3.4: ρ step of Keccak-f.

	x=3	x=4	x=0	x=1	x=2
y=2	25	39	3	10	43
y=1	55	20	36	44	6
y=0	28	27	0	1	62
y=4	56	14	18	2	61
y=3	21	8	41	45	15

Table 3.1: Rotation constants for ρ .

The inverse of ρ can be computed by shifting with the same constants in the opposite direction.

Description of π

This function transposes the lanes using the following function:

$$\begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 2 & 3 \end{pmatrix} \times \begin{pmatrix} x \\ y \end{pmatrix}$$
(3.3)

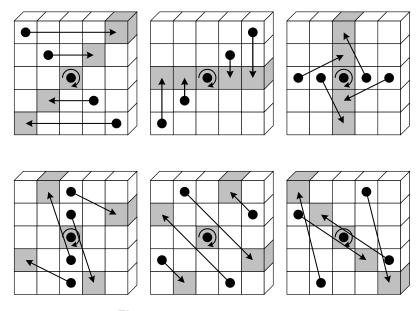


Figure 3.5: π step of Keccak-f.

The inverse of π is given by:

$$\begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 1 & 3 \\ 1 & 0 \end{pmatrix} \times \begin{pmatrix} x \\ y \end{pmatrix}$$
(3.4)

Description of χ

This step is the only non-linear step in Keccak and operates on each row of 5 bits. It can be seen as applying in parallel a 5-bit s-box to all rows:

$$\chi: S[x][y][z] \leftarrow S[x][y][z] \oplus ((\neg S[x+1][y][z]) \land S[x+2][y][z])$$
(3.5)

The algebraic degree of χ is two and it is invertible. The inverse of this function has an algebraic degree of three.

Description of *i*

This steps adds a round dependent constant to the state. The constants are different to avoid attacks exploiting symmetry like slide attacks. For a list of the constants see [9].

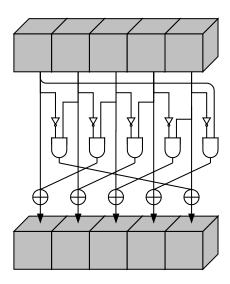


Figure 3.6: χ step of Keccak-f.

3.2 Keccak Challenges

The Keccak challenges are a set of challenges proposing different parameters to encourage the cryptanalysis of Keccak. The capacity is fixed to 160-bit which results in a security level of 2^{80} against birthday attacks. Table 3.2 contains the parameters for the challenges followed by the parameters recommended for SHA-3 with different output sizes. In the following analysis both variants will be used as attack targets.

Name	Word size	Rate	Capacity	Output size
Keccak[40, 160]	8	40	160	160
Keccak[240, 160]	16	240	160	160
Keccak[640, 160]	32	640	160	160
Keccak[1440, 160]	64	1440	160	160
Keccak[1152, 448]	64	1152	448	224
Keccak[1088, 512]	64	1088	512	256
Keccak[832, 768]	64	832	768	384
Keccak[576, 1024]	64	576	1024	512

Table 3.2: Parameters for Keccak divided up in Keccak challenges and recommended values for SHA-3.

4

Existing Analysis of Keccak

This chapter gives an overview of the current state of analysis on Keccak. The first section will discuss structural attacks, while the second is about differential attacks which are also a significant part of the analysis presented in this thesis.

4.1 Structural Attacks

Aumasson and Khovratovich published the first external analysis on Keccak [28]. They applied automated cryptanalytic tools, using the triangulation algorithm [29] and cube testers, to detect structures in reduced round versions of Keccak. The application of their tools was limited due to the good diffusion properties of the inverse of θ .

Aumasson and Meier presented a zero-sum distinguisher for Keccak-f for up to 9 rounds with a practical complexity and for up to 16 rounds [28] with a theoretical complexity. Boura and Canteaut extended this distinguisher to 20 rounds [30].

Morawiecki and Srebrny presented a SAT-based analysis to find preimages for reduced Keccak variants [31]. The idea is to formulate the problem of finding a preimage as a SAT problem. The first step is to generate the CNF which is then processed with a SAT solver to find the preimage. The main advantage of this attack is that highly optimised SAT solvers exist to solve this hard problem. The results of the SAT-based analysis suggest that Keccak is very resistant to this kind of attacks. The attack only worked on 3-round Keccak[1024, 576] with 40 unknown message bits. Using this approach, they found collisions up to 2 rounds for the Keccak challenges for Keccak[240, 160], Keccak[640, 160] and Keccak[1440, 160].

4.2 Differential Attacks

Differential cryptanalysis is an important tool in the analysis of cryptographic primitives and plays a key role in the results presented in this thesis. For a detailed explanation of differential cryptanalysis see Section 5.2.

Naya-Plasencia, Röck and Meier presented various attacks on Keccak based on differential cryptanalysis [32]. They use an efficient method to find low weight differential paths using column-parity kernels which are also an important part of the analysis in this thesis. The details of this method can be found in Section 5.3. In their work they proposed the following attacks: a preimage attack on 2 rounds, a collision attack on 2 rounds, a near-collision on 3 rounds and a distinguisher for 4 rounds. The results can be applied for Keccak[1152, 448] and Keccak[1088, 512] with a complexity of $\approx 2^{33}$.

Duc et al. presented new differential paths and used them for a rebound attack on the internal permutation of Keccak [33]. The method to find this new paths is also based the column-parity property. The rebound attack was first proposed by Mendel et al. in [34] and applied to AES-based hash functions like Grøstl and Whirlpool.

For the rebound attack, a permutation P is split into three parts $P = E_f \circ E_i \circ E_b$. The attack proceeds now in two phases:

- The **inbound phase** covers the middle part E_i . This part typically covers the most expensive part in a characteristic and computes solutions by propagating differences forward/backward through the linear layers and match them at a single s-box layer.
- The **outbound phase** propagates each solution, from the inbound phase, in both directions E_f and E_b .

The rebound attack is convenient to apply on AES-based permutations, as one can use truncated differentials. This is not the case for Keccak due to the bit-oriented design which makes the application more difficult. Duc et al. proposed a practical distinguisher with complexity 2^{32} for 6 rounds and with a complexity of $2^{491.47}$ for 8 rounds using the Keccak permutation with a width of 1600 bits.

The best practical attack published is a 4-round collision by Dinur, Dunkelman and Shamir. In [35] they present 4-round collisions for Keccak[1152, 448] and Keccak[1088, 512] and near-collisions for 5 rounds with the same parameters.

Their approach is to use a high probability characteristic and connect it to the starting point over 2 rounds. They presented the target difference algorithm to solve this problem of connecting to the starting point. This algorithm exploits that the algebraic degree of the non-linear layer is only 2 and makes use of the degree of freedom in the message input.

The high probability characteristic is a 2-round column-parity kernel. These characteristics are examined in detail in Section 5.3.



connect to start high probability path

Figure 4.1: Outline of the attack by Dinur, Dunkelman and Shamir.

In [36] they presented the first attacks on Keccak [832, 768] and Keccak [576, 1024]. The attacks are based on internal differential cryptanalysis. A practical attack on 3 rounds and an attack on 5-round Keccak [1088, 512] with a complexity of 2^{115} are shown. A summary of the attacks by Dinur, Dunkelman and Shamir can be found in Table 4.1.

Table 4.1: Attacks on different Keccak versions by Dinur, Dunkelman and Shamir.

	Keccak-224	Keccak-256	Keccak-384	Keccak-512
Collision	4	4, 5 (2 ¹¹⁵)	$3, 4(2^{147})$	3

5 Analysis

This chapter presents the results of our analysis on the Keccak hash function. First, the use of algebraic attacks to find preimages for reduced round versions of Keccak is evaluated. This section shows how to derive a system of non-linear equations, for which finding a solution is equivalent to finding a preimage for Keccak.

The second part of this chapter deals with differential cryptanalysis and is the main part of this thesis. A tool-assisted method based on the concept of generalized conditions is used to find both a differential characteristic and the corresponding message pair. This method allows to find collisions for up to 4 rounds of Keccak with a practical complexity.

The third part is about finding new high probability differential characteristics for more than 2 rounds or larger output sizes of Keccak. Based on the column-parity property of Keccak, new characteristics are constructed and combined to find new collision attacks on Keccak.

5.1 Algebraic Attack

An algebraic attack is a method of cryptanalysis based on expressing the cryptographic primitive as a system of equations, fixing known variables and solving this system. This is done to find a secret key in an encryption system or in the case of hash functions to find a preimage or collision. A system of linear equations can be efficiently solved, therefore cryptographic primitives are designed to be highly nonlinear. The sheer size of the system and the non-linearity make this a hard problem to solve.

This section starts with an introduction on the concept of Gröbner bases and the required definitions. A short description of Buchberger's algorithm to find Gröbner bases is given and it is shown how it can be used to solve systems of non-linear equations. The subsequent section shows how the problem of finding preimages for Keccak can be solved with Gröbner bases.

The following notation and preliminaries are based on the work in [37] and [38], where also a more thorough discourse of the mathematical background can be found.

5.1.1 Preliminaries

Notations

Some notation which is used in the following sections:

- \mathbb{F}_p is the finite field of order p with p being prime.
- \mathbb{F}_{p^n} is the finite extension field of degree n over \mathbb{F}_p .
- \mathbb{P} is a polynomial ring $\mathbb{F}[x_1, \ldots, x_n]$ in the variables x_1, \ldots, x_n .
- lcm(a, b) is the least common multiplier of a and b.

Polynomials and Ideals

Definition 4. A monomial in x_1, \ldots, x_n is a product of the form

$$x_1^{\alpha_1} \cdot x_2^{\alpha_2} \dots x_n^{\alpha_n} \tag{5.1}$$

Definition 5. A polynomial f in $x_1, \ldots x_n$ is a finite linear combination of monomials of the form

$$f = \sum_{i} a_{\alpha} x^{\alpha}, \ a_{\alpha} \in k$$
(5.2)

where

- a_{α} are the coefficient of the monomial x^{α}
- $a_{\alpha}x^{\alpha}$ is a term of f
- $\deg(f)$ is called the total degree of f and is the maximum $|\alpha|$ such that $a_{\alpha} \neq 0$

Definition 6. A subset $I \subset \mathbb{F}[x_1, \ldots, x_n]$ is an **ideal** if it satisfies:

- $0 \in I$
- If $f, g \in I$, then $f + g \in I$
- If $f \in I$ and $h \in \mathbb{F}[x_1, \ldots, x_n]$, then $hf \in I$

Monomial Ordering

For univariate polynomials it is easy to determine the total degree of a given polynomial by just determining the largest monomial. For multivariate polynomials this is not the case. For instance, it is not clear whether $x^4y^3z^2$ should be greater or smaller than $x^2y^7z^4$. There exist different possibilities to define the ordering. Therefore a monomial ordering is needed to compare them.

Definition 7. A monomial ordering on $\mathbb{F}[x_1, \ldots, x_n]$ is a relation > on $\mathbb{Z}_{\geq 0}^n$ or equivalently, any relation on the set of monomials $x^i, i \in \mathbb{Z}_{\geq 0}^n$ satisfying:

- > is a total (or linear) ordering on $\mathbb{Z}_{>0}^n$
- If $\alpha > \beta$ and $\gamma \in \mathbb{Z}_{>0}^n$ then $\alpha + \gamma > \beta + \gamma$
- > is a well-ordering on Zⁿ_{≥0}. This means that every non-empty subset of Zⁿ_{≥0} has a smallest element under >

There are many different monomial ordering. The two most common used monomial orderings are the "lexicographical" and the "degree reverse lexicographical" ordering which is used throughout this thesis.

Definition 8. Degree reverse lexicographical ordering: Let $\alpha = (\alpha_1 \dots \alpha_n)$ and $\beta = (\beta_1 \dots \beta_n)$ than $\alpha > \beta$ if

•
$$|\alpha| = \sum_{i=1}^{n} \alpha_i > |\beta| = \sum_{i=1}^{n} \beta_i$$
 or

• $|\alpha| = |\beta|$ and the rightmost nonzero entry of $\alpha - \beta$ is negative

Example 1. To illustrate how the degree reverse lexicographical ordering works

- $x^2y^7z^4 > x^4y^3z^2$ since |(2,7,4)| = 13 > |(4,3,2)| = 9
- $x^2y^7z^4 > x^3y^4z^6$ since |(2,7,4)| = 13 = |(3,4,6)| = 13 and (2,7,4) (3,4,6) = (-1,3,-2)

The monomial ordering can now be applied to polynomials by reordering the terms by size with respect to >.

Definition 9. Let $f = \sum_{\alpha} a_{\alpha} x^{\alpha}$ be a nonzero polynomial in $\mathbb{F}[x_1, \ldots, x_n]$.

• The **multidegree** of *f* is

$$\operatorname{multideg}(f) = \max(\alpha \in \mathbb{Z}_{\geq 0}^n : a_\alpha \neq 0)$$
(5.3)

(the maximum is taken with respect to >)

• The **leading term** of f is

$$LT(f) = a_{\text{multideg}(f)} x^{\text{multideg}(f)}$$
(5.4)

Example 2.

$$LT(-3x^4y^1 + 2x^3y^2 + 4y^2 + x) = -3x^4y^1$$
(5.5)

5.1.2 Gröbner Basis

The theory of Gröbner basis was developed by Buchberger in 1965 [39]. It can be seen as a generalisation of:

- the Euclidean algorithm for computing univariate greatest common divisors.
- Gaussian elimination for linear systems of equations.

Definition 10. Fix a monomial order. A finite subset $G = g_1, \ldots, g_n$ of an ideal I is called a **Gröbner basis** if

$$\langle \operatorname{LT}(g_1), \operatorname{LT}(g_2), \dots, \operatorname{LT}(g_n) \rangle = \langle \operatorname{LT}(I) \rangle$$
 (5.6)

5.1.3 Algorithms to find Gröbner Bases

Finding a Gröbner basis for an ideal is a hard problem and various algorithms exist to find them. The example given here is Buchberger's algorithm (see Algorithm 3) which takes as input a finite set of polynomials and computes the Gröbner basis with respect to a given monomial order.

Definition 11. Let $f, g \in \mathbb{F}[x_1, \dots, x_n]$ be nonzero polynomials. The **S-polynomial** of f and g is the combination

$$S(f,g) = \frac{\operatorname{lcm}(\operatorname{LT}(f),\operatorname{LT}(g))}{\operatorname{LT}(f)} \cdot f - \frac{\operatorname{lcm}(\operatorname{LT}(f),\operatorname{LT}(g))}{\operatorname{LT}(g)} \cdot g$$
(5.7)

The algorithm will always terminate but the worst case running time is double exponential in the number of variables [40].

Algorithm 3 Buchberger's Algorithm

```
Precondition: F = \langle f_1, \dots, f_n \rangle

Output: Gröbner Basis G = (g_1, \dots, g_n)

G \leftarrow F

repeat

G' \leftarrow G

for each pair p, q, p \neq q in G' do

S \leftarrow Remainder of S(p,q)/G'

if S \neq 0 then

G \leftarrow G \cup S

until G = G'
```

Other popular algorithms are:

- The Faugère F4 [41] and F5 [42], which are both based on the principles of Buchberger's algorithm.
- The slimgb algorithm, which is also used in the Sage computer algebra system [43].

Example 3. Consider the following set of polynomials in $\mathbb{F}_2[x, y]$

$$f_0 = x^4 + x^2 + x + 1 \tag{5.8}$$

$$f_1 = x^4 + x^3 + y^2 + x (5.9)$$

$$f_2 = x^3 + xy (5.10)$$

The Gröbner basis for this example is obtained with Sage (see Listing 5.1). First the polynomial ring R and an ideal I are defined. Using the built-in algorithms the

Gröbner basis can be computed. The resulting Gröbner basis gives the solution x = 1, y = 1 to the non-linear system of equations.

```
sage: R.<x,y> = PolynomialRing(GF(2),'degrevlex')
sage: f0 = x^4 + x^2 + x + 1
sage: f1 = x^4 + x^3 + y^2 + x
sage: f2 = x^3 + x*y
sage: I = Ideal(a,b,c)
sage: I.groebner_basis()
sage: [x + 1, y + 1]
```

Listing 5.1: Computing the Gröbner basis of a simple ideal

5.1.4 Algebraic Attack

An algebraic attack is a method for cryptanalysis for cryptographic primitives. The idea for this attacks is to express the problem of finding a secret key (or a preimage), as a system of equations. Solving a system of linear equations can be done in polynomial runtime but for a non-linear system the problem is computationally hard. Therefore, cryptographic primitives are designed to achieve a high degree of non-linearity. This can be done using various techniques, for instance s-boxes.

Non-linear systems of equations can be solved by using Gröbner basis based algorithms. In general algorithms for finding Gröbner bases have at least exponential running time, which would not be feasible for systems of this size. However, for hash functions the equation systems are very structured and this might allow to compute the Gröbner basis in practice.

5.1.5 Attacking Keccak

The algebraic attack on Keccak can be split into three steps (see Figure 5.1):

- 1. Express Keccak as a system of non-linear equations.
- 2. Fix the value of all variables which are predefined.
- 3. Use algorithms to compute the Gröbner basis.

Equation system

The first question which arises is how to describe Keccak algebraically. There are different options to represent the internal state of Keccak. Due to the bitwise structure of the steps a representation over \mathbb{F}_2 , by mapping every bit to variable in \mathbb{F}_2 ,

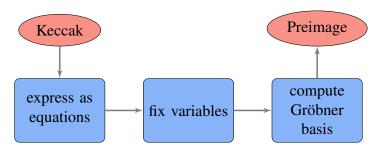


Figure 5.1: Outline of the algebraic attack for Keccak.

seems a good choice. This allows to derive a clean definition of the equations from the steps. Another possible choice would be to map every lane to an element of \mathbb{F}_2^n where *n* equals the lane-size or to map every row to \mathbb{F}_2^5 but doing so would only be beneficial for some specific steps of Keccak and unfavourable for the others. The following notation is used to name the variables:

• The position of single bit in a state X is notated as

$$X_{y,z}^b \tag{5.11}$$

where y is the position in the column, z is the position in the row and b the position on the lane.

The states X are named in the following way: A is the initial state after the message has been xored to the state. BL is the state after applying π ∘ ρ ∘ θ. BNL after applying ι ∘ χ. CL is the next state after applying the linear steps followed by CNL and so on.

Getting the equations from the steps is done by first separating the linear and nonlinear part:

The linear steps ρ and π only move the position of the related bits and do not change any values. Therefore this steps can be represented by changing the corresponding variables in the related equations. In the θ step 11 bits are involved to compute a single output bit. For every bit of the state a linear equation with 12 variables is added. For example one equation might look like this:

$$BL_{0,0}^{0} + A_{0,0}^{0} + A_{0,1}^{15} + A_{1,1}^{15} + A_{2,1}^{15} + A_{3_1}^{15} + A_{4,1}^{15} + A_{0,4}^{0} + A_{1,4}^{0} + A_{2,4}^{0} + A_{3,4}^{0} + A_{4,4}^{0} = 0$$

• The non-linear step χ uses 3 bits to compute one output bit. For every bit of

the state a non-linear equations with 4 variables is added:

$$BNL_{0,0}^{0} + BL_{0,0}^{0} + (BL_{0,1}^{0} + 1) \cdot BL_{0,2}^{0} = 0$$
(5.12)

The number of equations and variables depends on the state size of Keccak. For the initial state b = r + c variables are added. The initial state is all 0 and xored to the message. Therefore, it is sufficient to add the result of this computation to the equation system.

Depending on the capacity, c of this variables are fixed and c equations have to be added. For each following round we need b variables and equations for the output of the linear step and b variables and equations for the output of the non-linear step. For the hash value only the first n bits contributing to the output are relevant and the rest of the state is truncated. This allows to drop the r corresponding equations because they do not contribute anything to the hash-value.

- Number of variables for: $\text{Keccak}[c, r, n_r] = b + 2n_r b$
- Number of equations for: $\text{Keccak}[c, r, n_r] = c + 2n_r b r$

where n_r denotes the number of rounds.

Fixing variables

For the algebraic analysis Keccak[r = 240, c = 160] is used as it is part of the Keccak challenges (see Section 3.2). Therefore 160 bits in the input state A are fixed to 0 and the output is truncated to 160 bits (see Figure 5.2). The variables at the output are fixed to the given hash for the preimage attack.

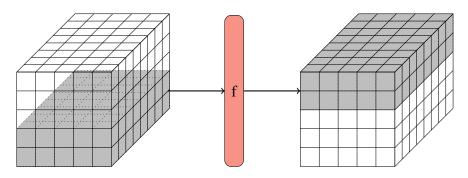


Figure 5.2: Variables corresponding to grey shaded bits are fixed.

Optimisation for last round

For a preimage some of the bits are fixed at the output. An important observation is that, if a full row at the output of χ is fixed then also the input row is known (as for Keccak[r = 240, c = 160] in Figure 5.2). This allows to ignore χ in the last round when searching for a preimage. If the row is not completely fixed then some of the free variables can be set to arbitrary values to achieve this.

5.1.6 Results

For computing the Gröbner basis of the Keccak equation system, Sage with the PolyBori library is used[44][45]. The Keccak challenges for Keccak[r = 240, c = 160] were chosen as a target for finding the preimage.

Table 5.1: Preimage for Keccak[r = 240, c = 160] challenges.

#Rounds	m	H(m)
1	f03c0243e2f090042cfe	d9d6d3c84d1ac1d75f96

Finding the Gröbner basis for 1 round only takes a few seconds. The problem for 1 round seems particular easy as one can ignore χ which makes the problem linear. For computing the Gröbner basis it did not make any difference whether this optimisation was used or not. For 2 rounds no solution could be found in a reasonable amount of time. The higher number of variables and increase in degree of non-linearity makes the problem more difficult for a higher number of rounds.

Possible approaches to improve this attack might be to use a different representation of Keccak, find further optimisations or adapt the Gröbner bases algorithms for this specific problem.

5.2 Differential Cryptanalysis

Differential cryptanalysis was first published by Biham and Shamir to analyse the block cipher DES [46]. The attack scenario is a chosen plaintext attack, which means the attacker can choose arbitrary messages and gets the encryption of it. Differential cryptanalysis observes how the difference between a pair of inputs affects the resulting output difference. While it was originally devised to analyse block ciphers the technique is also used for stream ciphers and hash functions.

Resistance to differential cryptanalysis is an important design criteria. Designers of cryptographic primitives have to argue or ideally proof that their algorithm is secure against differential cryptanalysis.

Differential cryptanalysis gives a natural approach to find collisions for hash functions. A message pair (M, M') with difference $\Delta in = M \oplus M' \neq 0$ which results in an output difference $h(M) \oplus h(M') = 0$ equals a collision as can be seen in Figure 5.3.

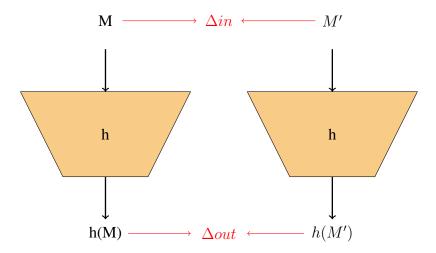


Figure 5.3: The relation between the input and output difference of two messages M and M' is used for the analysis.

5.2.1 Preliminaries

First some frequently used terminology is defined.

Definition 12. The **XOR difference** of two n-bit vectors a and a' is defined by

$$\Delta a = \Delta(a, a') = a \oplus a' \tag{5.13}$$

A cryptographic primitive is typically composed of multiple rounds. Therefore it is of interest how differences behave with respect to these functions.

Definition 13. A differential for a round-function f is denoted by

$$\Delta in \xrightarrow{f} \Delta out$$
 (5.14)

Definition 14. A **differential characteristic** is a sequence of differentials of the following form

$$\Delta a_0 \xrightarrow{f_0} \Delta a_1 \xrightarrow{f_1} \Delta a_2 \dots \xrightarrow{f_n} \Delta a_n \tag{5.15}$$

Linear Functions

Definition 15. The difference propagation for a linear function L, with respect to the difference operator, is deterministic. Given a pair of values M, M' and the difference $\Delta M = M \oplus M'$ the following equations holds

$$L(M) \oplus L(M') = L(M \oplus M') = L(\Delta M)$$
(5.16)

When the input difference of a linear function is known the output difference is also determined.

Non-Linear Functions

For non-linear functions the transition from a given input difference Δin to a given output difference Δout is probabilistic. A difference distribution table (DDT) is used for the analysis of non-linear functions. The DDT enumerates the number of solutions for ($\Delta in, \Delta out$)

$$\exists a \mid \mathbf{SBOX}(a) \oplus \mathbf{SBOX}(a \oplus \Delta in) = \Delta out \tag{5.17}$$

The DDT shows which input/output pairs $(\Delta in, \Delta out)$ are possible and how often they occur. Entries with zero occurrences are called impossible differentials. For computing the DDT of a non-linear function Algorithm 4 is used which enumerates all the valid pairs.

The following example illustrates this important property by using the s-box used in Keccaks χ function.

Example 4. Given the s-box in the non-linear function χ and the corresponding DDT (see Appendix A.1). If for instance the difference at the input $\Delta in = 0x08$

Algorithm 4 Constructing a DDT for a k-bit function <i>f</i>					
Precondition: DDT[k][k]					
for $a = 0 \dots k - 1$ do					
for $b = 0 \dots k - 1$ do					
$ ext{DDT}[a \oplus b][f(a) \oplus f(b)]$ ++					

then the set of possible output differences is $\Delta out = \{0x08, 0x09, 0x18, 0x19\}$ where each one is equally likely with a probability of 0.25. Depending on the values of the message pair the output difference will be one of these four choices as can be seen from Table 5.2.

ences ..

Table 5.2: Message pairs and their corresponding input and output differ-

Input (x, y)	Δin	Output (x, y)	Δout
(0x10, 0x18)	0x08	(0x12, 0x1a)	0x08
(0x11, 0x19)	0x08	(0x15, 0x1d)	0x08
(0x12, 0x1a)	0x08	(0x18, 0x10)	0x08
(0x13, 0x1b)	0x08	(0x1b, 0x13)	0x08
(0x18, 0x10)	0x08	(0x1a, 0x12)	0x08
(0x19, 0x11)	0x08	(0x1d, 0x15)	0x08
(0x1a, 0x12)	0x08	(0x10, 0x18)	0x08
(0x1b, 0x13)	0x08	(0x13, 0x1b)	0x08
(0x00, 0x08)	0x08	(0x00, 0x09)	0x09
:	÷	:	:

5.2.2 **Differential Properties of Keccak**

This section gives an overview of the differential behaviour of the Keccak step functions. It is essential to understand how these functions manipulate differences, because the following attacks make use of their properties. The most important features for each function are given here and a more detailed discussion can be found in the original Keccak specification [9].

Differential Properties of ρ

This step translates the bits in every lane by a constant value. Therefore differences are also rotated by the same constant.

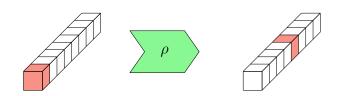


Figure 5.4: ρ shifts differences on the lanes.

Differential Properties of π

This step transposes the lanes, hence the differences in the lanes are also transposed to a new position.

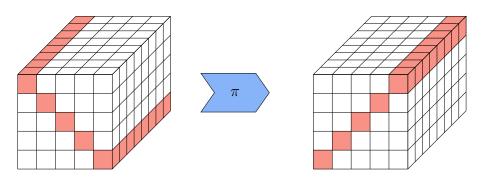


Figure 5.5: π transposes the lanes.

Differential Properties of θ

This step adds the bitwise sum of two columns to a bit. A single bit difference at the input of θ always affects two columns, hence 10 bits are changed. If there is an even number of differences in all columns (the parity is 0 for all columns) then this is called a column parity kernel[9]. This property is important for the analysis presented in Section 5.3 and will be examined in detail.

Differential Properties of ι

This step adds the same constant to both messages therefore it has no influence on the differences. For differential cryptanalysis this function is of no further interest and is omitted.

Differential Properties of χ

This step is composed of 5-bit s-boxes and is the only non-linear step in Keccak. Using Algorithm 4 the DDT for the 5-bit s-box is computed which can be found in Appendix A.1. From the DDT it follows that the maximum differential probability (MDP) is 2^{-2} .

5.2.3 Searching for Complex Differential Paths

De Cannière and Rechberger presented a method to search automatically for differential characteristics for SHA-1 [47]. This method is a generalization of the approach by Wang et al. [4] and allows all possible conditions on the values of pairs of bits for the analysis. The notation for generalized conditions can be found in Table 5.3.

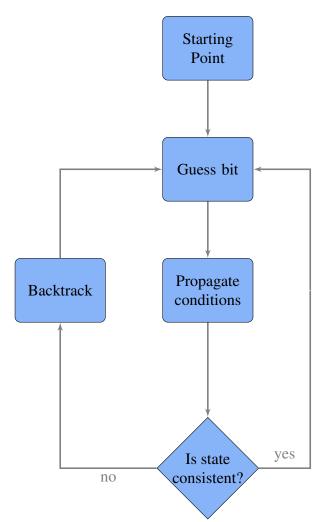


Figure 5.6: An outline showing the basic steps of the search strategy used to find differential characteristics.

The characteristics are constructed iteratively by adding more conditions on the state, propagating this conditions and check for consistency (see Figure 5.6). The idea for this search strategies originates from SAT solvers.

corresponding symbol used for notation.						
(X_i, X_i')	(0, 0)	(1,0)	(0,1)	(1,1)		
?	\checkmark	\checkmark	\checkmark	\checkmark		
-	\checkmark			\checkmark		
Х		\checkmark	\checkmark			
0	\checkmark					
u		\checkmark				
n			\checkmark			
1				\checkmark		
#						
3	\checkmark	\checkmark				
3 5 7	\checkmark		\checkmark			
	\checkmark	\checkmark	\checkmark			
A		\checkmark		\checkmark		
В	\checkmark	\checkmark		\checkmark		
C D			\checkmark	\checkmark		
D	\checkmark		\checkmark	\checkmark		
E		\checkmark	\checkmark	\checkmark		

Table 5.3: This table shows the 16 possible combination of pairs of bits and the corresponding symbol used for notation.

The cryptography research group at the IAIK developed a tool for cryptanalysis of hash function which is based on the concept of generalized conditions. It implements the functionality to propagate bit-conditions, check for consistency and backtracking and was used to analyse several hash functions including SHA-2[48][49][50].

Finding differential paths for Keccak

Constructing differential characteristics manually seems to be hard for Keccak due to the size of the state and the properties of the step functions. As part of this thesis the previously mentioned tool was extended to support the Keccak hash function and different search strategies have been evaluated to possibly find collisions for reduced round versions.

The state of Keccak is large compared to SHA-2. A single 32-bit word is updated in every of the 64 rounds. For Keccak with 64-bit lanesize the state for one of the 24 rounds is already 1600 bits large. This does not necessarily imply that also the search space grows by a proportional factor because conditions might propagate faster or contradictions might be detected earlier in the search process. Another concern is that there is no message input between the rounds of Keccak which could be used to create local collisions. These collisions could be used to cancel out differences at an intermediate output to reduce the overall complexity of a characteristic.

Table 5.4: Starting point for a 2-round collision search. Some bits of the message are fixed due to padding and the specification of the sponge construction. The only other restriction is that the output bits for the hash contain no difference.

Name			State		
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
A[0]	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	11?????????????????????????????????????
	00000000000000000	00000000000000000	00000000000000000	00000000000000000	00000000000000000
	000000000000000000000000000000000000000	000000000000000000000000000000000000000	000000000000000000000000000000000000000	000000000000000000000000000000000000000	000000000000000000000000000000000000000
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
B[1]	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
A[1]	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
B[2]	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
A[2]	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????
	???????????????????????????????????????	???????????????????????????????????????	???????????????????????????????????????	??????????????????????????????????????	???????????????????????????????????????

In the following sections the search strategy for Keccak will be explained in detail. For the purpose of demonstration a lanesize of 16 bits is used but the same strategy can also be used for 32-bit and 64-bit lanesize.

Starting Point

The starting point for a collision search for Keccak[240, 160] can be found in Table 5.4. Each lane is mapped to a 16-bit word and the words are ordered in a 5×5 matrix. The message block is padded and the output bits contributing to the hash value are set to "—" which equals no difference.

The naming convention (see Figure 5.7) for the states in Table 5.4 is the following:

- *A* is the input to the linear layer.
- *B* is the input to the non-linear layer.

Search Strategy

The search strategy for Keccak is based on the approach used for SHA-2 in [49]. The idea is to combine the search for a differential characteristic and a corresponding message pair in the search process. This two processes can further be split up in three parts:

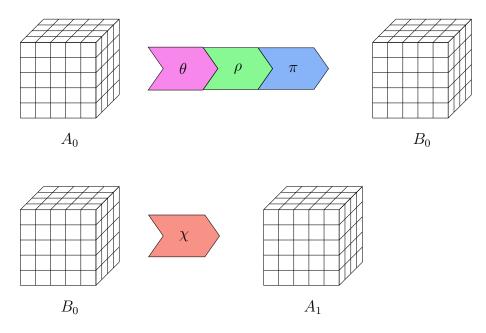


Figure 5.7: Notation used for the states.

- Decision: Choose a bit position for which a new condition is set.
- Deduction: Propagate conditions and check if the state is consistent.
- **Backtracking:** If the state is inconsistent then revert the previous choice for this bit and set a different condition. If all choices fail then it is necessary to jump back to a previous state to resolve this conflict.

The algorithm processes the state from the starting point and restricts bit conditions successively until only "1", "0", "n" and "u" conditions remain and the message

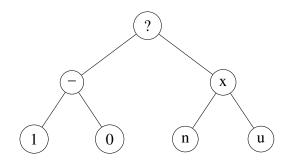


Figure 5.8: This tree shows how the search refines the conditions from free pairs of bits ('?') to pairs of bits with a difference ('x') and pairs of bits that are equal ('-').

pair is fully determined. This can be seen from Table 5.3 as there is only one option for these conditions.

Finding the differential characteristic

The starting point in Table 5.4 contains no differences. Therefore, a random bit is set to "x" at the beginning of the search. This is essential for the following algorithm as only a trivial solution, where both messages are the same would be found elsewise.

The decision step for finding a differential characteristic chooses a random free bit ("?" condition) and tries to set it to equal ("-" condition) or a random difference ("x" condition) and set it to "n" or "u". If a contradiction is found after propagating then the other possible choice for this bit is used.

The reason behind choosing "-" first for a "?" condition is to have less differences in the resulting path which generally leads to a higher probability. Algorithm 5 outlines this process in detail and the result of this computation can be found in Table 5.5.

Algorithm 5 Keccak Search - Characteristic						
Precondition: Starting point S						
1 Choose a random '?' in S and set to 'x'						
2 repeat						
3 $U \leftarrow$ the set of all '?' and 'x'						
4 $B \leftarrow$ a random bit in U						
5 if $B == ??$ then	Decision					
$B \leftarrow -$						
7 else if $B == \mathbf{x}$ then						
8 $B \leftarrow$ randomly 'n' or 'u'						
9 Propagate conditions for the new state	1					
10 Check the new state for contradictions	Deduction					
if found contradiction then						
12 if $B == '-'$ then	j					
13 $B \leftarrow \mathbf{x}$						
14 else if $B ==$ 'n' then						
15 $B \leftarrow \mathbf{'u'}$						
16 else if $B == 'u'$ then	Backtracking					
17 $B \leftarrow \mathbf{n}$						
18 if contradiction still exists then						
19Jump back until bit is resolved	J					
20 until U empty						

Finding a message pair

The process of finding a message pair is very similar. First a random "-" condition is chosen and set to either "1" or "0" and the conditions are propagated. If a contradic-

Name			State		
	u-n-n-un-un uun	un nu-uun	nn-nu-u uun	unu-nnn- -nu-uuuu	n-n-uunn-uu u-un-u-un-
A[0]	n-un-nu- 0000000000000000 0000000000	unu-u 00000000000000000 0000000000	uuun 0000000000000000 0000000000	unuu 00000000000000000 0000000000	11nun-n 00000000000000000000000000
A[1]	unn u-unu uuu n-nnunnu -nuun-	nnu nn -ununn u	u-unuuu uun- unnu-nu -u-nnu-uu unuu-nu	nunnu-u- uu-u-un n-n-nn- nuunu-u- uuunnuuu	n-u-nu uun- -u-u-n-u-u-u -uunuu-
A[2]	nnnunuun-u u-nnn	 nnun-nunnnn unu-nun- -nu-u-n	nu nnun-n-uunnnu -unu-nu-nnn- u-uu-n-nn-uun-n-		

Table 5.5: A differential characteristic found with the iterative approach for2 rounds of Keccak[240, 160].

tion occurs the other possible choice is selected or if both choices fail the algorithm needs to jump back to a previous state to resolve the conflict. The whole process is outlined in Algorithm 6 and the corresponding result can be found in Table 5.6.

Algorithm 6 Keccak Search - Message	Algorithm 6 Keccak Search - Message					
Precondition: State containing only '-', 'n' an	id 'u'					
1 repeat 2 $U \leftarrow$ the set of all '-'						
$\begin{array}{ccc} 2 & 0 \leftarrow \text{ the set of all } - \\ 3 & B \leftarrow \text{ a random bit in } U \end{array} \qquad \qquad \text{Decision}$						
4 $B \leftarrow \text{randomly '1' or '0'}$						
5 Propagate conditions for the new state)					
6 Check the new state for contradictions	Deduction					
7 if found contradiction then						
8 if <i>B</i> == '1' then						
9 $B \leftarrow '0'$						
10 else if $B == 0$ ' then						
11 $B \leftarrow '1'$	Backtracking					
12 if contradiction still exists then						
13Jump back until bit is resolved						
14 until U not empty						

5.2.4 Collisions for 4-round Keccak

The previous approach failed to find any differential characteristics and corresponding message pairs for more than 2 rounds. It is possible to extend the attack to 4 rounds by using the approach by Dinur, Dunkelman and Shamir presented in [35]. The idea is to use a 2-round path with a high probability and combine it with a 2-round path which connects with the starting point (see Figure 5.9).

Name State 11011u0n1n1un1un u1100uu11100100n n111n10n11100u1u u0111nu0nn0001n1 n1n0uun101n0uu01 11u00u1011n0110n 101n0u001n1n10u0 n11u0uu111000001 10101u01n10u1u10 uu01111u1000110n 101u001uu10n0111 0nu1uuu00100011 01u111nuu0000011 u0u0100n1u0u11n0 A[0] 11n110u0111n1n10 11011011000u10nn 10n1110u1000nu00 11u0un11u111uu11 nun00011011nu1u0 n0u1n10111000u01 11u1u1111n11u100 u0000011111u11n1 01u10001u01un101 100uu001100000n1 11001<mark>n</mark>01100n0110 u01n00nu0n10011u 0u0n00n00110u0uu A[1] 10000010101u00uu 1u100n00u10n010n 100001n0n1n001n1 0u1u0n1u0u0u0001 00010u11100u1110 nuu00010000nu1u0 Ouun10u1111u11ur n0nn01000unn001u 1nu0110u001111n1 10u101n000un1unn u11n00uu0n10111u uu10u0101n01nuuu 101<mark>nu</mark>110101000<mark>u</mark>0 0100000101101011 110000010000111 1000111001001010 1001001110000111 1111001110011111 1111000101001101 1100nnn00unuun1u 1001110001001001 nnun0n11u011nnnn 1011000010011101 nnun1n0uunn001nu 1111100101010111 u01nnn1u01n00uu1 1011010100001000 n10n0u11uununn1n A[2] u0nn110010n01001 un110000u1nu11n0 1un11u0nu1n11nn1 0nn10u01u000nn0n 0un10u0111unn0n0 00u00nn0nn0n10nu u000nu00010n00n1 1n100u1u01n10111 uluuln0nn0uunln1 nnuuu1nun0n0unuu 2 rounds 2 rounds

Table 5.6: A 2 round collision for Keccak[240, 160].

high probability path connect to start

Figure 5.9: Outline of the 4-round attack.

The high probability paths are constructed using the column-parity property which is discussed in Section 5.3. The connection with the starting point is done with the iterative approach presented in the previous section. This approach only works if there are enough free bits in the message. Hence, collisions could only be constructed for Keccak with 64-bit lanesize.

In Table 5.7 the differential characteristic for Keccak [1088, 512] which is used to find a 4-round collision is shown. The corresponding message pair can be found in Appendix A.4. The search for this specific message pair took 78 seconds on a standard PC¹.

¹1,86 GHz (SL9400) Intel Core 2 Duo, 4GB Ram, SSD

Table 5.7: A 4-round differential characteristic for Keccak with 64-bit lanesize. All words are converted to hexadecimal values and every non zero bit is a difference at this position. The dense structure in the first two rounds can be seen followed by a sparse column parity kernel over two rounds.

Name			State		
	b3-78891a9372f5-	8751b674255e59c1	b6558bf983a14d1-	4397b59dec18fec2	aeae97e4baa63e94
	2585a6a9-c7-bcf5	944c32a58-8fb985	f5acfd62-c2e-b66	cb661a9bacd18a9c	4547f7a74fd2d938
A[0]	-8dd1ad242369c6d	ca7faf6-6413b61e	8ed1682716e45	2c2a951b7c9597ce	4-c6ab73d1adf3d1
	d-b59d454d-cbf-c	-4fced51b821cb63			
	26978af134cb835e	af224c4d78366789	c4dae35e2656f26b	357c4789af3-6af1	78d3526bc6a74c4d
	26978af134cb835e	af224c4d78366789	c4dae35e2656f26b	357c4789af3-6af1	78d3526bc6a74c4d
A[1]	26978af134cb835e	af224c4d78366789	c4dae35e2676f26b	357c4789af3-6af1	78d3526bc4a74c4d
	26978af134cb835e	af224c4d78366789	c4dae35e265ef26b	357c4789af3-4af1	78d3526bc6a74c4d
	26978af134cb835e	af226c4d78366789	c4dae35e2656f26b	35fc4789af3-6af1	78d3526bc6a74c4d
			1	4	
A[2]					
				4	8
			1		8
				8	2
	4				2
A[3]				8	
	4				
	a	2		8	8
A[4]	1				1
	4-1	4			

5.3 Combining Kernel Paths

This section presents a method to find collisions for Keccak by combining differential paths. The idea is to use multiple paths and combine them such that differences cancel out and lead to a collision. This might allow to extend the attack on more rounds, if such a combination exists. In this case the differential paths should have a high probability in order to keep the overall complexity of the attack low.

The next section presents an algorithm to find all the high probability paths for two rounds in detail followed by two techniques to find combinations leading to collisions.

5.3.1 Kernel

It is important to first argue on how to construct a differential path for Keccak, such that the resulting probability is high. The linear steps are all deterministic and only the input to χ contributes to the resulting probability of the differential path. Therefore a low Hamming weight in this inputs will result in a high probability for the differential path. The function responsible for most of the diffusion in Keccak is θ . However, θ has properties which can be used to mitigate this effect (see Section 5.2.2).

As defined by the authors of Keccak a state is in the column parity kernel, if the parity of all columns is 0. In this case θ becomes the identity function. This can be utilised to create high probability differential paths, since π and ρ provide no diffusion and χ provides only slow diffusion. There is a restriction to this by the interaction of the linear functions π , ρ and θ . This functions guarantee that no low weight kernel over three consecutive rounds exists [9].

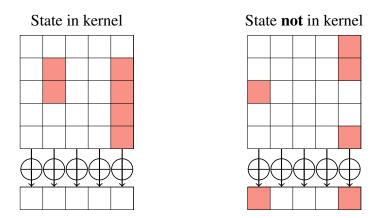


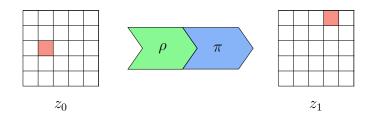
Figure 5.10: States containing differences with the corresponding column parity.

The second property of Keccak which is applied to keep the number of active bits low is that a single bit difference at the input of χ leads to a single bit difference at the output of χ with probability of 2^{-2} . This can be seen from the DDT which can be found in Appendix A.1.

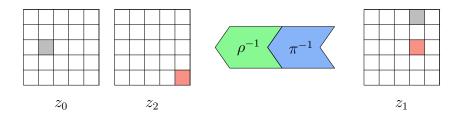
5.3.2 Finding Kernels

There is a only a limited number of kernel paths up to a given Hamming weight. The following procedure constructs all these paths [32]:

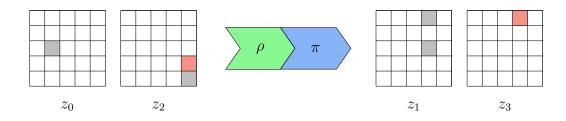
First select an arbitrary bit as a starting point in state S₀ and compute π(ρ(S₀)) = S₁. These functions move this bit to a different position. Note that the position on the z-coordinate is also different which is denoted as z_o, z₁, z₂ and z₃.



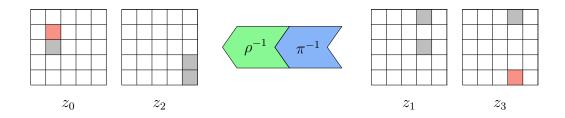
2. Next add a second difference in the same column to S_1 and compute $\rho^{-1}(\pi^{-1}(S_1))$.



3. Add another difference in the same column in S_0 and compute $S_1 = \pi(\rho(S_0))$.



4. Put a difference in the same column and compute $S_0 = \rho^{-1}(\pi^{-1}(S_1))$.



5. Check if the difference added at last is moved to z_0 . If so, a column parity kernel for 2-rounds has been found with a Hamming weight of 8. Elsewise the procedure can be continued to find kernels with a higher Hamming weight.

For a kernel containing n differences (per round) the complexity of this procedure is given by

$$\mathcal{O}(25 \cdot 4^{n-1}) \tag{5.18}$$

Table 5.8 lists the results for Keccak[240, 160] and Keccak[1344, 256]. For Keccak[1344, 256] all kernels have at least a Hamming weight of 12 while for Keccak[240, 160] there are 64 kernels with a Hamming weight of 8. An example for a kernel can be found in Table 5.9.

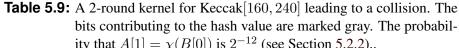
Table 5.8: Results of the kernel search.

Keccak[r,c]	#Kernels	#Collision	#1-bit χ input over two rounds
Keccak[240, 160]	672	16	608
Keccak[1344, 256]	512	64	448

5.3.3 Combining Kernels

Kernel paths have a high probability but are limited to two rounds. The idea is to create a differential path by combining multiple kernel paths such that a collision occurs for more than two rounds.

For an attack to be feasible the probability of the resulting differential path must be greater than $2^{-n/2}$ where *n* is the size of the hash-value. The kernels found in the previous section utilise the property that χ is the identity function for 1bit difference input with probability 2^{-2} . To get a low number of active bits this property is preferable kept over the 2 rounds. This results in a probability of 2^{-24} for a 2-round kernel. Table 5.8 highlights the number of kernels for which this property holds.



	Ity that $A[1] = \chi(D[0])$ is	2 (See Section 5.2.2)
Name		State
		x
A[0]	x	x
	x	x
		x00
B[0]	0	1
		1
		x
A[1]		1x 1x
	x	
B[1]	X	xxx
	x	x
	-? <mark>x</mark>	
	?x?	x??-

The question which arises now is how this paths behave if we propagate the conditions an additional round forward. This can be done with the same tool used to find the differential paths in Section 5.2. The results of such a propagation of a 2-round kernel can be seen in Table 5.10.

Condition for collision

The first *n* bits of A[3] are the hash output, where *n* is the length of the hash. For a collision these bits are not allowed to have any differences. An important observation is that A[3] contains no differences in the first *n* bits if and only if B[2]

Table 5.10: Propagation for 3 rounds of the path in Table 5.9. The bits contributing to the hash value are marked gray. $A[2] = \chi(B[1])$ holds with a probability of 2^{-12} (see Section 5.2.2).

Name			State		
A[2]	1	x	1	x -1	x
	1x-	x	x		1-
	xx-x	xx	xxx		xx
		-xx	-xx-x	-x	xx
B[2]	xx	xxx	x	xx	xx-xx
	xx	xx-x	xxx	xxx	
_	xxx		xxx-	xx-x-	xx
	?-xx-x-???	x???	?-xxx?	?	?-??-?-?x
	-??-??	-??-? x	-?x-x??	-x??	-?xx?
A[3]		x???xx			
	??x??x??	xx-x??????	x???xx	?xxx?	?????
	??xx?-	????-?-	x?x??-?-	?x?-x-	x???x

contains no difference in the first *n* bits. This fact is due the structure of χ .

The first n bits of B[2] are extracted for all kernels to obtain the hash output vectors h_i . The target for combining the kernels is to find a combination of h_i such that all x conditions cancel out and the resulting state has no differences in the hash output.

Proposition 1. The kernel paths behave linear (with a certain probability), hence it can be derived that a combination leading to a collision will give a colliding input too. Given the hash output vector $h_0 = f(m_0)$, $h_1 = f(m_1)$ and assuming this combination gives a solution $h_0 \oplus h_1 = 0$. Due f(x) being a linear function this implies $f(m_0 + m_1) = 0$. Therefore the input $m_0 + m_1$ will lead to a collision.

There are some restrictions and requirements on the kernels and the resulting differential path obtained by combining them. First the quantity of kernels which can be used simultaneously is limited. A single two round kernel has a probability of 2^{-24} , therefore combining more than five kernels would already result in an attack worse than brute-force for 256-bit hash output.

Apart from the problem of handling the number of kernels and combinations the resulting differential path might be inconsistent. Consequently every possible colliding path obtained has to be validated.

Consistency Checks

First of all it is important that the kernels used do not share differences at the same bit-positions in the first two rounds or the resulting differential path will not lead to a collision. If the kernels share a single difference the parity of the state changes and θ does not act as the identity function. If the differences are all distinct then the new differential path can be obtained by adding up the kernel paths.

After this process the resulting differential path can still be invalid due to the properties of χ . The kernel paths are all fixed to a 1-bit difference input to χ , but after combining them this property might be violated. For every 5-bit s-box it is necessary to check if $DDT[\Delta in][\Delta out] \neq 0$ (see Table 5.11). The resulting path might have a lower probability in this case.

Name			State		
B[1]			1 <mark>0</mark> 0		0 <mark>-</mark> x
	x-	0-	x	0	1-
A[2]			1	<mark>x-</mark> 1	<mark>x</mark>
	1	x			
	x-	1	x		1-

Table 5.11: Checking for valid input/output pair for χ . The input/output to a single 5-bit s-box is marked blue.

Linear Algebra for Combing Kernels

The first method to find these combinations of kernels is based on the problem of finding the null space² of a matrix. The null space of a $m \times n$ matrix A is defined by

$$\operatorname{Null}(A) = \{ x \in \mathbb{F}^n : Ax = 0 \}$$
(5.19)

This set can be efficiently computed using Gaussian elimination. In fact by constructing an appropriate matrix from the hash output vectors the null space gives **all** the existing solutions for combining kernels to a collision. The matrix is defined by

$$A = \begin{pmatrix} h_0^T & h_1^T & \cdots & h_j^T \\ 0 & 0 & 0 \end{pmatrix}$$
(5.20)

where h_i are the hash output vectors mapped to \mathbb{F}_2 . All 'x' conditions are mapped to 1, whereas all '-' conditions are mapped to 0. For example given the following five vectors

$$h_0 = (\mathbf{x} - \mathbf{x}) \tag{5.21}$$

$$h_1 = (-x - -) \tag{5.22}$$

$$h_2 = (-\mathbf{x} - \mathbf{x}) \tag{5.23}$$

$$h_3 = (---x)$$
 (5.24)

$$h_4 = (x - - -)$$
 (5.25)

 $^{^{2}}$ Also referred to as the kernel of a matrix, but the term null space is favourable in this case to avoid any misconceptions.

The corresponding matrix obtained by using definition 5.20 is

$$A = \begin{pmatrix} 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 \end{pmatrix}$$
(5.26)

and computing the null space gives

$$\operatorname{Null}(A) = \begin{pmatrix} 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 & 0 \end{pmatrix}$$
(5.27)

This matrix represents a basis for all the solutions to Ax = 0. The rows in the matrix give the solutions $h_0 \oplus h_3 \oplus h_4 = 0$, $h_1 \oplus h_2 \oplus h_3 = 0$ and by linear combination of them a third solution $h_0 \oplus h_1 \oplus h_2 \oplus h_4 = 0$ is found.

The same procedure is now applied to the hash output vectors obtained from the kernel paths for both variants of Keccak.

For Keccak[1344, 256] the number of kernels is 448. Therefore A is of dimensions 256 × 448. The null space of A is of dimensions 192 × 448 and provides all solutions, putting aside the consistency checks. There are a total of 2¹⁹² - 1 solutions because all linear combinations are a solution too.

The null space of A contains 38 rows with a Hamming weight of 3 which are good candidates for a solution. For each row the corresponding kernels are combined and the consistency checks are applied. For this combinations the difference all overlap, hence no solution exists for combining these kernels.

For Keccak[240, 160] the matrix A is of size 160 × 608 and the dimensions of null(A) are 448 × 608. The null space has 41 rows with Hamming weight of 3 and 22 with Hamming weight of 4. For all this rows the kernel combinations are constructed and the consistency checks are applied. Again no solution exists without differences overlapping in the first two rounds.

For both Keccak variants only trivial solutions are found which would result in an input state with zero differences. Consequently no colliding input can be constructed with the previous methods. This confirms that no sparse characteristic constructed from multiple kernel paths will lead to a collision for 3 rounds of Keccak.

It might still be possible to find additional solutions by using the linear combinations of Null(A). The problem here is to find combinations with low Hamming

Table 5.12: Below are the input difference for 3 different kernels. The combination of them leads to a trivial collision as every difference occurs exactly two times

Name				
			 x	x
A[0]		x	 x	
		x	 	X
	x		 x	
A[0]		x	 x	
	x	x	 	
	x		 	x
A[0]			 	
	x	x	 	
		x	 	x

weight which is known to be a hard problem. A possible approach might be to construct a linear code from Null(A). This allows to search for code words with a low Hamming weight for instance with the probabilistic algorithm by Canteaut and Chabaud [51].

General Algorithm

This algorithm checks for every combination of k vectors if the combination leads to a collision. The requirement to obtain a vector with zero differences are that for all bit positions:

- 1. the number of 'x' conditions is even
- 2. there is at least one '?' condition

The main advantage of this algorithm is that it can be applied if the states contains free bits (bits with '?' conditions). This can be useful for instance if the input to χ in the second round is not fixed. In this case there will be undetermined bits in the resulting hash vector. This bits will flip to '-' or 'x' with a specific probability depending on the actual message pair. As a result this might lead to additional solutions.

Listing 5.2: An algorithm to find a combination of kernels leading to a colli-

```
sion.
```

```
def solve_combinations(hashoutput_vectors, k, wordsize):
    C = Combinations(hashoutput_vectors, k)
    result = []
    it = iter(C)
    while True:
```

```
try:
    combination = it.next()
    isSolution = true
    for lane_index in range(0, wordsize):
        cond_diff = 0
        cond_free = 0
        for row_index in combination:
            if(row_index[lane_index] == "x"):
                cond_diff += 1
            if(row index[lane index] == "?"):
                cond_free += 1
        if((cond diff % 2) != 0):
              if(cond_free == 0):
                  isSolution = false
    if(isSolution):
       result.append(combination)
except StopIteration:
    print "All combinations tested"
    return result
```

The main drawback with this kind of algorithm is that it needs to loop over all possible combinations which makes it infeasible to check combinations for larger values of k. Fortunately these combinations are not of interest because they lead to denser paths with lower probability. The number of ways to pick k kernels out of n possibilities is given by the binomial coefficient

$$C(n,k) = \binom{n}{k} = \frac{n!}{k!(n-k)!}$$
(5.28)

The total number of combinations that need to be tested for Keccak[160, 240] and Keccak[256, 1344] can be found in Table 5.14.

For Keccak[1344, 256] this procedure finds 64 solutions for combinations of 4 kernels. For Keccak[240, 160] this procedure finds 288 solutions of 3 kernels. However, this solutions are all trivial because all of the input difference cancel out.

Table 5.13: An example for combining three hash output vectors.

Vector		Conditions	
$\overline{h_0}$	xx	хх	??
h_1	x	??	?x
h_2	X	x?	?
Result	x-xx		

Table 5.14: Number of combinations tested.												
Keccak[r, c]	#Kernels	k	C(n,k)									
Keccak[240, 160]	608	3	$\approx 2^{25.2}$									
$\operatorname{Keccak}[1344, 256]$	448	4	$\approx 2^{30.6}$									

Table 5.14: Number of combinations tested.

Table 5.15: A 2-round kernel with 64-bit lanesize leading to a 384-bit collision.

Name			State		
					1
A[0]	1		2		1
	1		2		
			1		
			8		4
[1]	8				4
	8		1		
			8		
		4	-4		1
[2]		2			
				8	
	4		-2	1	

5.3.4 Kernels for larger output sizes

The method of combining kernels can be used to find new kernels which lead to collisions for a higher number of bits. The method presented in the previous section finds new paths which could enable attacks on Keccak variants with a higher output size.

- 384-bit: Combinations of 2 kernels (128 solutions)
- 448-bit: Combinations of 4 kernels (64 solutions)
- 470-bit: Combinations of 4/12/16 kernels (33/5/4 solutions)
- 500-bit: Combinations of 4/24/28 kernels (3/2/7 solutions)
- 502-bit: Combinations of 4/28 kernels (1/9 solutions)
- 512-bit: Combinations of 256 Kernels (1 solution, impossible differential)

The following paths all have a lanesize of 64 bits, therefore the conditions are encoded as hexadecimal values to reduce the size of the tables. In these tables differences are given as XOR values.

The paths found with this method are good candidates to use in the 4 round attack presented in Section 5.2. The capacity has to be increased in relation to the

Name			State		
A[0]	4_ 4_	12- 12-	18 18	4 4 	4 4
A[1]	4_ 4_ 24_		8-4- 2 8-4-	 	
A[2]	2-1-	2	2 2-1 18	8 4_2	

Table 5.16: A 2-round kernel with 64-bit lanesize leading to 502-bit collision. The XOR-differences are given as hex values.

Table 5.17: A 4-round characteristic leading to a collision for 384 bits with the capacity being reduced to 512 bits.

Name			State		
A[0]	caf81dbdb8a3a36- 631816cbb789ba6f e8a4f3eaf78d85c6 29c4ca71149df311 	9415151caf6e728- aae789a561f1a351 39541c87edcd5fb7 3b33411a3f8685b4 	74da685b3459b13f ffb26761e-d8cfd8 179838c55f368ea9 	-5d4352d2aa3a9db 9a9e15bf4122488- ca1871ca71fde7aa 	1a9-2fbc859d1273 a5991dfc33b3afa7 3475a962932863f1
A[1]	ea6b826bc4d7a9e3 ea6b826bc4d7a9e3 ea6b826bc4d7a9e3 ea6b826bc4d7a9e3 ea6b826bc4d7a9e3 ea6b826bc4d7ade3	82f13af135e2789a -2f13af135e27c9a -2f13af135e2789a -2f13af135e2789a -2f13af135e2789a -2f13af135e2789a	f44c5c4d789aeabc f44d5c4d789aeab8 f44c5c4d789aeab8 f44c5c4d789aeab8 f44c5c4d789aeab8 f44c5c4d789aeab8	2b5eb35e26bc4b11 2b5eb35e26bc4b11 3b5eb35e26bc4b11 2b5eb35e26bc4b11 2b5eb35e26bc4b11	ef89b789af131-d6 ef89b789af131-d6 ef89f789af131-d6 ef89b789af131-d6 ef89b789af135-d6
A[2]	1 1	4 4	2 2		1 1
A[3]			1 8 1 8	 	4 4
A[4]	2 2 42		-41 8 -2	 8 41	1 1

outputsize to get the desired security level when using the sponge construction. This leads to less free message input which can be used to connect the high probability 2-round paths with the starting point.

No solution was found to connect the paths in Table 5.15 and Table 5.16 to the starting point. If the capacity is reduced to 512 bits a solution can be found for the 384-bit path. The complete 4-round characteristic can be found in Table 5.17 and the message pair in the Appendix A.5. Finding this message pair took 294 seconds.

The path in Table 5.16 can be used to find two round collisions for a capacity up to 672 and output size 502.

6 Conclusion

In the first chapters of this thesis, cryptographic hash functions and the generic attacks applicable to them have been discussed. The hash function Keccak was presented in detail and an overview of the current state of attacks was given. The use of algebraic attacks and how they can be applied on Keccak has been evaluated. The main part of this thesis focused on differential cryptanalysis and the attack strategy was presented in detail.

A new method to find 4-round collisions for Keccak has been presented by using a similar approach to the attack by Dinur et al. By connecting a 2-round columnparity kernel path to the starting point an attack on 4 rounds is possible. A toolassisted method based on generalized conditions was used to find these connections to the starting point and the corresponding message pair. The method is practical and takes only a few minutes on recent hardware. Examples for this colliding message pairs have been shown.

Furthermore, this thesis presented a technique to find new differential characteristics by combing 2-round kernel paths. The analysis of the combination of these paths shows that there is no combination of low weight kernels leading to a collision for 3 rounds. However, new differential characteristics for larger output sizes can be found, which might allow new attacks on these variants of Keccak.

Future work will include optimisations for the tool-assisted method to improve

the search process. Detecting contradictions earlier would reduce the time spend in dead branches of the search tree, which could improve the running time. A further improvement could be to use multiple message blocks. The attacks in this thesis are using only a single message block. Additional message blocks would give more freedom at the input and might enable new attacks at the cost of an increased search space.

The differential characteristics found by combining kernel paths could be used in the 4-round attack scenario for larger versions of Keccak. With the current implementation no solution was found in a reasonable amount of time as there is significant less free message input. The previously mentioned optimisations might enable such an attack.



A.1 Notation for Keccak State

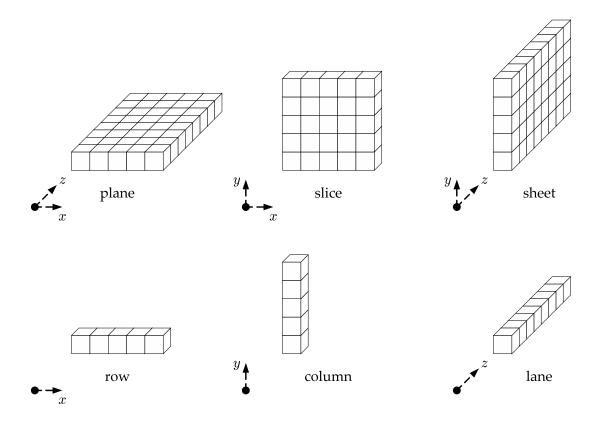


Figure A.1: Additional terms used for specific parts of the state¹.

 $^{^{1}}Image\;from\;\texttt{http://keccak.noekeon.org/}$

A.2 Differential Distribution Table

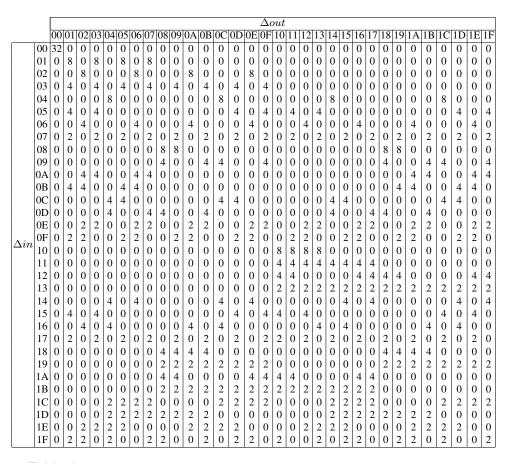


Table A.1: Differential distribution table for Keccak χ step. MSB order is used.

A.3 Colliding message pairs

Table A.2: A colliding message pair for 2 rounds of Keccak [240, 160].

 M_1 :

D9ED61C9FDE23CC7E361C2EDE1C11E8D5023098EB0F8A9CAA2575E03F8FE

 M_2 :

 DC
 B6
 E7
 C8
 74
 E7
 BA
 05
 4D
 4D

 E6
 CC
 77
 C1
 DF
 8C
 3F
 23
 A8
 DC

 A4
 AA
 AD
 5E
 B3
 C7
 7D
 83
 DA
 EA

 $H(M_1) = H(M_2)$:

78 42 97 D0 4A 8E 07 13 1F 62 4D D1 49 BC 9D 90 77 D9 28 95

Table A.3: A colliding message pair for 4 rounds of Keccak[1440, 160].

 M_1 : 6D 3F 8F 98 19 8E CB 1A 61 BB 16 48 9D 91 4C 5B 43 CB 8F AC BD 61 5B 41 B1 11 C3 7F F2 6B E8 54 4A 87 7A 81 EE BA 17 B1 80 5D 72 OC AF 57 9D 30 86 A5 BE 9B ED DF 96 5E 78 72 AE 83 0B FE 2C 30 EA 6B 60 CF E8 1E F0 C4 1F E8 14 05 37 57 B4 A5 76 53 66 62 7D AF 54 E6 CA 96 55 2A 1A 77 E3 C4 99 25 D3 85 57 1C DA 67 5D 4E D5 A0 C3 B3 33 48 41 6D 6E 47 C7 44 EC 70 33 88 64 9D 70 16 84 4F BE 3B C2 C7 61 35 C4 47 9B 5D C7 24 DD 80 0B 83 F3 48 33 43 66 4D 76 3C 08 A7 B7 59 AB 70 29 2F B2 08 02 11 62 C5 83 42 22 14 94 76 01 81 ED 89 8D 9A 38 F8 M_2 : CO 67 25 47 EA 89 71 7B 7B 56 9A 21 CF 97 BA 6F F8 94 09 D7 88 D9 9D 3B 2F 19 B4 FE 08 67 C3 79 F7 08 10 64 B8 98 66 65 36 B0 97 43 96 89 27 29 D8 53 B1 16 2C F7 51 70 55 97 22 21 37 E9 65 0D 39 AE E0 71 40 53 A4 01 6E A1 F2 FD 4D 4C 0B 3B 0C 2E B7 3E 0B 5D 2C 9A C3 62 1F 33 14 7D A7 50 9F 30 71 E0 OF OC A7 9B FA 96 88 66 1A 8E A5 21 B1 83 1B 66 55 DE C9 E1 5E 55 4B 69 47 80 88 30 1E 1B 21 E5 AB B7 00 25 AE 84 5A CE F1 D0 14 0B 06 AC 50 CD FC 11 68 FF B8 94 CA 90 CE 02 36 B1 A9 F6 FF 72 C3 A5 08 76 D9 21 AA 78 60 30 FB 49 E6 0B 2E C3

 $H(M_1) = H(M_2):$

69 32 CB 92 D9 02 1C CD 5C C2 C0 4F 4F 17 B8 EA 1C 67 EF 10

Table A.4: A colliding message pair for 4 rounds of Keccak[1088, 512].																
M_1	:															
ЪС	2.2	0.0	5 7	60	ΕŪ	1 0	71	БĊ	П	0.2	ΠO	05	ъЭ	7 5	0.0	34
-	22	00	57	69 D2	5E	12		EC			F0		A3	AF	80	
70	01	6C 71	1C	B3	31	9C	6E	F5	F6	32	D7	30	96 CE	8D	79	E8
2C	07	71	DB 1 E	42 D0	34 DE	E0	53	90 50	76	72	78 1 D	32	65 E 4	26	A8	14
94 E4	76	7e dd	15 D0	B0	D5	C4	AA		AB DE	57 52	1D	E2	54 45	9B 1 7	4C	5E
E4	12	DD 97	D0 D2	55		86 D9	DC D0	42	В5 30	53 75	48	FA 01	45	17	5A DO	4B
A5 88	A6 11	97 7B	D2 E6	23 9F	40 E7	A3	4D	DA 84	30 99	7F DA	AB 15	91 5D	06 12	87 72	В0 2F	C4 CB
22	B0	7 D 8 D	AF	E9	CB	4D		71	DA		BF	A4	ED	2D	2r 34	05
ΖZ	ЪV	οD	Ar	£9	СЬ	4 D	ЗТ	/ 1	DA	20	Dſ	A4	БD	ZD	54	05
M_2	:															
6F	25	88	C6	С0	69	3D	21	6B	DA	35	84	в0	FD	F6	41	82
25	8A	95	9F	12	7C	8C	2D	62	43	AF	3В	28	68	4F	D7	46
BB	EЗ	СВ	7D	7C	AO	С5	D6	36	DF	7E	08	8E	90	В2	E4	26
31	F6	F1	AC	35	20	68	57	34	Α7	79	16	84	9F	FD	56	С5
48	С3	57	4C	10	CD	71	7B	0D	67	8A	70	F2	98	0D	88	09
93	ЗA	FA	18	5C	EF	В9	Β4	С9	86	61	25	40	6E	87	В2	В5
E6	54	57	CC	0A	FC	DF	D8	13	57	9A	DЗ	F6	61	A3	82	38
FЗ	60	38	32	AC	86	41	8E	7D	DE	C4	52	F5	55	0C	FF	66
H(M_1)	= H	$M(M_2)$):												
AA	57	74	2C	5F	DE	СС	5F	D7	67	9C	F7	4E	8F	7D	96	
В3	В1	C2	8D	17	46	ΟE	DB	5E	40	FF	28	64	FC	13	78	

Table A.4: A colliding message pair for 4 rounds of Keccak[1088, 512].

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Table A.5: A colliding message pair for 4 rounds of Keccak[1088, 512] with384-bit output.

M_1	:															
FΒ	В9	31	67	E2	64	1B	3F	09	99	19	73	С6	80	4F	2E	AC
5F	41	Α5	36	65	96	11	В5	08	79	4A	5A	07	33	FC	2D	F8
87	31	ЗC	9D	28	BF	DC	72	94	A8	21	9F	54	8B	26	ЗD	2D
06	9E	13	10	72	12	25	E5	4F	2C	25	9D	1B	F1	ΕE	17	1C
50	ЕC	29	ЗA	ΕA	72	91	FЗ	2E	84	62	D6	98	73	6C	46	BA
C3	D6	В3	AB		1A	68	D0	F7	CD	7B	ΕE	Α5	38	05	A3	D7
В7	2C	FC	E2	31	E0	1C	97	A2	F5	9B	E1	93	41	A3	DD	5F
4A	A2	03	BD	41	С3	С6	Α7	BF	CD	F1	В2	3C	C2	A4	Α4	75
M_2																
1112	•															
2.1	11	20	ر الا حا	E 7	~ 7	ЪО	577		00	00		C 0	пп	ЧС	7 5	ЪÛ
31 85	41 29	ZC FE	DA 02		27	в8 2Е	эr B0	9D DC	8C 4C	0C 67	6F 70	69 A4	EE 9A	3D 27	AE 37	D8 68
8A	29 8D	гĿ B9	02		CC	BF	БО 6А		4C 63	96	16	EE	E4	27 8C	DA	
A3	FF	E2	B3	23	ED	97	82	2E	CC	FD	52	C3	6B	70	02	A3
11	CE	61	BA	4F	EB	8C	0E	1D	37	CD	71	70	D7	9F	AC	4D
4E	53	75	92	90	06	EF	3D	3A		CC	F 9	3D	00	C0	FC	E1
39	85	36	FA	40	2A	6D	6A	45	5F	AF	94	ЗA	23	30	F5	3C
ΒB	8B	С7	77	30	D7	5B	54	AE	F6	C2	FЗ	26	FD	22	21	C1
H(I	M_1)	= H	$(M_2$):												
В2	AD	99	8F	СС	49	41	07	22	ΕE	61	24	71	35	03	59	0 C
F6	6A	32	81	0C	70	7D	F7	FЗ	50	86	07	BF	69	4F	8A	FΟ
94	2C	E4	D4	8A	80	BF	D4	F4	75	76	23	1F	FD			

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